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## Alzheimer risk variant *CLU* and brain function during aging

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### Abstract

**Background**—We examined the effect of the novel Alzheimer's disease (AD) risk variant rs11136000 single nucleotide polymorphism (SNP) in the clusterin gene (*CLU*) on longitudinal changes in resting state regional cerebral blood flow (rCBF) during normal aging and investigated its influence on cognitive decline in pre-symptomatic stages of disease progression.

**Methods**—Subjects were participants in the Baltimore Longitudinal Study of Aging. A subset of 88 cognitively normal older individuals had longitudinal <sup>15</sup>O-water PET measurements of rCBF at baseline and up to 8 annual follow-up visits. We also analyzed trajectories of cognitive decline among *CLU* risk carriers and non-carriers both in individuals who remained cognitively normal (N=599) as well as in those who subsequently converted to mild cognitive impairment (MCI) or AD (N=95).

**Results**—Cognitively normal carriers of the *CLU* risk allele show significant and dose-dependent longitudinal increases in resting state rCBF in brain regions intrinsic to memory processes. There were no differences in trajectories of memory performance between *CLU* risk carriers and non-carriers who remained cognitively normal. However, in cognitively normal individuals who eventually convert to MCI or AD, *CLU* risk carriers show faster rates of decline

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**Potential conflicts of interest:** Dr. Thambisetty is named as an inventor on a patent application filed by his previous employer, King's College London (KCL) on biomarkers for Alzheimer's disease. Dr. Lovestone is an inventor on patents held by KCL for biomarkers of Alzheimer's disease. He is a research collaborator on studies funded by J and J, GSK, Proteome Sciences and Merck Millipore. He is a member of the Lundbeck Neuroscience speaker panel. He does not report any personal financial rewards from the above entities. None of the other authors report any biomedical financial interests or potential conflicts of interest.

in memory performance relative to non-carriers in the pre-symptomatic stages of disease progression.

**Conclusions**—The AD risk variant *CLU* influences longitudinal changes in brain function in asymptomatic individuals and is associated with faster cognitive decline in pre-symptomatic stages of disease progression. These results suggest mechanisms underlying the role of *CLU* in AD and may be important in monitoring disease progression in at-risk elderly.

### Keywords

Clusterin; single nucleotide polymorphism; Alzheimer's disease; <sup>15</sup>O-water PET; cerebral blood flow; memory

## Introduction

Recent genome wide association studies (GWAS) identified polymorphisms in the clusterin (*CLU*) gene associated with risk for Alzheimer's disease (AD) (1, 2). Although this finding has subsequently been replicated (3, 4), the risk variant of *CLU* occurs commonly in the general population and exerts a small effect size in conferring risk for AD (5). It is therefore unlikely that this finding will be of clinical utility as a stand-alone predictor of disease risk in older individuals. Nevertheless, *CLU* and other novel genetic risk variants for AD may hold important clues to elucidating mechanisms relevant to AD pathogenesis, especially in at-risk older individuals.

Several lines of evidence suggest biological roles for clusterin in pathways relevant to AD pathogenesis including amyloid clearance, complement modulation and apoptosis (6–9). Recently, we showed that plasma concentration of clusterin protein was associated with brain atrophy, disease severity and clinical progression in AD patients as well as with brain fibrillar amyloid beta deposition in non-demented elderly (10). Our finding of an association between plasma clusterin concentration and disease severity in AD was recently replicated by Schrijvers and colleagues (11).

Our aim was to investigate the association between the principal single nucleotide polymorphism (SNP) in the *CLU* gene associated with AD risk and longitudinal changes in regional resting state cerebral blood flow (rCBF) evaluated by <sup>15</sup>O-water positron emission tomography (PET) imaging. Together with regional cerebral glucose metabolism, rCBF is thought to be a reliable index of neuronal/synaptic function and both imaging modalities have been extensively used to study perturbations in neuronal function in asymptomatic individuals at increased risk for AD (12). In light of recent GWAS studies that reported a significantly reduced risk of AD in carriers of the T allele at SNP rs11136000 (1, 2), our first aim was to test the hypothesis that individuals with the alternative C-risk allele would show longitudinal changes in rCBF in brain regions vulnerable to AD pathology and/or important in memory processes.

We tested this hypothesis by examining longitudinal <sup>15</sup>O-water PET data in older individuals who remained cognitively normal during the course of the study. While this hypothesis tested the effects of the AD risk variant *CLU* SNP on brain function in asymptomatic older individuals, it was also of interest to test whether this gene might influence the rate of progression in cognitive decline both during normal aging as well as in the presymptomatic stages of AD progression. Our second aim was therefore to test whether cognitively normal risk carriers of the AD risk variant *CLU* showed faster rates of decline in memory performance relative to non-carriers both in those who maintained cognitive health during aging as well among those who eventually converted to mild cognitive impairment or

AD. We tested these hypotheses in non-demented older individuals in the Baltimore Longitudinal Study of Aging (BLSA) and in its neuroimaging substudy.

## Subjects and Methods

This study analyzed two complementary datasets from participants in the Baltimore Longitudinal Study of Aging (BLSA) (Figure 1). The first was the neuroimaging substudy of the main BLSA study where longitudinal  $^{15}\text{O}$ -water PET data were collected annually between 1994 and 2004. PET data analyzed in this report were acquired from 88 (mean age 69 years; range 56–86 years) non-demented participants followed over a mean interval of 7.5 years (range 4–8 years (13). These participants represent all neuroimaging substudy participants with a minimum of three resting state  $^{15}\text{O}$ -water PET scans in whom genome-wide genotyping data were available, with the exception of the following exclusions. We excluded individuals with clinical strokes, severe head trauma and CNS infection. We also excluded participants meeting criteria for AD (NINCDS-ADRDA) and mild cognitive impairment (MCI) as determined by consensus case conference (14, 15) from the PET analysis because the numbers of neuroimaging study participants who developed cognitive impairment were too few to stratify by the *CLU* risk allele.

The second dataset that was analyzed was the main BLSA study and also included participants from the neuroimaging substudy. The objective of this analysis was to examine the effect of the rs11136000 SNP on rates of cognitive decline in individuals who remained cognitively normal (NC) as well as in those converting to MCI/AD (converters). In this analysis, we used all available data from the main BLSA and neuroimaging substudies. We selected BLSA participants who were cognitively normal at the time of entry into the study, were assessed annually or every 2 years by neuropsychological testing and had genome-wide genotyping data available. The first time point selected for analysis of rates of cognitive decline was the earliest visit where two tests of memory performance were administered, i.e. Benton Visual Retention Test (BVRT) and California Verbal Learning Test (CVLT). We excluded assessments at and after the onset of cognitive impairment or AD for the converters group. Assessments before the age 60 years were also excluded in the NC group to ensure that the range of participant ages in the two groups were similar. In the `NC' group, longitudinal cognitive data were available in 599 participants (mean age at first assessment; 67.5 years; range 60–93 years) who remained cognitively normal during the course of this study (mean follow-up interval of 6.6 years; range 0–15 years). In the `converters' group, 95 participants who were initially cognitively normal (mean age at first assessment; 75.9 years; range 60–91 years) eventually declined to either MCI (N=45) or AD (N=50). These analyses were performed on longitudinal cognitive data containing 435 serial assessments (45 data points in risk non-carriers and 390 data points in risk allele carriers) in the `converter' group and 2859 serial cognitive assessments (530 data points in risk non-carriers and 2329 data points in risk allele carriers) in the `NC' group over 15 years from 1993 to 2008.

The 599 individuals who remained cognitively normal through the duration of follow up also included the 88 individuals who participated in the  $^{15}\text{O}$ -water PET experiments. All 954 BLSA participants (i.e. decliners to MCI/AD; N=106 and cognitively normal through the course of the study; N=848) (mean age 64 years) were cognitively normal at the time of entry into the study, were assessed annually or every 2 years by neuropsychological testing and had genome-wide genotyping data available. The first time point selected for analysis of rates of cognitive decline was the earliest visit where two tests of memory performance were administered, i.e. BVRT and CVLT. Tables 1 and 2 show the sample characteristics of participants included in this study. This study was approved by the local institutional review board. All participants provided written informed consent prior to each assessment.

## Genotyping

Genome-wide genotyping was performed using the Illumina Infinium HumanHap550 genotyping chip, assaying >555,000 unique SNPs per sample. Though many polymorphisms were genotyped, this study deals only with the AD risk variant rs11136000 polymorphism found within the *CLU* gene. Standard quality control of genotyping data was conducted as described previously (16). In brief, individuals were excluded due to call rate < 95% genome-wide, cryptic relatedness due to proportional sharing ( $\pi_{\text{hat}}$ ) > 0.125 with another participant in the BLSA (effectively excluding first degree relatives), and non-European ancestry ascertained from multi-dimensional scaling analyses using HapMap reference populations. SNPs were excluded due to minor allele frequencies < 1%, a missingness rate > 5%, Hardy-Weinberg equilibrium p-values < 1E-5, and non-random missingness by haplotype p-values < 1E-5. All quality control of genotype data was undertaken using PLINKv1.05 [PMID: 17701901]. Among individuals included in the <sup>15</sup>O-water PET studies, frequencies of genotypes in the rs11136000 polymorphism were T/T in 14 subjects (15.9%), C/T in 45 subjects (51.1%), and C/C in 29 subjects (32.9%). Thus 84% of our participants in the <sup>15</sup>O-water PET studies carried the risk C-allele. The frequency for the minor (T) allele (MAF) in our sample was 41.48%. Among individuals included in the analysis of cognitive decline in those converting to MCI/AD, the frequencies of genotypes in the rs11136000 polymorphism were T/T in 11 subjects (10.3%), C/T in 57 subjects (53.7%), and C/C in 38 subjects (35.8%). APOE genotype analysis was performed separately on DNA extracted from fresh blood by restriction enzyme isoform genotyping in all participants.

## Neuropsychological testing

During each annual neuroimaging visit, participants completed a battery of neuropsychological tests evaluating six cognitive domains. Mental status was assessed with the Mini-Mental State Examination (MMSE), memory was assessed using the California Verbal Learning Test (CVLT) and Benton Visual Retention Test (BVRT). Word knowledge and verbal ability were measured using Primary Mental Abilities Vocabulary (PMA). Verbal fluency was assessed by Letter (i.e. FAS) and Category fluency tests. Attention and working memory were measured by the Digit Span Test of the Wechsler Adult Intelligence Scale-Revised, and the Trail Making Test. Digits Backward, Trails B, and Verbal Fluency (categories and letters) assessed executive function. The Card Rotations Test assessed visuospatial function. Data from evaluations at each time point were used to examine differences in change in cognitive performance over time between *CLU* risk carriers and non-carriers in participants undergoing <sup>15</sup>O-water PET studies.

In the analyses comparing trajectories of cognitive decline between *CLU* risk carriers and non-carriers in individuals remaining cognitively normal and those eventually converting to MCI and AD, we formulated an *a priori* hypothesis, based on the regional distribution of rCBF changes in our <sup>15</sup>O-water PET studies and examined differences in memory performance over the follow-up interval. In this analysis, we used all data points from the first assessment (i.e. earliest visit where both CVLT and BVRT tests were administered) until the onset of MCI or AD, thereby capturing changes in rates of decline in memory performance in the pre-symptomatic stages of disease progression. Similar to the <sup>15</sup>O-water PET studies, the main aim of this analysis was to examine the effects of the *CLU* risk allele on the pre-symptomatic stages of disease progression. Hence data from time points at and after the onset of MCI/AD were not included.

Linear mixed effects models were used in these longitudinal analyses to investigate the effects of the *CLU*rs11136000 SNP on both baseline memory scores as well as rates of change in memory performance (17). The models were fit using PROC MIXED in SAS 9.1

(SAS Institute, Cary, NC) software. Performance scores on the CVLT and BVRT tests were entered as dependent variables. The fixed effects part of the model included the following predictors; baseline age (age0), sex, race, APOE  $\epsilon$ 4 status (carriers coded 1 and non-carriers coded 0), *CLU* risk carrier status (CC/CT coded 1 and TT coded 0), group (NC coded as 0, converters coded as 1) time (i.e. follow up time from baseline), age0\*time, sex\* time, race\* time, APOE  $\epsilon$ 4 status\* time, *CLU* risk carrier status\* time, group\*time and group\* *CLU* risk carrier status\* time. Random effects included intercept and time. These models allowed us to test firstly if the effects of the clusterin risk allele on rates of change in memory performance are the same between NC group and Converter group after adjusting for baseline age, sex, race and APOE  $\epsilon$ 4 status, secondly the effect of clusterin risk allele on rates of change in memory performance separately in NC group and converter group. In exploratory analyses, *CLU* risk carrier status was also coded additively for the number of risk alleles (C) as 0, 1 or 2.

## PET scanning parameters

Participants underwent PET scans at baseline (year-1) and up to eight annual follow-ups. Each imaging session included a resting scan in which participants were instructed to keep their eyes open and focused on a computer screen covered by a black cloth.

PET measures of rCBF were obtained using [ $^{15}\text{O}$ ] water. For each scan, 75 mCi of [ $^{15}\text{O}$ ] water were injected as a bolus. Scans were performed on a GE 4096+ scanner, which provides 15 slices of 6.5 mm thickness. Images were acquired for 60 seconds from the time total radioactivity counts in the brain reached threshold level. Attenuation correction was performed using a transmission scan acquired prior to the emission scans.

## PET data analysis

Data from PET scans obtained annually from baseline to the last available follow-up time points were used in the analyses. The mean interval between baseline and last follow-up PET scans was 7.5 ( $\pm$ 0.9 SD) years. The PET scans were realigned and spatially normalized into standard stereotactic space and smoothed to full width at half maximum of 12 $\times$ 12 $\times$ 12 mm in the x, y, and z planes using a Gaussian filter. Next, to control for variability in global flow, rCBF values at each voxel were ratio adjusted to the mean global flow estimated from gray matter intensity values and scaled to 50 ml/100g/min for each scan, then thresholded at 0.80 of the mean image intensity value of each scan to exclude peripheral signal scatter in the images. For each participant, change in rCBF was calculated across all preprocessed scans using linear modeling to estimate the rates of change over time and extract the estimated fit parameter for each voxel. An image of the longitudinal rates of change at each voxel (i.e. slope or linear temporal trends image) was then created for each subject (Statistical Parametric Mapping software, SPM2, Wellcome Trust Centre for Neuroimaging, UCL, London).

We used the slope images from all subjects in a voxel-based multiple regression analysis (SPM5) with the number of C-alleles of the rs11136000 SNP as an independent predictor of longitudinal changes in rCBF. Alleles were coded for association using an additive model (i.e. 0, 1 and 2 representing individuals with 0, 1 and 2 copies of the C allele respectively). The associations were adjusted for baseline age, sex and the interval between baseline and last scan. In a secondary analysis, we also included APOE  $\epsilon$ 4 status ( $\epsilon$ 4 carriers coded as 1; N=29 and  $\epsilon$ 4 non-carriers coded as 0; N=59) as a covariate in the analysis.

In order to reduce the risk of type-I error due to multiple comparisons, we applied the following procedures. First, we adopted a statistical magnitude threshold recommended by the PET Working Group of the NIH/NIA Neuroimaging Initiative (<http://www.nia.nih.gov/>)



[ResearchInformation/ExtramuralPrograms/NeuroscienceOfAging/Summary+%E2%80%93PET+Working+Group.htm](#)). Secondly, we applied a spatial extent threshold of at least 50 voxels within the regions meeting the statistical threshold of  $p < 0.005$ . Finally, in those regions that met both the statistical ( $p < 0.005$ ) and spatial extent criteria (50 voxels), we applied a small volume correction with false discovery rate (FDR) adjusted  $p$ -value of  $< 0.05$ . In those regions showing significant associations between the number of C-alleles of rs11136000 and longitudinal changes in rCBF, baseline rCBF as well as the magnitude of change in rCBF were extracted at the local maxima for each region using a 4-mm spherical search area.

## Results

### Sample characteristics ( $^{15}\text{O}$ -water-PET study)

Group differences in continuous variables were examined using  $t$ -tests, and differences in categorical variables by the Fisher-exact test. The three groups (CC, CT and TT) did not differ significantly in age at baseline, number of years of education or APOE  $\epsilon 4$  status. Their Mini Mental State Examination (MMSE) scores did not differ significantly either at baseline or at the last follow-up. The Framingham cardiovascular risk score at the baseline imaging visit was also calculated and provided an assessment of the 10-year risk profile for coronary heart disease (CHD) (18). This composite score of cardiovascular risk was based on the presence of the following specific risk factors: age, total serum cholesterol concentration, blood pressure, diabetes mellitus and smoking. There were no significant differences in the cardiovascular risk profiles as determined by the composite Framingham risk score for each group (table-1). The annual rates of change in performance on each of the cognitive tests also did not differ significantly between these groups (table S1 in the Supplement).

### Effect of *CLU* rs11136000 SNP on longitudinal changes in rCBF

We observed significant longitudinal increases in rCBF in several brain regions in carriers of the C-allele of the rs11136000 SNP (Figure 2 and Table 3). The direction of the effect indicated greater longitudinal increases in rCBF in those with two copies of the C-allele (CC) relative to CT and TT genotypes. The increments in rCBF were thus greatest in individuals with CC, intermediate in CT and lowest in the TT genotypes. The brain regions showing these longitudinal increments in rCBF included the right and left hippocampus, and the right anterior cingulate cortex. The right orbitofrontal cortex approached statistical significance (FDR-adjusted  $p = 0.06$ ). These associations remained significant even after covarying for APOE  $\epsilon 4$  status. We also calculated baseline rCBF and magnitude of change in rCBF over time within these regions and confirmed that carriers of the C-allele of rs11136000 SNP showed greater magnitude of rCBF increase over time (table-4).

### Effect of *CLU* rs11136000 SNP on rates of cognitive decline

The effects of the *CLU* rs11136000 risk allele on rates of change in memory performance as measured by the California Verbal Learning Test (CVLT) were significantly different between the 'NC' group and 'converter' groups. These effects were observed both for verbal immediate (sum of 5 CVLT List A trials) ( $p = 0.027$ ) and delayed free recall scores ( $p = 0.0098$ ). Among individuals who remained cognitively normal during the course of the study ( $N = 599$ ) there were no significant differences in rates of change in memory performance between *CLU* risk carriers and non-carriers as observed in both verbal immediate (sum of 5 CVLT List A trials) ( $p = 0.99$ ) and delayed free recall scores ( $p = 0.85$ ). Among those participants who were cognitively normal at the first assessment, but subsequently declined to MCI/AD ( $N = 95$ ), *CLU* risk carriers showed significantly faster

rates of decline than *CLU* risk non-carriers in both verbal immediate (sum of 5 CVLT List A trials) ( $p=0.0032$ ) and delayed free recall scores ( $p=0.032$ ).

We did not find significant differential effects of the *CLU* risk allele on rates of change in visual memory performance as measured by the Benton Visual Retention Test (BVRT) between the 'NC' group and 'converter' groups ( $p=0.52$ ). Moreover, the effects of the *CLU* risk allele on rates of change in BVRT performance in both groups was not significant (NC group  $p = 0.80$ , converter group,  $p = 0.90$ ). We did not observe any significant effects of *CLU* on rates of decline in memory performance when the risk alleles were entered additively (i.e. 0, 1 or 2 copies of the C allele).

## Discussion

Our main aim was to study the effect of the AD risk variant *CLU* SNP on brain function during normal aging using  $^{15}\text{O}$ -water PET. We observe robust changes in rCBF over time in cognitively normal older individuals carrying the C-allele of the rs11136000 SNP in the *CLU* gene which confers increased risk of AD. These changes reflect significant longitudinal increases in rCBF in the hippocampus and anterior cingulate cortex; brain regions especially important to memory function and key components of the default mode network (DMN), an interacting set of brain regions involved in intrinsic memory processes (19). We observed the largest incremental change in rCBF in individuals with two copies of the C-allele of the rs11136000 SNP. These findings remained significant after adjusting for age, sex and APOE  $\epsilon 4$  status.

Previous studies have reported changes in brain function in asymptomatic carriers of the APOE  $\epsilon 4$  allele, the most robust genetic risk factor for late-onset AD (12, 20). Most of these studies have been cross sectional, and the most consistent observations were that of reductions in cerebral metabolic rate of glucose consumption in the temporal and parietal cortices (21, 22). In a recent longitudinal study, we reported decreases in resting rCBF in the frontal, parietal and temporal cortices as well as increases in the insular cortex in non-demented older APOE  $\epsilon 4$  carriers (23). In functional magnetic resonance imaging (fMRI) studies, asymptomatic APOE  $\epsilon 4$  carriers show greater magnitude and extent of brain activation while performing a memory task in comparison to non-carriers in several regions including hippocampus, parietal and prefrontal regions (24, 25). This pattern of greater brain activation in asymptomatic APOE  $\epsilon 4$  carriers must be interpreted in the light of studies that have shown a significant age  $\times$  APOE interaction in the pattern of increased neuronal activity observed in fMRI paradigms. Phillipini et al. have demonstrated recently that overactivity of brain function in young  $\epsilon 4$ -carriers is disproportionately reduced with advancing age even before the onset of measurable memory impairment (26). More recently, Linden and colleagues demonstrated neural hyperactivation in the frontal cortex, posterior cingulate cortex and hippocampus in healthy young adult carriers (median age; 29.1 years) of the AD risk variant of *CLU* during performance of a working memory task (27). Increased neural activation has been hypothesized to represent compensatory mechanisms wherein greater cognitive effort is required in at-risk individuals to achieve equivalent levels of performance to risk non-carriers (25). Similarly, increased hippocampal activity during memory processes in individuals with mild cognitive impairment (MCI) has also been observed (28).

Our current results extend these findings substantially by demonstrating that in carriers of the recently discovered AD risk variant of *CLU*, longitudinal increments in neural activity also occur in the resting state within brain regions critical to memory processes, perhaps to maintain their normal physiological function in cognitively normal at-risk individuals. The regions implicated in this study are known to place a high demand on the brain's energy

resources and are also include some areas that are vulnerable to disruption by deposition of beta amyloid even in the non-demented elderly (29, 30). It is plausible that sustaining the observed increments in resting state rCBF over several years places an especially high burden on the brain's energy resources. The eventual failure of these presumed compensatory increments in neural activity in some individuals may be the threshold beyond which early cognitive impairment begins to manifest, marking their transition from normal aging to Alzheimer's disease.

Given the above observations in resting state rCBF in asymptomatic carriers of the AD risk variant of *CLU*, we asked whether risk carriers of this allele also show changes in cognitive performance over time in pre-symptomatic stages of disease progression. Given the observed pattern of changes within regions critical for memory processes in asymptomatic *CLU* risk carriers, we hypothesized that *CLU* risk carriers eventually converting to MCI/AD would show faster rates of decline in memory performance relative to non-carriers in the pre-symptomatic stages of disease progression. Moreover, we previously showed that decline in episodic memory performance is the earliest change in cognition in the presymptomatic stages of AD (31).

We confirmed our hypothesis by demonstrating significantly faster rates of decline in verbal memory performance scores in *CLU* risk carriers. By focusing on individuals eventually converting to MCI/AD and only using longitudinal cognitive data from baseline until the onset of MCI/AD, our analysis was targeted specifically towards delineating effects of *CLU* on pre-symptomatic stages of disease progression. We combined the MCI and AD groups to increase the numbers of participants and thereby acquire more power to detect differences between the risk and non-risk groups. While the underlying assumption in doing so is that MCI and AD are part of a spectrum of disease severity, it must be acknowledged that this is a possible limitation of the study. It must be noted in this context that the effects of the *CLU* risk variant on AD risk are small, and not surprisingly, a recent study did not observe any significant effects of *CLU* on memory performance over time in individuals who remained cognitively normal (32), a finding consistent with our own observations in the present analysis. However, it is also plausible that with a longer follow up interval, greater numbers of individuals in the cognitively normal group may show significant differences in changes in memory performance over time between the *CLU* risk and non-risk groups. It is also likely that the small effect of the *CLU* risk variant on cognition did not allow us to detect a dose effect when we coded the risk additively for the number of C alleles.

## Conclusions

Our results suggest that the novel AD risk variant *CLU* influences neuronal activity within memory circuits in asymptomatic risk carriers who remain cognitively normal. Moreover, *CLU* also exerts effects on early, pre-symptomatic stages of disease progression by accelerating decline in memory performance in risk carriers who eventually convert to MCI/AD. These findings provide evidence implicating *CLU* in early events in AD pathogenesis and may be important in monitoring disease progression in carriers of this common risk variant.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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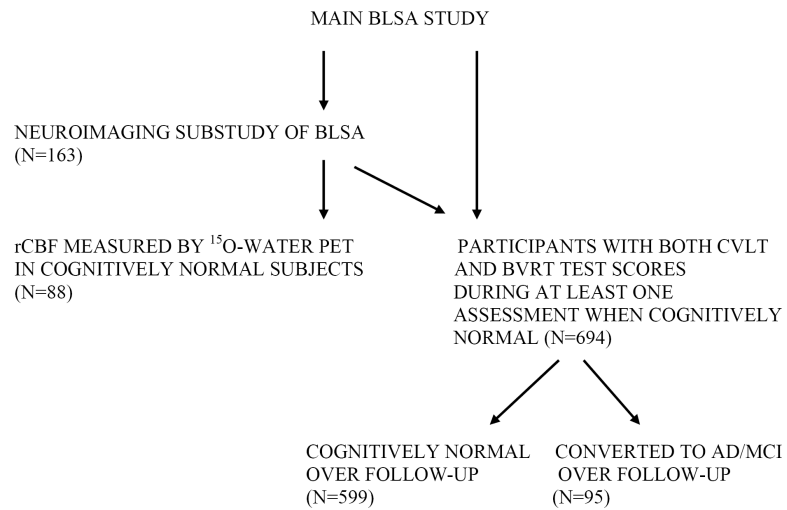


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**Figure-1. Participants in the Baltimore Longitudinal Study of Aging (BLSA) and its neuroimaging substudy**

Schematic illustration of the main BLSA and neuroimaging sub-studies showing the selection of participants whose longitudinal <sup>15</sup>O-water PET and cognitive data were analyzed in this study.



**Figure-2. Associations between longitudinal changes in resting regional cerebral blood flow (rCBF) and the AD risk variant rs11136000 SNP in the clusterin gene (*CLU*) in cognitively normal older individuals**

Carriers of the C-allele of the rs11136000 SNP were coded additively (CC=2, CT=1 and TT=0) in a voxel-based multiple regression analysis (SPM5). Highlighted regions show significantly greater longitudinal increases in rCBF in carriers of the risk variant C-allele within the right anterior cingulate cortex (left panel) and bilateral hippocampi (right panel) (R and L; right and left cerebral hemispheres respectively).

Table-1

Sample characteristics of participants included in the  $^{15}\text{O}$ -water PET studies (BLSA neuroimaging substudy)

rs11136000 Genotype	N	APOE $\epsilon 4$ status	Sex	Race	Education (years) ( $\pm$ SD)	Age at baseline (years) ( $\pm$ SD)	Follow-up duration (years) ( $\pm$ SD)	Framingham Risk Score ( $\pm$ SD)
Whole Sample	88	59 $\epsilon 4$ - 29 $\epsilon 4$ +	36 F 52 M	78 C 10 AA	16.4 (2.8)	69.3 (7.3)	7.5 (0.9)	13.4 (7.5)
CC	29	21 $\epsilon 4$ - 8 $\epsilon 4$ +	9 F 20 M	28 C 1 AA	16.7 (2.8)	69.8 (6.5)	7.8(0.6)	13.9 (7.5)
TC	45	31 $\epsilon 4$ - 14 $\epsilon 4$ +	24 F 21 M	39 C 1 AA	16.1 (2.5)	69.0 (7.4)	7.3 (1.0)	12.1 (7.2)
TT	14	7 $\epsilon 4$ - 7 $\epsilon 4$ +	3 F 11 M	11 C 3 AA	16.8 (3.5)	69.3 (8.8)	7.4 (0.9)	16.8 (8.0)
<i>p</i> -value for difference		0.32	0.044	0.13	0.61	0.88	0.11	0.13

F= female; M= male, C= Caucasian; AA= African-American



Sample characteristics of participants included in the analysis of rates of decline in memory performance in individuals remaining cognitively normal (NC)

**Table-2a**

rs1136000 Genotype	N	APOE ε4 status	Sex	Race	Education (years) (±SD)	Age at baseline (years) (±SD)	Follow-up duration (years) (±SD)	Total number of Data points
Whole Sample	599 119 TT 288 TC 192 CC	426 ε4- 153 ε4+	257 F 342 M	134 AA 465 C	16.5 (2.5)	67.5 (7.5)	6.6 (4.6)	2859
TT	119	77 ε4- 39 ε4+	52 F 67 M	49 AA 79 C	16.7 (2.4)	67.7 (7.2)	6.3 (4.3)	530
TC,CC	480	349 ε4- 114 ε4+	205 F 275 M	94 AA 386 C	16.4 (2.5)	67.5 (7.6)	6.7 (4.7)	2329
<i>p</i> -value for difference		0.049	0.85	0.001	0.22	0.83	0.35	

F= female; M= male, C= Caucasian; AA= African-American

**Table-2b**

Sample characteristics of participants included in the analysis of rates of decline in memory performance in cognitively normal individuals who eventually converted to MCI/AD (converters) rs1136000 Genotype

	N	Diagnosis	APOE e4 status	Sex	Race	Education (years) ( $\pm$ SD)	Age at baseline (years) ( $\pm$ SD)	Follow-up duration (years) ( $\pm$ SD)	Total number of Data points
Whole Sample	95 9 TT 52 TC 34 CC	45 MCI 50 AD	70 e4- 25 e4+	41 F 54 M	7 AA 88 C	16.2 (3.1)	75.9 (7.1)	5.4 (4.2)	435
TT	9	4 MCI 5 AD	8 e4- 1 e4+	6 F 3 M	1 AA 8 C	17.1 (1.7)	78.6 (8.1)	5.3 (3.8)	45
TC,CC	86	41 MCI 45 AD	62 e4- 24 e4+	35 F 51 M	6 AA 80 C	16.1 (3.2)	75.6 (6.9)	5.4 (4.2)	390
<i>p</i> -value for difference		1.00	0.44	0.17	0.51	0.37	0.22	0.98	

Table-3

**Local maxima within areas of significant longitudinal increases in rCBF in carriers of the C-allele of the rs1136000 snp in the clusterin gene. Coordinates are in stereotactic space and Brodmann areas are in parentheses**

Carriers of the C-allele of the rs1136000 SNP were coded additively (CC=2, CT=1 and TT=0) in a voxel-based multiple regression analysis (SPM5).

Region	side	Coordinates			t value	p value	FDR-adjusted p value	# voxels
		x	y	z				
Orbitofrontal Cortex (47)	R	34	30	-22	3.73	<0.001	0.06	130
Ant Cingulate Cortex (32)	R	14	46	4	4.13	<0.001	0.02	245
Hippocampus	R	36	-24	-14	4.10	<0.001	0.02	137
Hippocampus	L	-26	-22	-6	3.35	0.001	0.03	90

**Table-4**  
**Mean annual rates of change (ml/100g/minute) and the corresponding standard errors for resting state regional cerebral blood flow (rCBF) in TT, CT and CC groups of the rs11136000 *CLU* SNP**

The magnitude of change in rCBF over time was calculated within those regions showing significant associations between the number of C-alleles of rs11136000 and longitudinal changes in rCBF in the primary SPM analysis (table-3). This was performed by extracting the adjusted rCBF values at the local maxima for each region shown in table-3 using a 4-mm spherical search area. The brain regions shown above are components of the default mode network, an interacting set of brain regions involved in intrinsic memory processes.

	CC	CT	TT
Lt. Hippocampus	0.65 (0.11)	0.37 (0.09)	0.12 (0.13)
Rt. Hippocampus	0.30 (0.09)	0.10 (0.07)	-0.35 (0.16)
Rt. Anterior cingulate cortex	0.31 (0.09)	0.07 (0.08)	-0.37 (0.11)