

# NIH Public Access **Author Manuscript**

Neurology. Author manuscript; available in PMC 2012 November 03.

Published in final edited form as:

Neurology. 2006 September 12; 67(5): 834–842. doi:10.1212/01.wnl.0000234032.77541.a2.

# **Older adults with cognitive complaints show brain atrophy similar to that of amnestic MCI**

**A.J. Saykin, PsyD**, **H.A. Wishart, PhD**, **L.A. Rabin, PhD**, **R.B. Santulli, MD**, **L.A. Flashman, PhD**, **J.D. West, MS**, **T.L. McHugh, MA**, and **A.C. Mamourian, MD** Brain Imaging Laboratory, Departments of Psychiatry (A.J.S., H.A.W., L.A.R., R.B.S., L.A.F., J.D.W., T.L.M.) and Radiology (A.J.S., A.C.M.), Dartmouth Medical School, Hanover, NH

## **Abstract**

**Objective—**To examine the neural basis of cognitive complaints in healthy older adults in the absence of memory impairment and to determine whether there are medial temporal lobe (MTL) gray matter (GM) changes as reported in Alzheimer disease (AD) and amnestic mild cognitive impairment (MCI).

**Methods—**Participants were 40 euthymic individuals with cognitive complaints (CCs) who had normal neuropsychological test performance. The authors compared their structural brain MRI scans to those of 40 patients with amnestic MCI and 40 healthy controls (HCs) using voxel-based morphometry and hippocampal volume analysis.

**Results—**The CC and MCI groups showed similar patterns of decreased GM relative to the HC group on whole brain analysis, with differences evident in the MTL, frontotemporal, and other neocortical regions. The degree of GM loss was associated with extent of both memory complaints and performance deficits. Manually segmented hippocampal volumes, adjusted for age and intracranial volume, were significantly reduced only in the MCI group, with the CC group showing an intermediate level.

**Conclusions—**Cognitive complaints in older adults may indicate underlying neurodegenerative changes even when unaccompanied by deficits on formal testing. The cognitive complaint group may represent a pre–mild cognitive impairment stage and may provide an earlier therapeutic opportunity than mild cognitive impairment. MRI analysis approaches incorporating signal intensity may have greater sensitivity in early preclinical stages than volumetric methods.

> Memory complaints, a cardinal feature of mild cognitive impairment  $(MCI)^1$  that confers a high risk of Alzheimer disease  $(AD)$ ,<sup>2,3</sup> are reported in 25 to 50% of the older adult population.<sup>4</sup> Longitudinal research on older adults with cognitive complaints (CCs) has  $y$ ielded inconsistent findings,<sup>5-12</sup> although a range of associated factors including apolipoprotein E (APOE) genotype, depression, somatic concerns, female sex, and older age have been identified.<sup>4,13-19</sup>

> Normal aging, MCI, and AD have been associated with loss of gray matter (GM).<sup>20,21</sup> Many studies have used manual tracing of regions of interest (ROIs) to assess medial temporal lobe (MTL) structures in AD and MCI.<sup>22–25</sup> Voxel-based morphometry (VBM) assesses tissue compartments on a voxel-by-voxel basis and has the advantages of automation, reliability, and unbiased comprehensive sampling across the brain.<sup>26</sup> Regional decline in

Copyright © 2006 by AAN Enterprises, Inc.

Address correspondence and reprint requests to Dr. Andrew Saykin, Brain Imaging Laboratory, Department of Psychiatry, Dartmouth Medical School, One Medical Center Drive, Lebanon, NH 03756-0001; andrew.saykin@dartmouth.edu. Disclosure: The authors report no conflicts of interest.

GM volume has been reported in healthy adults, as a function of age,  $27-29$  with more pronounced reduction in patients with MCI $^{30,31}$  or AD.<sup>22,30,32–36</sup> Regions reported most frequently include MTL structures, cingulate, and diffuse cortical association regions.22,30,32–36 Prior studies had not quantitatively examined the severity of CCs in preclinical AD and directly assessed the relationship to GM.

We used ROI and VBM analyses to examine the structural underpinnings of memory complaints in older adults with normal memory test performance compared to individuals with MCI and healthy controls (HCs). We hypothesized that individuals with CCs would show decreased GM density in MTL and other cortical regions, as well as an intermediate level of hippocampal volume reduction between MCI and controls. We also hypothesized that subjective and objective measures of memory would be related to GM density.

## **Methods**

Participants were 120 older adults consecutively enrolled into the Dartmouth Memory and Aging Study. The sample included 40 individuals with significant CCs despite normal cognitive test performance (CC group), 40 patients with MCI (MCI group), and 40 HCs (HC group) with no significant CCs or deficits. Two additional participants, one with MCI and one HC, were excluded from the present analyses due to suboptimal image quality. Participants were recruited through use of flyers, public lectures, newspaper advertisements, and referrals from our medical center's General Internal Medicine, Community Health, and Geropsychiatry Clinics. The sample was predominantly white, with one Asian and one Hispanic participant, consistent with the demographic composition of the surrounding northern New England region. Participants provided written informed consent according to procedures approved by the Institutional Committee for the Protection of Human Subjects.

Screening for eligibility included standardized phone interview with a memory screen,  $37,38$ in-person interview, and review of medical records. Inclusion criteria were at least 60 years of age, right-handed, fluent in English, and at least 12 years of formal education or a GED. Participants were required to have an informant who knew them well and could answer questions about their cognition and general health. The relationships of the informants to the participants were spouse or significant other (70%), adult child (14%), and friend or other family member (16%); this distribution did not differ between groups. Exclusion criteria included any medical, psychiatric, or neurologic condition (other than MCI) that could significantly affect brain structure or cognition, history of head trauma with loss of consciousness lasting more than 5 minutes, history of substance dependence, and factors contraindicating MRI. Nonamnestic forms of MCI<sup>39,40</sup> were excluded. One MCI patient was taking a cholinesterase inhibitor, and no participant was taking any other psychoactive medication.

#### **Methods of assessment**

Participants underwent a detailed neuropsychological evaluation, including measures of memory, attention, executive function, language, spatial ability, general intellectual ability, and psychomotor speed as well as standard dementia screens. Tests included Mini-Mental State Examination,<sup>41</sup> Mattis Dementia Rating Scale-2,<sup>42</sup> California Verbal Learning Test (CVLT-I or CVLT-II), <sup>43,44</sup> Boston Naming Test, <sup>45</sup> Trail Making Test (original or DKEFS),46,47 Wechsler Adult Intelligence Scale III (Digit Symbol, Digit Span, Block Design, Vocabulary, and Information subtests), <sup>48</sup> Wechsler Memory Scale (WMS-III: Logical Memory [LM] and Visual Reproduction subtests), <sup>49</sup> and Wisconsin Card Sorting Test (short form).50,51 Estimates of baseline intellectual functioning included the American National Adult Reading Test<sup>52</sup> and the Barona Index.<sup>53</sup>

Multiple inventories were employed including the Memory Self-Rating Questionnaire,<sup>54</sup> self and informant versions of the Neurobehavioral Function and Activities of Daily Living Rating Scale,55,56 self and informant versions of the Informant Questionnaire on Cognitive Decline in the Elderly,<sup>57</sup> the four cognitive items from the Geriatric Depression Scale  $(GDS)$ ,<sup>58</sup> 10 cognitive items from a telephone-based screening for MCI,<sup>37</sup> and 23 items from the Memory Assessment Questionnaire,59 adapted in part from the Functional Activities Questionnaire.<sup>60</sup> A Cognitive Complaint Index was calculated as the percentage of all items endorsed.

A board-certified geropsychiatrist (R.B.S.) ruled out depression, dementia, and other Diagnostic and Statistical Manual of Mental Disorders, 4th Edition (DSM-IV) Axis I psychiatric disorders based on a semistructured evaluation that included the Hamilton Rating Scale for Depression  $(HAM-D)^{61}$  and GDS.<sup>58</sup> A neurologic examination and the original and revised Hachinski Ischemia Scale<sup>62,63</sup> were also completed.

Structural brain MRI scans, described below, were reviewed by a board-certified neuroradiologist (A.C.M.), blinded to clinical status, to rule out incidental pathology. White matter changes were rated on a scale adapted from the Age-Related White Matter Changes Scale.<sup>64,65</sup> In an effort to enhance sensitivity to subthreshold microvascular or other white matter changes, we added intermediate scores for subtle but detectable white matter changes that were judged within (0.5) or beyond (0.75) those typical for age. The resulting scale included the following designations:  $0 =$  no lesion (including symmetric, well-defined caps or bands);  $0.5$  = white matter changes noted but age appropriate or less than expected for age;  $0.75$  = white matter changes noted are more than expected for age;  $1$  = focal lesions; 2  $=$  beginning confluence of lesions;  $3 =$  diffuse involvement of the entire region/with or without involvement of U fibers.

#### **Group classification and characterization**

Group classifications (HC, CC, MCI) were based on results of the neuropsychological assessment, self and informant report indices, and the geropsychiatric and neurologic evaluation. A multidisciplinary clinical consensus panel reviewed each case according to the criteria outlined in table 1. The decision to characterize a participant as having significant CCs was determined by a consensus evaluation of self and informant responses; those considered to have significant CCs typically endorsed 20% or more of the items on the Cognitive Complaint Index.

The Cognitive Complaint Index and its component scores are presented in table 2. Based on the study classification criteria, the Cognitive Complaint Index was by definition elevated in both the MCI and CC groups relative to the HC group ( $p < 0.001$ ; figure 1). The CC and MCI groups did not differ and endorsed approximately three times as many complaints as the HC group. Assessment of memory performance was based on age, education, and gender-adjusted scores. The adjustment was made using the mean, SD, and β coefficients obtained from an expanded healthy demographically balanced control group. The MCI participants performed 1.5 SDs below the adjusted mean of HCs on at least one verbal memory test score (CVLT Total 1–5, Short Delay, Long Delay, WMS-III LM I or LM-II; table 2). On average, the MCI group was below the −1.5-SD level on 3.58 (1.39) of the five scores. By contrast, the CC group was below the −1.5-SD level on 0.85 (1.05) of the five scores, similar to the HC group, which had 0.35 (0.74) scores below the cutoff. A composite verbal memory  $Z$  score was calculated as the mean of the  $Z$  scores of the above five measures and results are shown in table 2 and figure 1. The MCI group differed from the CC and HC groups on both composite memory Z score and the number of tests below cutoff. The CC and HC groups did not differ from each other after adjustment for multiple comparisons.

On depression measures, there were no significant elevations or between-group differences on the HAM-D. Although the CC and MCI groups scored an average of 2 points higher than the HC group on the adjusted GDS (four cognitive items deleted), all three group means were well within normal limits (table 2). No participant showed depression on the comprehensive geropsychiatric evaluation.

With the exception of a sex difference, there were no significant group differences in demographics (table 2). There was a group difference in APOE genotype, with the CC group showing a preponderance of E4-negative individuals (table 2). Sex and APOE genotype were used in secondary analyses to clarify their potential relationship with GM density.

## **Imaging**

**Scan acquisition—Scans were obtained on a GE Signa 1.5-T Horizon LX magnet with** echo speed gradients using a standard head RF coil. A T1-weighted three-dimensional spoiled gradient echo (SPGR) coronal volume was acquired. Parameters were  $TR = 25$ , TE  $= 3$  or min, flip angle  $= 40$  degrees, 1 NEX, and slice thickness  $= 1.5$  mm (no skip), yielding 124 contiguous slices with a 24-cm field of view and a  $256 \times 256$  matrix with 0.9375 mm in-plane resolution. We also acquired a fast spin echo T2-weighted scan as a screen for focal lesions or other incidental findings (TR =  $3000$ , TE =  $96$ , 3 mm contiguous axial slices).

**Preprocessing and VBM—Scans were reconstructed from slice data using scripts** written in Matlab (Mathworks, Inc.). Data were then resampled to isotropic 1-mm<sup>3</sup> voxels, aligned visually to the AC-PC plane using BRAINS software, 66,67 and reformatted to the axial plane. VBM was performed using locally developed automation scripts to implement the optimized methods described by Good et al.<sup>33</sup> and Ashburner and Friston<sup>68</sup> Briefly, the T1-weighted AC-PC–aligned SPGR volumes were resampled to 1.5-mm<sup>3</sup> voxels and segmented to extract GM maps. A custom age-appropriate brain template was used for automated removal of extracerebral tissue including the skull and meninges. GM maps were then spatially normalized to the GM prior probability template using a 12-parameter model including nonlinear basis functions as implemented in the Statistical Parametric Mapping package (Wellcome Department of Imaging Neuroscience, London, UK, [http://](http://www.fil.ion.ucl.ac.uk/spm/) [www.fil.ion.ucl.ac.uk/spm/](http://www.fil.ion.ucl.ac.uk/spm/)). The normalized scans were then smoothed using an isotropic spatial filter with full width half maximum of 12 mm to help account for individual differences in gyral anatomy. The smoothed normalized GM maps were used for subsequent analyses.

**Hippocampal volume and ROI analysis—**Methods for manual segmentation of the hippocampus have been described elsewhere,<sup>69,70</sup> and our protocol<sup>71</sup> is summarized briefly here. Images were reformatted into isotropic 1-mm voxels and resampled into the plane perpendicular to the long axis of the hippocampus using BRAINS.<sup>66,67</sup> Manual traces were performed in the coronal plane with reference to markings placed in the orthogonal views to guide boundary determination. The anterior boundary, visualized in the sagittal plane, included the point where the alveus, a thin band of white matter, was observed between the hippocampus and amygdala. Additional anterior boundary landmarks in the axial plane included the uncal notch indicating the beginning of the coronal nucleus of the amygdala. The posterior boundary was defined in the sagittal plane where the tail of the hippocampus was surrounded by white matter on three sides.<sup>72</sup> The lateral border of the hippocampus was the CSF of the temporal horn of the lateral ventricle. On the inferior bank, the subicular complex was included. The boundary with the entorhinal cortex was defined by outlining the subiculum in the sagittal plane superiorly, adjacent to the lateral ventricle, as well as inferiorly, adjacent to the uncinate fasciculus.<sup>69,73</sup> The medial edge was bounded by the

**Hippocampal volume and ROI template procedure—**Left and right hippocampal volumes were calculated for each participant by summing the coronal slice areas and then adjusted for age and total intracranial volume using a regression model. Inter- and intrarater reproducibility assessed by intraclass correlation coefficients were >0.94 for both the left and right hippocampi. Templates for the left and right hippocampi were constructed by averaging the ROIs derived from the HC group on a voxel-by-voxel basis in Montreal Neurological Institute atlas space. Individual ROIs were smoothed using an isotropic 3-mm FWHM filter prior to averaging. These ROI templates were then used to extract hippocampal GM signal intensity values from VBM for all participants.

## **Statistical analyses**

The GM maps derived from VBM were analyzed on a voxel-by-voxel basis using general linear model and random effects methods. Analysis of variance (ANOVA) was used to assess group differences in GM density using a two-stage approach. First, we examined the hypothesized MTL region of interest. Second, we performed an unbiased whole brain analysis of GM using a more stringent spatial threshold. For the hypothesized MTL region, we employed a small volume search area with a family-wise error threshold of 0.05 and a minimum cluster size (k) of seven contiguous voxels  $(24 \text{ mm}^3)$ . For the whole brain analysis, we used a threshold of 0.001 at the voxel level and k of 75 contiguous voxels (253  $mm<sup>3</sup>$ ). Major clusters identified on the whole brain analyses by the omnibus F test were further analyzed using the following ROI approach. Spherical ROIs were centered at the cluster local maxima. Because brain structures of varying sizes were included in the analyses, we used a diameter of 6 mm for the ROI to standardize GM sampling. For each ROI, the first eigen-variate of signal intensity was subjected to further analysis using the Tukey honestly significant difference test to assess pairwise group differences. A series of covariance analyses was used to assess the relationships between GM reduction and memory in the combined sample  $(n = 120)$ . For episodic memory performance and memory complaints, we analyzed the composite verbal memory Z score and the Cognitive Complaint Index. Hippocampal volume data were analyzed by ANOVA with planned comparisons after adjustment for age and total intracranial volume (ICV) with regression-based estimates of these covariates derived from the HC group.

## **Results**

#### **Group differences on VBM**

Group differences in GM density were found in bilateral MTL and distributed cortical regions (table 3). As expected, the MCI group showed reduced GM density relative to the HC group in distributed brain regions, including bilateral medial temporal, frontotemporal, and other neocortical areas (figure 2, table 3). The CC group showed a similar, although slightly more circumscribed, pattern of reduced GM density relative to the HC group (figure 2, table 3). There were no regions in which the MCI or CC group showed higher GM density than the HC group.

#### **Relationship between GM and memory**

The composite verbal memory  $Z$  score was lower in those with reduced GM density, predominantly in bilateral medial temporal and distributed cortical regions (figure 3 and table E-1 on the *Neurology* Web site at www.neurology.org). No regions showed increased GM density with a reduction in verbal memory. A higher Cognitive Complaint Index indicated a reduction in GM density, predominantly in bilateral medial temporal and other

cortical and subcortical regions (table E-1; figure 4). No regions showed an increase in GM density as complaints increased, nor did GM density diminish with fewer complaints.

#### **Additional analyses**

We examined several relevant covariates including sex, APOE genotype, and total ICV. Although each covariate slightly attenuated the regional effect sizes, all areas showing group differences in GM density in the original analysis remained significant. Using the white matter ratings scale described above, three HCs, three patients with MCI, and no CC group members had subtle white matter hyperintensities greater than expected for age. No participant had diffuse hyperintensities. We repeated the analysis of group differences after excluding these participants with subtle white matter changes of presumed microvascular etiology and one additional MCI patient with enlargement of the Sylvian fissure; regions showing group differences in the original analysis remained significant again with a slight reduction of effect size.

## **Hippocampal volume and GM density**

Age- and ICV-adjusted hippocampal volumes are shown in figure 5 (top row). As expected, there were between group differences (left:  $F(2,117) = 7.55$ ,  $p = 0.0008$ ; right:  $F(2,117) =$ 8.67,  $p = 0.0003$ . The MCI group showed hippocampal volume reduction compared to both the HC (left and right both  $p < 0.0005$ ) and CC (left and right both  $p < 0.005$ ) groups. The HC and CC groups did not differ. Although all groups showed larger right than left volumes, there was no group by hemisphere interaction. Age-adjusted GM density for the left and right hippocampal template ROIs are shown in figure 5 (bottom row). There were betweengroup differences (left: F(3,116) = 25.06,  $p < 0.0001$ ; right: F(3,116) = 22.77,  $p < 0.0001$ ). Both the CC and MCI groups showed reduction of GM density vs HC (CC < HC, left  $p =$ 0.024 and right  $p = 0.008$ ; MCI < HC, left and right  $p < 0.001$ ). The CC and MCI groups did not differ. There was a trend toward higher GM values for the left than right hemisphere across groups ( $p = 0.08$ ) but no group x hemisphere interaction.

## **Discussion**

This study characterized the pattern of regional GM loss in older adults with marked CCs but normal test performance. The MCI and CC groups showed a similar pattern of reduced GM density in bilateral medial temporal, frontal, and other distributed brain regions. This pattern of findings indicates that structural brain changes similar to those seen in MCI are present even in cognitively intact, nondepressed older adults with significant memory complaints. The changes were slightly more extensive in the MCI group than the CC group compared to the HC group, suggesting that the CC group may represent a point on a continuum between normal aging and MCI. Significant CCs may signify a very early stage of the dementing process for some individuals and may constitute a pre-MCI stage in these cases.

Models suggesting a continuum from normal aging to AD, however, have been questioned based on neuropathologic evidence of changes characteristic of AD in some individuals with MCI.<sup>74</sup> Such data are not presently available for CC cohorts. Prior studies<sup>75</sup> have reported elevated incidence of conversion to dementia in individuals with CCs meeting criteria for Clinical Dementia Rating 0.5 ("questionable dementia").76 The results of our ongoing longitudinal study will ultimately help to clarify the relative rates of conversion to dementia from CCs and MCI. Follow-up with neuropsychological and neuroimaging methods will help determine the cognitive trajectory, progression of structural brain changes, and diagnostic outcomes within each group.

Across the entire combined sample of older adults, reduction of GM density in medial temporal and other regions was correlated with both subjective memory complaints and verbal learning performance. This indicates that the structural brain changes seen in the CC and MCI groups have functional significance in terms of memory ability. Together with prior research relating frontal metabolism to subjective memory ratings in older adults,<sup>77</sup> these findings highlight the importance of CCs in the clinical evaluation of older adults and suggest that those who present with significant CCs warrant evaluation and close monitoring over time. Although subtle cognitive anomalies may be present many years before dementia onset,<sup>78–80</sup> incorporating information on cognitive complaints<sup>81</sup> and structural changes may be important for prognosis. As new treatments and preventive strategies for MCI and AD are developed and refined, the earliest possible accurate detection of people at increased risk of dementia will take on critical importance.

The design of this study enabled us to rule out factors commonly associated with memory complaints in older adults, including depression, other DSM-IV Axis I disorders, psychoactive medication, and significant white matter pathology.<sup>6,82–84</sup> Therefore, our data indicate that GM atrophy and associated cognitive changes can occur independently of these factors. Other notable strengths of this study are the comprehensive nature of the assessment of CCs including both self and informant perception as well as the combination of VBM GM density and hippocampal volume ROI analyses in the same cohort.

A potential limitation in terms of the generalizability of our results is that most participants had high education and estimated baseline intellect. High baseline functioning or cognitive reserve may buffer the effects of brain pathology on cognition.<sup>85,86</sup> High baseline individuals may be more likely to express subjective complaints before objective measures can detect decline. Our study warrants replication in cohorts with lower levels of baseline functioning given the potential implications for early diagnosis. Our results can not be generalized to nonamnestic subtypes of MCI, $39$  which may show a different profile on neuroimaging. Within amnestic MCI, we did not attempt to assess whether single and multiple domain subtypes can be distinguished using structural MRI.

VBM may be sensitive to the earliest stages of dementia, before the onset of cognitive changes measurable on comprehensive neuropsychological evaluation. It has advantages and limitations as compared to an ROI-based approach involving manual tracing of specific brain structures.33,87,88 VBM is largely automated and is therefore highly reproducible and much less labor-intensive than manual tracing. In addition, VBM may be ideal for application in the earliest stages of disease, when brain structural changes are so subtle that they cannot readily be detected visually. Our observation that the CC group showed significantly reduced hippocampal GM density but not volume reduction suggests that voxel-based approaches incorporating signal intensity may have greater sensitivity in early preclinical stages than volumetric methods. This finding warrants replication in view of the implications for early detection of those at elevated risk of dementia. VBM will likely be useful to aid in early detection, selection of participants in clinical trials, and treatment monitoring. However, VBM analyses are computationally demanding, and some processing steps, such as the use of age-specific templates, have yet to be fully standardized. These methodologic issues are discussed in detail by Ashburner et al.<sup>89</sup> Overall, VBM- and ROIbased approaches are likely to provide complementary information.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## **Acknowledgments**

Supported in part by grants from the National Institute on Aging (R01 AG19771), Alzheimer's Association (Hedco Foundation), Hitchcock Foundation, Ira DeCamp Foundation, National Science Foundation, New Hampshire Hospital, and NAMIC (U54 EB005149).

The authors thank the following for help with this study: Leslie Baxter, Marlana Borgos, Cheryl Brown, Katherine Nutter-Upham, Nadia Paré, Heather Pixley, Jennifer Randolph, Li Shen, and Paul Wang of the Department of Psychiatry and Kelli Clifford, Alice Davison, Robert Ferranti, Bob Shaffer, Shreve Soule, and colleagues in the Department of Radiology.

## **References**

- 1. Petersen RC, Doody R, Kurz A, et al. Current concepts in mild cognitive impairment. Arch Neurol. 2001; 58:1985–1992. [PubMed: 11735772]
- 2. Petersen RC, Stevens JC, Ganguli M, Tangalos EG, Cummings JL, DeKosky ST. Practice parameter: early detection of dementia: mild cognitive impairment (an evidence-based review). Report of the quality standards subcommittee of the American Academy of Neurology. Neurology. 2001; 56:1133–1142. [PubMed: 11342677]
- 3. Bischkopf J, Busse A, Angermeyer MC. Mild cognitive impairment—a review of prevalence, incidence and outcome according to current approaches. Acta Psychiatr Scand. 2002; 106:403–414. [PubMed: 12392483]
- 4. Jonker C, Geerlings MI, Schmand B. Are memory complaints predictive for dementia? A review of clinical and population-based studies. Int J Geriatr Psychiatry. 2000; 15:983–991. [PubMed: 11113976]
- 5. Taylor JL, Miller TP, Tinklenberg JR. Correlates of memory decline: a 4-year longitudinal study of older adults with memory complaints. Psychol Aging. 1992; 7:185–193. [PubMed: 1610506]
- 6. Jorm AF, Christensen H, Korten AE, Jacomb PA, Henderson AS. Memory complaints as a precursor of memory impairment in older people: a longitudinal analysis over 7– 8 years. Psychol Med. 2001; 31:441–449. [PubMed: 11305852]
- 7. Schmand B, Jonker C, Hooijer C, Lindeboom J. Subjective memory complaints may announce dementia. Neurology. 1996; 46:121–125. [PubMed: 8559359]
- 8. Schmand B, Jonker C, Geerlings MI, Lindeboom J. Subjective memory complaints in the elderly: depressive symptoms and future dementia. Br J Psychiatry. 1997; 171:373–376. [PubMed: 9373429]
- 9. Tobiansky R, Blizard R, Livingston G, Mann A. The Gospel Oak Study stage IV: the clinical relevance of subjective memory impairment in older people. Psychol Med. 1995; 25:779–786. [PubMed: 7480455]
- 10. Jorm A, Masaki K, Davis D, et al. Memory complaints in nondemented men predict future pathologic diagnosis of Alzheimer disease. Neurology. 2004; 63:1960–1961. [PubMed: 15557525]
- 11. Flicker C, Ferris SH, Reisberg B. A longitudinal study of cognitive function in elderly persons with subjective memory complaints. J Am Geriatr Soc. 1993; 41:1029–1032. [PubMed: 8409146]
- 12. Jorm AF. Alzheimer's disease: risk and protection. Med J Aust. 1997; 167:443–446. [PubMed: 9364167]
- 13. Derouesne C, Lacomblez L, Thibault S, LePoncin M. Memory complaints in young and elderly subjects. Int J Geriatr Psychiatry. 1999; 14:291–301. [PubMed: 10340191]
- 14. Smith GE, Petersen RC, Ivnik RJ, Malec JF, Tangalos EG. Subjective memory complaints, psychological distress, and longitudinal change in objective memory performance. Psychol Aging. 1996; 11:272–279. [PubMed: 8795055]
- 15. Stewart R. Cerebral white matter lesions and subjective cognitive dysfunction: the Rotterdam Scan Study. Neurology. 2001; 57:2149. Correspondence. [PubMed: 11739854]
- 16. Stewart R, Russ C, Richards M, Brayne C, Lovestone S, Mann A. Depression, APoE genotype and subjective memory impairment: a cross-sectional study in an African-Caribbean population. Psychol Med. 2001; 31:431–440. [PubMed: 11305851]

- 17. Saykin AJ, Wishart HA. Mild cognitive impairment: conceptual issues and structural and functional brain correlates. Semin Clin Neuropsychiatry. 2003; 8:12–30. [PubMed: 12567329]
- 18. Baxter LC, Caselli RJ, Johnson SC, Reiman EM, Osborne D. Apolipoprotein e e4 affects new learning in cognitively normal individuals at risk for Alzheimer's disease. Neurobiol Aging. 2003; 24:947–952. [PubMed: 12928055]
- 19. Jorm AF, Butterworth P, Anstey KJ, et al. Memory complaints in a community sample aged 60 64 years: associations with cognitive functioning, psychiatric symptoms, medical conditions, ApoE genotype, hippocampus and amygdala volumes, and white-matter hyperintensities. Psychol Med. 2004; 34:1495–1506. [PubMed: 15724880]
- 20. Petersen RC, Smith GE, Waring SC, Ivnik RJ, Tangalos EG, Kokmen E. Mild cognitive impairment: clinical characterization and outcome. Arch Neurol. 1999; 56:303–308. [PubMed: 10190820]
- 21. Raz N, Gunning FM, Head D, et al. Selective aging of the human cerebral cortex observed in vivo: differential vulnerability of the pre-frontal gray matter. Cereb Cortex. 1997; 7:268–282. [PubMed: 9143446]
- 22. Frisoni G, Testa C, Zorzan A, et al. Detection of grey matter loss in mild Alzheimer's disease with voxel based morphometry. J Neurol Neurosurg Psychiatry. 2003; 73:657–664. [PubMed: 12438466]
- 23. Zakzanis KK. Quantitative evidence for neuroanatomic and neuropsychological markers in dementia of the Alzheimer's type. J Clin Exp Neuropsychol. 1998; 20:259–269. [PubMed: 9777480]
- 24. Kantarci K, Jack CR Jr. Neuroimaging in Alzheimer disease: an evidence-based review. Neuroimaging Clin N Am. 2003; 13:197–209. [PubMed: 13677801]
- 25. Anstey KJ, Maller JJ. The role of volumetric MRI in understanding mild cognitive impairment and similar classifications. Aging Ment Health. 2003; 7:238–250. [PubMed: 12888435]
- 26. Ashburner J, Friston KJ. Why voxel-based morphometry should be used. Neuroimage. 2001; 14:1238–1243. [PubMed: 11707080]
- 27. Good CD, Johnsrude IS, Ashburner J, Henson RNA, Friston KJ, Frackowiak RS. A voxel-based morphometric study of ageing in 465 normal adult human brains. Neuroimage. 2001; 14:21–36. [PubMed: 11525331]
- 28. Tisserand DJ, van Boxtel MP, Pruessner JC, Hofman P, Evans AC, Jolles J. A voxel-based morphometric study to determine individual differences in gray matter density associated with age and cognitive change over time. Cereb Cortex. 2004; 14:966–973. [PubMed: 15115735]
- 29. Taki Y, Goto R, Evans A, et al. Voxel-based morphometry of human brain with age and cerebrovascular risk factors. Neurobiol Aging. 2004; 25:455–463. [PubMed: 15013566]
- 30. Chetelat G, Desgranges B, De La Sayette V, Viader F, Eustache F, Baron J-C. Mapping gray matter loss with voxel-based morphometry in mild cognitive impairment. Neuroreport. 2002; 13:1939–1943. [PubMed: 12395096]
- 31. Pennanen C, Testa C, Laakso MP, et al. A voxel based morphometry study on mild cognitive impairment. J Neurol Neurosurg Psychiatry. 2005; 76:11–14. [PubMed: 15607988]
- 32. Baron JC, Chetelat G, Desgranges B, et al. In vivo mapping of gray matter loss with voxel-based morphometry in mild Alzheimer's disease. Neuroimage. 2001; 14:298–309. [PubMed: 11467904]
- 33. Good CD, Scahill RI, Fox NC, et al. Automatic differentiation of anatomical patterns in the human brain: validation with studies of degenerative dementias. Neuroimage. 2002; 17:29–46. [PubMed: 12482066]
- 34. Karas GB, Burton EJ, Rombouts SA, et al. A comprehensive study of gray matter loss in patients with Alzheimer's disease using optimized voxel-based morphometry. Neuroimage. 2003; 18:895– 907. [PubMed: 12725765]
- 35. Grossman M, McMillan C, Moore P, et al. What's in a name: voxel-based morphometric analyses of MRI and naming difficulty in Alzheimer's disease, frontotemporal dementia and corticobasal degeneration. Brain. 2004; 127:628–649. [PubMed: 14761903]
- 36. Busatto GF, Garrido GE, Almeida OP, et al. A voxel-based morphometry study of temporal lobe gray matter reductions in Alzheimer's disease. Neurobiol Aging. 2003; 24:221–231. [PubMed: 12498956]

- 37. Rabin, LA.; Saykin, AJ.; Wishart, HA.; Copenhaver, BR.; Flashman, LA.; Santulli, RB. Telephone-based screening for MCI and cognitive complaints: preliminary validation by comprehensive assessment. Presented at the 2004 International Neuropsychological Society Meeting; 2004.
- 38. Rabin, LA.; Saykin, AJ.; Wishart, HA.; Wang, PJ.; Nutter-Upham, KE.; Flashman, LA. Telephone-based screening for MCI and cognitive complaints: preliminary validation of alternate test forms. Presented at the 24th Annual Conference of the National Academy of Neuropsychology; November 17–20, 2004; Seattle, WA.
- 39. Petersen RC. Mild cognitive impairment as a diagnostic entity. J Intern Med. 2004; 256:183–194. [PubMed: 15324362]
- 40. Winblad B, Palmer K, Kivipelto M, et al. Mild cognitive impairment–beyond controversies, towards a consensus: report of the International Working Group on Mild Cognitive Impairment. J Intern Med. 2004; 256:240–246. [PubMed: 15324367]
- 41. Folstein MF, Folstein SE, McHugh PR. "Mini mental state": a practical method for grading the cognitive state of patients for the clinician. J Psychiatry Res. 1975; 12:189–198.
- 42. Jurica, P.; Leitten, C.; Mattis, S. Dementia Rating Scale-2. Lutz, FL: Psychological Assessment Resources, Inc; 2001.
- 43. Delis, DC.; Kramer, JH.; Kaplan, E.; Ober, BA. California Verbal Learning Test: adult version research edition manual. San Antonio, TX: The Psychological Corporation; 1987.
- 44. Delis, DC.; Kramer, JH.; Kaplan, E.; Ober, BA. California Verbal Learning Test-second edition: adult version manual. San Antonio, TX: The Psychological Corporation; 2000.
- 45. Goodglass, H.; Kaplan, E.; Barresi, B. Boston Diagnostic Aphasia Examination. 3. Philadelphia: Lippincott Williams & Wilkins; 2001.
- 46. Delis, DC.; Kaplan, E.; Kramer, JH. Delis-Kaplan Executive Function System. San Antonio, TX: The Psychological Corporation; 2001.
- 47. Reitan, R.; Wolfson, D. The Halstead-Reitan Neuropsychological Test Battery: theory and clinical interpretation. Tucson, AZ: Neuropsychology Press; 1985.
- 48. Wechsler, D. Wechsler Adult Intelligence Scale. San Antonio, TX: Harcourt, Brace; 1997.
- 49. Wechsler, D. Wechsler Memory Scale-third edition WMS-III administration and scoring manual. San Antonio, TX: The Psychological Corporation; 1997.
- 50. Heaton, RK.; Chelune, GJ.; Talley, JL.; Kay, GG.; Curtis, G. Wisconsin Card Sorting Test manual revised and expanded. Odessa, FL: Psychological Assessment Resources; 1993.
- 51. Axelrod BN, Goldman RS, Heaton RK, et al. Discriminability of the Wisconsin Card Sorting Test using the standardization sample. J Clin Exp Neuropsychol. 1996; 18:338–342. [PubMed: 8877618]
- 52. Grober E, Sliwinski M. Development and validation of a model for estimating premorbid verbal intelligence in the elderly. J Clin Exp Neuropsychol. 1991:933–949. [PubMed: 1779032]
- 53. Barona A, Reynolds C, Chastain R. A demographically based index of pre-morbid intelligence for the WAIS-R. J Consult Clin Psychol. 1984; 52:885–887.
- 54. Squire LR, Wetzel CD, Slater PC. Memory complaint after electroconvulsive therapy: assessment with a new self-rating instrument. Biol Psychiatry. 1979; 14:791–801. [PubMed: 497304]
- 55. Saykin AJ, Janssen R, Sprehn G, Spira T, Kaplan J, O'Connor B. Longitudinal evaluation of neuropsychological function in homosexual men with hiv-1 infection; 18 month follow-up. J Neuropsychiatry Clin Neurosci. 1991; 3:286–298. [PubMed: 1821245]
- 56. Saykin, AJ. Neurobehavioral function and activities of daily living rating scale (NBFADL-63 item version). Hanover: Dartmouth Medical School; 1992.
- 57. Jorm AF, Jacomb PA. An informant questionnaire on cognitive decline in the elderly (IQCODE): Socio-demographic correlates reliability, validity and some norms. Psychol Med. 1989; 19:1015– 1022. [PubMed: 2594878]
- 58. Yesavage JA, Brink TL, Lose TL, et al. Development and validation of Geriatric Depression Rating Scale: a preliminary report. J Psychiatr Res. 1982; 17:37–49. [PubMed: 7183759]
- 59. Santulli, R.; Saykin, A.; Rabin, L., et al. Differential sensitivity of cognitive complaints associated with amnestic MCI: analysis of patient and informant reports. Presented at the Alzheimer's

Association International Conference on the Prevention of Dementia; Washington, DC. June 18 – 21, 2005;

- 60. Pfeffer RI, Kurosaki TT, Harrah CH Jr, Chance JM, Filos S. Measurement of functional activities in older adults in the community. J Gerontol. 1982; 37:323–329. [PubMed: 7069156]
- 61. Hamilton M. A rating scale for depression. J Neurol Neurosurg Psychiatry. 1960; 23:56–62. [PubMed: 14399272]
- 62. Hachinski VC, Iliff LD, Zilhka E, et al. Cerebral blood flow in dementia. Arch Neurol. 1975; 32:632–637. [PubMed: 1164215]
- 63. Small GW. Revised ischemic score for diagnosing multi-infarct dementia. J Clin Psychiatry. 1985; 46:514–517. [PubMed: 4066617]
- 64. Fazekas F, Barkhof F, Wahlund LO, et al. CT and MRI rating of white matter lesions. Cerebrovasc Dis. 2002; 13:31–36. [PubMed: 11901240]
- 65. Wahlund LO, Barkhof F, Fazekas F, et al. A new rating scale for age-related white matter changes applicable to MRI and CT. Stroke. 2001; 32:1318–1322. [PubMed: 11387493]
- 66. Andreasen NC, Cohen G, Harris G, et al. Image processing for the study of brain structure and function: problems and programs. J Neuropsychiatry Clin Neurosci. 1992; 4:125–133. [PubMed: 1627972]
- 67. Andreasen NC, Rajarethinam R, Cizadlo T, et al. Automatic atlas-based volume estimation of human brain regions from MR images. J Comput Assist Tomogr. 1996; 20:98–106. [PubMed: 8576490]
- 68. Ashburner J, Friston KF. Voxel-based morphometry—the methods. Neuroimage. 2000; 11:805– 821. [PubMed: 10860804]
- 69. Jack CR Jr. MRI-based hippocampal volume measurements in epilepsy. Epilepsia. 1994; 35:S21– S29. [PubMed: 8206012]
- 70. Hasboun D, Chantome M, Zouaoui A, et al. MR determination of hippocampal volume: comparison of three methods. AJNR Am J Neuroradiol. 1996; 17:1091–1098. [PubMed: 8791921]
- 71. McHugh TL, Saykin AJ, Wishart HA, et al. Hippocampal volume and shape analysis in an older adult population. Clin Neuropsychol. (in press).
- 72. Duvernoy, H. The human hippocampus. New York: J.F. Bergmann Verlag Munchen; 1988.
- 73. Watson C, Andermann F, Gloor P, et al. Anatomic basis of amygdaloid and hippocampal volume measurement by magnetic resonance imaging. Neurology. 1992; 42:1743–1750. [PubMed: 1513464]
- 74. Morris JC. Mild cognitive impairment is early-stage Alzheimer disease: time to revise diagnostic criteria. Arch Neurol. 2006; 63:15–16. [PubMed: 16401731]
- 75. Albert MS, Moss MB, Tanzi R, Jones K. Preclinical prediction of AD using neuropsychological tests. J Int Neuropsychol Soc. 2001; 7:631–639. [PubMed: 11459114]
- 76. Berg L. Clinical Dementia Rating (CDR). Psychopharmacol Bull. 1988; 24:637–639. [PubMed: 3249765]
- 77. Small GW, Okonek A, Mandelkern MA, et al. Age-associated memory loss: initial neuropsychological and cerebral metabolic findings of a longitudinal study. Int Psychogeriatr. 1994; 6:23–44. [PubMed: 8054492]
- 78. Snowdon DA, Greiner LH, Markesbery WR. Linguistic ability in early life and the neuropathology of Alzheimer's disease and cerebrovascular disease: findings from the nun study. Ann NY Acad Sci. 2000; 903:34–38. [PubMed: 10818486]
- 79. Amieva H, Jacqmin-Gadda H, Orgogozo J-M, et al. The 9 year cognitive decline before dementia of the Alzheimer type: a prospective population-based study. Brain. 2005; 128:1093–1101. [PubMed: 15774508]
- 80. Backman L, Jones S, Berger A-K, Laukka EJ, Small BJ. Cognitive impairment in preclinical Alzheimer's disease: a meta-analysis. Neuropsychology. 2005; 19:520–531. [PubMed: 16060827]
- 81. Fisk JD, Rockwood K. Outcomes of incident mild cognitive impairment in relation to case definition. J Neurol Neurosurg Psychiatry. 2005; 76:1175–1177. [PubMed: 16024904]

\$watermark-text

Swatermark-text

- 82. de Groot JC, de Leeuw F-E, Oudkerk M, Hofman A, Jolles J, Breteler MMB. Cerebral white matter lesions and subjective cognitive dysfunction: the Rotterdam Scan Study. Neurology. 2001; 56:1539–1545. [PubMed: 11402112]
- 83. Small GW, Chen ST, Komo S, et al. Memory self-appraisal and depressive symptoms in people at genetic risk for Alzheimer's disease. Int J Geriatr Psychiatry. 2001; 16:1071–1077. [PubMed: 11746653]
- 84. Jungwirth S, Fischer P, Weissgram S, Kirchmeyr W, Bauer P, Tragl K. Subjective memory complaints and objective memory impairment in the Vienna-Transdanube aging community. J Am Geriatr Soc. 2004; 52:263–268. [PubMed: 14728638]
- 85. Stern Y. What is cognitive reserve? Theory and research application of the reserve concept. J Int Neuropsychol Soc. 2002; 8:448–460. [PubMed: 11939702]
- 86. Rentz DM, Huh TJ, Faust RR, et al. Use of IQ-adjusted norms to predict progressive cognitive decline in highly intelligent older individuals. Neuropsychology. 2004; 18:38–49. [PubMed: 14744186]
- 87. Good CD, Ashburner J, Frackowiak RS. Computational neuroanatomy: new perspectives for neuroradiology. Rev Neurol (Paris). 2001; 157:797–806. [PubMed: 11677400]
- 88. Tisserand DJ, Pruessner JC, Sanz Arigita EJ, et al. Regional frontal cortical volumes decrease differentially in aging: an MRI study to compare volumetric approaches and voxel-based morphometry. Neuroimage. 2002; 17:657–669. [PubMed: 12377141]
- 89. Ashburner J, Csernansky JG, Davatzikos C, Fox NC, Frisoni GB, Thompson PM. Computerassisted imaging to assess brain structure in healthy and diseased brains. Lancet Neurol. 2003; 2:79–88. [PubMed: 12849264]



## **Figure 1.**

Characterization of the healthy control (HC,  $n = 40$ ), cognitive complaint (CC,  $n = 40$ ), and mild cognitive impairment (MCI,  $n = 40$ ) groups on verbal memory performance composite domain score and the Cognitive Complaint Index indicating the percentage of possible complaints. By definition, the HC group had normal memory performance and a low level of complaints, whereas the MCI group had significant complaints and deficits. The CC group had normal performance but was nearly as elevated in complaints as the MCI group.



## **Figure 2.**

Regions showing significant GM atrophy in the MCI and the CC groups compared to HC group. Displayed at the left of each panel are images showing selected regions with group differences in the overall analysis, including bilateral frontal (top), right hippocampus (middle), and left hippocampus (bottom,  $p < 0.001$ ). Also displayed are graphs of group differences in signal intensity from spherical regions of interest in each of the corresponding brain areas. See text for full description of results of statistical analyses.

Saykin et al. Page 15



## **Figure 3.**

Verbal learning performance was positively related to gray matter density in left medial temporal regions across the entire sample ( $N = 120$ ,  $p < 0.001$ ). See text for a detailed description of the statistical analyses and results.



## **Figure 4.**

Higher levels of cognitive complaints were associated with decreased gray matter density in the left and right hippocampi across the entire sample  $(N = 120, p < 0.001)$ . See text for a detailed description of the statistical analyses and results.

Saykin et al. Page 17



#### **Figure 5.**

Hippocampal volume and gray matter density by group. Age- and intracranial volume– adjusted means  $(\pm S E)$  for manually segmented left and right hippocampi are shown in the top row. Age-adjusted gray matter densities for the hippocampi are shown in the bottom row.

#### **Table 1**

## Criteria used to classify study participants



\* At least 1.5 SDs below the mean established for age- and education-matched controls on standardized tests of episodic memory.

 $\dot{T}$ Endorsed at least 20% of possible cognitive complaints across all inventories or complaints deemed significant by clinical consensus.

HC = healthy control; CC = cognitive complaint; MCI = mild cognitive impairment.

### **Table 2**

## Participant characteristics



\* APOE data missing for two participants in MCI group.

 $\dot{\mathcal{L}}$ Demographically based estimate of full-scale IQ.<sup>53</sup>

‡ California Verbal Learning Test-I/II, Total Learning Trials 1 through 5 (maximum 80), short and long delay free recall (maximum 16 per trial).

#### Saykin et al. Page 20

 $\frac{s}{s}$ Composite is the mean age, education and sex adjusted Z score for the five verbal memory measures; tests below cutoff is the number of verbal memory tests out of five that fell 1.5 SDs below control group mean.

¶ Cognitive Complaint Index (CCI), percentage of all complaint items endorsed in a positive (i.e., symptomatic) direction. See text for references for the component scales.

# Available for 43 participants (HCs, 15; CCs 16; MCI, 12).

 $\mathbb{Z}_4$  Available for 96 participants (HCs, 29, CCs, 34, MCI, 33).

For analyses of variance post hoc group contrasts:

\*\* MCI vs HCs, CCs;

 $\overleftrightarrow{ }^{\dagger}\overleftrightarrow{ }$ MCI vs CC vs HC;

 $\sharp \sharp_{\mathsf{MCI}}$  vs HCs:

 $\frac{SS}{N}$ MCI, CC vs HC with direction indicated by the table values. For sex,  $\chi$ 2 differed for HCs vs MCI only. For APOE, MCI had a higher frequency of the E4 allele than CCs.

HC = healthy control; CC = cognitive complaint; MCI = mild cognitive impairment; NS = not significant; ANART = American National Adult Reading Test; FSIQ = full-scale IQ; MMSE = Mini-Mental State Examination; DRS = Dementia Rating Scale-2; CVLT = California Verbal Learning Test-I/II; WMS-III = Wechsler Memory Scale III; LM = logical memory; CCI = Cognitive Complaint Index; ADL = activities of daily living; IQCODE = Informant Questionnaire on Cognitive Decline in the Elderly; GDS = Geriatric Depression Scale; MAQ = Memory Assessment Questionnaire; GDS-NC = Geriatric Depression Scale noncognitive items; HAM-D = Hamilton Rating Scale for Depression.

#### **Table 3**

Regions showing reduced gray matter density in MCI and CC groups relative to the HC group: brain region,\* Montreal Neurological Institute atlas coordinates (mm), and individual group effects



\* Spherical region of interest (diameter 6 mm) centered on representative voxel.

 $\dot{\tau}$ The left and right hippocampal regions of interest templates were based on the mean of smoothed individual regions of interest from the 40 healthy controls. After thresholding, these regions of interest templates included portions of parahippocampal gyrus. Small volume search area with family-wise error threshold of 0.05 and minimum cluster extent (k) of seven.

 $t_p^2$  uncorr < 0.001, k = 75.

 $HC =$  healthy control;  $MCI =$  mild cognitive impairment;  $CC =$  cognitive complaint;  $NS =$  not significant.