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Bioresorbable polymers: heading for a new generation of spinal cages

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P. I. J. M. Wuisman · T. H. Smit Skeletal Tissue Engineering Group Amsterdam (STEGA), Amsterdam, The Netherlands Abstract The use of polymer-based bioresorbable materials is now expanding to the realm of spinal interbody fusion. Bioresorbable polymers have important advantages over metals, because they are temporary, much less stiff, and radiolucent. Most promising is a group of α -polyesters, in particular polylactide acids (PLAs). Their biocompatibility is excellent, and they have sufficient stiffness and strength to provide initial and intermediateterm stability required for bone healing. However, polylactides have characteristics that make them vulnerable to complications if not properly controlled. Degradation rate strongly depends on polymer type, impurities, manufacturing process, sterilization, device size, and the local environment. The fact that larger implants degrade faster is contra-intuitive, and should be considered in the design process. Also optimal surgical techniques, such as

careful bone bed preparation, are required for a successful application of these materials. The purpose of this paper is to highlight the specific properties of these bioresorbable polymers and to discuss their potential and limitations. This is illustrated with early preclinical and clinical data.

Bioresorbable cage technology is just emerging: their time-engineered degradation characteristics allow controlled dynamization in interbody applications, facilitating spinal fusion. Their radiolucency improves image assessment of fusion healing. Acceptance and use of bioresorbable implants may increase as further research and clinical studies report on their safety, efficacy, and proper usage.

Keywords Bioresorbable · Polymer · Polylactic acid (PLA) · Spinal fusion · Interbody cage

Introduction

Spinal fusion surgery in general and the use of interbody cages in particular is rapidly increasing [30, 31]. The increased popularity of interbody devices for spinal arthrodesis is based on (1) a better understanding of biomechanics and spinal pathologies; (2) the widespread availability of CT and MRI, offering accurate, non-invasive assessment of the spine; (3) failure of autogenous

grafts as intervertebral spacers and the morbidity associated with harvesting these; (4) technical developments (materials and techniques) in spine surgery. Also secondary factors, like promotion by manufacturers and surgeons involved in the development of such products, contributed to a widespread application of interbody fusion techniques today.

Early reports on interbody cages are mainly positive, but with longer follow-up, the number of complications reported is increasing [99]. Most of these complications are inherent to the fact that the cages are non-degradable, especially when made of metal. First, there is a remarkable mismatch between the mechanical properties of metals and bone. High stiffness decreases graft loading within the cage, a condition calling stress shielding. A metal implant thus slows down the fusion process, eventually resulting in pseudarthrosis, corrosion, wear, and ultimately migration [78, 79, 85, 105]. A second disadvantage of metal implants is that they are radiopaque, and thus eclipse the fusion zone during radiological evaluations [77]. Finally, metals remain as permanent foreign bodies; after their function (stabilization) is completed, a second surgical intervention is sometimes required to remove the implant. When nonresorbable cages are placed in locations difficult to access, repair or dislodgement can be quite problematic [36].

These inherent limitations of current cages gave some impetus for the development of bioresorbable cages: their stiffness is comparable to that of bone, they are radiolucent, and they resorb over time. As such, they also have great potential as drug release systems [115]. Furthermore, biodegradable cage devices eliminate the risks of permanent implants and thus the need for a second intervention to remove the implant. Bioresorbables, however, have their own drawbacks and pitfalls. First, their strength is usually considerably lower than that of metals or non-degradable polymers. Also the brittleness of some frequently used polymers is worrisome. The main concern, though, is the concentration of degradation products like acids and crystals, because very high concentrations may lead to serious tissues responses like inflammation and osteolysis. The local concentration of degradation products depends on their rate of production and their rate of drainage. Therefore, good vascularization of the tissues around bioresorbable implants is of utmost importance. Degradation depends on many factors such as material properties (chemical species, molecular weight distribution, and permeability), implant design (bulkiness and porosity), handling (sterilization and thermal history), and environment (pH and mechanical loading). Slow degradation is desirable not only to maintain the mechanical function of the implant until fusion is obtained, but also to reduce the risk of tissue reactions. Such reactions may occur many years after implantation of the device [9, 13, 16, 95].

Although bioresorbable polymers have been used in orthopedic surgery for more than 30 years [61, 62], bioresorbable polymer implants have only recently been applied in spinal surgery [29, 52, 102]. Despite encouraging initial results in animal and clinical studies, there is little and confusing documentation about this family of materials in the orthopedic and spinal literature. The purpose of this paper is to discuss these issues in order to better understand the potentials and limitations of bioresorbable polymers for spinal cage devices.

Polylactic acids

Polymers are long-chain molecules derived from repeating units, typically with a carbon backbone [103]. When the backbone is hydrolytically unstable, these chains will degrade when placed in an aqueous environment. This material property to degrade over time has led to a variety of medical applications [83]. The most commonly used biodegradable materials are polyesters that are derived from so-called $poly(\alpha-hy$ droxy acids), like poly(lactic acid) (polylactide, PLA), and poly(glycolic acid) (polyglycolide, PGA) [27, 55, 108]. Importantly, lactic and glycolic acid are present in the biochemical pathways of cells and organisms; PLA and PGA thus degrade to natural metabolic compounds. Both polymers have US Food and Drug Administration (FDA) clearance for some applications, and thus are attractive for commercialization and clinical usage. PGA, however, is very unstable and loses its strength within a month. Therefore, it is not a suitable material for a cage device, unless as a minor component in a copolymer. Its main application is in sutures, which only need to be strong for a few weeks [27].

The most useful and applied base material for spinal interbody cages is poly(lactic acid) or polylactide (PLA). PLA can be engineered to possess appropriate mechanical properties and is more resistant to hydrolytic degradation than PGA [62, 108]. Lactic acid exists as two optical isomers, the naturally occurring L (*Levoro-tary*) isomer, and the D (*Dexorotary*) isomer; their polymers are usually referred to as PLLA and PDLA, respectively (Fig. 1). L-homopolymers and D-homopolymers (i.e. polymers consisting of only L-isomers or D-isomers) have the same chemical and physical properties; PLLA is more commonly used, however, because the basic molecule is naturally available (Table 1).

Being isotaetic molecular chains (having side groups on the same side of the backbone), both homopolymers meet the basic requirement to form crystals, and therefore are considered semi-crystalline. Polymers are never fully crystalline [108]; the naturally occurring form PLLA, for example, is about 37% crystalline [115]. When L-isomers and D-isomers are co-polymerized in equal proportions (i.e. chains are formed consisting of equal numbers of Lisomers and D-isomers), a racemic polylactide is formed.



Fig. 1 Fischer projections of the molecular structure of PLA and its L-isomers and D-isomers

	PLLA (literature)	PLLA (own use)	70/30 PLDLLA (literature)	70/30 PLDLLA (own use)
$M_{\rm n}$ (g/mol)		240500		140250
$M_{\rm w}$ (g/mol)		395500		253300
$D \left(M_{\rm w}/M_{\rm n} \right)$		1.64		1.81
Intr. visc. (dl/g)		2.68		1.57
Inh. visc. (dl/g)		2.42		1.45
Crystallinity (%)		13.0	Amorphous	Amorphous
T_{g} (°C)	60–65	64–67	55-60	60-62
$T_{\rm m}$ (°C)	173–178	180	Amorphous	Amorphous
Degr. time (min)	> 24	36–48	12–18	> 12

Table 1 Chemical and physical characteristics of PLLA and PLDLLA from literature and used in own preclinical experiments

Its molecular chains cannot easily pack together to crystallize, because the side groups are located on both sides of the polymer backbone; consequently, racemic polylactide (P-L-DLA) is entirely amorphous. Non-racemic copolymers are usually mixed from L-lactide and a racemic (50:50) mixture of D-lactide and L-lactide:PLDLLA. 70:30 PLDLLA, for example, also referred to as 70/30 PLDLA—indicates the molar ratio of L-lactide (70%) and the racemic DL–lactide mixture (30%). This polymer thus contains 85% L-isomers and 15% D-isomers of lactic acid. Less common mixtures in the orthopeadic literature are 80:20, 85:15, and 96:4.

Characteristics of polylactides

One of the greatest advantages of polymers is that they can be produced with a broad range of physical and chemical properties. This allows engineering products with particular properties tailored to specific needs. On the other hand, it makes it difficult to compare polymers, because many parameters, including thermal history, implant design, and application environment influence their behavior. Polymers with the same composition and molecular weight can still have different properties. There are several parameters, though, that help to characterize polymers like PLA, the most important ones being crystallinity and average molecular weight (or, alternatively, inherent viscosity). Other relevant parameters are molecular weight distribution (polydispersity), impurities (such as residual monomers, water, and free radicals), and glass transition temperature.

With all other parameters constant, a polymer with higher crystallinity will be stronger and stiffer, and typically degrade at a slower rate. Also the glass transition temperature is higher with increasing crystallinity. These are important factors for spinal cages, which need to sustain high dynamic loads and need to function at 37°C for at least 6 months. Polymers like PLLA crystallize easily, due to their symmetric repeat unit and the flexibility of the long chain. Crystallization begins at multiple points along the chain, or at impurities within the polymer. Amorphous or disordered chains are entrapped between the crystallites, thus creating amorphous regions; consequently, the whole polymer is semicrystalline. Crystalline regions in the polymer have stronger secondary bonds between the chains of the polymer, and make it difficult for water to penetrate, which makes these regions degrade more slowly. Racemic polylactide, which is entirely amorphous, degrades within months, whereas high-crystalline PLLA has been reported to require more than 4 years to degrade [16].

The second factor that has major impact on the properties and degradation kinetics of polymers is molecular weight. Polymer strength increases with molecular weight by the formation of secondary bonds between the chains and by the entanglements in the structure. Degradation occurs more slowly, because more secondary bonds have to be broken per chain. Molecular weight can be described in several ways, most commonly as number average molecular weight (M_n) , and weight average molecular weight (M_w) . M_n simply describes the average molecular weight of all chains in the polymer. $M_{\rm w}$ is more indicative, however, because it is related to the viscosity of the polymer in melted condition, and thus relevant for its processability in, for example, injection molding. In $M_{\rm w}$, polymer chains are weighed by their weight fraction in a linear fashion. Clearly, not all chains in a polymer sample have the same length, but a range of molecular weights exists, a property called polydispersity. A simple measure for polydispersity is the ratio between $M_{\rm w}$ and $M_{\rm n}$; for polylactides produced under well-defined conditions, polydispersity is generally lower than two. Large polydispersity indicates a low number average molecular weight (M_n) , and thus a large number of small molecules. This reduces the resorption time of the polymer, because small molecules are more easily degraded than large ones. Low polydispersity thus is an important quality for bioresorbable polymers, because it indicates a smaller spectrum of molecular masses and allows a better prediction of the degradation kinetics. Residual monomer content is related to this, because it gives the number of monomer molecules that have not been polymerized; it is a key quality for polymers and well controlled by the manufacturers. A general method to



Fig. 2 Transverse micro-MRI section of a spinal goat segment at 3 months follow-up, showing plastic deformation and micro-fracturing of an interbody cage made of 70:30 PLDLLA [62]. Plastic deformation indicates that the polymer has experienced continuous loading at temperatures above the glass transition temperature (T_g). The micro-fractures indicate that the strength of the cage is now below the spinal loads in the goat in vivo

determine the entire molecular weight distribution is gel permeation chromatography (GPC, also known as size exclusion chromatography (SEC)), which gives the whole spectrum of weight distribution, from which M_n , M_w , polydispersity, and monomer residue can be derived [103]. M_w is also related to intrinsic viscosity, and thus also can be derived from experiments with a viscometer.

The glass transition temperature is another important—but not independent—property of polymers. Glass is defined as a material with the mechanical properties of a solid, but the molecular properties of a liquid, that is, with a disordered, non-crystalline structure. It has a glass transition temperature (T_g), above which the amorphous part of the polymer can flow, albeit not as freely as in a molten state. Polymers above T_g have a Young's modulus orders of magnitudes lower than in their glass condition. This is important for bioresorbable interbody cages, as these devices must maintain their mechanical integrity for a long period of time, and require a T_g well above body temperature. T_g 's of polylactides are typically in the range of 55–60°C [65], but water can act as a plasticizer, which might result in a decrease of T_g even below body temperature (37°C) [108]. T_g also depends on the time-scale of mechanical loading [103]: deformation of a glass strongly depends on the motion of single chains, and in the case of an impact load, the time is simply too short for conformational deformations to occur. However, under longlasting loads such as in the spine, the material effectively starts to creep, resulting in considerable deformations of the implant as well as micro-fractures (Fig. 2) [59]. This effect is stronger for materials with lower T_g , which thus should be well above body temperature.

The very existence of a rubber phase in polymers also has implications for the production and handling of degradable polymers. Slowly cooling down a molten polymer below the melt temperature (T_m) can lead to crystallization. The amorphous part of the substance, however, maintains their liquid behavior until T_g is reached, below which molecular motion effectively ceases. If cooling is rapid, and/or molecular weight (i.e. viscosity) is high, crystallization is limited with small crystals and the resulting polymer becomes more glassy (amorphous) and—consequently—more brittle. Cooling rate—for example, after injection molding—thus strongly determines crystallinity and consequently affects mechanical strength, stiffness, and degradation rate. The same applies to periods of increased temperatures during handling or sterilization.

All peculiarities discussed above are of great importance to control the properties of polylactides and their behavior in vivo. Literature is sometimes incomplete or even misleading because authors do not exactly define the polymers they worked with. In the biomedical field, any scientific contribution dealing with polylactide composites should mention the identity of the investigated compounds as accurately as possible, starting at the level of the gross chain composition (raw material), and describing the relevant biomechanical, biochemical, processing, and sterilization characteristics, a requirement underlined over 20 years ago and still not respected [109].

Production and processing

Nearly all polylactides for medical device application are obtained from only two suppliers: Purac (Gorinchem, The Netherlands) and Boehringer (Ingelheim, Germany). The basic compound for the production of polylactides is lactic acid, a molecule manufactured through fermentation of sugars. Many microorganisms produce lactides, but genetically engineered strains of, for example, Lactobacillus and Escherichia Coli are particularly useful due to their ability to selectively produce D-isomers or L-isomers [21, 118]. As the physical properties of polylactides critically depend on their isomeric composition [110], production by fermentation is preferred over the production by chemical processes, which only yield racemic mixtures [21]. Polymers can be produced either by direct condensation of lactic acid, or by ring-opening polymerization of the cyclic lactide dimer (Fig. 3) [40, 68, 83]. Lactic acids in cyclic dimers are bound by two ester bonds; one is broken with a catalyst, which stabilizes the other. The resulting molecules are dimeric chains (chains of two monomers) that subsequently join to form polylactides. This process strongly depends on the environmental conditions and is difficult to control, resulting in some variety of polymer properties from batch to batch.

Fabrication of raw PLA material into devices can be accomplished in many ways, for example, by direct machining, compression molding, or melt spinning, and hot drawing. Devices directly machined from a block of raw PLLA exhibit better mechanical properties than a specimen prepared by a process in which the raw polylactide is molten again. This is due to the degradation of the high-molecular weight polymers under high temperatures. Melt spinning and hot drawing, for example, may reduce the molecular weight of PLLA by as much as 85% [51]. The main complication during processing, however, is molecular weight decrease due to the



Fig. 3 Polymerization routes to polylactic acid. Note that water (H_2O) is released during this process. This should be removed in order to prevent the reverse process of hydrolysis

hydrolytic sensitivity of the polymer bonds. The presence of moisture during processing reduces molecular weight and thus the final properties. PLA processing also proves to be very sensitive for high temperatures and shear stresses; this makes high molecular weight polymers, which have high-melt viscosities and melt at higher temperatures, more difficult to handle.

Sterilization

Sterilization can have dramatic effects on the physical and mechanical properties of polymers (Fig. 4), and the most common methods are most harmful. Hospital steam sterilization techniques, for example, use high moisture and temperatures in excess of 100°C. This exceeds the thermal transition temperatures of most medical polymers, thereby altering their physical and mechanical properties. For this reason they should not be not used for PLA and PGA.

Ionizing radiation, either gamma-radiation from 60 Co or 137 Cs or beta-radiation from accelerated electrons (e-beam radiation), is another way of sterilization. The high-energy particles exert their sterilizing effect by inducing ionizing events in the materials; the released energetic electrons collide with neighboring atoms and create a shower of secondary electrons. These bombard DNA molecules in the harmful microorganisms and induce irreversible damage to inactivate them. However, they also induce severe damage in polymers causing changes in biocompatibility and the biomechanical characteristics. Both, γ -radiation and e-beam sterilization, are known to cause chain scission and cross-linking in PLA, leading to a decrease of intrinsic viscosity and molecular weight (Fig. 4) [6, 67, 83, 84].

Chemical sterilization by gases such as ethylene oxide (EtO) is often used for PLA-based polymers that are





sensitive to heat and moisture [83]. However, chemical sterilization can leave residues in harmful quantities on the surface and within the polymer. It is crucial that the polymeric implants are subjected to adequate degassing or aeration following sterilization, so that the concentration of residuals is reduced to acceptable levels [76]. So far, detrimental effects of chemical sterilization using EtO on the mechanical properties of PLA material in vitro and in vivo have rarely been reported [84] (see also Fig. 4).

Plasma sterilization offers several advantages over the other sterilization processes, such as a shorter processing time, minimal effect on material properties, no toxic or carcinogenic by-products, and no disposal problems [38, 84]. Low temperature radio-frequency glow discharge (RGFD) plasma sterilization is reported to have only a limited effect on molecular weight and tensile strength of PLA-based materials [5, 47]. In fact, it is surprising that plasma is not routinely used for the sterilization of polymers; the relative unfamiliarity of plasma sterilization is probably the main reason for that.

The data provided show that information about the sterilization process used is important for appropriate interpretation of the physical and mechanical properties of PLA. Therefore, it is strongly recommended that identification of the investigated compounds includes processing and sterilization protocols and these data should be included in any paper in the field.

Packaging

Because PLA (and related polymers) are naturally hygroscopic and hydrolytically unstable, the presence of moisture can degrade them during processing, after fabrication, and in storage. Therefore, the polymers are quickly packaged and generally double bagged under inert atmosphere or vacuum. The bag material should be very resistant to water permeability. The polymers are typically stored in freezers to minimize the effect of moisture present, but when packaged in desiccated moisture-proof bags they can be stored at room temperature [114]. The polymer package should always be opened at room temperature to minimize condensation and should be handled as little as possible at ambient atmospheric conditions.

Degradation

Virtually all polymers are susceptible to degradation by heat, oxidation, mechanical pertubation, hydrolysis, enzymatic action, and radiation. Degradation in vivo can result either from cell-mediated or from chemistrymediated cleavages of labile bonds (hydrolysis), or both [115]. Principally, there are two mechanisms of hydrolytic degradation [96]: surface erosion and bulk erosion. Surface erosion occurs when water is unable to penetrate the device in large concentrations. The degradation products at the surface of the implant are rapidly dissolved in the surrounding fluid and removed from the bulk polymer. Consequently, the device will shrink in size, which happens to some extent in PLA. However, bulk erosion is much more common in PLA. because these polymers have relatively high porosity and permeability [57, 86]. Hydrolysis first occurs in the amorphous phase, resulting in a reduction in molecular weight within several months (Fig. 4b). Initially there is no loss in physical properties, because the matrix is held together by the crystalline regions; only when water fragments these regions, the physical properties reduce, usually after several months of mechanical integrity (Fig. 4a). When PLA degrades, crystallinity increases, because the amorphous part of the polymer is absorbed first. Also, molecules released from the device are free to move again and may crystallize; this way, crystallinity may increase from an initial 10% to some 40% after several months (Fig. 5). As the polymer degrades further, lactic acid is formed that becomes eliminated through the tricarboxylic acid cycle, primarily as carbon dioxide and water [16]; this results in the final loss of polymer mass, usually after several years.

Bulk erosion is a complex phenomenon, depending on multiple factors of different nature. First, it requires penetration of water into the matrix. This is a diffusion process, and as such depends on porosity and permeability of the matrix, as well as the mechanical loading regime: dynamic loading pumps water into the matrix, and simultaneously drains the degradation waste products. This is important, as degradation can be enhanced by the degradation products themselves (autocatalysis); the hydrolysis of PLA (and other α polyesters) is catalyzed by the presence of carboxylic end-groups [64, 96]. When there is insufficient flow to drain the acidic degradation products from the matrix, the rate of degradation is thus enhanced [1, 3, 45]. Likewise, PLA devices degrade faster when they are less porous [2], less permeable [81], have a bulkier de-

Fig. 5 Increase of crystallinity of PLLA as a function of degradation time in vivo

sign [39], and function under static loading conditions [1, 97]. Due to these many factors, it is difficult to predict degradation kinetics of a device in a particular application, even if an identical, well characterized, material is used.

The role of enzymatic involvement in the biodegradation of PLA remains controversial [37, 93]. Most literature conclude that erosion of these materials occurs strictly by hydrolysis, with no enzymatic involvement [88]. However, other investigators suggest that enzymes do play a role in the breakdown of polylactides and related polymers [33, 100, 112, 113]. Much of these speculations are based upon the differences observed between in vitro and in vivo degradation rates: phenomena like adsorption of proteins, absorption of lipids, and greater solubility of lactic acid-based oligomers in blood have been suggested of sources of difference [44, 90]. Some evidence for degradation by biologic activity was observed with tiny crystalline particles, which were phagocytosed by macrophages to undergo intracellular degradation [35, 93].

Biocompatibility and toxicity

In order to be biocompatible, bioresorbable polymers should be free from potentially toxic or carcinogenic residual monomers, stabilizers, polymerization initiators, solvents, and emulsifiers. The same applies to the leachables, degradation products, and subsequent metabolites. In general, PLA (and related polymers like PGA) have demonstrated good biocompatibility and absence of significant toxicity, although some reduction in cell proliferation has been reported on PLA in vitro [106, 107]. Also, it has been reported that PLA produces toxic solutions in vitro, probably as a result of the acidic degradation products [94].

When a foreign material is implanted in the body, the surrounding tissues are compromised, and the inflammatory response is activated to heal the damage. The first step in this inflammatory response is opsonization, a process of coating with plasma proteins like immunoglobin G (IgG), which makes the device a target for neutrophils and enhance the adhesion for phagocytic cells [48]. Neutrophils are forerunners of macrophages and multinucleated giant cells, and in fact trigger them when they die. Macrophages, like neutrophils, release free radicals and degradative enzymes, such as acid phosphatase and lactic dehydrogenase (LDH), which can affect both the implant and the surrounding tissues. Local reduction in pH may be responsible for adverse tissue reactions such as osteolysis: osteoclasts-cells that are responsible for bone resorption-become more active at lower pH [92], and thus may resorb the bone around the implant, leading to clinical failure.

In early literature, between 0% and 22% of patients treated with degradable PLA or related degradable polymer implants develop irritation at the implant site, a sterile sinus, or osteolysis [14, 20, 23]. However, bacterial cultures of the drainage routinely tested negative, indicating that the biological response was a consequence of the chemical irritation accompanying acidic polymer degradation products [15].

PLA and PGA have found to exhibit sufficient biocompatibility with bone [75], but studies involving sensitive tissues such as the dura mater and nerve roots show differences. PGA-based polymers become inseparable from the dura only 2 weeks after implantation [34]; this effectively disqualifies PGA for use in intervertebral cages. PLA materials, however, did not adhere to the dura [28, 34], and no adverse events of the dura were noted histologically [63, 72]. PLA-based material has also been shown to be biocompatible with neural cells: proliferation of spinal cord Schwann cells is not affected by the material itself or its byproducts during degradation and has no effect on neuronal cells, non-neuronal cells, and axon growth [34]. In addition, PLA is biocompatible with nerve roots and peripheral nerves; polymer tubes are actually used for reattachment of nerve stumps [28, 89]. PLA thus seems to be a more appropriate material for intervertebral cages than (copolymers of) PGA, not only in terms of degradation time, but also in terms of biocompatibility.

Cage design

It has been shown that polymer degradations kinetics is influenced by the implant design itself [3, 39, 41]. Specifically, greater (wall) thickness of a polymer cage device may lead to a faster degradation rate. To illustrate this, inappropriate wall thickness may well explain the disappointing results of an in vivo animal study using vertical PLDLLA ring cages with a wall thickness of about 5 mm to induce a cervical spinal fusion [54]. The authors saw rapid disintegration, collapse, and tissue reaction after a follow-up of only 12 weeks, a phenomenon not seen in a long-term in vivo animal study (up to 24 months) using PLDLLA cages with thinner walls [101]. However, factors not described in their study, such as the processing and fabricating technique, type of sterilization, and material-related parameters may have played a role in the premature degradation of their cage and the concomitant tissue reactions [4, 49, 108]. To further emphasize the importance of using appropriately sized PLA implants, Eitenmuller et al. [32] showed a significant difference in the percentage of aseptic tissue problems between patients treated for ankle fractures with a bigger PLA plate and screw system (52%) as compared to a similar but volume reduced bioresorbable fixation system (0% aseptic tissue reaction). Bioresorbable cages thus should be made with the least amount of material and be as small as the mechanical specifications allow. A high content of (semi-crystalline) PLLA or smart processing methods to enhance the mechanical properties of the amorphous PLAs is helpful in meeting these requirements.

Surgical technique

Providing a satisfactory environment for fusion is vital for clinical success. To enable fusion, a sufficient number of potentially osteogenic cells is necessary [11]; therefore, bleeding bone should be present next to the graft. MacNab [82] suggested that unless a massive graft is used to replace the entire excised disc, a fusion is likely to fail, because it is easier for fibrous tissue from the remnants of the disc to invade the graft than it is for bone to grow from one vertebra to another. McAffee et al. [80] illustrated this in a clinical study: 100% fusion rate with a BAK cage had only been achieved when the patients underwent complete discectomy and thorough endplate cleaning, whereas 16% of the patients who had partial reamed channel discectomy with the BAK implantation required revision surgery because of pseudarthrosis or cage displacement.

Complete disc excision and thorough removal of the cartilage endplate down to healthy bleeding bone are even more important when using a polymer cage device. Both, disc material and endplate are avascular tissues; incomplete removal precludes sufficient vascularity, thereby creating an unfavorable environment for the degradation of the polymer. However, a dilemma arises: to maximize graft and polymer contact with high-quality bony bed, greater amounts of the endplate need to be excised and larger cages need to be used. This bears a risk for destabilization of the bony endplate with potential secondary subsidence. Hollowel [46] reported that the endplate did not increase the resistance significantly when tested in compression until failure; Closkey [24] agreed but showed that a minimum contact area was needed to avoid subsidence. However, Steffen [94] and Polikeit [87, 91] found that placement of cages in the central area of the vertebral body, whether the endplate has been removed or not, could cause early failure. More recently Lowe et al. [70] showed that the central region of the endplate provided the least resistance. There was a significant reduction in the vertebral strength with the complete removal of the endplate. However, partial anterior third removal of the endplate showed marginal decrease in compressive strength, while still a highly vascularized environment for fusion could be created. This scenario could be ideal when using PLIF or TLIF technique with small bioresorbable interbody spacers.

PLA cages: clinical experiences

At present there is limited clinical experience with bioresorbable interbody spacers; publications are based on the Hydrosorb copolymer of polylactide, the 70:30 poly(L-D,L-lactide) polymer (distributed by Medtronic Sofamor Danek, Memphis, TN). In 2002, the first clinical results of the use of vertical cylindric bioresorbable cages have been reported [69, 71]. A series of 60 patients underwent the TLIF procedure using Hydrosorb vertical mesh cages. There were no cage-related complications, but the mean follow-up was very short (4.7 months). Kuklo et al. [60] reported a 87% fusion rate observed on lateral radiographs and a 97% rate on CT-scans at a mean follow-up evaluation of 12.4 months using a Hydrosorb vertical cylpacked with recombinant inder cage bone morphogenetic protein rhBMP2 (Infuse; Medtronic Sofamor Danek) for single and multiple-level TLIF procedures over a period of 18 months. In patients who underwent repeated CT scanning, the fusion mass appeared to increase in time, whereas the disc space height remained stable. They noted no infections or complications related to the cages. In another single institutional study using the same approach and the same bioresorbable cage but packed with iliac crest autocraft, an equivalent percentage of solid fusion (96.8%, 30 out of 31 patients) after a mean follow-up 18.4 months was achieved [25].

Limitations of the above-mentioned studies are that (1) they include a relative small number of patients; (2) single and multilevel surgeries are performed in a patient group having heterogeneous spinal pathologies; (3) there is no control group with non-resorbable implants; (4) there were relative short follow-up periods. In addition, none of the authors mentioned the degradation of the cage in their studies, although the mean follow-up duration in the study performed by Coe [25] equalized or exceeded the predicted biological life expectancy of this material (12–18 months). Nevertheless, early research into this bioresorbable cage technology is promising, and longer follow-up periods with appropriate selected patients are needed.

Future directions of biobasorbable PLA-based cages

Although implants made of PLA generally perform well, there are technical developments addressing certain risks associated with the material. Most importantly, PLA degradation can result in low local pH, with subsequent risks for inflammation and osteolysis. The incorporation of a long-acting buffer such as hydroxylapatite (HA) or tricalcium-phosphate composites effectively controls the rates of acids generated from polymer hydrolysis and at the same time acts as an osteoconductive scaffold [43]. Studies with PLA and calcium phosphate indicate that the addition of the inorganic phase decreases the mechanical characteristics of the composite, but does not change degradation time [50]. The reduction in strength may be due to difficulties in forming a true composite of polymer and ceramic, especially once hydrolysis begins. When using HA, neutralization of the acids increases the projected resorption time of the composite device [43] and exhibits a more controlled decrease in mechanics.

A second general problem of PLA is its intrinsic brittleness, with the risk of fracturing the device during surgery. Materials can be made stronger by using larger molecular weights or by self-reinforcing fibers, but more promising is the addition of a small volume percentage of trimethylene carbonate (TMC), an amorphous polymer with a low glass-transition temperature (approximately -20° C) [117]. TMC makes PLA more ductile, thus increasing the resistance to impact forces, for example, from hammering at insertion of the implant. Other resorbable polymers with low glass-transition temperatures may show the same effect, however, risk faster degradation.

Another reported development of PLAs in spinal surgery are the use of bioresorbable cages in combination with bioresorbable rods [10, 58]. Both studies clearly demonstrate the feasibility of such a combination; further studies should also be initiated in larger animal models.

At present there are few preclinical and clinical investigations on the effect of bone growth stimulation on fusion involving cages: such studies are underway using bioresorbable cage devices in combination with bone graft substitutes such as synthetic carriers, bone morphologic proteins (BMP) or a combination of both [66, 101]. Preclinical in vivo experiments demonstrated good fusion results using rhBMP-2 sponge in combination with a PLDLLA threaded cage in a small animal study [101] and clinically in a study using a Hydrosorb vertical cylinder cage [60]. Other potential bone graft substitutes that may generate interest in combination with bioresorbable cages include other growth factors such as recombinant human osteogenic protein-1 (rhOP-1) [26], local gene therapy [12], and stem cells [42]. The introduction of graft substitutes may help curb many of the complications associated with iliac crest bone harvesting, while offering the ability to assess fusion success radiographically. Although promising, much more preclinical in vitro and in vivo research with larger groups, prospective randomized studies and longer follow-up periods are needed to come to valid conclusions.

Discussion

Since Bagby's [7] initial experiences with a stainless steel basket in cervical spinal fusions, there has been a rapid evolution in the technology of interbody fusion cages, using various designs and materials [73, 111]. Metal and titanium cages devices provide adequate mechanical stability and withstand loading with ease; however, a major disadvantage of these cages is that their modulus of elasticity is much higher as compared to vertebral bone tissue, leading to stress shielding within the cage [53, 105]. In addition, micro-motion through the motion segment before interbody fusion is unavoidable and may lead to particle debris [98, 99]. Even though these materials have superior mechanical strength and stiffness, they may fail if interbody fusion is not obtained and stresses on the implant and graft are high enough. Finally, metallic cage devices interfere with visualization of interbody fusion on radiographs, CT and MRI. With the introduction of carbon fiber reinforced cages and more recently polyetheretherketone (PEEK) cages, a major step in cage technology was taken with good clinical success [17-19, 22]. Both materials have a modulus of elasticity approximating that of cortical bone and are radiolucent. However, in a retrieval of failed cages, it could be shown that carbon fiber cages had significantly more debris in the surrounding tissues than failed metal cages, although no histological evidence of bone resorption or inflammation was found [99].

With the use of newer generations of PLA and related polymers, early concerns about sterile abscess or sinus tract formation, osteolysis, allergic reactions, or hypertrophic fibrous encapsulation have almost been eliminated [8, 9, 116]. The most important improvement in this respect has been the increase of molecular weight in the final sterilized device, which slows down the degradation rate and thus the temporal concentration of degradation products (crystals and lactic acids). Also the increased purity of the polymers reduced the risk for adverse reactions described in earlier studies. Another advantage of these new bioresorbable materials as interbody spacers is that they confer initial and intermediate-term stability that is adequate for spinal interbody healing. This is followed by gradual resorption after biologic fixation has occurred [104]. As they slowly degrade, the load is gradually transferred to the healing bone and the void filled with bone. These potential advantages, however, require the right type of polymer in terms of biological and physical properties by primary molecular weight, crystallinity, residual monomers, purity, size, geometry and thickness of a device and by considering the appropriate fabrication and sterilization method [74]. Nevertheless, further research into this bioresorbable cage technology is nee-

ded with longer follow-up periods and appropriately selected patients. At present, the best bioresorbable material or a combination of bioresorbable materials, the optimal cage stiffness, and the desired period over which the cage should biodegrade are unknown. In addition, it is unclear what kind of tissue reaction will occur when a cage fails and there are no clear guidelines with respect to the type of treatment in such events.

What has become clear from current preclinical and human clinical studies using bioresorbable polymer cage devices is that technical expertise is crucial for the outcome. Preparation of the intervertebral implant site is important: it may be anticipated that complete discectomy rather than partial reamed channel discectomy should be performed. In addition, packing bone in between the cages and anteriorly is recommended to enhance fusion. In all patients posterior instrumentation to supplement the interbody devices is mandatory. Supplement pedicle screw fixation not only greatly enhances the stability of the intervertebral device, but also enables restoration of lordosis [56]. The duration of follow-up required to rule out cage subsidence, the fate of the void left by the cage after bioabsorption, and late complications due to the resorption of the cage has not been determined, but likely must be more than 4 years. Future follow-up studies will help to delineate the truly successful cage design.

Conclusions

The use of bioresorbable cage devices in the field of spinal surgery is a novel, but well-anticipated approach that is only beginning to develop. The particular situation in which a specific polymer material may be applied might be very limited; for example, polymers used for

stand alone interbody devices should have different physical and chemical properties than those used in combination with a supplement internal fixation device. Tailoring of specific functional properties of the biodegradable polymers can be contrived in the laboratory to render a particular, unique task. However, it is essential that uniform standards for quality estimation are defined to enable comparison of the different polymer materials. Essential is to know specific details of the chemical composition (i.e. copolymer ratios), fabrication process, crystallinity, inherent viscosity and/or molecular weight, glass-transition temperature, sterilization method, monomer residue, yield strength, ultimate tensile strength, tensile or flexural modulus, and the degradation time. Reporting this information will lead to a more effective further development and prudent application of these materials as an impotant component of interbody fusion cages.

Last but not least, surgeons wishing to perform interbody procedures using bioresorbable cage devices should understand the fundamental differences between the non-resorbable and bioresorbable cages and should be properly trained in patient selection, surgical technique and correct device handling and placement. Spinal fusion with bioresorbable cages can be reached within months, but safe degradation of the implant is a process of years (Fig. 6). Once that has been achieved, however, the risk for late complications is eliminated.

Glossary

Biodegradation Strictly: enzymatically promoted degradation. More loosely also referring to degradation to smaller fragments due to chemicals inside the body

Bioresorbable	Degradable in the presence of chemi- cals in the body other than enzymes, generally referring to hydrolytically	x/y	PLDLLAMixture of $x\%$ L-lactic acid and $y\%$ 50/50 (racemic) D,L-lactic acid
	unstable polymers like polylactide	M _n	Number average molecular weight
Biocompatible	Having no toxic or injurious effects on	$M_{ m w}$	Weight average molecular weight
	biological systems and having an acceptable amount of tissue reactions	Monodisperse	Property of polymers where all the polymer chains have the same chain
	to the material (qualitative term)		length
Biomaterial	A material intended to interface with	Polydisperse	Property of polymers with more than
	biological systems to evaluate, treat, augment, or replace any tissue, organ,		one (typically a spectrum of) chain lengths
	or function of the body; includes both temporary and permanent devices,	Polydispersity	Ratio of M_w over M_n , providing a measure for polydispersity
	and natural tissues like autograft	Crystallinity	Degree to which polymer molecules
Biostability	Resistance of an implant to chemical or structural degradation		arrange themselves into repeating structural patterns
Polymer	Long-chain molecules derived from	Intrinsic	A measure of the capability of a
	repeating units	viscosity	polymer in solution to enhance its
Monomer	Single molecule with the ability to		viscosity. More technically: the ratio
	polymerize		of specific viscosity to concentration
Isomer	A chemical species with the same		at infinite dilution. Intrinsic viscosity
	number and types of atoms as another		is related to molecular weight
	species, but with different configura-	Inherent	Or "logarithmic viscosity number":
	tion. There are structural isomers,	viscosity	ratio of the natural logarithm of the
	geometric isomers, optical isomers,		relative viscosity to the mass concen-
	and stereoisomers		tration of the polymer. Traditional
Glass	Material with the structural properties		name in polymer literature, not to be
	of a liquid, namely disorder, and the		confused with intrinsic viscosity
	mechanical properties of a solid	T_{g}	Glass transition temperature: temper-
PLA	Poly(lactic acid), also referred to as	-	ature below which amorphous poly-
	polylactide		mers are "frozen" into a glassy state.
PGA	Poly(glycolic acid), also referred to as		Above T_g , amorphous polymers be-
	polyglycolide		have like a rubber, allowing molecules
PLLA	Poly(L-lactic acid), polymer of L-lac-		to rearrange or move within the
	tic acid units only		polymer (visco-elastic)
PDLA	Poly(D-lactic acid), polymer of	$T_{\rm m}$	(Crystalline) melting temperature:
	D-lactic acid units only		temperature above which polymers
PLDLA	Poly(L,D-lactic acid), racemic (50/50)		(both crystalline and amorphous) be-
	mixture of L-lactic and D-lactic acid		come a fluid

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