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## Up-regulation of TNF $\alpha$ in DRG satellite cells following lumbar facet joint injury in rats

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**Abstract** The rat L5/6 facet joint, from which low back pain can originate, is multisegmentally innervated from the L1 to L5 dorsal root ganglia (DRG). Sensory fibers from the L1 and L2 DRG are reported to non-segmentally innervate the paravertebral sympathetic trunks, while those from the L3 to L5 DRGs segmentally innervate the L5/6 facet joint. Tumor necrosis factor alpha (TNF $\alpha$ ) is a mediator of peripheral and central nervous system inflammatory response and plays a crucial role in injury and its pathophysiology. In the current study, change in TNF $\alpha$  in sensory DRG neurons innervating the L5/6 facet joint following facet joint injury was investigated in rats using a retrograde neurotransport method and immunohistochemistry. Neurons innervating the L5/6 facet joints, retrogradely labeled with fluoro-gold

(FG), were distributed throughout DRGs from L1 to L5. Most DRG FG-labeled neurons innervating L5/6 facet joints were immunoreactive (IR) for TNF $\alpha$  before and after injury. In the DRG, glial fibrillary acidic protein (GFAP)-IR satellite cells emerged and surrounded neurons innervating L5/6 facet joints after injury. These satellite cells were also immunoreactive for TNF $\alpha$ . The numbers of activated satellite cells and TNF $\alpha$ -IR satellite cells were significantly higher in L1 and L2 DRG than in L3, L4, and L5 DRG. These data suggest that up-regulation of glial TNF $\alpha$  may be involved in the pathogenesis of facet joint pain.

**Keywords** Sensory innervation · Lumbar facet joint · Tumor necrosis factor · Satellite cells · Dorsal root ganglion · Low back pain

### Introduction

Many studies have indicated that the lumbar facet joints are a possible source of low back pain [12, 26]. Morphologically, the joint capsule is well innervated, receiving a nerve supply from medial branches of the dorsal rami. Each medial branch segmentally innervates at least two or three facet joints. For example, the human L4/5 facet joint is innervated by the medial branches of the dorsal rami from the L3 and L4 spinal nerves [3, 4, 6]. The L5/6 facet joint is multisegmentally

innervated by dorsal root ganglia (DRG) from L1 to L5 and nerve fibers from L1 and L2 DRG pass through the paravertebral sympathetic trunks in rats [14, 15, 22, 23].

Proinflammatory cytokines, such as tumor necrosis factor alpha (TNF $\alpha$ ), are known mediators of peripheral inflammatory response, and are also synthesized and released in various nervous diseases [1, 5, 11, 13]. In peripheral nerve injury, TNF $\alpha$  expression is up-regulated in endoneurial macrophages and Schwann cells, resulting in pain in rats [24, 25]. The TNF $\alpha$  is

produced at nerve injury sites, DRG, and spinal cord, and this results in painful neuropathy [18, 19, 20]. The TNF $\alpha$  and p55 TNF receptor are up-regulated in glia and neurons in DRG and spinal cord after sciatic nerve injury and result in neuropathic pain in mice [18]. However, TNF $\alpha$  expression in DRG glia and neurons innervating facet joints has not yet been fully investigated.

The aim of this study is to determine if there is any change in TNF $\alpha$  in DRG neurons and satellite cells innervating the L5/6 facet joint after facet joint injury. A previously used rat model of cervical facet injury [17] has been adapted in this study.

The L1 and L2 DRG neurons innervating the L5/6 facet joint through sympathetic trunks and L3, L4, and L5 DRG neurons innervating the L5/6 facet joint via routes other than sympathetic trunks, are discussed separately.

## Materials and methods

### Retrograde FG labeling

Twenty male Sprague–Dawley (SD) rats weighing 250–300 g were used. The protocols for animal procedures in these experiments followed the 1996 revision of the National Institutes of Health Guidelines for the Care and Use of Laboratory Animals and received approval from the ethics committee of our institution.

Rats were anesthetized with sodium pentobarbital (40 mg/kg, i.p.) and treated aseptically throughout the experiments. A midline dorsal longitudinal incision was made over the lumbar spine. The left L5/6 facet joint capsule was exposed under a microscope. A 26-gauge needle whose tip was filled with two fluoro-gold crystals (FG; Fluorochrome, Denver, CO, USA) was advanced into the facet joint. After delivery of the crystals, the hole was immediately sealed with cyanoacrylate to prevent leakage of the FG. The fascia and skin were then closed.

Five days after the application of FG, when FG had time to reach cell bodies in the DRG [14–17], the rats were anesthetized with sodium pentobarbital (40 mg/kg, i.p.), and perfused transcardially with 0.9% saline, followed by 500 ml of 4% paraformaldehyde in phosphate buffer (0.1 M, pH 7.4). Bilateral DRGs from T13 to L6 levels were resected. The specimens were immersed in the same fixative solution overnight at 4°C. After storing in 0.01 M phosphate buffered saline (PBS) containing 20% sucrose for 20 h at 4°C, each DRG was sectioned at 10  $\mu$ m thickness on a cryostat, and mounted on poly-L-lysine-coated slides.

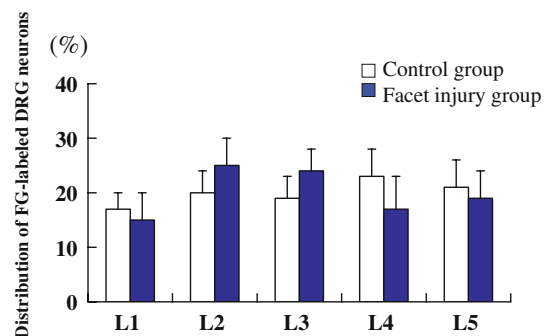
### Immunohistochemistry for GFAP and TNF

Specimens were treated for 90 min in blocking solution, 0.01 M PBS containing 0.3% Triton X-100 and 3% skim milk, at room temperature. They were processed for GFAP and TNF $\alpha$  immunohistochemistry using rabbit antibody to GFAP (marker for satellite cells; 1:1000; Dako, Carpinteria, CA, USA), and mouse antibody to TNF $\alpha$  (1:100; Endogen, Rockford, IL, USA) for 20 h at 4°C, followed by incubation with goat anti-rabbit Alexa 594 fluorescent antibody conjugate (for GFAP-immunoreactivity 1:400; Molecular Probes Inc., Eugene, OR, USA), and goat anti-mouse Alexa 488 fluorescent antibody conjugate (for TNF $\alpha$ -IR; 1:400).

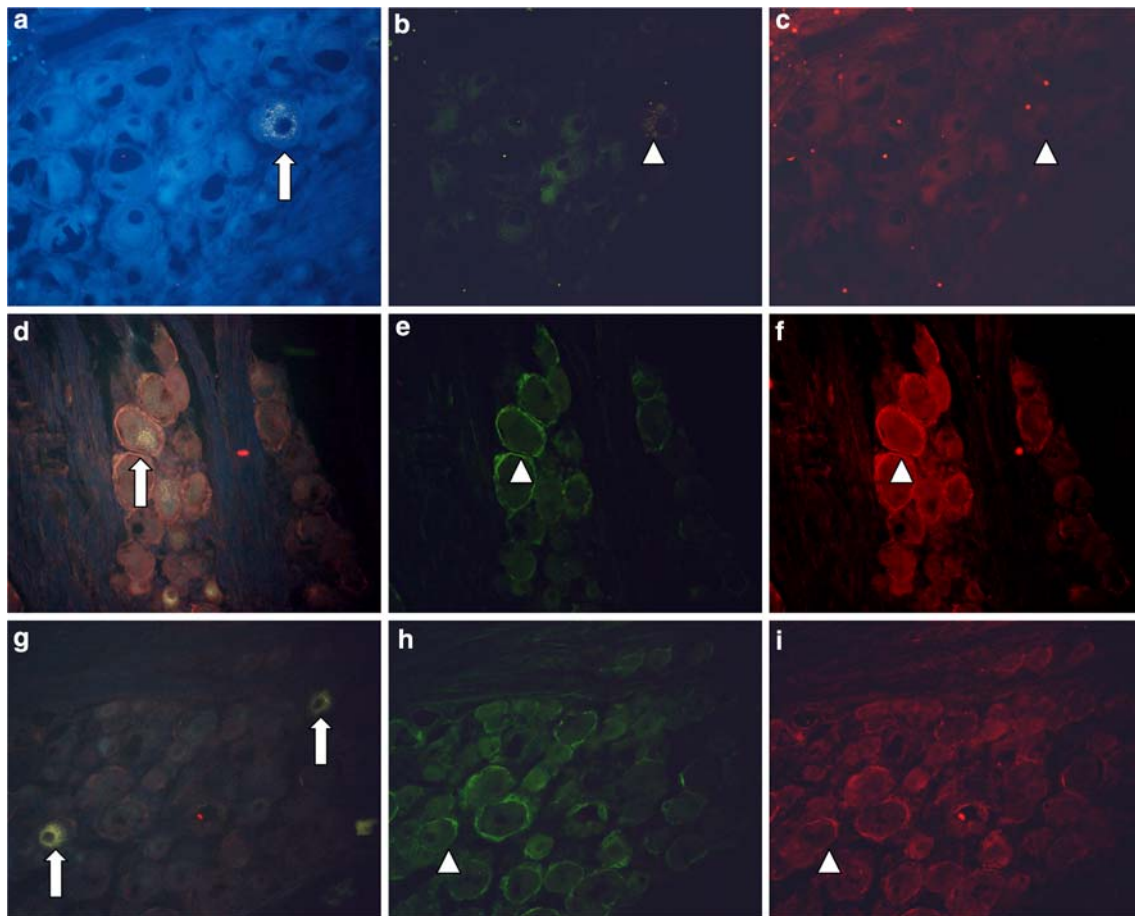
After each step, the sections were rinsed three times with 0.01 M PBS. The sections were observed with a fluorescence microscope. The numbers of FG-labeled neurons, FG-labeled TNF $\alpha$ -IR neurons, GFAP-IR satellite cells surrounding FG-labeled neurons, and TNF $\alpha$  expression in the GFAP-IR satellite cells were counted by an independent observer, who had not performed surgery or immunohistochemistry and was blinded to whether the specimen was from the facet-injury group or the control group. Evaluation of the counts was similarly blinded.

### Microscopic observation

The DRG sections were examined using a fluorescence microscope (Nikon, Japan). The FG-labeled neurons were detected using a UV-1A filter (excitation wavelength 365 nm, emission wavelength 420 nm). Each FG-labeled neuron was then examined to determine whether it was positive for GFAP using a G-1A filter (excitation wavelength 546 nm, emission wavelength 575 nm) and



**Fig. 1** Distribution of FG-labeled DRG neurons innervating L5/6 facet joints. These neurons were observed from L1 to L5 DRG. Error bars represent standard errors of the means. There is no significant difference in number between each level, and between the control and facet joint injury groups ( $P > 0.05$ )



**Fig. 2** Photomicrographs showing fluorescence of FG-labeled DRG neurons, GFAP-IR satellite cells, and TNF $\alpha$ -IR at the left L3 level. **a**, **d**, and **g** show FG-labeled neurons innervating the L5/6 facet joint (arrows). **b**, **e**, and **h**: cells labeled with red fluorescence are GFAP-IR satellite cells. **c**, **f**, and **i**: cells labeled with green fluorescence are TNF $\alpha$ -IR neurons and satellite cells. **a**, **b**, and **c** are micrographs of the same section harvested from a rat in the control group triple-labeled to show DRG neurons, GFAP-IR (in red) and TNF $\alpha$ -IR neurons and satellite cells (in green). **d**, **e**, and **f** are micrographs of the same triple-labeled section harvested from a rat in the facet joint injury group; and **g**, **h**, and **i** are micrographs of the same triple-labeled section from another rat in the facet joint injury group. In the control group, FG-labeled cells are not surrounded by GFAP-IR satellite cells (**b**). FG-labeled neurons weakly express TNF $\alpha$ -IR (**c**; arrowhead). In the facet joint injury group, FG-labeled cells (**d** and **g**) are surrounded by GFAP-IR satellite cells (**e** and **h**; arrowheads). FG-labeled neurons and the GFAP-IR satellite cells around the FG-labeled neurons weakly express TNF $\alpha$ -IR (**f** and **i**; arrows indicate neurons and arrowheads indicate satellite cells)

for TNF $\alpha$  using an FITC filter (excitation wavelength 465 nm, emission wavelength 505 nm).

#### Statistical analysis

The data were compared using an unpaired *t*-test. A *P* value of less than 0.05 was considered statistically significant. Data are presented as means  $\pm$  SEM.

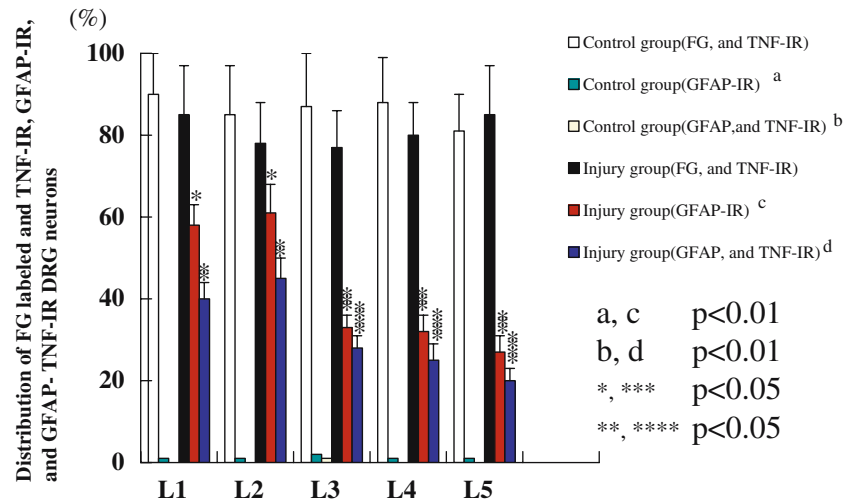
## Results

### FG-labeled DRG neurons

The FG-labeled DRG neurons, in which FG was transported from the facet joint, were present in the left DRG from L1 to L5 (Fig. 1, 2). No labeled neurons were observed in the bilateral T13 or L6 DRG or in the contralateral DRG from L1 to L5. There was no significant difference in number and distribution of FG-labeled neurons between controls and the injured model ( $P > 0.05$ ) (Fig. 1).

### GFAP-IR satellite cells emerged and expressed TNF $\alpha$ in DRG

The FG-labeled TNF $\alpha$ -IR neurons were present in the left DRG from L1 to L5. Figure 2 shows FG-labeled TNF $\alpha$ -IR neurons. Most FG-labeled neurons were TNF $\alpha$ -IR. Figure 3 indicates ratios of TNF $\alpha$ -IR neurons to FG-labeled neurons at each level. There were no significant differences in the ratios of FG labeled and TNF $\alpha$ -IR neurons between each level ( $P > 0.05$ ).



**Fig. 3** Distribution of FG-labeled and TNF $\alpha$ -IR cells; GFAP-IR satellite cells around FG-labeled neurons; GFAP- and TNF $\alpha$ -IR satellite cells at each level in both control and facet joint injury groups. The ratio of FG-labeled and TNF $\alpha$ -IR neurons in both groups was not significantly different ( $P > 0.05$ ). The ratio of GFAP-IR satellite cells around FG-labeled neurons (a, c:  $P < 0.01$ ), and GFAP- and TNF $\alpha$ -IR satellite cells around FG-labeled neurons (b, d:  $P < 0.01$ ) in the facet joint injury group was significantly higher than that in the control group. The ratios of GFAP-IR satellite cells to FG-labeled neurons in L1 and L2 DRG were significantly higher than in L3, L4, and L5 DRG (\*, \*\*\* $P < 0.05$ ). The ratios of GFAP- and TNF $\alpha$ -IR satellite cells to FG-labeled neurons in L1 and L2 DRG were also higher than in L3, L4, and L5 DRG (\*\*, \*\*\*\* $P < 0.05$ )

There were no significant differences in the ratios of FG labeled and TNF $\alpha$ -IR neurons between control ( $83 \pm 10\%$ ) and injured groups ( $80 \pm 8\%$ );  $P > 0.05$ .

GFAP-IR satellite cells were not observed in the control group. However, GFAP-IR satellite cells emerged in the injury group. Some GFAP-IR satellite cells were distributed around FG-labeled neurons (Fig. 2). The ratio of GFAP-IR satellite cells around FG-labeled neurons to total FG-labeled neurons was  $46 \pm 5\%$ . The ratios of GFAP-IR satellite cells around FG-labeled neurons to total FG-labeled neurons in L3, L4, and L5 DRGs were significantly less than in L1 and L2 DRGs in the injured group ( $P < 0.05$ ) (Fig. 3).

The TNF $\alpha$  immunoreactivity was observed in GFAP-satellite cells. The ratio of TNF $\alpha$ - and GFAP-double stained cells to GFAP-satellite cells around FG-labeled neurons was  $35 \pm 5\%$  (Fig. 3).

The ratios of TNF $\alpha$ - and GFAP-IR satellite cells around FG-labeled neurons to total FG-labeled neurons in L3, L4, and L5 DRGs were significantly less than that in L1 and L2 DRGs. ( $P < 0.05$ ) (Fig. 3).

## Discussion

FG-labeled DRG neurons innervating rat L5/6 facet joints

The rat L5/6 facet joint is innervated by DRG from L1 to L5 by two distinct systems: innervation from corresponding and adjacent segments and from distant segments. In the latter innervation, sensory nerve fibers enter the paravertebral sympathetic trunks and reach L1 or L2 DRG [14, 16, 22, 23]. The present study similarly demonstrates that the rat L5/6 facet joint is innervated by ipsilateral DRG from L1 to L5.

TNF $\alpha$  expressing satellite cells surround sensory DRG neurons innervating the facet joint after facet capsule injury

In the current study, GFAP-IR satellite cells were not observed in the control group. However, GFAP-IR satellite cells emerged around FG-labeled neurons innervating the facet joint after facet joint injury. Some GFAP-IR satellite cells around FG-labeled neurons were co-labeled with TNF $\alpha$ -IR. The GFAP activation and TNF $\alpha$  expression in satellite cells around neurons innervating facet joints were more frequently seen in upper DRG than in lower DRG.

In primary sensory nerves and spinal cord, glial cells such as astrocytes, microglia, Schwann cells, and satellite cells are activated in response to ischemia, traumatic injury, and inflammation [11, 21, 24, 26]. Recently, it has been reported that the glial activation in the DRG and spinal dorsal horn resulting from peripheral nerve injury produces hyperalgesia and allodynia in rats [8, 29]. This activation of glial cells is thought to be involved in the pathogenesis of neuropathic pain. The

current data suggest that facet joint capsule injury activates satellite cells in DRG, and may increase facet joint pain intensity.

In peripheral nerve injury, TNF $\alpha$  expression is up-regulated in endoneurial macrophages and Schwann cells, resulting in pain in rats [24, 25]. The TNF $\alpha$  produced at nerve injury sites is axonally transported to DRG neurons and the spinal cord dorsal horn where it correlates with the expression of TNF type 1 and 2 (p55 and p75) receptors, which do not usually exist in the DRG neurons in rats. This may activate central cytokines in the pathogenesis of painful neuropathy [18–20]. The TNF $\alpha$ -IR satellite cells were found to surround neurons expressing TNF p55 receptors in rats [18]. We conclude that TNF $\alpha$  from these satellite cells appears to induce these neurons to synthesize neuropeptides and inflammatory agents [18]. Indeed, TNF $\alpha$  induces substance P (SP) [7], which along with calcitonin gene-related peptide (CGRP), is well known to be associated with neuropathic pain in rats [9]. It has been reported that DRG neurons innervating rat facet joints are immunoreactive for SP and CGRP, and that the ratios

of CGRP-IR in L1 and L2 DRG neurons were significantly higher than in L3, L4, and L5 DRG in a rat model of facet joint inflammation [16]. Satellite cells predominantly activated in L1 and L2 DRG compared to L3, L4, and L5 DRG in the current study may be related to the activation of CGRP.

Recently, TNF $\alpha$  has been found to be abundantly expressed in herniated nucleus pulposus in rats [10]. Inhibition of TNF $\alpha$  prevents nucleus pulposus induced thrombus formation, intraneural edema and reduction of nerve conduction velocity in rats [27]. These findings support the suggestion that TNF $\alpha$  is involved in mechanisms of inflammatory pain, neuropathic pain, and low back pain in spinal disorders.

Facet joint pain is a well-recognized clinical problem for some patients. This study is limited because this facet capsule injury model does not correspond exactly to human facet joint pain. Nevertheless, the present study suggests that TNF $\alpha$  in glial cells is related to facet joint pain. These current findings contribute information regarding the relationship between TNF $\alpha$  and facet joint pain.

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