

# Effect of salt stress on genes encoding translation-associated proteins in *Arabidopsis thaliana*

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**Keywords:** *Arabidopsis thaliana*, gene expression, salt stress, ribosomal protein, translation, variation of information, clustering, dynamic time warping

**Abbreviations:** PCR, polymerase chain reaction; PRPL11, plastid ribosomal protein L11; ATAB2, Arabidopsis TAB2; PDF1B, peptide deformylase 1B; eIF3A, eukaryotic initiation factor 3 A; qRT-PCR, quantitative real-time PCR; IF-1, initiation factor - 1; DTW, dynamic time warping; VI, variation of information; RbcL, rubisco large subunit; Col-0, Columbia-0; MS, Murashige and Skoog; gDNA, genomic DNA; cDNA, complementary DNA; FP, forward primer; RP, reverse primer; Ct, cycle threshold; Eq, equation

Salinity negatively affects plant growth and disturbs chloroplast integrity. Here, we aimed at identifying salt-responsive translation-related genes in *Arabidopsis thaliana* with an emphasis on those encoding plastid-located proteins. We used quantitative real-time PCR to test the expression of 170 genes after short-term salt stress (up to 24 h) and identified several genes affected by the stress including: *PRPL11*, encoding plastid ribosomal protein L11, *ATAB2*, encoding a chloroplast-located RNA-binding protein presumably functioning as an activator of translation, and *PDF1B*, encoding a peptide deformylase involved in N-formyl group removal from nascent proteins synthesized in chloroplasts. These genes were previously shown to have important functions in chloroplast biology and may therefore represent new targets for biotechnological optimization of salinity tolerance.

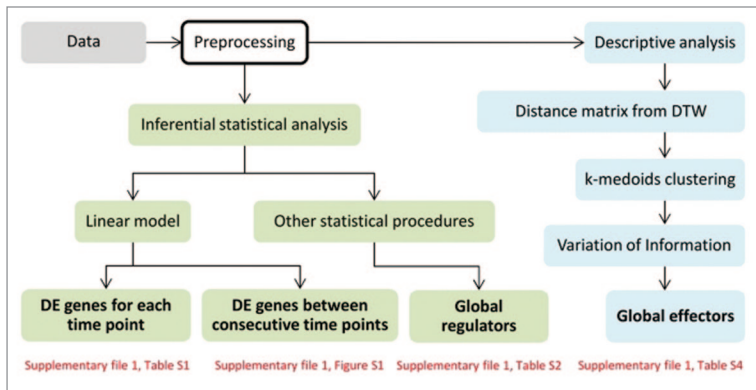
## Introduction

Plants as sessile organisms have developed sophisticated regulatory systems to respond to changing environmental conditions and overcome different stresses.<sup>1</sup> Plants respond to abiotic stresses by various cellular processes that involve stress sensing, different signaling pathways and changes in gene expression controlled and modulated by transcription factors.<sup>1,2</sup> Altered gene expression contributes to establishing a new cellular state that may increase the tolerance to the stress, allowing plants to survive under unfavorable conditions.<sup>2-4</sup>

Salt stress is a major abiotic stress that affects plant growth and development and decreases yield and production. Moreover, it affects metabolism, protein synthesis, and the energy household.<sup>4,5</sup> Like other abiotic stresses, salinity leads to changes at the transcription level.<sup>6,7</sup> In addition, the protein synthesis machinery is sensitive to NaCl,<sup>8</sup> and increased protein synthesis ability has been reported to contribute to salt tolerance.<sup>9-12</sup> Salt stress

affects de novo protein synthesis,<sup>13</sup> and the synthesis of ribosomes itself is required for protein synthesis and closely correlates with growth. Therefore, changes in growth rate, affected by different factors, including abiotic stresses, is tightly coupled with ribosome biosynthesis rate.<sup>14</sup> Ribosomes are potential targets of control mechanisms that generate signals and activate adaptive regulons or developmental programs.<sup>15</sup> Transcription of ribosome precursor genes represents a starting point for the synthesis of ribosomal subunits and is known to be highly regulated.<sup>14,16,17</sup> Moreover, it has been reported that ribosomal subunit transcription is affected by biological factors and drugs.<sup>18</sup> Although the ribosomal proteins are essential for growth and development in all organisms, our knowledge about expression regulation of genes encoding ribosomal proteins in plants under stress conditions remains fragmented.<sup>19</sup> Many ribosomal proteins have been reported to be up- or downregulated under various stress conditions,<sup>19-22</sup> and it has been proposed that the synthesis of ribosomal

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**Figure 1.** Workflow of the analysis. Two types of analyses were conducted on the preprocessed control and treatment data - inferential and descriptive - depicted by green and blue color, respectively. Text in bold shows the result of the respective process and the text in red indicate tables and figures containing the results.

proteins plays a role for restructuring the protein synthesis apparatus under abiotic stress.<sup>22</sup>

Comparative transcriptomics performed on *Arabidopsis thaliana*, a glycophyte, and salt cress (*Thellungiella halophila*), a halophyte, suggested that salt-sensitive and salt-tolerant species have a common set of salt responses whose regulation differs.<sup>23</sup> In salt cress, an increase of several proteins involved in protein synthesis (mainly ribosomal proteins and translation initiation factor eIF3A) was found.<sup>11</sup> Recently, a model has been proposed whereby halophytes have a more efficient system for the regulation of transcription and protein synthesis and processing compared with glycophytes, which in turn affects the levels of different proteins to achieve homeostasis under salt stress.<sup>11</sup> As the protein synthesis apparatus has an important role for plant growth and development under both stress and normal conditions, we studied the transcriptomic response of translation-related genes to salt stress in *Arabidopsis*.

## Results and Discussion

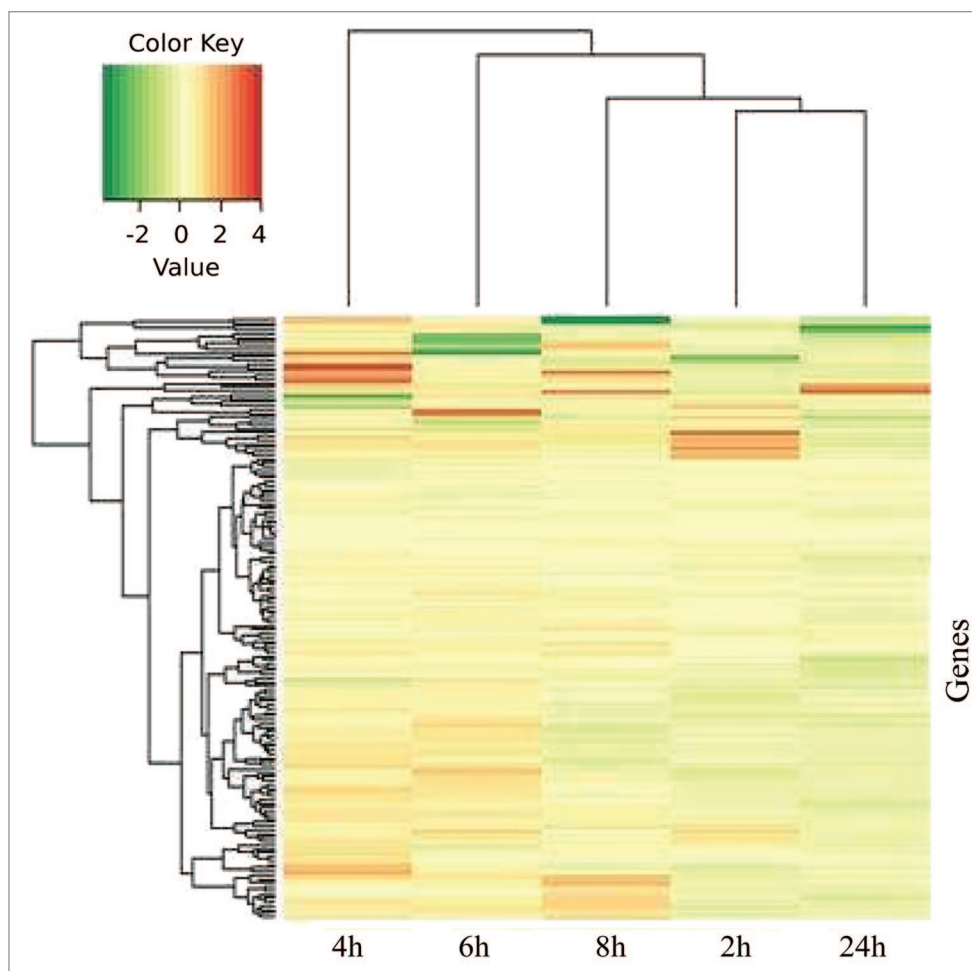
**Identifying salt-responsive genes.** The aim of this study was to a gain deeper understanding of the short- (up to 8 h) and medium-term (24 h) response of leaf translation-related genes to salt stress in *Arabidopsis* seedlings. To this end, 16-d-old seedlings were salt-stressed (150 mM NaCl) for different times (2, 4, 6, 8 and 24 h) and RNA was extracted for gene expression analysis by quantitative real-time polymerase chain reaction (qRT-PCR). Control seedlings without salt stress were harvested at identical time points. For this experiment, 170 genes were selected from a set of genes related to protein synthesis in *Arabidopsis* leaves (see Materials and Methods). The experiment was performed in three biological replicates; additionally, each sample was measured in two technical replications.

The workflow for the analysis used in this study is illustrated in **Figure 1**. We used the results after preprocessing the obtained data, including normalization, qualification and filtering (see Materials and Methods), in two types of analyses - inferential and descriptive. With the inferential analysis, we set out to identify

differentially expressed genes by performing the following comparisons: between time points in one condition, between consecutive time points across conditions, and over all time points, resulting in determination of global regulators. The first and the second steps in the inferential analysis were performed by using linear models. This is a first choice of method when analyzing differential expression, especially in the case when few replicates are available. The results are shown in Supplementary file 1, Table S1, and Fig. S1. Different statistical tools, available in existing R packages (see Materials and Methods), were then used to perform the third analysis to identify any global regulators (Supplementary file 1, Table S2 and S3). In addition, we performed a descriptive analysis based on clustering to identify genes which contribute to the changes in the cluster structure between control and treatment conditions, which we term global effectors (Supplementary file 1, Table S4).

The fold-change of gene expression from the control and treatment data for each of the five analyzed time points is illustrated in **Figure 2**, which demonstrates that the majority of the genes was downregulated after 2 h of salt stress, while at 4 h and 6 h, expression of several genes was increased relative to the control. However, after longer stress treatments, the expression of many genes declined (8 h) and at 24 h the expression of most genes was reduced compared with the control. Since transcript abundance of many genes was reduced at the 2 h and 24 h time points, compared with control, salinity stress appeared to induce a transient response at the gene expression level. We note that the patterns of up- and downregulation match the clustering based on log-fold changes shown in **Figure 2**. One of the genes analyzed, At1g22110 (ribosomal protein L30e) was repressed compared with the control treatment in three of the five analyzed time points. None of the other genes was significantly downregulated by salinity stress. However, two and six genes showed upregulation upon salt stress in three and two time points, respectively (Supplementary file 1, Table S1). One of the genes upregulated at three time points was AT3G08010, encoding ATAB2, a chloroplast-located A/U-rich RNA-binding protein presumably functioning as an activator of translation. Inactivation of *ATAB2* strongly impairs thylakoid membrane biogenesis in *Arabidopsis*, finally resulting in albino plants.<sup>24</sup> Activation of *ATAB2* expression by salt stress, as observed here, may be an important factor contributing to counteract the negative effect of salt stress on plant performance. The other gene showing enhanced expression in three time points was AT4G11175, encoding chloroplast translation initiation factor IF-1. Although the biological role of the gene has not been analyzed yet in *Arabidopsis*, it can be assumed to play an important role in translational control. The fact that AT4G11175 shows elevated expression during salinity stress may point to its involvement in the control of translation of transcripts important for establishing salt tolerance.

Among the genes upregulated by salt stress is AT1G33140 (60S ribosomal protein L9-1, also called PIGGYBACK 2), a gene previously found to affect leaf patterning.<sup>25</sup> AT5G14660, also upregulated by salt stress, encodes a plant-specific peptide deformylase



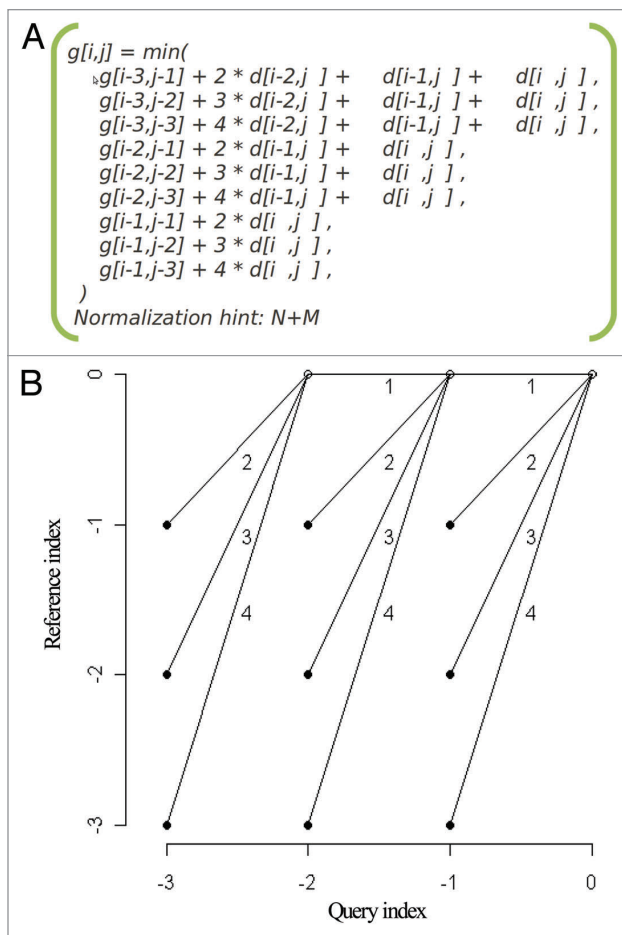
**Figure 2.** Fold-change of gene expression data from the control and treatment conditions. Heat map of salt-regulated transcripts in Col-0 plants subjected to 150 mM NaCl stress. Arabidopsis plants were grown on Murashige-Skoog (MS) medium. Seedlings were collected 16 d after germination and transferred to liquid medium, and salt stress was applied. Expression levels were determined by calculating the log-fold changes between salt treated and non-treated samples. The translation-related genes in different time points after salt treatment were subjected to hierarchical clustering with the averaging method by using R. Red and green indicate higher and lower expression values, respectively. Intensity of the colors is proportional to the absolute value of  $\log_2$  of the fold difference in expression.

1B (PDF1B) that catalyzes the removal of the N-formyl group from the N-terminus of nascent proteins synthesized in chloroplasts.<sup>26,27</sup> A knockout of Arabidopsis *PDF1B* led to an albino phenotype.<sup>28</sup> Similarly, in rice, *PDF1B* has been shown to be essential for chloroplast development.<sup>29</sup> Thus, activation of *PDF1B* expression by salt stress as observed here may be important for a transient activation of translational capacity during the initial phase of salinity stress. AT3G02660 encodes a dual-targeted (chloroplast and mitochondria) tyrosyl-tRNA synthetase<sup>30</sup>; its mutation leads to an embryo-defective morphological phenotype during seed development<sup>31</sup> ([www.seedgenes.org](http://www.seedgenes.org)). The other genes upregulated by salt stress, namely AT3G13580, AT3G20230 and AT5G16710, have to our knowledge not been functionally characterized yet.

Taken together, several genes encoding elements of the translational machinery are transiently activated at the transcript level upon salinity stress, which may influence the translation capacity of the plant. In fact, salinity stress has been reported to cause a

transient suppression of de novo protein synthesis in Arabidopsis suspension cultures from which the cells recover within a time frame of 4 h.<sup>13</sup> In accordance with this we found that most of the salt-affected translation-associated genes were upregulated at 4 h of salt treatment, but not at 2 h (Supplementary file 1, Table S1). In addition, although we observed salinity-triggered expression changes, a further possibility is that translation of these altered transcripts is affected as well. Of note, protein abundance of chloroplast elongation factor EF-Tu (AT4G20360) increased upon salt, but not sorbitol treatment in Arabidopsis cell suspension cultures<sup>13</sup>; its expression increased in our data set at the 2 h time point (Supplementary file 1, Table S1).

**Cluster-based approach to identify global effectors.** Since only few genes showed significant changes over time in our initial analysis (see above), we tried to explore the data set through a descriptive cluster-based analysis. To identify key players in this experimental set-up, we employed a clustering-based method by using dynamic time warping (DTW).<sup>32,33</sup> As the genes were



**Figure 3.** Path constraints. (A) The step pattern used in calculating the distances between time-resolved expression profiles. (B) Visualization of the global path constrain with the slope weighting on every possible path.

pre-selected by means of their proposed function in relation to translation and due to similar expression profiles (see Materials and Methods), we could not find clusters of genes which could be separated based on their sub-cellular localization, biological process or molecular function. Therefore, we used a novel way to determine the global effectors which is based on the concepts of entropy and variation of information (VI)<sup>34</sup> for the clusterings of data from control and treatment conditions.

We clustered all genes for the treatment and the control separately, and calculated the difference between the clusters from the control and the clusters from the treatment data by calculating the respective VI. We then iteratively removed a gene  $x_i$ , and repeated the clustering for the set of genes without  $x_i$  at iteration  $i$ . Similarly, we calculated the differences between the clusters of control data and the clusters of treatment data. This resulted in 61 genes of the 170 genes tested, as listed in Supplementary file 1, Table S4. Almost none of the 61 genes have so far not been tested with respect to salt physiology. We aligned the treatment and control clusterings in the following way: for each cluster in the treatment, we determined the most similar cluster from the control data with respect to the genes they share. The five genes

contributing to the difference between the most similar clusters are shown in Table 1. Three out of four global regulators (see Supplementary file 1, Table S2 and S3), determined in the inferential analysis (differential expression), also appeared in the 61 genes.

As outlined, the five genes from Table 1 contributed to the difference between the salt-treated and the untreated samples following the descriptive analysis approach described in the previous paragraphs. The specific functions of AT2G47610 (60S ribosomal protein L7a-1), AT1G07320 (chloroplast 50S ribosomal protein L4, RPL4) and AT3G63490 (chloroplast ribosomal protein L1) were not studied in detail yet, although the latter was reported to cause an embryo-defective phenotype when mutated ([www.seed-genes.org](http://www.seed-genes.org)). With respect to AT4G17560 (chloroplast ribosomal protein L19), a truncated cDNA of the salt cress homolog to this gene led to increased salt tolerance in Arabidopsis, suggesting that it might have caused the silencing of the homologous Arabidopsis gene, which may play a negative role in salt tolerance. However, it has to be noted that the truncated cDNA had a complete open reading frame encoding a small protein of around 100 amino acids. The possibility that the truncated peptide functions in salt tolerance can therefore not be ruled out.<sup>35</sup> Finally, the expression of AT3G04770 was found to be upregulated in a T-DNA insertion mutant of *APUM23* that encodes a nucleolar Puf domain protein involved in pre-rRNA processing; the *apum23* mutant showed slow growth.<sup>36</sup>

AT1G32990 is among the genes detected by the descriptive approach; it encodes plastid ribosomal protein L11 (PRPL11) and was previously found to be downregulated in transgenic Arabidopsis plant overexpressing the ethylene receptor NTHK1 from tobacco after 12 h of salt stress.<sup>37</sup> Such plants showed enhanced sensitivity to salt stress.<sup>38</sup> A knockout mutant deficient for *PRPL11* was previously reported; although neither the abundance of plastid ribosomes nor their assembly into polysomes was affected, the mutant showed significantly reduced translation of the large subunit of Rubisco (RbcL), the CO<sub>2</sub>-fixing enzyme located in the plastid stroma.<sup>39</sup> The severe phenotype of the *prpl11* mutant, a drastic growth depression and pale leaves, are consistent with an important function of PRPL11 for protein synthesis in plastids and chloroplast function. Thus, the salt stress-dependent upregulation of *PRPL11* expression as observed here may contribute to sustain chloroplast functionality at least during the initial period under salt stress. It may be interesting to overexpress *PRPL11* in transgenic plants to determine the effect on salt tolerance in the future. Two other genes found to be affected by salt stress in the descriptive approach are AT3G08010 (encoding ATAB2) and AT5G14660 (PDF1B), as also revealed by the inferential analysis described above.

Salinity stress has a major effect on plant growth and performance and in particular is known to affect chloroplast function and hence photosynthesis. By using qRT-PCR-based expression profiling, we have shown here that a small number of genes coding for the plastidial translation machinery are rapidly altered by salt stress, although the majority of the translational genes tested did not significantly change their expression over the analyzed time course. The responsive genes were therefore determined by



**Table 1.** Genes were located in different clusters by aligning the control and treatment clusterings

AGI code	Annotation	Maximum absolute values of fold change
AT2G47610	60S ribosomal protein L7a-1	3.63
AT1G07320	50S ribosomal protein L4, chloroplastic (CL4) (R-protein L4)	1.60
AT3G63490	50S ribosomal protein L1, chloroplastic (CL1)	1.60
AT4G17560	50S ribosomal protein L19-1, chloroplastic	2.09
AT3G04770	40S ribosomal protein Sa-2 (p40 protein homolog)	2.07

The five genes encode ribosomal proteins and show maximum absolute value of log-fold changes over the entire time domain in the range from 1.60 to 3.63

using the proposed methods following a comparison of clusterings based on the variation of information index. Most importantly, several of the salt-responsive genes are known to encode key functions in chloroplast biology, including *PRPL11*, *ATAB2* and *PDF1B*. These genes thus may represent prime targets for the biotechnological optimization of plant and crop growth under saline conditions.

## Materials and Methods

### Plants cultivation, NaCl treatment and sample preparation.

*Arabidopsis thaliana* (L.) Heynh. (Col-0) seeds were surface sterilized and placed on Murashige and Skoog (MS) semisolid agar plates (with 1% sucrose, 1.5% agar, pH 6.8)<sup>40</sup> in a growth chamber at 16 h light (150  $\mu\text{E m}^{-2} \text{s}^{-1}$ , 20°C, 60% relative humidity)/8 h dark (16°C, 75% relative humidity) cycle. Uniformly developed 16-d-old seedlings from plates were transferred into liquid medium,<sup>41</sup> and kept on a rotary shaker (100 rpm, continuous light) for 20 h at 22°C. Thereafter, NaCl (150 mM final concentration) was added to the medium. Seedlings were harvested 2, 4, 6, 8 and 24 h after application of the salt, and control samples (not treated with NaCl) were harvested in parallel. The experiment was performed in three biological replicates.

### RNA extraction, gDNA digestion and cDNA synthesis.

RNA was extracted from eight to ten seedlings from each biological replicate, using RNeasy Plant Mini Kit (Qiagen, Hilden, Germany, 74904) according to the manufacturer's instructions. Genomic DNA (gDNA) contamination in extracted RNA was eliminated using TURBO DNA-free Kit (Ambion, Darmstadt, Germany, AM1907). Elimination of gDNA contamination was subsequently confirmed by qRT-PCR using intron-specific primers for *MAF5* (AT5G65080; FP 5'-TTT TTT GCC CCC TTC GAA TC-3' and RP 5'-ATC TTC CGC CAC CAC ATT GTA C-3'). RNA integrity was checked on 1% (w/v) agarose gels, and RNA concentration was checked before and after gDNA digestion using a Nanodrop-2000 spectrophotometer (Peqlab, Erlangen, Germany). Thereafter, cDNA was synthesized from 2  $\mu\text{g}$  of total RNA using RevertAid H minus First-strand cDNA Synthesis Kit (Fermentas, Sankt Leon-Rot, Germany, K1632). Oligo-dT primers were used to initiate cDNA synthesis. The synthesized cDNA was checked by qRT-PCR using *ACTIN2* (AT3G18780, FP 5'-TCC CTC AGC ACA TTC CAG CAG AT-3' and RP 5'-AAC GAT TCC TGG ACC TGC CTC ATC-3') as a reference gene; cDNA integrity was checked using *GAPDH* 5' and 3' end primer pairs (AT1G13440; 3' end: FP 5'-TTG GTG ACA ACA GGT

CAA GCA-3', RP 5'-AAA CTT GTC GCT CAA TGC AAT C-3'; 5' end: FP 5'-TCT CGA TCT CAA TTT CGC AAA A-3', RP 5'-CGA AAC CGT TGA TTC CGA TTC-3'). qRT-PCR analysis was done using SYBR Green PCR Master Mix (Applied Biosystems, Darmstadt, Germany, 4309155).

**Gene selection and design of qRT-PCR primers.** More than 95% of chloroplast proteins are encoded by the nucleus and enter the chloroplast after translation.<sup>42</sup> In this study, genes related to the chloroplast translational machinery were chosen based on a previously established set of 2090 genes encoding plastid-localized proteins.<sup>43</sup> Genes of this set were screened for high expression in leaves using the Arabidopsis eFP browser (<http://bar.utoronto.ca/efp/cgi-bin/efpWeb.cgi>) and Genevestigator (<https://www.genevestigator.com/gv/>) online tools. The selected genes were then used to identify highly co-expressed genes (r-value 0.95 - 1.0, Pearson correlation coefficient; AtGenExpress tissue data set), using the expression angler tool ([http://bar.utoronto.ca/ntools/cgi-bin/ntools\\_expression\\_angler.cgi](http://bar.utoronto.ca/ntools/cgi-bin/ntools_expression_angler.cgi)), and only genes highly expressed in leaf tissue were selected and added to the gene list. This process was repeated several rounds until no new genes were found. The final list included 548 genes, which again were cross-checked with the set of 2090 genes to remove duplicates. Finally, 170 genes proposed to have a role in leaf translation were selected (see Supplementary file 2 for gene names and annotations). qRT-PCR primers were designed using QuantPrime (<http://www.quantprime.de/>) and are given in Supplementary file 2.

## Data Analysis

**Preprocessing.** Data preprocessing was performed with the "HTqPCR" package in R,<sup>44</sup> which is frequently used for analysis of qRT-PCR data. For each biological replicate, the mean of the two technical replicates was determined, and the data were normalized based on the reference gene (AT3G18780, *ACTIN2*). The genes were first categorized as undetermined and determined, based on whether or not at least one NA value was present in the replicates, respectively; moreover, the genes were also divided into unreliable and reliable, based on whether or not the relative Ct values were outside the chosen confidence interval (here, 90%), respectively. The genes which were categorized as undetermined or unreliable in at least three replicates over the five time points were filtered out and were not considered in the subsequent analysis.

**Inferential statistical analysis.** Analysis of differential expression was performed on the data remaining after preprocessing and normalization. Differential expression was investigated: between time points in one condition, between consecutive time points across conditions, and over all time points, as summarized below:

1. Differential expression based on linear models: By employing the limma package,<sup>45</sup> we performed two types of analysis: we attempted to first determine the genes which are differentially expressed at each time point relative to the control, and second, to find the differentially expressed genes between consecutive time points across conditions.

2. Differentially expressed genes over all time points (global regulators): Different statistical procedures were applied to find the genes which are differentially expressed over the entire period of the experiment, termed global regulators. To this end, time course,<sup>46</sup> limma<sup>45</sup> and samr<sup>47</sup> packages were used.

**Descriptive analysis - a cluster-based approach for global effectors.** We used a novel way of quantifying the distance between the gene expression profiles, called dynamic time warping (DTW).<sup>32,33</sup> The distance measure is based on the idea of aligning a pair of time-resolved profiles over the entire (or partial) time span of the experiment by stretching and/or compressing time points, and subsequently, calculating the distance based on the found alignment. The resulting distance matrix, when used in clustering, guarantees that the genes assigned to different clusters cannot be aligned to each other even after stretching or compressing their profiles along their expression curves.

Due to the small number of time points in the experimental setting, cubic spline interpolation was initially performed between the first time point (2 h) and the fourth (corresponding to 8 h) with 60 equidistant time points in between. Finally, after the interpolation, time point 24 h was added to the resulting profile. Interpolation over the entire time span was left out in order to reduce artifacts arising from the non-uniform sampling over time.

We then used DTW (R package dtw<sup>48</sup>) by applying Global alignment, 'itakura' windowing and a symmetric step pattern which has been defined by the Rabiner-Juang method with the weighting type "d"<sup>49</sup>, as shown in **Figure 3**.

We used DTW to align the expression profile of genes over the entire time period and calculate the distances for all gene pairs. Thereafter, a K-medoids clustering algorithm was used with the distance matrix, resulting from DTW, as input. Clustering was performed for the number of clusters ranging from 2 to 40 (for each, 1000 repetitions were conducted) to determine the best number of clusters by using silhouette index.<sup>50</sup>

We employed the clustering algorithm on the control and the treatment separately, and then determined the genes which change their clustering membership between the two conditions, as described in the following section.

**Determining global effectors; genes contributing to the difference between clustering of control and treatment based on variation of information.** Variation of Information (VI)<sup>34</sup> is a distance measure which is usually used to quantify the differences between two clusterings. Variation of information for two

clusterings quantifies the information in each of the clusterings in addition to the amount of information that one of the clusterings gives about the other.

The entropy of a clustering ( $c$ ) is calculated as:

$$(1) H(c) = - \sum_{k=1}^K P(k) \log P(k),$$

where  $P(k)$  is the probability of selecting all  $n_k$  members of cluster number  $k$  among all  $n$  elements, so  $P(k)$  is  $\frac{n_k}{n}$ . Entropy is a non-negative value and it becomes zero only if there exists no uncertainty, i.e., when all points group in a single cluster.<sup>34</sup> Given two clusterings,  $c$  and  $c'$ , with  $K$  and  $K'$  clusters, respectively, the information that one has about the other is called mutual information and defined as follows:

$$(2) I(c, c') = \sum_{k=1}^K \sum_{k'=1}^{K'} P(k, k') \log \frac{P(k, k')}{P(k)P(k')}$$

where  $P(k, k')$  is the probability that a point belongs to  $c_k$  in clusterings  $c$  and to  $c'_k$  in  $c'$ . The variation of information, used as a comparison criterion for two clusterings  $c$  and  $c'$  is then:

$$(3) VI(c, c') = H(c) + H(c') - 2I(c, c')$$

In our analysis, with the help of clustering and variation of information, we attempted to determine a set of global effectors, i.e., genes which contribute to large differences between clustering of control and treatment data.

Thereafter, we removed the genes one by one and again calculated the difference between control and treatment without the chosen gene. If  $H(\text{control}_{-x})$  increases upon removal of gene  $x$  from the control data, i.e.,

$$(4) H(\text{control}) < H(\text{control}_{-x}),$$

this gene can be considered as potentially informative. The same holds for the treatment data, and if

$$(5) H(\text{treatment}) < H(\text{treatment}_{-x}),$$

we can say that  $x$  also plays an important role in the treatment data since its removal increases the entropy in clustering of the treatment data.

By using Eqs. (4) and (5), we arrive at the Eq. (6)

$$(6) H(\text{control}) + H(\text{treatment}) - [H(\text{control}_{-x}) + H(\text{treatment}_{-x})] < 0.$$

On the other hand,  $I(c, c')$  quantifies how much each of the variables reduces the uncertainty (the average over all variables). Hence, reducing the uncertainty can be encapsulated in the following inequalities:

$$(7) I(\text{control}_{-x}, \text{treatment}_{-x}) > I(\text{control}, \text{treatment}); -2 * I(\text{control}_{-x}, \text{treatment}_{-x}) + 2 * I(\text{control}, \text{treatment}) < 0.$$

By combining the inequalities from Eqs. (6) and (7), we obtain Eq. (8):

$$(8) H(\text{control}) + H(\text{treatment}) + 2 * I(\text{control}, \text{treatment}) - [H(\text{control}_{-x}) + H(\text{treatment}_{-x}) + 2 * I(\text{control}_{-x}, \text{treatment}_{-x})] < 0.$$

We can substitute some parts in Eq. (8) with the variation of information, so the final inequality used for selection of the genes acting as global effectors is given in Eq. (9), below.

$$(9) VI(\text{control}, \text{treatment}) - VI(\text{control}_{-x}, \text{treatment}_{-x}) + [4 * I(\text{control}, \text{treatment}) - 4 * I(\text{control}_{-x}, \text{treatment}_{-x})] < 0.$$

Therefore, if the removal of a gene satisfies the inequality in Eq. (9), the gene can be considered as a global effector as it contributes to the difference between control and treatment clusterings.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

#### Authors' contributions

M.A.O, F.S.A. and B.M.R. designed the study. M.A.O. did all the experiments and primary data analysis. N.O. and Z.N.

analyzed the data. All authors wrote the paper and interpreted the results.

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#### Supplemental Material

Supplemental materials may be found at [www.landesbioscience.com/journals/psb/article/21218](http://www.landesbioscience.com/journals/psb/article/21218).

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