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Immunity to the conserved influenza nucleoprotein reduces susceptibility to secondary bacterial infections¹

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Abstract

Influenza causes more than 250,000 deaths annually in the industrialized world and bacterial infections frequently cause secondary illnesses during influenza outbreaks, including pneumonia, bronchitis, sinusitis, and otitis media. Here we demonstrate that cross-reactive immunity to mismatched influenza strains can reduce susceptibility to secondary bacterial infections, even though this fails to prevent influenza infection. Specifically, infecting mice with H3N2 influenza before challenging with mismatched H1N1 influenza reduces susceptibility to either gram-positive *Streptococcus pneumoniae* or gram-negative *Klebsiella pneumoniae*. Vaccinating mice with the highly conserved nucleoprotein of influenza also reduces H1N1-induced susceptibility to lethal bacterial infections. Both T cells and antibodies contribute to defense against influenza-induced bacterial diseases; influenza cross-reactive T cells reduce viral titers, whereas antibodies to nucleoprotein suppress induction of inflammation in the lung. These findings suggest that non-neutralizing influenza vaccines that fail to prevent influenza infection may nevertheless protect the public from secondary bacterial diseases when neutralizing vaccines are not available.

INTRODUCTION

Secondary bacterial infections often follow influenza infection and can lead to a variety of illnesses including pneumonia, bronchitis, sinusitis and otitis media (1, 2). Secondary bacterial pneumonia is a particularly serious consequence of influenza infection. It was the primary cause of death during the 1918 influenza pandemic (3) and was associated with significantly higher morbidity and mortality during the 2009 pandemic (4).

Vaccines are the mainstay of public health efforts to prevent influenza epidemics. These vaccines aim to prevent infection by eliciting neutralizing antibodies that bind the hemagglutinin and neuraminidase proteins on the surface of influenza virions. Unfortunately, mutations and reassortments in the surface proteins of influenza viruses allow new strains to emerge and evade neutralizing antibodies (5, 6). Consequently, each year, new vaccines are produced to "match" the most dangerous contemporary strains.

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In animal models, mismatched influenza vaccines can prime non-neutralizing immunity that speeds viral clearance and reduces mortality, despite failing to prevent infection (7, 8). Mismatched vaccines may not prevent human pandemics, but they might lessen their severity when matched vaccines are not available. Indeed, several studies suggest humans may benefit from non-neutralizing immunity to influenza (9–12). A recent study demonstrated that the presence of influenza-specific memory in humans correlates with non-neutralizing immunity reduces the severity of illness (13). These researchers postulated that CD4 T cells confer protection by improving antibody responses to conserved internal viral proteins (13). Unfortunately, many factors confound the interpretation of human studies of influenza and public health campaigns to date have largely neglected the potential for non-neutralizing immunity to combat influenza outbreaks or the associated increase in secondary bacterial infections.

Data from mouse models suggest influenza infection increases susceptibility to secondary bacterial infections by suppressing neutrophil function, decreasing mucociliary flow, desensitizing innate immunity, and creating favorable environments for bacterial adherence and colonization (1). Cytokines, including interleukins and interferons, also affect susceptibility (14–16), suggesting that ongoing immune responses to influenza may facilitate bacterial colonization of the lung. Here, we investigate whether non-neutralizing, mismatched immunity to influenza impacts susceptibility to secondary bacterial infections.

MATERIALS AND METHODS

Mice

Wild type and B cell-deficient μ MT C57BL/6 mice were purchased from The Jackson Laboratory (Bar Harbor, ME). Antibody-deficient AID.uS C57BL/6 mice were described previously (17, 18). All mice were bred in the specific pathogen free Trudeau Institute Animal Breeding Facility after embryo rederivation. Experimental mice were matched for age and sex, and cared for according to Trudeau Institute guidelines. Recumbent mice, and mice that lost more than 30% weight, were considered moribund and euthanized.

Viruses

In uenza virus A/HKx31 (H3N2), in uenza virus A/PR/8/34 (H1N1), cold-adapted influenza virus c.a.A/Alaska/72/CR9 (caH3N2) and the Enders strain of Sendai virus were grown, stored, and titered as previously described (19–21). Influenza infections and vaccinations were administered intranasally to anesthetized mice using 3000 EID-50 for H3N2, 400 EID-50 for H1N1, 350 TCID-50 for caH3N2, and 250 EID-50 for Sendai virus. The viral burden and level of inflammatory cytokines and chemokines in whole lung tissue was determined by real-time PCR measuring acid polymerase copy number (22).

Bacteria

Serotype 4 *Streptococcus pneumoniae* (ATCC strain 6304) grown on blood agar plates was used to inoculate Tryptic soy broth cultures, which were grown at 37°C without shaking in sealed tubes. After dilution to an OD_{600nm} of 0.15, they were re-grown to an OD_{600nm} of 0.45, washed with saline, and approximately 250 CFU were applied in a volume of 50 µl saline to the nares of lightly anesthetized mice. The number of bacteria in the inoculating dose was confirmed by plating. The intranasal median lethal dose of strain 6304 is approximately 1.5×10^4 CFU when grown as described above and administered to naïve mice. Additional studies also employed serotype 3 *S. pneumoniae* strain URF918 (23) and *Klebsiella pneumoniae* strain IA565 (24). Innocula of strain IA565 were prepared as described for ATCC strain 6304. Working stocks of strain IA565 were prepared by growing in Tryptic/Soy Broth to log phase (OD600=0.900), adding 20% glycerol and freezing

aliquots at -70° C. To prepare inocula, 100 µl of working stock was streaked on Tryptic/Soy agar plates, grown overnight at 37°C, and scraped to seed Tryptic/Soy Broth cultures at OD600=0.050. Cultures were grown in 37°C incubator/shaker at 180rpm for approximately 2hr to log phase (OD600=0.900), spun down, and resuspended in PBS to a concentration of 10^8 CFU per 50 µl infection dose.

Treatments

T cell depletions were performed as described previously (19). The depletion protocols removed more than 90% of the targeted cells from spleen and bronchoalveolar lavage fluid, as determined by flow cytometric analyses of antibody-treated animals that were euthanized at day 5 after H1N1 infection (not shown). Recombinant A/PR/8/34 influenza NP was generated as a C terminal histidine-tagged protein in *E. coli* and isolated using the ProBond system (Invitrogen), as described (17). Immunizations contained 30 μ g NP and employed 20 μ g *E. coli* serotype 0111:B4 lipopolysaccharide (LPS; Enzo Life Sciences) plus alum as adjuvant (17). H3N2 immune serum was collected 21 days after infection with H3N2 and 350 ul was transferred to naïve mice by intraperitoneal injection on the day prior to H1N1 challenge. Passive immunization with mouse IgG2a NP-specific mAb H16-L10-4R5/HB-65 (25) was achieved by administering 350 ug intraperitoneal injections on the day of and the day prior to H1N1 challenge. Control mice received serum from naïve mice or isotype matched mAb C1.18.4. All mAb were Protein G purified and supplied by BioXcell, who reported <2 endotoxin units per mg.

Statistics

Survival curves were analyzed by Log rank tests. CFU and viral titer data that fell below the limit of detection were assigned a value below that limit and, thus, were analyzed by non-parametric Mann Whitney or Kruskal Wallis tests. Bacteremia was scored positive or negative and analyzed by Chi-square tests.

RESULTS

Non-neutralizing immunity to influenza protects from secondary bacterial infection

Fig 1A depicts our general experimental approach to assessing the impact of prior immunity to influenza on susceptibility to secondary bacterial infection. Naive mice readily survived low dose intranasal challenge with 250 CFU of *S. pneumoniae* (Fig. 1B). Naive mice also survived low dose intranasal challenge with H1N1 influenza (Fig. 1B). However, consistent with prior reports (26), we observed that mice succumbed to bacterial infection when challenged with low dose *S. pneumoniae* following a sublethal influenza challenge (Fig. 1B). To investigate the impact of non-neutralizing, mismatched immunity to influenza, we infected mice with low dose H3N2 influenza, challenged 5–6 months later with low dose H1N1 influenza improved survival (Fig. 1B), reduced pneumococcal colonization of lung tissue (Fig. 1C), and largely prevented bacteremia (Fig. 1D). Notably, susceptibility to bacterial infection did not simply correlate with viral titers at the time of challenge. For example, mice challenged with *S. pneumoniae* on days 5 and 14 after H1N1 infection exhibited similar bacterial burden (Fig. 1C), despite more than a 10,000-fold difference in viral titers at those time points (Fig. 1E).

To investigate the specificity of the H3N2-induced protection from secondary bacterial infection, we evaluated protection conferred by Sendai virus, a parainfluenza virus that causes an acute pulmonary infection similar to influenza, but does not prime cross-reactive immunity to influenza (20). In parallel, we examined protection conferred by a cold-adapted H3N2 (caH3N2) vaccine strain (19, 21, 27, 28). We found that exposure to either the H3N2

influenza virus or the live attenuated caH3N2 vaccine protected against H1N1-induced susceptibility to pneumococcal infection as early as 3 weeks after exposure (Fig. 2A). The protection was associated with reduced bacterial burden in the lungs (Fig. 2B) and reduced H1N1 titers (Fig. 2C). In contrast, prior exposure to Sendai virus had no significant impact on H1N1-induced susceptibility to pneumococcal infection (Fig. 2A). Infection with Sendai virus, like H1N1 infection, induced susceptibility to S. pneumoniae when challenged on day 7 (29), but this susceptibility waned by 26 days after infection (Fig. 3A and B), indicating that residual impacts of primary Sendai infection did not account for the pneumococcal susceptibility observed when Sendai-exposed mice were infected with H1N1 influenza. Thus, specific cross-reactive immunity to influenza, not just conditioning of the lung by any viral infection, reduces susceptibility to secondary bacterial infection. Importantly, the crossreactive immunity to influenza reduced susceptibility to diverse types of bacterial infections: the bacterial challenge studies described above used a serotype 4 strain of gram-positive S. pneumoniae but similar results were observed when mice were challenged with serotype 3 S. pneumoniae (Fig. 4A, B, C) or Klebsiella pneumoniae (Fig. 4D, E, F), a gram-negative bacterium.

It has been shown that non-neutralizing immunity to influenza can accelerate viral clearance (7, 8, 30). Thus, preexisting immunity to influenza may have shifted the period of H1N1induced susceptibility to pneumococcal infection, such that mice became susceptible prior to day 5 after H1N1 challenge. To investigate this possibility, we examined the kinetics of susceptibility in greater detail. We found that mice were susceptible to H1N1-induced pneumococcal infection when bacteria were administered on days 3, 5 and 7, but not day 1, after H1N1 infection, and that prior infection or vaccination with H3N2 suppressed pneumococcal susceptibility at these same times (Fig. 2D). Again, susceptibility correlated with increased bacterial burden (Fig. 2E) and higher viral titers (Fig. 2F). Thus, prior exposure to H3N2 influenza did not accelerate the time of susceptibility. Rather, preexisting mismatched immunity to influenza reduced overall susceptibility to pneumococcal infection.

Cross-reactive T cells and antibody contribute to protection

Cross-reactive CD8 T cells can facilitate non-neutralizing protection against mismatched influenza strains (7, 8), and influenza cross-reactive memory T cells produce interferongamma, one of the cytokines that contributes to H1N1-induced susceptibility to pneumococcal infection (15). Thus, cellular immunity to influenza might be predicted to exacerbate susceptibility to pneumococcal disease. However, depletion of all T cells (anti-Thy1 treatment) or depletion of only CD8 T cells from H3N2-immune mice immediately prior to H1N1 challenge modestly diminished the protection conferred by prior exposure to H3N2 (Fig. 5A) and slightly elevated both the bacterial burden (Fig. 5B) and viral titer (Fig. 5C). Thus, the presence of cross-reactive memory T cells did not exacerbate pneumococcal infection and, rather, contributed to cross-reactive defense against bacterial disease, at least in part, by reducing H1N1 titers.

Antibodies to conserved viral proteins also contribute to cross-reactive immunity to influenza (7, 17, 18, 31, 32). To test the role of antibody in defense against H1N1-induced pneumococcal susceptibility, we administered H3N2-immune serum or control serum to naïve mice prior to infection with H1N1 influenza. The mismatched H3N2-immune serum significantly decreased susceptibility to secondary pneumococcal infection (Fig. 5D). Despite conferring significant protection from lethality, passive immunization with mismatched serum did not significantly reduce bacterial burden (Fig. 5E) or viral titers (Fig. 5F) in the lung. However, H3N2-immune serum did significantly reduce levels of bacteremia and the number of mice with detectable bacteria in blood cultures (Fig. 5E).

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Influenza cross-reactive non-neutralizing immunity typically recognizes conserved, internal proteins of influenza, and immunity to nucleoprotein (NP), a highly conserved internal protein, confers significant protection from lethal influenza challenge (7, 8, 17, 18, 32–38). We observed that vaccinating mice with purified recombinant NP conferred robust protection from H1N1-induced susceptibility to secondary pneumococcal infection (Fig. 5G). NP vaccination markedly reduced pneumococcal burden in the lung (Fig. 5H), despite only modestly reducing viral titers (Fig. 5I). NP vaccination also significantly reduced H1N1-induced susceptibility to *Klebsiella pneumoniae* and serotype 3 *S. pneumoniae* (Fig. 4).

Depletion of CD8 T cells alone, or both CD4 and CD8 T cells, at the time of H1N1 challenge did not significantly impair the protection conferred by NP vaccination (Fig. 6A), suggesting the involvement of alternative effector mechanisms. Other studies have demonstrated that antibodies to NP can confer significant protection from lethal influenza challenge (17, 18, 32). Consistent with a critical role for antibodies, NP vaccination poorly protected B cell-deficient µMT mice and antibody-deficient AID/µS mice from death following influenza and S. pneumoniae infections (Fig. 6B and C). To definitively assess the protective capacity of NP-specific antibodies, we passively transferred NP-specific mAb into naïve mice and then challenged with influenza followed by S. pneumoniae. Remarkably, administration of NP-specific mAb conferred robust protection from H1N1induced secondary pneumococcal disease (Fig. 5J). As with passive immunization with H3N2-immune serum, NP-specific mAb did not significantly reduce viral titers in the lung (Fig. 5L), but did reduce the number of bacteremic mice and levels of bacteremia (Fig. 5K). Notably, passive immunization with NP-specific mAb did not did not suppress bacterial infection directly since it did not affect the median lethal dose of S. pneumoniae in naïve mice (data not shown) and only reduced pneumococcal lethality in mice infected previously with influenza.

Immunity to NP reduces lung inflammation

NP-specific antibodies can help to reduce H1N1 titers in lethal influenza challenge models (17, 18, 31, 32), but did not appear to reduce H1N1 titers in our model of sublethal influenza challenge. Antibodies are known to play diverse roles during host defense, including the suppression of inflammation (39). Indeed, in our studies, passive immunization with NPspecific mAb markedly suppressed levels of inflammatory cytokines and chemokines in lung tissue of H1N1-infected mice, including IL-6, CCL2/MCP-1, and CXCL1/KC (Fig. 7B, C and D). Notably, levels of interferon-gamma were not affected (Fig. 7A). Treatment with H3N2 serum likewise reduced levels of pulmonary inflammation, as did active vaccination with H3N2 virus or NP (Fig. 7B, C and D). In addition, the non-neutralizing immunity induced by infection, immunization or antibody transfer also significantly reduced the expression of platelet activating factor receptor (Fig 7E). Elevated expression of platelet activating factor receptor has been associated with enhanced inflammation and lung pathology during influenza infection (40, 41). These findings suggest non-neutralizing immunity, and cross-reactive NP-specific antibodies in particular, may reduce susceptibility to bacterial infection by reducing inflammation and pathological damage to lung epithelium, events that facilitate colonization of the lung by bacteria (1).

DISCUSSION

Secondary bacterial infections are a common complication of influenza infection and cause significant morbidity and mortality (1, 2). Because of this important clinical problem, we have employed a murine model to examine the influence of influenza infection on susceptibility to secondary bacterial infections and how this can be prevented. Using this model, we have shown that the susceptibility to secondary infection applies to several strains

of bacteria and results in significant lung colonization, bacterial dissemination and death. Consistent with prior studies (1, 14–16), we also found that the window of susceptibility to secondary infection begins at day 3 of influenza infection and extends until at least day 14, when the vast majority of virus has been cleared. These results suggest that susceptibility to secondary infection is not just due to influenza infection but may also be associated with the immune response to the virus, including inflammation in the lungs. In fact, it has been postulated that the extensive mortality observed in the 1918 influenza pandemic was the result of extensive immunopathology that increased susceptibility to secondary bacterial pneumonia (42).

This model has also allowed us to explore how this susceptibility to secondary infections can be overcome. The most common way to manipulate immunity to influenza is via vaccination. Neutralizing immunity to influenza, which is the goal of the yearly influenza vaccine, can prevent infection and illness. While this is the most desirable goal of vaccination, it is not always possible due to rapid antigenic changes in the virus or the appearance of new viral strains. Prior studies have demonstrated that non-neutralizing immunity can reduce influenza illness and mortality in mice. Our observations demonstrate that non-neutralizing immunity to influenza also confers remarkable protection from secondary bacterial infections. Non-neutralizing immunity can be conferred by mismatched live attenuated vaccines (such as Flumist) or by prior influenza infection (19, 43). It can also be conferred by vaccination with conserved internal proteins from the influenza virus, such as NP (17, 18, 32). This non-neutralizing immunity protects from secondary bacterial infection, bacterial dissemination and death.

Our results demonstrate that antibody is a main effector mechanism of the non-neutralizing immunity that protects from bacterial infection. Vaccination of mice that lack B cells or secreted antibody could not confer protection from secondary bacterial infection. In contrast, passive transfer of serum collected from mice that had been previously infected with a mismatched, heterosubtypic influenza strain, or a monoclonal antibody to influenza NP, could protect from secondary bacterial infection. The presence of non-neutralizing antibodies was associated with significant reduction in inflammatory molecules in the lungs of influenza-infected mice. As discussed in a recent review (45), the ability of antibodies to modulate inflammation during an immune response has been appreciated for a long while. Under certain conditions, antibodies can dampen the inflammatory response and they have been used clinically as an anti-inflammatory agent. While the mechanism of this action most likely involves Fc receptors, precisely how this activity works has yet to be elucidated. Importantly, the use of prophylactic antibody treatment to reduce an inflammatory response is not unprecedented in the clinic (46, 47).

The efficient generation of high affinity NP-specific antibodies presumably requires CD4 T helper cells specific for influenza NP (48). A recent study in humans demonstrated an important role for CD4 T cells specific for internal influenza proteins in protection from severe influenza-induced illness (13). In individuals with preexisting CD4 T cells with specificities for NP or matrix protein, there was less illness following influenza challenge. The CD4 T cells identified following viral challenge could respond to a number of different influenza strains, indicating that they would be useful during a mismatched, heterosubtypic infection. While it could not be formally demonstrated in these human studies, the authors speculated that these influenza-specific CD4 T cells were exerting this protective influence by acting as helper cells for a humoral response directed at internal influenza proteins. Moreover, in concordance with our findings, the authors of the human study proposed that the reduction in illness resulted from a reduction in immunopathology, which should result in a reduced susceptibility to secondary bacterial infections (13).

While antibody could suffice to provide significant protection in our mouse model, more robust protection was observed after H3N2 infection (Fig 3A) or rNP vaccination (Fig 3G), suggesting that additional components of the immune system, presumably T cells, also contributed to an optimal protective response. Indeed, we observed that depleting T cells modestly impacted H1N1 titers (Fig 5C), bacterial burden (Fig 5B), and survival (Fig 5A and 6A). Another study using a similar mouse model of secondary bacterial infection recently suggested dominant protective roles for CD4 T cells (44). Specifically, that study demonstrated that seasonal FluMist vaccine could protect against mismatched H1N1 influenza infection and secondary bacterial infection, with CD4 T cells participating in the control of viral titers. Notably, the authors of that study concluded that antibody did not contribute to protection. They came to that conclusion after observing that immune serum from FluMist immunized mice could not neutralize the infectivity of the mismatched H1N1 virus. We obtained analogous results after passive transfer of H3N2 immune serum (Fig 5F) or NP-specific mAb (Fig 5L). Nevertheless, we found that the H3N2 immune serum and NP-specific mAb significantly improved survival and reduced burden after secondary bacterial infection, despite their failure to impact viral titers. Thus, our study reveals a previously unappreciated mechanism of protection in this model, namely that nonneutralizing cross-reactive antibody to influenza can provide significant protection from secondary bacterial infection.

Our decisive findings in a well-controlled animal model substantially strengthen the conclusions of prior studies reporting that mismatched immunity to influenza confers clinical efficacy (11, 12, 49–51). Together, these clinical and animal studies provide compelling evidence that certain mismatched vaccines may benefit public health when matched vaccines are not available. Moreover, our studies suggest that boosting NP immunity may suffice to provide clinical benefit. Boosting NP immunity may require use of live attenuated influenza vaccines or NP-containing subunit vaccines since classical inactivated influenza vaccines only contain low quantities of NP and weakly boost NP antibody responses (18). Passive immunotherapy using NP-specific antibody also may be useful, particularly for those who respond inadequately to active immunization regimen, such as the immunocompromised and elderly. Finally, by demonstrating that preexisting immunity to influenza NP impacts susceptibility to secondary pneumococcal infection, this report opens new lines of investigation for those studying the pathology, epidemiology, treatment and prevention of pneumonia, bronchitis, sinusitis, otitis media, and other bacterial diseases commonly associated with influenza infections (1, 3, 6, 52–54).

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Abbreviations used

ca	cold adapted influenza
NP	influenza nucleoprotein
Spn	Streptococcus pneumoniae

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A General experimental setup



Figure 1. Long-term cross-reactive immunity to influenza protects from secondary bacterial infection

(A) General experimental approach followed for all studies; see each figure legend for specifics of treatments and timing. For B-E, C57BL/6 mice were infected with H3N2 influenza or left uninfected. After 5-6 months, the mice were challenged with H1N1 influenza, followed 5, 7, or 14 days later by infection with S. pneumoniae (Spn). (B) Survival of mice challenged with Spn on day 5 after H1N1 infection (n=10 mice/group). Mice infected previously with H3N2 showed significantly greater survival than control mice (p=0.006 by Log rank test). (C) Bacterial burden in the lung 24 hours after Spn infection. Mice exposed previously to H3N2 harbored significantly fewer bacteria than control mice when both groups were infected with Spn at days 5, 7, and 14 after H1N1 infection (all p<0.04 by Mann Whitney test). Although susceptibility peaked at day 7, we focused survival studies on day 5 because H1N1-infected naïve mice showed significantly greater weight loss than H3N2 immune mice on days 7 and 14, but not on day 5 (not shown). (D) Bacterial burden in the blood 24 hours after Spn infection. (E) Influenza burden at the time of Spn infection. Mice exposed previously to H3N2 harbored significantly less virus than control mice at days 5 and 7 after H1N1 infection (both p<0.02 by Mann Whitney test). The day 5 data and day 7/14 data were collected in two separate H1N1/Spn challenge studies using a single cohort of H3N2 exposed animals; dotted lines depicts limit of detection.



Figure 2. Short-term cross-reactive immunity to influenza specifically protects from secondary bacterial infection

C57BL/6 mice were infected intranasally with H3N2 influenza, Sendai virus or attenuated cold-adapted H3N2 influenza (caH3N2); controls were mock infected with saline (PBS) or left untreated (naïve). After 21 days, mice were challenged intranasally with H1N1 influenza, followed by Spn 5 days later (A–C), or 1, 3, 5, or 7 days later (D–F). (A) Survival (n=20 mice/group for Sendai, 30 for H3N2, 30 for caH3N2, and 50 for PBS; data is pooled from three independent experiments). Mice infected with H3N2 or caH3N2, but not mice infected with Sendai virus, showed significantly greater survival than PBS-treated mice (p<0.001 by Log rank test). (B) Bacterial burden in the lung 24 hours after Spn infection and (C) influenza burden at the time of Spn infection. Mice infected with H3N2 or caH3N2, but not mice infected with Sendai virus, showed significantly reduced bacterial and influenza burden as compared with PBS-treated mice (p<0.001 by Kruskal Wallis test). In B/C, each symbol depicts data for an individual mouse; bar depicts group median; dotted line depicts limit of detection. (D) Survival at day 14 after Spn infection (n=10 or more mice/group). * indicates p<0.05 compared with naïve using Fisher's exact test. (E) Bacterial burden in lung 24 hours after Spn infection and (F) influenza burden at the time of Spn infection. In E/F, bars depict median and interquartile range (n=5 or more mice/group); dotted line depicts limit of detection. * indicates p<0.01 by Kruskal Wallis test when comparing data from each day with the naïve mice challenged with Spn.



Figure 3. Sendai virus infection induces susceptibility to secondary bacterial infection but the susceptibility wanes by day 26 after infection

In Figure 2A–C, we demonstrated that exposure to Sendai virus, unlike exposure to H3N2 influenza, does not reduce the capacity of a subsequent (21 days later) H1N1 infection to induce susceptibility to *S. pneumoniae* (Spn) on day 5 after the H1N1 infection. To demonstrate that Sendai virus itself was not causing the observed susceptibility to Spn at 26 days after prior Sendai virus infection, C57BL/6 mice were infected with Sendai virus or treated with PBS vehicle and then challenged with Spn after 7 (A) or 26 days (B). Consistent with prior report (29), Sendai virus infection increased susceptibility to Spn significantly when mice were challenged on day 7 (p=0.001 by Log rank test; n=10 mice/group). However, this susceptibility was no longer evident when they were challenged on day 26.



Figure 4. Cross-reactive immunity to influenza protects from secondary infection with both gram-positive and gram-negative bacteria

(A–C) C57BL/6 mice were infected with H3N2 influenza or immunized intraperitoneally with recombinant NP (rNP) using LPS/alum adjuvant; controls were mock immunized with PBS or adjuvant alone, respectively. After 21 days, mice were challenged intranasally with H1N1 influenza, followed 5 days later with serotype 3 S. pneumoniae (Spn) strain URF918 (23). (A) Survival (n=10 mice/group). (B) Bacterial burden in the lung 48 hours after Spn infection. (C) Bacterial burden in the blood 48 hours after Spn infection. Infection with H3N2 or immunization with rNP significantly increased survival (both p<0.0001 by Log rank tests) and decreased bacterial burden in lung and blood (all p=0.008 by Mann Whitney tests). (D-F) C57BL/6 mice were infected with H3N2 influenza or immunized intraperitoneally with recombinant NP (rNP) using LPS/alum adjuvant; controls were mock immunized with PBS or adjuvant alone, respectively. After 21 days, mice were challenged intranasally with H1N1 influenza, followed 5 days later with Klebsiella pneumoniae clinical isolate strain IA565 (24). (D) Survival (n=10 mice/group). (E) Bacterial burden in the lung 48 hours after Spn infection. (F) Bacterial burden in the blood 48 hours after Spn infection. Infection with H3N2 or immunization with rNP significantly increased survival (both p < 0.0005 by Log rank tests), and significantly decreased bacterial burden in lung (p = 0.02and 0.008, respectively, by Mann Whitney tests).



Figure 5. Cross-reactive T cells and antibody both contribute to protection from secondary bacterial infection

(A-C) C57BL/6 mice were infected with H3N2 influenza or left untreated (naïve). On day 21, mice were challenged intranasally with H1N1 influenza, followed 5 days later with Spn. On days 20 and 22, mice were treated with Thy1 mAb to deplete all T cells or CD8 mAb to deplete CD8 T cells; controls received a rat IgG2b control mAb. (A) Survival (n=20 mice/ group; data pooled from two independent studies). (B) Bacterial burden in the lung 24 hours after Spn infection and (C) influenza burden at the time of Spn infection. In comparison with H3N2 infected mice treated with control mAb, mice treated with anti-CD8 exhibited significantly decreased survival (p=0.04 by Log rank test), and mice treated with anti-Thy1 showed significantly increased bacterial and viral burden (both p<0.05 by Kruskal Wallis test comparing all H3N2 infected mice). (D-F) C57BL/6 mice received passive immunizations with H3N2-immune serum or control serum. The next day, they were challenged intranasally with H1N1 influenza. After 5 days, all mice were challenged with Spn. (D) Survival (n=30 mice/group; data pooled from three independent studies). (E) Bacterial burden in the lung and blood 24 hours after Spn infection, and (F) influenza burden at the time of Spn infection. Passive immunization with H3N2-immune serum significantly increased survival (p=0.005 by Log rank test) and decreased the incidence of

bacteremia (p=0.003 by Chi-square test; n=10 mice/group), but did not significantly impact pulmonary bacterial or viral burden. (G-I) C57BL/6 mice were infected with H3N2 influenza or immunized intraperitoneally with recombinant NP (rNP) using LPS/alum adjuvant; controls were mock immunized with adjuvant alone. After 21 days, mice were challenged intranasally with H1N1 influenza, followed 5 days later with Spn. (G) Survival (n=20 mice/group; data pooled from two independent studies). (H) Bacterial burden in the lung 24 hours after Spn infection. (I) Influenza burden at the time of Spn infection. Infection with H3N2 or immunization with rNP significantly increased survival (both p<0.0001 by Log rank tests), decreased bacterial burden (both p<0.001 by Kruskal Wallis test), and decreased viral burden (p<0.001 and p<0.05, respectively by Kruskal Wallis test). (J-L) C57BL/6 mice were passively immunized with NP-specific mAb or isotype-matched control mAb (Mouse IgG2a) and then challenged intranasally with H1N1 influenza. After 5 days, all mice were challenged with Spn. (J) Survival (n=40 mice/group; data pooled from four independent studies). (K) Bacterial burden in the lung and blood 24 hours after Spn infection. (L) Influenza burden at the time of Spn infection. Passive immunization with NP mAb significantly increased survival (p=0.0003 by Log rank test), decreased the pulmonary bacterial burden (p=0.004 by Mann Whitney; n=15 mice/group) and incidence of bacteremia (p=0.003 by Chi-square test; n=15 mice/group), but did not significantly impact viral burden.



Figure 6. The immunity to influenza NP that protects from secondary bacterial infection is compromised in mice lacking B cells or circulating antibody, but not T cells

(A) Wild type mice were immunized intraperitoneally with rNP using LPS/alum adjuvant; controls were mock immunized with adjuvant alone. After 21 days, mice were challenged intranasally with H1N1 influenza, followed 5 days later with Spn. On days 20 and 22, mice were treated with CD8 mAb or a combination of CD4 and CD8 mAb; controls received a rat IgG2b control mAb. Survival was not compromised significantly in mice treated with CD8 or CD4 and CD8 mAb (n=8–10 mice/group). (B/C) Wild type (WT) and B cell-deficient μ MT mice (B) or circulating antibody-deficient AID. μ S mice (C) were immunized intraperitoneally with rNP using LPS/alum adjuvant; controls were mock immunized with adjuvant alone. After 21 days, mice were challenged intranasally with H1N1 influenza, followed 5 days later with Spn. Among mice immunized with rNP, WT mice showed significantly increased survival when compared to either μ MT or AID. μ S mice (both p<0.0001 by Log rank tests; n=10 mice/group).

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Figure 7. Immunity to NP suppresses induction of inflammatory cytokines and chemokines Levels of mRNA encoding interferon-gamma (A), IL-6 (B), CCL2 (C), and CXCL1 (D) in lung tissue from C57BL/6 mice infected with H1N1 influenza for 5 days. Graphs show the fold change (FC) from uninfected controls. As indicated, mice were previously exposed to H3N2 influenza, vaccinated with rNP, passively immunized with H3N2 serum, or passively immunized with NP mAb (open circles). Control mice (closed circles) were previously exposed to PBS (control for H3N2), vaccinated with adjuvant alone (control for rNP), passively immunized with non-immune serum (control for H3N2 serum), or passively immunized with irrelevant mouse IgG2a mAb (control for NP mAb). Active and passive immunity to NP significantly suppressed levels of mRNA encoding IL-6, CCL2, and CXCL1, but not interferon-gamma (all p<0.05 by student's *t* test versus respective controls).