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Association between Hearing Loss and Saccular Dysfunction in Older Individuals

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Abstract

Objective—(1) Describe the association between hearing loss and dysfunction of each of the five vestibular end-organs – the horizontal, superior and posterior semicircular canals, saccule and utricle – in older individuals. (2) Evaluate whether hearing loss and vestibular end-organ deficits share any risk factors.

Study design—Cross-sectional study.

Setting—Academic medical center.

Patients—Fifty-one individuals age 70.

Interventions—Audiometry, head-thrust dynamic visual acuity (htDVA), sound-evoked cervical vestibular-evoked myogenic potential (cVEMP) and tap-evoked ocular VEMP (oVEMP).

Main Outcome Measures—Audiometric pure-tone averages (PTA), htDVA LogMAR scores as a measure of semicircular canal function in each canal plane, and cVEMP and oVEMP amplitudes as a measure of saccular and utricular function, respectively.

Results—We observed a significant correlation between hearing loss at high frequencies and reduced cVEMP amplitudes (or reduced saccular function; $r = -0.37$, $p < 0.0001$) in subjects age 70. In contrast, hearing loss was not associated with oVEMP amplitudes (or utricular function), or htDVA LogMAR scores (or semicircular canal function) in any of the canal planes. Age and noise exposure were significantly associated with measures of both cochlear and saccular dysfunction.

Conclusion—The concomitant decline in cochlear and saccular function associated with aging may reflect their common embryologic origin in the pars inferior of the labyrinth. Noise exposure appears to be related to both saccular and cochlear dysfunction. These findings suggest a potential

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benefit of screening individuals with presbycusis – particularly those with significant noise exposure history – for saccular dysfunction, which may contribute to fall risk in the elderly.

Keywords

vestibular; age-related hearing loss; noise-induced hearing loss; presbycusis; VEMP; utricle; saccule; otolith; DVA; fall

Introduction

The inner ear consists of auditory and vestibular structures responsible for hearing and balance function. It has been well described that both systems experience age-related changes causing functional decline that is considered part of the *normal* aging process. However, auditory and vestibular dysfunction can have severe consequences. The older adult with hearing impairment is more limited in verbal communication, with effects on productivity, quality of life, cognitive and emotional status,^{1–7} while the individual with balance dysfunction is more prone to suffer from dizziness and has an increased risk for falls, a major public health problem.^{8,9}

Furthermore, deterioration of these inner ear functions is fairly common. In the US, 16% of the adult population suffers from hearing impairment. This proportion reaches 49% between ages 60–69¹⁰ and 63% in adults over 70 years old.¹¹ Similarly, the prevalence of balance dysfunction is approximately 35% individuals over age of 40 and up to 69% in adults over age 70.⁸

While studies of aging effects on auditory and vestibular function continue to grow, only a few investigations have evaluated the relationship of the functional decline in these two systems.^{12–17} The primary aim of the present study was to investigate the association between hearing loss and deficits in each of the five vestibular end-organs – the horizontal, superior and posterior semicircular canals, saccule and utricle – in older individuals. Previously identified risk factors for hearing loss include race, gender, noise exposure, smoking and medical comorbidities such as hypertension, stroke and diabetes.^{8,10,18–23} Our second aim was to evaluate whether any of the known risk factors for hearing loss are similarly risk factors for the functional deterioration of the vestibular end-organs.

Methods

Subjects

We performed a cross-sectional study at a tertiary care academic medical center. Study subjects were recruited from a registry of older individuals interested in participating in clinical studies as well as from outpatient geriatrics clinics. Subjects were age 70 and over given the high prevalence of vestibular dysfunction in this age group. Individuals were excluded if they could not participate in study procedures due to blindness, poor neck range of motion or cervical spine instability (for htDVA and cVEMP testing). Subjects were also excluded if they had a history of diabetes mellitus, given prior data suggesting a significant association between diabetes mellitus and vestibular dysfunction, which could confound the effects of normative aging on the auditory and vestibular system.⁸ This study was approved by the Johns Hopkins Medicine Institutional Review Board and all participants provided informed consent.

Demographic and Hearing-related Variables

Data on patient age, gender, race and educational level were collected based on prior work showing significant associations between these factors and both auditory and vestibular dysfunction.^{8,10} Additional information regarding medical comorbidities such as hypertension, smoking history and noise exposure was also obtained. Hypertension was defined as self-reported physician diagnosis or use of antihypertensive medication. Smoking history included the number of years smoked and number of cigarette packs smoked per day. Pack-years of smoking were computed, and participants were divided into smoking categories (nonsmoker, <20 pack-years of smoking, and ≥20 pack-years of smoking).

We defined noise exposure based on both subjective *and* objective parameters. For the subjective determination of noise exposure, participants were queried about the presence of recreational, weapon or occupational noise exposure using standard questions.²¹ Exposure to recreational noise was determined by a question asking if the subject had ever been exposed outside of their occupation to loud noise (e.g., power tools or loud music) for an average of at least once a month for 1 year. Exposure to weapon noise was defined as exposure outside of their occupation to the noise of a firearm an average of at least once a month for 1 year. Exposure to occupational noise was defined as noise exposure in the workplace (requiring speaking in a raised voice) for at least 3 months. An affirmative answer to any of the noise categories was enough to determine subjective noise exposure. Objective noise exposure was based on audiometric configuration typical of noise induced hearing loss, defined by thresholds at 4, 6, and 8kHz ≥25dB and a 10 dB recovery of hearing thresholds at 8kHz (i.e. 10 dB better than the average thresholds at 4–6kHz).^{24,25} Participants were considered to have a history of noise exposure only if one of the subjective measures AND the objective audiometric measure were both positive.

Audiometry

In order to assess auditory function, pure tone threshold audiometry was performed in a sound-proofed room by a licensed audiologist (R.E.D.). Instrumentation included a Madsen Auricle audiometer with standard TDH-39 headphones. Equipment was calibrated according to the American National Standard Specifications for Audiometers (ANSI S3.6-1969). The test environment met the criteria for background noise in audiometric rooms as specified by the American National Standard Criteria for Permissible Ambient Noise during Audiometric Testing (ANSI S3.1-1977).

Air-conduction thresholds were determined for each ear from 0.5 to 8 kHz over an intensity range of –10 to 120 dB. Outcome parameters were defined as the pure-tone average (PTA) at different frequencies: *speech-frequency* was defined as PTA at 0.5, 1, 2, and 4 kHz; *high-frequency* was calculated from the PTA between 4 and 8kHz; and *low-frequency* was calculated from the PTA between 0.25 to 1kHz. Hearing loss was defined for each of these three frequency-specific categories as a PTA of 25 dB or greater. One participant did not undergo audiometry because the testing facility was unavailable.

Vestibular physiologic testing

All participants underwent comprehensive vestibular physiologic testing, including head thrust dynamic visual acuity (htDVA), cervical and ocular vestibular evoked myogenic potential (c-, and oVEMP) testing. Vestibular testing procedures have previously been described in detail.²⁶

HtDVA testing

We used head thrust dynamic visual acuity (htDVA) testing to evaluate semicircular canal function (DVA-Test Micromedical Technologies; IL, USA).²⁷ Briefly, the participant was

seated 8 ft (2.4 meters) in front of a high resolution 18.1-inch monitor. Participants were instructed not to wear their glasses or contact lenses during DVA testing given difficulties consistently viewing through the corrective lenses during head movement. Static visual acuity was measured first, by repeatedly displaying a single optotype (the letter C, randomly rotated each trial by 0, 90, 180 or 270°) on the monitor. Participants viewed three optotypes per acuity level, with optotype size then being decremented in steps equivalent to a visual acuity change of 0.1 LogMAR ($\log_{10}X$, where X = the minimum angle resolved, in arcmin, with 1 arcmin = 1/60°). The better one's visual acuity, the lower one's LogMAR score, with LogMAR = -0.3, 0, 0.3, 0.7, and 1.0, corresponding to Snellen visual acuity of 20/10, 20/20, 20/40, 20/100, and 20/200, respectively.

Static visual acuity was scored at the lowest acuity level where the participant was able to correctly identify all three optotypes. For the dynamic component of the test, a single-axis rate sensor was positioned on the subject's head so that the sensor's axis of maximum sensitivity aligned with that of the semicircular canal being tested. Passive (manually-imposed) head thrusts were delivered within a semicircular canal-pair plane in a random direction.

During each head thrust, the optotype was displayed when head velocity, sensed by the rate sensor, was between 120 and 180°/s for more than 40 ms. The optotype flashed on the monitor for up to 85 ms (equivalent to approximately a 9–13.5° head rotation). The test began at each individual's static visual acuity and then increased in steps equivalent to a visual acuity change of 0.1 LogMAR (given that dynamic visual acuity is poorer than static visual acuity), until the subject was able to identify three optotypes at a given level. The DVA test score was calculated by subtracting the static visual acuity LogMAR score from the htDVA LogMAR score. One participant did not undergo htDVA testing due to concerns with neck discomfort (not the same participant who did not undergo audiometry).

CVEMP testing

Participants underwent cervical vestibular-evoked myogenic potential (cVEMP) testing in response to air-conducted sound to assess saccular function.^{28–30} They were positioned supine with their upper body elevated at a 30-degree angle from horizontal. The neck was actively flexed by the participant during cVEMP stimulation and recording to provide tonic background muscle activity. Air-conducted 500 Hz (125 dB SPL) tone bursts of positive polarity, with a linear envelope (1 ms rise/fall time, 2 ms plateau), at a repetition rate of 5 pulses per second were delivered monaurally via intra-auricular speakers. This stimulus has provided good reliability in eliciting VEMPs.^{31,32} CVEMPs were recorded from an electrode montage consisting of a non-inverting electrode placed at the midpoint of the ipsilateral sternocleidomastoid muscle belly, an inverting electrode placed on the sternoclavicular junction, and a ground electrode placed on the manubrium sterni. The responses to 100 stimuli were averaged. The first positive and negative peaks that occurred between 13 and 23 ms after stimulus onset were designated p13 and n23, respectively. The raw peak-to-peak amplitude was calculated as the sum of the p13 and the n23 amplitudes. The corrected peak-to-peak amplitude was calculated by dividing the raw peak-to-peak amplitude by the rectified background EMG activity recorded during the 10-ms interval before stimulus onset. The corrected p13 amplitude (referred to hereafter as the p13 amplitude) was calculated in a similar manner. This correction factor accounts for the variable tonic muscle tone that affects cVEMP amplitudes.^{31,33}

OVEMP testing

To evaluate utricular function, tap-evoked ocular VEMP (oVEMP) testing was performed.^{30,34–36} Participants were instructed to maintain a 30-degree upgaze while they

lay supine with their upper bodies elevated at a 30-degree angle from horizontal. “Mini taps” were manually delivered by a reflex hammer at the Fz cranial site (in the midline at the hairline, 30% of the distance between the inion and nasion).³⁴ For oVEMPs the electrode montage included a noninverting electrode centered 5 mm beneath the pupil, an inverting electrode centered 2 cm below the noninverting electrode, and a ground electrode placed on the manubrium sterni. The responses to 50 stimuli were averaged. Before testing with tap stimulation, 20° vertical saccades were recorded from both eyes. If the signal change showed > 25% asymmetry, the electrodes were replaced. The n10 potential was identified as the first negative peak in the waveform, and occurred 7–11 ms after stimulus onset. The n10 amplitude was measured at the maximum negative voltage of the n10 potential.³¹

Statistical analysis

Bivariate associations between PTA (high-frequency, low frequency and speech frequency) and measurements of vestibular end-organ function were analyzed using Pearson’s correlation.

Vestibular test results stratified by previously defined risk factors for age-related hearing loss were analyzed with Mann-Whitney U tests. Hearing thresholds were also analyzed based on the presence of risk factors using t-tests, given their normal distribution. Multivariate analyses were performed to assess the association between corrected cVEMP amplitude and high frequency PTA adjusting for demographic characteristics. Data from the right and left sides were considered individually for a total of 102 ears. All results were considered significant at the $P < 0.05$ level. SPSS version 18 (IBM SPSS, Chicago, IL) was used for statistical analyses.

Results

Fifty-one participants were included in this study. Males comprised 49% of subjects and females 51%, Forty subjects (78%) were age 70–80, and 11 (22%) were above age 80. 88% of subjects were white and 12% were black, and a majority of subjects had greater than a high school education (Table 1). In terms of medical comorbidities, 28% of subjects were heavy smokers and 63% had a history of hypertension (Table 1). Twelve percent of participants met criteria for noise exposure (Table 1).

Hearing loss was significantly correlated with reduced saccular function, represented by reduced corrected cVEMP amplitudes for air-conducted sound (ACS). This relationship was more statistically significant when considering high-frequency PTA ($r = -0.37$, $p < 0.001$, Figure 1) compared to speech frequency ($r = -0.33$, $p = 0.001$) or low-frequency PTA ($r = -0.234$, $p = 0.019$) (Table 2). Five ears showed normal hearing thresholds (< 20) in the high frequencies. Of these, cVEMP responses were present in all ears. Given that the association between saccular dysfunction and high-frequency hearing loss was strongest, we consider high-frequency hearing loss in subsequent analyses.

Hearing loss was not associated with utricular dysfunction represented by low oVEMP amplitudes nor with semicircular canal dysfunction represented by poor htDVA LogMAR scores in any of the semicircular canal planes (Table 2).

Given that the saccular measure (cVEMP for ACS) was the only measure associated with hearing loss, we evaluated for associations between corrected cVEMP amplitudes and previously identified ARHL risk factors, including demographic characteristics and medical comorbidities, in bivariate analyses (Table 3). Consistent with prior studies, we observed that older age, male gender, white race, smoking and a history of noise exposure were significantly associated with high frequency hearing loss ($p < 0.010$). However, only older

age, smoking history and noise exposure had a significant influence on cVEMP amplitudes in bivariate analyses ($p < 0.0001$, $p = 0.028$ and $p = 0.004$ respectively; Table 3). Increasing age and a history of noise exposure were associated with reduced saccular function (corrected cVEMP amplitudes). Participants with a smoking history of < 20 pack-years had significantly higher cVEMP amplitudes and lower high frequency PTA than non-smokers and heavy smokers ($p = 0.028$ and 0.033 respectively). It should be noted that participants who smoked < 20 pack-years were younger than the non-smokers and heavy smokers; this apparent effect of smoking is thus reversed with adjustment for age in multivariate analyses below. To determine whether the association between high frequency hearing loss and saccular dysfunction may be due to their shared risk factors, we performed multivariate analyses adjusting for age, race, gender, smoking history and noise exposure (Table 4). We observed that high frequency PTA was significantly associated with cVEMP amplitude even after adjustment for shared risk factors: each dB increase in high frequency PTA was associated with a 0.01 decrease in cVEMP amplitude ($p = 0.040$; Table 4). We also observed that noise exposure maintained a significant association with cVEMP amplitudes independent of its effect on hearing loss: noise exposure was associated with a decline in corrected cVEMP amplitude of 0.52 ($p = 0.043$; Table 4). Smoking history did not have a significant independent association with cVEMP amplitude in multivariate analyses. Of note, noise exposure was not associated with oVEMP amplitudes or LogMAR scores in any of the canal planes (data not shown).

Finally, we considered the association between frequency-specific auditory function and corrected cVEMP amplitudes. We observed the strongest correlation at 6000 Hz ($r = -0.414$, $p < 0.0001$), a frequency typically associated with noise induced hearing loss.

Discussion

These data suggest that in older individuals, functional loss occurs concomitantly in the cochlea and the saccule. The cochlea is the inner ear organ that subserves auditory function, while the saccule is an otolith organ involved in vertical linear movement detection and sensing gravitational changes. Prior studies in animal models have also observed parallel declines in auditory and vestibular function;^{14–17,37} indeed, one study specifically reported combined auditory and gravity receptor aging in a strain of mice.¹⁷ However, no prior study has evaluated the function of the cochlea and each of the 5 vestibular end-organs in humans. Although we observed concurrent cochleosaccular dysfunction, we did not find any significant associations between aging of the cochlea and of the utricle or any of the semicircular canals.

A possible explanation for the association between cochlear and saccular function is the shared embryological origin of these structures. The cochlea and saccule arise from the pars inferior of the inner ear, after the three semicircular canals and utricle have already developed from the pars superior.³⁸ The common embryology may result in anatomic and physiologic coupling of these organs. Indeed, Gussen (1980) suggested that presbycusis is an example of a cochleosaccular degenerative process whereby dislodged saccular otoconia reach the cochlea through the ductus reuniens and cochlear duct, thus also affecting the cochlear base and high frequency hearing thresholds.³⁹ Other studies of human temporal bone specimens have also described otoconial loss from the saccule associated with cochleosaccular degeneration with increasing age.^{40–42} In addition, an experiment in the squirrel monkey showed independent endolymphatic circulation in the utricle and saccule which may explain why insults to the saccule may not affect the utricle and perhaps also the semicircular canals.⁴³ The shared susceptibility of the cochlea and saccule has also been observed in other pathologic processes such as Meniere's disease, which is typified histopathologically by cochleosaccular hydrops.⁴⁴

While noise exposure is well recognized as a risk factor for hearing function, its possible negative effect on vestibular function is less well-characterized. McCabe and Lawrence (1958) showed that intense noise exposure in guinea pigs only affected the saccule within the vestibular labyrinth and spared the utricle and canals.⁴⁵ More recently, Kumar et al (2010) noted saccular dysfunction based on cVEMP testing in individuals who suffered from noise-induced hearing loss.⁴⁶ Sound transmission across the stapes footplate displaces the inner ear fluids, and excessive noise exposure may lead to a destruction of the saccular neuroepithelium which lies in proximity to the oval window.⁴⁷ Acoustic trauma also leads to the generation of reactive oxygen species which may be toxic to the saccular macula. The pars superior structures may be shielded from both fluid shifts and noxious substances by the intervening membrane limitans.^{48,49} Our findings corroborate that noise exposure may be a significant risk factor for both auditory and saccular dysfunction. Furthermore, we extended the work of Kumar et al (2010) by evaluating all five peripheral vestibular end-organs. We observed as McCabe and Lawrence did that noise exposure was only significantly associated with saccular function; however, we cannot exclude the possibility of some noise-induced damage to the utricle or canals that is subclinical or compensated. Indeed a recent study in rats showed that utricular and semicircular canal afferents are responsive to click stimuli.⁵⁰ Furthermore, in non-human primates, oculomotor signals recorded in response to acoustic clicks suggest origins from the utricle and horizontal canal.⁵¹ More work will be required to fully characterize the sound-sensitivity of the vestibular end-organ.

What are the clinical implications of the association between hearing loss and saccular dysfunction? Recent investigations in older adults have described that hearing loss is associated with an increased risk for falls.⁵²⁻⁵⁴ The present work suggests that hearing loss may be associated with fall risk in part due to its coexistence with vestibular (specifically, saccular) dysfunction, which is a known risk factor for falling.^{8,26,55} Thus, one implication of our findings is that older individuals found to have high-frequency sensorineural hearing loss should be screened for fall risks, and that screening may include cVEMP testing. Another implication is that older individuals with a history of noise exposure may require attention not only to the risk of hearing loss, but to the risk of balance dysfunction as well.

Individuals found to be at risk for falls can be referred to fall risk-reduction programs. The US Centers for Disease Control (CDC) note that at least 22 specific interventions for community-dwelling older adults have rigorous scientific evidence of effectiveness in reducing the risk of falls.⁵⁶ However, the CDC's guidelines do not presently include hearing loss as a risk factor that should trigger referral to a fall prevention program. Our results suggest that older individuals with high-frequency hearing loss may well be at increased risk for falls and may benefit from directed fall risk reduction measures such as updating prescription lenses, home environmental modifications and possibly vestibular physical therapy.

A limitation of this study is the inability to segregate central from peripheral influences on the results of the vestibular physiologic testing. For instance, the cVEMP test is an electromyographic response measured at the sternocleidomastoid muscle that is believed to evaluate a sacculo-collic reflex. It was originally suggested that the latency of the response was strongly influenced by central mechanisms,⁵⁷⁻⁵⁹ but it cannot be ruled out that a low amplitude may also be the result of a central influence on the signal. Interpretation of oVEMPs and htDVA LogMAR scores, and potentially audiometry as well, are also subject to this constraint. A further limitation of this study is the cross-sectional nature of the data and the hazards of attributing causality to the association found between noise exposure and saccular dysfunction. However, work in guinea pigs also suggests that noise exposure sufficient to damage the cochlea also damages saccular function.⁴⁵

Conclusion

The concomitant decline in cochlear and saccular function associated with aging may reflect the common embryologic origin of both structures, which comprise the pars inferior of the labyrinth. Noise exposure appears to be related to both saccular and cochlear dysfunction. These findings suggest a potential benefit of screening older individuals with high-frequency hearing loss – especially in those who were exposed to intense noise – for saccular dysfunction, which may contribute to fall risk.

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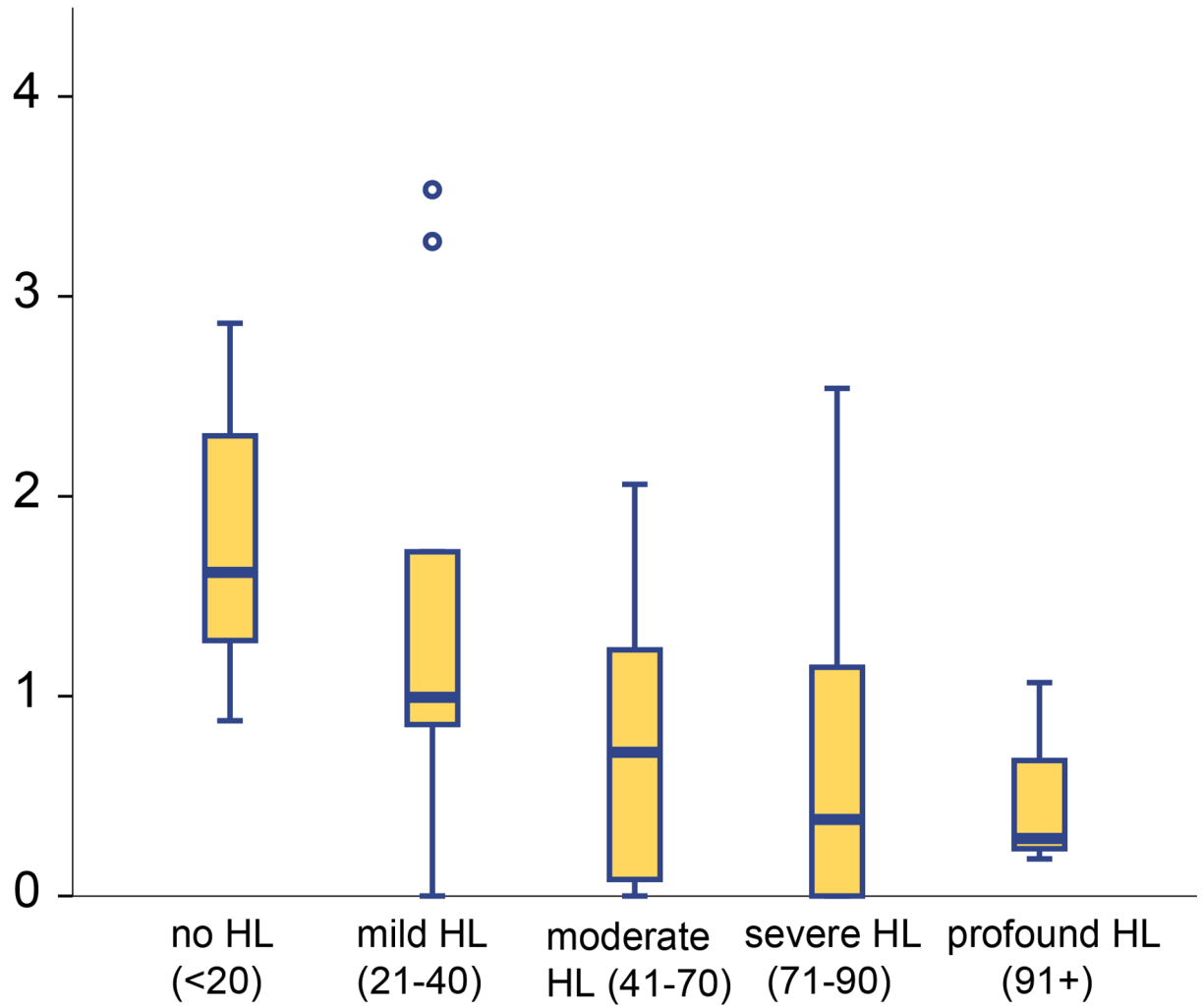
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Median corrected cVEMP amplitude



High frequency hearing loss (HL)

Figure 1. Corrected cVEMP amplitude as a function of high frequency hearing loss.

Table 1

Subjects demographics and medical comorbidities

	No. (%) Participants
Demographic Characteristics	
Gender	
Male	25 (49%)
Female	26 (51%)
Age	
70–80	40 (78%)
> 80	11 (22%)
Race	
White	45 (88%)
Black	6 (12%)
Education	
High school or less	17 (33%)
More than high school	34 (66%)
Medical Comorbidities	
Smoking	
Nonsmoker	26 (51%)
<20 pack-years	11 (22%)
20 pack-years	14 (27%)
Hypertension	
No	19 (37%)
Yes	32 (63%)
Noise exposure ¹	
No	38 (74%)
Yes	6 (12%)

¹Data missing in 7 participants

Table 2

Mean speech and high frequency PTA and correlations with vestibular physiologic tests in study population

Hearing level	N=100 Mean PTA (SD)	Correlations					
		cVEMP	oVEMP	Hor DVA	Sup DVA	Post DVA	
Low frequency	25.2 (15.1)	r=-0.23 p=0.019	r=-0.10 p=0.313	r=0.09 p=0.385	r=0.10 p=0.312	r=0.13 p=0.187	
Speech frequency	34.2 (14.3)	r=-0.33 p=0.001	r=-0.06 p=0.582	r=0.09 p=0.384	r=0.02 p=0.849	r=0.07 p=0.465	
High frequency	62.6 (19)	r=-0.37 p<0.0001	r=0.04 p=0.683	r=0.13 p=0.208	r=-0.05 p=0.600	r=0.09 p=0.399	

Hor: horizontal; Sup: superior; Post: posterior

Table 3

Mean high frequency PTA and median cVEMP amplitude by medical comorbidities

Characteristics	N=50 N (%)	High frequency PTA		N=51 N (%)	cVEMP amplitude	
		dB	p-value		μV	p-value
DEMOGRAPHIC CHARACTERISTICS						
Age						
70–80	39 (78%)	59.3	0.001	40 (78%)	0.92	< 0.0001
> 80	11 (22%)	74.5		11 (22%)	0.09	
Gender						
Male	25 (50%)	71.5	< 0.0001	25 (49%)	0.70	0.634
Female	25 (50%)	53.8		26 (51%)	0.85	
Race						
White	45 (90%)	64.5	0.003	45 (88%)	0.81	0.929
Black	5 (10%)	45.6		6 (12%)	0.70	
Education						
High school or less	16 (32%)	65.8	0.255	17 (33%)	0.84	0.354
More than high school	34 (68%)	61.1		34 (66%)	0.73	
MEDICAL COMORBIDITIES						
Smoking						
Nonsmoker	26 (52%)	58.4	0.033	26 (51%)	0.61	0.028
< 20 packyears	10 (20%)	46.7		11 (22%)	1.25	
20 packyears	14 (28%)	57.8		14 (27%)	0.70	
Hypertension						
No	19 (38%)	63.4	0.764	19 (37%)	0.98	0.451
Yes	31 (62%)	62.2		32 (63%)	0.70	
Noise exposure [†]						
No	37 (74%)	60.5	0.010	38 (76%)	0.86	0.004
Yes	6 (12%)	75.6		6 (12%)	0.00	

[†]Data missing in 7 participants

Table 4

Multivariate analysis of association between cVEMP amplitude and high frequency PTA

Risk factor	cVEMP amplitude	
	β (SE)	p-value
Age	-0.03.7 (0.1)	0.027
Gender	-0.15 (0.17)	0.386
Race	-0.32 (0.26)	0.215
Noise exposure	-0.52 (0.25)	0.043
Smoking history	0.065 (0.09)	0.48
High frequency PTA	-0.01 (0.005)	0.040