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## Action of RORs and Their Ligands in (Patho)physiology

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## Abstract

The retinoic-acid-receptor-related orphan receptors (RORs) are members of the nuclear receptor (NR) superfamily whose activity has been implicated in a number of physiological and pathological processes. The RORs, specifically RORa and ROR $\gamma$ , are considered master regulators of T<sub>H</sub>17 cells, a recently described subset of CD4<sup>+</sup> T helper cells that have been demonstrated to have a pathological role in autoimmune disease. As with most members of the NR superfamily, RORs are ligand regulated, suggesting that their activity can be modulated by synthetic ligands. Recent advances in the field have established that selective inhibition of the RORs is a viable therapeutic approach for not only the treatment of autoimmune disorders, but ROR-mediated metabolic disorders as well.

#### Keywords

Nuclear receptor; steroid receptor; lipid; oxysterol; autoimmunity

## Introduction

The human nuclear receptor (NR) superfamily is a highly conserved family of transcription factors comprised of 48 members. NRs function as ligand-dependent transcription factors and share considerable amino-acid sequence homology<sup>1</sup>. General structural characteristics of NRs are a variable amino-terminal A/B domain, a central, highly conserved DNA binding domain (DBD), also termed a C region, a hinge region (D), and a carboxy-terminal ligand binding domain (LBD, or E region). The LBD is responsible for the recognition and binding of the receptor's ligand as well as ligand-dependent transcriptional activity. Some receptors contain an additional C-terminal region, or F domain, of which the function is poorly understood.

Approximately half of the NR superfamily have well characterized natural ligands whereas the remaining receptors are considered "orphan" receptors and remain the focus of intense research<sup>2</sup>. The majority of NRs with identified natural ligands are also validated targets for clinical purposes and are a rich source of therapeutics aimed at the treatment of a great number of diseases, including inflammation, cancer, and metabolic disorders. Orphan NRs are an active area of research due to the potential for identification of ligands that may be used to modulate these receptors with the goal of developing targeted therapeutics for various diseases<sup>3</sup>. Over the past few years, there have been significant breakthroughs in the

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identification of novel ligands, both natural and synthetic, for several orphan NRs. This review examines the progress made in the identification of ligands for the retinoic acid receptor-related orphan receptors (RORs) and their roles in immune and metabolic processes.

## **RORs: the basics**

Comprised of three members [RORa (NR1F1), ROR $\beta$  (NR1F2), and ROR $\gamma$  (NR1F3], the RORs are considered "orphan" receptors as their endogenous ligands have yet to be definitively agreed upon. Due to their known roles in metabolic and immune processes, there is significant interest in the identification of ligands that regulate the RORs due to their potential for clinical utilization. Unlike most family members, the RORs recognize and bind as monomers to specific sequences of DNA, termed ROR response elements (ROREs), typically consisting of an AGGTCA "half site" with a 5′ AT-rich extension, in the regulatory region of the target gene<sup>4–6</sup>. When bound to this element within the promoter of their target genes, the RORs constitutively recruit co-activators resulting in continual activation of transcription of their target genes<sup>7,8</sup>. Another group of NRs, the REV-ERBs, recognize the same response elements as the RORs and are co-expressed in many tissues<sup>9–11</sup>. The REV-ERBs are ligand-dependent transcriptional repressors, and in many cases, functionally antagonize the action of the RORs<sup>12–14</sup>.

The three RORs display significant sequence similarity and conservation between species. Each ROR generates multiple isoforms based on alternative promoter usage andexon splicing, with all of the isoforms varying only in the amino-terminal region of the receptor<sup>7</sup>. The RORs display distinct patterns of tissue expression and are involved in the regulation of various physiological processes. RORa is widely expressed and is found in liver, skeletal muscle, skin, lungs, adipose tissue, kidney, thymus, and brain<sup>15,16</sup>. The expression of ROR $\beta$  is extremely restricted and is limited to the central nervous system<sup>17,18</sup>. ROR $\gamma$ t has been the focus of considerable attention due to its role in T helper 17 cells (T<sub>H</sub>17) cell development and autoimmune disease pathology. ROR $\gamma$ , specifically ROR $\gamma$ 2 (also called ROR $\gamma$ t), is highly expressed in immune tissues, including the thymus, but there is significant expression of ROR $\gamma$  in the liver, skeletal muscle, adipose tissue, and kidney<sup>7</sup>. Due to significant sequence and functional similarities, ROR subtypes co-expressed in cells may exhibit functional overlap<sup>7</sup>. However, the physiological relevance and responsiveness of all of the different isoforms of each ROR has yet to be clarified.

## **ROR regulation in circadian rhythms**

Circadian rhythms are daily cycles of biochemical, behavioral, and physiological processes controlled by endogenous "clocks" that play essential roles in the regulation of an organism's physiology, including metabolism (Figure 1)<sup>19</sup>. In mammals, the master circadian clock is located in the suprachiasmatic nucleus (SCN) of the hypothalamus. Aberrant circadian rhythms are associated with numerous disorders in humans, including sleep and mood disorders. The circadian rhythm is generated by a feedback loop where heterodimers of BMAL1 and CLOCK (the positive arm) activate the expression of the *cryptochrome* (*Cry*) and *period* (*Per*) genes (the negative arm). RORa is a core part of the clock machinery that positively regulates the expression of *BMAL1*<sup>20,21</sup>. RORa competes with REV-ERBa for binding to their shared DNA response element in the *BMAL1* promoter resulting in REV-ERBa mediated repression of RORa and REV-ERBa in the SCN leads to the circadian pattern of *BMAL1* expression thus interconnecting the positive and negative arms of the core circadian clock. (Figure 1). Therefore, RORa influences the period length and stability of the clock<sup>20</sup>.

Genetic models in which the RORs are either modified or have been deleted, have been instrumental in identifying their roles in the circadian rhythm. The *staggerer* mouse (ROR $\alpha^{sg/sg}$ ) is a natural mouse mutant that carries an intragenic insertion within the ROR $\alpha$  gene, which results in a frameshift and premature stop codon, rendering ROR $\alpha$  inactive<sup>15</sup>. *Staggerer* mice exhibit severe cerebellar ataxia as well as a shortened period length when placed under constant dark conditions<sup>20</sup>. ROR $\beta^{-/-}$  mice also exhibit aberrant circadian rhythm, such that under constant dark conditions, ROR $\beta^{-/-}$  mice have a longer period length than wild type (wt) mice<sup>17</sup>. While no overt circadian abnormalities were apparent in ROR $\gamma^{-/-}$  mice, recent work has demonstrated that ROR $\gamma$  directly regulates neuronal PAS domain protein 2 (Npas2) *in vivo* suggesting a regulatory role for this receptor in Npas2-dependent physiological processs<sup>7,24</sup>. Likewise, several lines of evidence suggest a link between cardiovascular disease, metabolic disturbances and mood disorders with disrupted circadian rhythms<sup>25</sup>. Given its extensive role in the regulation of the circadian rhythm, targeted modulation of ROR $\alpha$  appears a feasible means by which to regulate these disorders.

## RORs in metabolism and metabolic disease

The aforementioned genetic models have also been invaluable in identifying the roles of the RORs in physiological processes. On a normal diet, Staggerer mice display hypo-alipoproteinemia, have lower total plasma cholesterol levels, lower high density lipoprotein (HDL), apolipoprotein AI (Apoa1, the major constituent of HDL), lower apolipoprotein CIII levels (Apoc3), Apoa2, and triglycerides, compared to wild type mice<sup>26–28</sup>. Staggerer mice have decreased expression of the reverse cholesterol transporters Abca1 and Abca8/g1 in their liver and intestine, and are much less susceptible to hepatic steatosis and weight gain, compared to wt mice<sup>29</sup>. Sterol regulatory element-binding protein 1, isoform c (Srebp-1c) is reduced in the liver and muscle of *staggerer* mice as is the enzyme fatty acid synthase  $(Fas)^{29,30}$ . Expression of the co-activators Peroxisome proliferator-activated receptor- $\gamma$ coactivator (PGC)-1 $\alpha$  and  $\beta$ , proteins involved in the regulation of oxidative metabolism and gluconeogenesis are increased in *staggerer* mice<sup>31</sup>. Furthermore, expression of the p450 enzyme Cyp7b1 is reduced in staggerer mice. RORa directly regulates Cyp7b1 expression by binding to a functional RORE in the promoter regulatory region of the Cyp7b1 gene<sup>30,32</sup>. These observations suggest that RORa functions as a positive regulator of Cyp7b1 function<sup>30,32</sup>. Staggerer mice also have smaller brown and white adipose cells than wt mice and when fed a high fat diet, staggerer mice are resistant to weight gain and hepatic steatosis<sup>29</sup>.

Evidence supporting RORa's role in glucose metabolism is derived from studies in steroid receptor coactivator-2 (SRC-2) knockout mice. These mice display symptoms similar to von Gierke's disease, which is associated with severe hypoglycemia and abnormal accumulation of glucose in the liver. SRC-2 controls the expression of hepatic *Glucose-6-Phosphatase (G6Pase)*, an enzyme that is critical for maintaining fasting blood sugar levels by increasing hepatic glucose production and co-activates RORa bound to the RORE on theG6Pase promoter <sup>33</sup>. Finally, it was recently demonstrated that RORa controls the expression and secretion of *Fibroblast Growth Factor 21 (FGF21)*, a hepatic hormone that regulates peripheral glucose tolerance and hepatic lipid metabolism<sup>34</sup>. Since RORa is critical in regulating the expression of key enzymes in the gluconeogenic pathway, suppression of RORa activity may lead to a decrease in the elevated hepatic glucose output levels observed in type 2 diabetes.

Initial characterization of  $\text{ROR}\gamma^{-/-}$  mice revealed that they display normal cholesterol and triglyceride levels, with slightly lower blood glucose levels than their wt counterparts<sup>30</sup>. However, recent evidence suggests that  $\text{ROR}\gamma$  may indeed have a role in metabolism through regulation of adipogenesis and insulin sensitivity. Meissburger *et al.* demonstrate

that ROR $\gamma$  is a negative regulator of adipocyte differentiation *in vitro*. When overexpressed during adipocyte differentiation, ROR $\gamma$  decreases the amount of differentiated adipocytes. However, *in vivo* differentiation of adipocyte precursors in ROR $\gamma^{-/-}$  mice was enhanced but showed decreased size. The smaller adipocytes were insulin sensitive and protected the mice from obesity induced hyperglycemia and insulin resistance <sup>35</sup>. Moreover, analysis of adipose stromal-vascular fractions from obese human subjects demonstrated a positive correlation between ROR $\gamma$  expression and adipocyte size that was negatively correlated with adipogenesis and insulin sensitivity. These findings suggest that ROR $\gamma$  may be a novel target for the treatment of obesity-associated insulin resistance<sup>35</sup>.

The deletion of both RORa and ROR $\gamma$  exhibit similar changes in cholesterol, triglyceride, and blood glucose levels as the single knock out mice. Gene expression analysis from livers of double knock out (DKO) mice suggests a degree of functional redundancy between RORa and ROR $\gamma$  which is most likely due to the similarities in RORE binding affinities<sup>30</sup>. However, the recent evidence regarding obesity and insulin resistance in the ROR $\gamma^{-/-}$  mice highlights the differences between the two NRs in metabolic processes.

## RORs and (auto)immunity

Host defense against invading pathogens is largely dependent upon distinct adaptive immune responses facilitated by the differentiation of CD4<sup>+</sup> T cells into specific lineages of effector T helper cells (T<sub>H</sub>1, T<sub>H</sub>2, and T<sub>H</sub>17 cells)<sup>36</sup>. Both RORa and ROR $\gamma$ , specifically ROR $\gamma$ t, have generated a significant amount of attention over the past few years due to their essential role in the development of  $T_{\rm H}17$  cells. Until recently, it was generally thought that there were only two T helper subsets within the CD4<sup>+</sup> T cell repertoire,  $T_{\rm H}1$  and  $T_{\rm H}2$ .  $T_{\rm H}1$ cells mediated cellular immunity against intracellular bacteria and viruses whereas TH2 cells were thought to be involved in the humoral response to parasitic pathogens<sup>36</sup>. However, T<sub>H</sub>1 cells that responded to self-antigen can lead to autoimmune diseases whereas dysregulation of T<sub>H</sub>2 responses to allergens and parasites can cause specific allergic and parasitic pathology. T<sub>H</sub>1 cells had long been thought to be the mediators of tissue damage in autoimmune disease<sup>36</sup>. Key experiments using two established mouse models of autoimmunity, experimental autoimmune encephalomyelitis (EAE) and type II collageninduced arthritis (CIA), largely led to the discovery of another T helper subset known as  $T_H 17$  cells (Figure 2). In this setting,  $T_H 17$  cells were critical mediators of much of the pathology associated with EAE and CIA. T<sub>H</sub>17 cells are defined by a specific cytokine profile and secrete IL-17, IL-9, IL-21, IL-22, IL-26, and CCL20<sup>37</sup>. These mediators are responsible for a number of different effector functions in host defense as well as autoimmune diseases.

Despite the negative implications for  $T_H 17$  cells, this cell type plays a significant role in host defense against extracellular pathogens, specifically gram-negative bacteria at mucosal surfaces, as well as obligates intracellular pathogens, including intracellular bacteria and fungi<sup>38</sup>. In addition,  $T_H 17$  cells have been shown to exhibit general tissue protective functions<sup>37</sup>.

Key factors in the development of  $T_H 17$  cells involve the RORs, specifically RORa and ROR $\gamma$ t, one isoform of ROR $\gamma$  that is exclusively detected in a few distinct types of cells in the immune system<sup>39</sup>. Overexpression of ROR $\gamma$ t in naïve CD4<sup>+</sup> T cells was demonstrated to drive the induction and development of  $T_H 17$  cells<sup>40</sup>. Furthermore, ROR $\gamma$ t<sup>-/-</sup> mice display impaired  $T_H 17$  cell development<sup>40</sup>. Mice deficient in both RORa and ROR $\gamma$  completely lack  $T_H 17$  cells and are resistant to the development of several autoimmune diseases, including EAE<sup>41,42</sup>. Collectively, these data suggested that targeted inhibition of RORa and

## Regulation of RORs by endogenous ligands

The ligand binding domains of NRs are multifunctional. Typically, ligand binding induces a conformational change in the receptor resulting in dissociation of corepressors and recruitment of co-activators<sup>1</sup>. However, RORs are constitutively active meaning that they are in an active conformation in the absence of ligand and that ligand binding might actually repress receptor activity (inverse agonist, see box 1). While identification of the endogenous ligands for RORs has been controversial, recent evidence suggests that, similar to the liver X receptor's (LXRs), oxygenated sterols may function as high affinity ligands. Indeed, 7oxygenated sterols (7α-OHC, 7β-OHC, and 7-ketocholesterol) function as inverse agonists to both RORs. The 7-oxygenated sterols bind to both RORa and RORy isoforms with an affinity significantly greater than the affinity for cholesterol and cholesterol sulfate, and suppress their transactivation properties. It was also shown that both RORa and ROR $\gamma$  are constitutively active in the absence of ligand, able to bind co-activator peptides, and activate transcription. Furthermore, the 7-oxygenated sterols modulated the expression of  $ROR\alpha/\gamma$ dependent target genes in a receptor dependent manner<sup>8</sup> and possess the ability to induce the conformational change necessary to alter cofactor binding and transcriptional activity, a core requirement for a *bona fide* ligand (Figure 3).

#### Box 1

## Definition of a NR ligand

NRs are generally characterized as ligand-dependent transcription factors. Typically, NR ligands are small, hydrophobic molecules including steroid hormones, fatty acids, and lipophilic vitamin derivatives. True ligands bind in the LBD of NRs inducing a conformational change within the receptor thus providing an interface for cofactor binding. Co-factors can be either co-activators or co-repressors. Ligands are classified according to their ability to regulate a specific NRs transcriptional activity.

#### Agonist

An agonist binds to the LBD and induces a conformational change resulting in increased recruitment of co-activator proteins. This results in maximal alterations in target gene transcription.

#### Antagonist

An antagonist does not provoke a response from the receptor. Rather, an antagonist binds the LBD and blocks the ability of an agonist to bind and activate the receptor.

#### Inverse agonist

An inverse agonist binds within the LBD of a given receptor, but inhibits the basal constitutive activity of the receptor. This generally describes a ligand to a particular NR that in its basal conformation is not bound by any ligand but allows for interaction with a cofactor protein (either coactivator or corepressor) leading to transcriptional activity. An inverse agonist induces a conformational change within the receptor that decreases the affinity of the receptor for a cofactor protein.

#### **Partial agonists**

Partial agonists bind and activate a receptor, but only with partial efficacy relative to a ligand that elicits a maximal response.

The RORs have intrinsic transcriptional activity, meaning that they are constitutively active since it has been demonstrated that they bind co-activator proteins in the absence of ligand. When ligand binding occurs, it represses the transcriptional activity of the receptor.

Several other endogenous RORa and ROR $\gamma$  ligands have been described recently. 24*S*-hydroxycholesterol (24*S*-OHC) is a high affinity ligand for RORa and ROR $\gamma$ , and similar to the 7-oxygentated sterols, 24*S*-OHC acts as an inverse agonist and dose dependently reduces the RORa and ROR $\gamma$  constitutive activity<sup>43</sup>. As a consequence, expression of *BMAL1* and *REV-ERBa* mRNAs are also reduced. In a similar manner, 24*S*,25-epoxycholesterol (24,25-epoC) and 24*R*-cholesterol (24*R*-OHC) also selectively bind to and regulate the activity of ROR $\gamma^{43}$ .

20a-hydroxycholesterol (20a-OHC), 22*R*-hydroxycholesterol (22*R*-OHC), and 25hydroxycholesterol (25-OHC) were also shown to be putative endogenous ligands for ROR $\gamma^{44}$  as all three ligands dose dependently increased the recruitment of coactivator peptides to ROR $\gamma$ , *in vitro*<sup>44</sup>. In addition, elucidation of the ROR $\gamma$  crystal structure revealed that these three ligands bind to ROR $\gamma$  in a similar manner. The ROR $\gamma$  crystal structures also demonstrated that the AF-2 domain at the C- terminus of the receptor, together with helices H3, H4, and H5 form a charge clamp pocket, the area that facilitates binding of co-activator proteins to NRs. Mutational studies of this region revealed that an intact charge clamp pocket was required for these hydroxycholesterols to affect ROR $\gamma$  activity<sup>44</sup> (Table 1).

Despite the identification of these putative ligands for the RORs, their physiological significance and whether they are regulatory or structural is not clear. For instance, several studies using RORa LBD purified from insect cells identified and characterized cholesterol, cholesterol sulfate, and several other cholesterol derivatives as endogenous putative ligands<sup>45,46</sup>. While subsequent studies have since established that several of these ligands are fortuitous, they suggest a requirement for ligand-bound LBD for receptor stability<sup>47</sup>. Mutations in several key amino acids known to be involved in ligand binding abolishes the constitutive activity of the receptor, which could be attributed to the instability of the receptor in the absence of ligand<sup>48</sup>. Furthermore, much of the work describing ROR ligands has occurred using artificial systems in vitro with luciferase reporter systems. To date, only the 7-oxygenated sterols, 24S-OHC, 24,25-epoC, and 24R-OHC were shown to affect ROR target gene expression *in vitro*<sup>8,43</sup>. It is also difficult to envisage how many of these putative ligands could function as regulatory ligands due to their circulating levels within tissues. Whether these ligand associate with the RORs in vivo has yet to be determined. These questions need to be answered in order to determine whether the described ROR ligands are deemed "endogenous" and regulatory. With this in mind, using these ligands as "tools" to understand the biology of the RORs should be met with caution. Despite the relative discrepancy, these data suggests that the RORs may function as lipid sensors and thus play a major role in the regulation of lipid metabolism.

## Modulation of ROR activity with synthetic ligands

The identification of endogenous ligands to ROR $\alpha$  and ROR $\gamma$  intensified the search for synthetic ligands that could modulate ROR activity (Table 1). The synthetic LXR agonist T0901317 was the first synthetic inverse agonist identified for both ROR $\alpha$  and ROR $\gamma$ . Despite its potency at activating ROR $\alpha$  and ROR $\gamma$ , T0901317 displays promiscuity and binds to several NRs including LXR, farnesoid X receptor (FXR), and pregnane X receptor (PXR), thus limiting its use as a chemical tool to explore the activity of the RORs in physiological settings<sup>49,50</sup>.

A focused medicinal chemistry approach to develop analogs of T0901317 that activated RORs but not other NRs led to the development of several ROR-selective modulators. The first RORa/ $\gamma$ -specific synthetic ligand characterized was the amide SR1078. (Figure 3). SR1078 was initially identified as an inverse agonist as it repressed the constitutive activity of RORa and ROR $\gamma$  and inhibited the recruitment of co-activators to ROR $\gamma$  in a dose dependent manner<sup>51</sup>. However, further examination revealed that SR1078 acts as an agonist and stimulated expression of two ROR target genes, *G6Pase* and *FGF21*, in the liver. Pharmacokinetic studies revealed that SR1078 displays reasonable plasma exposure, thus enabling its use as a chemical tool to probe the function of RORa and ROR $\gamma$  both *in vitro* and *in vivo*<sup>52</sup>.

RORa expression is induced in response to some types of cellular stress and is downregulated in several breast, prostate, and ovarian cancer cell lines <sup>53</sup>. Interestingly, activation of RORa by SR1078 in this setting results in an increase in p53 levels and apoptosis suggesting that RORa represents a novel target for the development of cancer therapeutics<sup>54</sup>.

The inverse agonist, SR3335 (Table 1) was initially identified based on its ability to inhibit the constitutive activity of RORa. Furthermore, SR3335 directly bound to the LBD of RORa, with little effect at ROR $\gamma$ , and suppressed expression of RORa target genes involved in hepatic gluconeogenesis including *G6Pase* and *Phosphoenolpyruvate Carboxykinase (PEPCK)*. Pharmacokinetic studies revealed that SR3335 had reasonable plasma exposure and administration of this ligand to diet induced obese mice (DIO) led to reduced plasma glucose levels following a pyruvate tolerance test (PTT), an indicator of gluconeogenesis<sup>55</sup>. Given that elevated glucose output is observed in type 2 diabetes (T2D), suppression of RORa activity with novel ligands like SR3335 may hold utility in the treatment of metabolic disorders, including T2D.

With the accumulating evidence surrounding RORa and ROR $\gamma$ t's role in T<sub>H</sub>17 cell development and autoimmune pathology, identification of a dual and highly selective RORa/ $\gamma$  inverse agonist that inhibits T<sub>H</sub>17-mediated pathology is extremely enticing and such efforts led to the identification and characterization of SR1001 (Table 1), a first-inclass RORa/ $\gamma$ -specific inverse agonist. (Figure 3). SR1001 directly binds to the LBD of both RORa and ROR $\gamma$  resulting in a conformational change that decreases affinity for coactivators and increased affinity for corepressors<sup>56</sup>. When screened against all 48 human nuclear receptors, SR1001 displayed activity only at RORa and ROR $\gamma$ . *In vitro*, SR1001 inhibited IL-17 expression and T<sub>H</sub>17 cell development without affecting the differentiation and function of SR1001 delayed the onset and severity of EAE, through inhibition of T<sub>H</sub>17 cell development and function. These data demonstrate that small molecule inhibitors of ROR activity are effective at suppressing T<sub>H</sub>17-mediated autoimmune diseases<sup>56</sup>.

Huh *et al.* identified the well-known cardiac glycoside digoxin (Table 1), a small molecule inhibitor of ROR $\gamma$  activity. Currently, digoxin is used clinically in the treatment for various heart conditions. Digoxin normally competes with K<sup>+</sup> ions for the same binding site on the Na<sup>+</sup>/K<sup>+</sup> ATPase pump, thereby altering electrical conduction in the heart. Digoxin suppressed ROR $\gamma$ -mediated activity only, and displayed no activity for ROR $\alpha$ , Drosophila hormone receptor 3 (DHR3), the *C. elegans* nuclear hormone receptor Dauer formation-12 (DAF-12), or the androgen receptor<sup>57</sup>. Digoxin inhibited T<sub>H</sub>17 cell differentiation and function and delayed the onset and severity of EAE<sup>57</sup>. Despite its efficacy in this model, major drawbacks with digoxin are its toxicity, occurrence of adverse drug reactions associated with use of this drug, and a narrow therapeutic window. Given these issues, less toxic digoxin analogs have been derived that are able to inhibit ROR $\gamma$  activity and T<sub>H</sub>17 cell

differentiation and function, *in vitro*<sup>57</sup>. The crystal structure of the ROR $\gamma$  LBD bound to digoxin was recently resolved demonstrating the mechanism by which digoxin inhibited ROR $\gamma$  activity. Similar to SR1001, when bound to ROR $\gamma$ , digoxin inhibited co-activator binding<sup>58</sup>. These findings demonstrate the feasibility of targeting ROR $\gamma$  or both ROR $\alpha$  and ROR $\gamma$  with small molecules for the treatment of T<sub>H</sub>17-mediated autoimmune disorders.

Ursolic acid has also been demonstrated to target ROR $\gamma$  and thus inhibit T<sub>H</sub>17 cell differentiation. When administered *in vivo*, mice treated with ursolic acid exhibited a delay of onset with decreased severity of symptoms of EAE. Biochemical assays indicate that ursolic acid effectively binds the LBD of ROR $\gamma$  leading to displacement of co-activator binding, whereas it had little effect at ROR $\alpha^{59}$ . Ursolic acid, which is present in many plants, including apples, was originally described asa potential anti- cancer therapeutic able to inhibit various types of cancer cells by inhibiting STAT3 activation<sup>60</sup>. Further examination suggested that ursolic acid reduced the expression of matrix metalloproteinase-9 (MMP-9) by potentially acting through the glucocorticoid receptor (GR)<sup>61</sup>. Given the steroidal-like structures of both ursolic acid and digoxin, the possibility that both compounds exhibit activity at GR complicates the *in vivo* interpretations. Glucocorticoids are very effective at inhibiting symptoms of EAE and are in fact routinely prescribed by neurologists to reduce the severity and duration of relapses in MS patients.

While SR1001 was effective at delaying the onset and reducing the severity of EAE, there was some concern that this compound, which modified the activity of ROR $\alpha$ , would induce a phenotype similar to that of the *staggerer* mouse, including ataxia and a disrupted circadian rhythm<sup>15,56</sup>. Furthermore, while several ROR $\gamma$  selective modulators had already been described, their utility as candidates for further drug development was limited. Therefore, further development of ROR $\gamma$  modulator was warranted. SR2211 is a selective ROR $\gamma$  modulator that binds to the LBD of ROR $\gamma$  and functions as an inverse agonist suppressing receptor activity <sup>62</sup>. Therefore, SR2211 is a potent and efficacious ROR $\gamma$  modulator with potential utility in the treatment of T<sub>H</sub>17-mediated autoimmune disorders.

Despite their high profile roles in  $T_H 17$ -mediated autoimmunity, the expression of ROR $\gamma t$ and ROR $\alpha$  are not restricted to this cell type, nor are all  $T_H 17$  cells pathogenic. Recent evidence links ROR $\alpha$  to the maintenance of IgA<sup>+</sup> memory B cells<sup>63</sup>. Certain types of innate lymphoid cells, including lymphoid tissue inducer cells (LTi),  $\gamma \delta T$  cells, and intestinal epithelial cells (IEPs), express ROR $\gamma t$  <sup>6465</sup>. Innate lympoid cells play important roles in tissue surveillance and can be the first lines of defense against a number of invading pathogens<sup>65</sup>. Similarly,  $T_H 17$  cells have proven to be essential for host defense against some gram-negative bacteria and fungal infections at mucosal surfaces<sup>66</sup>. Given the increasing number of immune cells expressing the RORs, inhibiting their activity during particular immune system assaults may be detrimental. Therefore, careful assessment of the infection and invading pathogen(s) may be warranted prior to administration. Alternatively, some infections due to specific gram-negative bacteria or fungi may require the use of ROR agonists to amplify the immune response from these ROR-restricted cell types.

## **Concluding remarks**

To date, several groups have developed or described numerous small molecule ligands to ROR $\alpha$  and ROR $\gamma$ . Collectively, these data demonstrate that these orphan NRs are not only valid drug targets, but have efficacy at suppressing T<sub>H</sub>17 cell development and function both *in vitro* and *in vivo*. While further optimization of the small molecules is still needed, it is obvious that targeting the RORs for the treatment of T<sub>H</sub>17-mediated autoimmune disorders represents a promising endeavor as novel treatments of autoimmune disorders. Current treatments for known T<sub>H</sub>17-mediated autoimmune diseases, including multiple sclerosis, use

agents that are general immunosuppressants and thus the side-effect profile is significant. The targeting of the RORs present a significant advantage over the current therapies as they specifically target the one arm of the immune system mediating disease, rather that the immune system as a whole. Finally, extensive analysis of the use of these ligands *in vivo* has yet to occur. While genetic studies are valuable tools for elucidating the roles these receptors play in physiology, the roles of the RORs during metabolic and autoimmune disease progression can be extensively studies through *in vivo* use of specific synthetic ligands.

## Glossary

Co-activator	a nuclear receptor co-activator is a transcriptional coregulatory protein that contains nuclear receptor interacting domains. The co-activator is unable to bind DNA by itself but assists nuclear receptors to bind to HREs on target gene promoter site and increase transcription
Corepressor	a nuclear receptor co-repressor, similar to a co-activator, contains nuclear receptor interacting domains. The co- repressor assists nuclear receptors in the downregulation of target gene expression
Diet induced obesity (DIO)	a mouse model of pre-diabetic type 2 diabetes and obesity with elevated blood glucose and impaired glucose tolerance
Experimental autoimmune encephalomyelitis (EAE)	a mouse model of autoimmunity. Symptoms and disease progression in EAE are similar to those experienced by those of multiple sclerosis patients
Hormone response element (HRE)	a short DNA sequence in the promoter of a gene that binds a specific NR complex and regulates transcription. An HRE is most commonly composed of two inverted repeats separated by three nucleotides, which allows the receptor to bind as a dimer
Ligand binding domain (LBD)	a domain found in NRs that is highly conserved between the various NR where ligands bind and modulate gene transcription. The LBD contributes to the dimerization interface of the receptor and in addition binds coactivator and corepressor proteins
Nuclear receptors (NRs)	highly conserved transcription factors that generally regulate gene transcription in a ligand-dependent manner. Steroid hormones are perhaps the most recognized members of the NR superfamily
Orphan receptors	A NR is considered an orphan receptor when it has no known, or generally agreed upon, endogenous ligand(s) identified
Retinoic-acid- receptor-related orphan receptor (ROR)	a member of the NR superfamily. Three isoforms of ROR exist, ROR- $\alpha$ , - $\beta$ , and - $\gamma$ , each encoded by a different gene. RORs bind as monomers to hormone response elements as opposed to the majority of other nuclear receptors which bind as dimmers
T helper 17 cells (Th17)	a subset of T helper cells that are developmentally distinct from Th1 and Th2 cells and that produce interleukin 17 (IL-17). Th17 cells are thought to play a key role in autoimmune

disease such as multiple sclerosis, psoriasis, juvenile diabetes, rheumatoid arthritis, and Crohn's disease

Type II collageninduced arthritis(CIA) an animal model of polyarthritis that is induced by immunization with type II collagen of susceptible mice and rats

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## Figure 1. ROR Regulation of the Circadian Rhythm

Circadian rhythms are biological processes that display endogenous oscillations of approximately 24 hours and are regulated by a core circadian clock. The master circadian clock is located in the suprachiasmatic nucleus (SCN) of the hypothalamus. There are several interconnected transcriptional auto-regulatory feedback loops controlling the circadian cycle. Heterodimers of BMAL1 and CLOCK activate the expression of CRY and PER genes. Once the CRY/PER heterodimers reaches a critical threshold, they enter the nucleus and repress BMAL/CLOCK transactivation. RORa and REV-ERBa have been demonstrated to positively or negatively regulate the expression of BMAL1, respectively. RORa competes with REV-ERBa for binding of their shared DNA response element in the BMAL1 promoter. The oscillating pattern of RORa and REVERBa in the SCN dictates the circadian pattern of of BMAL1 expression. This RORa/REV-ERBa feedback loops interconnects the positive and negative arms of the core circadian clock.



#### Figure 2. RORa and ROR $\gamma$ in T<sub>H</sub>17 Cell Differentiation

In the presence of several exogenous factors, including TGF $\beta$ , IL-6, and IL-1, naïve CD4<sup>+</sup> T cells differentiate into T<sub>H</sub>17 cells. Exogenous IL-23 is necessary for the propagation of pathogenic T<sub>H</sub>17 cells. The expression of RORa and particularly ROR $\gamma$ t is necessary for T<sub>H</sub>17 cell differentiation and for the expression of IL-17A and IL-17F, among other cytokines. T<sub>H</sub>17 cells play a significant role in host defense against extracellular pathogens at mucosal surfaces. However, aberrant T<sub>H</sub>17 cell activity has been associated with the pathology of several autoimmune diseases, including multiple sclerosis, rheumatoid arthritis, and psoriasis.



#### Figure 3. Regulation of ROR activity with synthetic ligands

The RORs are considered to have intrinsic transcriptional activity, meaning that they are constitutively active and bind coactivators in the absence of ligand. However, due to the ubiquitous expression of putative ROR ligands, it remains to be determined whether the RORs are ever in an unbound state or require ligand for receptor stability. Treatment with an agonist(SR1078) would result in the recruitment of more coactivator proteins, thereby enhancing transcriptional activity. Inverse agonists, when bound to the RORs LBD, induce a conformational change in the receptor resulting in dissociation of coactivator proteins and recruitment of corepressor proteins. Inverse agonists repress the activity of the receptors.

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Table 1

**Structure of ROR Ligands** Both natural and synthetic ligands are displayed.

Name	Structure	Origin	Receptor preference	Ligand type	Affinity (Ki)	REFS
T0901317	O O O O O O O O O O O O O O O O O O O	Human NR specificity screen	RORa, RORY, LXRa LXRβ PXR FXR other	RORs: Inverse agonist LXRs, PXR, FXR: Agonist	RORa: 132nM RORy: 51nM	67, 68, 49, 50,
SR1001	Put to the second secon	Synthetic small molecule – analog of T0901317	RORa ROR <sub>Y</sub>	Inverse agonist	RORa: 172nM ROR <i>y</i> : 111nM	56
SR1078	F <sub>5</sub> C	Synthetic small molecule – analog of T0901317	RORa ROR <sub>Y</sub>	Agonist	IC <sub>50</sub> : 1–3μM	51
SR3335	CL-SCH CL	Synthetic small molecule – analog of T0901317	ROR a	Inverse agonist	220nM	55
SR2211	son for the second seco	Synthetic small molecule – analog of T0901317	RORY	Inverse agonist	105nM	62

REFS	45, 44	46	59	57	×	∞	∞
Affinity (Ki)	EC <sub>50</sub> ; 200nM		IC <sub>50</sub> ; 680nM	K <sub>d</sub> : 109nM	RORa: 12–18nM RORy: 17–31nM	RORa: 12–18nM RORy: 17–31nM	RORa: 12–18nM RORy: 17–31nM
Ligand type	agonist	agonist	Inverse agonist	Inverse agonist	Inverse agonist	Inverse agonist	Inverse agonist
Receptor preference	RORa	RORa	RORy	RORY	RORa ROR <sub>Y</sub>	RORa ROR <sub>Y</sub>	RORa RORy
Origin	Sf-9 insect cells ubiquitously expressed in all mammalian cells	Sf-9 insect cells ubiquitously expressed in all mammalian cells	Small chemical library screen, carboxylic acid expressed in plants	chemical screen, isolated from Foxglove plant	Screen of oxysterols for ROR activity	Screen of oxysterols for ROR activity	Screen of oxysterols for ROR activity
Structure	L L L L L L L L L L L L L L L L L L L	T T T T O SO	H <sup>2</sup> COO H		P P	Potential and the second secon	
Name	Cholesterol	Cholesterol Sulfate	Ursolic Acid	Digoxin	7a-hydroxy- cholesterol	7β-hydroxy- cholesterol	7-keto- cholesterol

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Name	Structure	Origin	Receptor preference	Ligand type	Affinity (Ki)	REFS
20a-hydroxy- cholsterol	OH H H H H H H H H H H H H H H H	Alpha screen of cholesterol and hydroxycholesterols	ROR $\gamma$	agonist	EC <sub>50</sub> : 20-40nM	44
22R hydroxy- cholsterol	δ <sub>s</sub> , , , , , , , , , , , , , , , , , , ,	Alpha screen of cholesterol and hydroxycholesterols	RORY	agonist	EC <sub>50</sub> : 20-40nM	44
25-hydroxy- cholsterol	HO	Alpha screen of cholesterol and hydroxycholesterols	RORY	agonist	EC <sub>50</sub> : 20–40nM	44
24S-hydroxy- cholsterol	δ.	Screen of oxysterols for ROR activity	RORa ROR <i>y</i>	inverse agonist	25nM	43
24.25 -epoxy- cholsterol	d f f f f f or	Screen of oxysterols for ROR activity	RORY	inverse agonist	20nM	43
24R-hydroxy- cholsterol	HO HO HO HO	Screen of oxysterols for ROR activity	RORY	inverse agonist	102nM	43

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