

Hornwort pyrenoids, carbon-concentrating structures, evolved and were lost at least five times during the last 100 million years

Juan Carlos Villarreal¹ and Susanne S. Renner

Systematic Botany and Mycology, Department of Biology, University of Munich (LMU), Munich 80638, Germany

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Ribulose-1,5-Biphosphate-carboxylase-oxygenase (RuBisCO) has a crucial role in carbon fixation but a slow catalytic rate, a problem overcome in some plant lineages by physiological and anatomical traits that elevate carbon concentrations around the enzyme. Such carbon-concentrating mechanisms are hypothesized to have evolved during periods of low atmospheric CO₂. Hornworts, the sister to vascular plants, have a carbon-concentrating mechanism that relies on pyrenoids, proteinaceous bodies mostly consisting of RuBisCO. We generated a phylogeny based on mitochondrial and plastid sequences for 36% of the approximately 200 hornwort species to infer the history of gains and losses of pyrenoids in this clade; we also used fossils and multiple dating approaches to generate a chronogram for the hornworts. The results imply five to six origins and an equal number of subsequent losses of pyrenoids in hornworts, with the oldest pyrenoid gained ca. 100 Mya, and most others at <35 Mya. The nonsynchronous appearance of pyrenoid-containing clades, the successful diversification of pyrenoid-lacking clades during periods with low [CO₂], and the maintenance of pyrenoids during episodes of high [CO₂] all argue against the previously proposed relationship between pyrenoid origin and low [CO₂]. The selective advantages, and costs, of hornwort pyrenoids thus must relate to additional factors besides atmospheric CO₂.

independent origins

The enzyme most important in photosynthesis is Ribulose-1,5-Biphosphate-carboxylase-oxygenase, or RuBisCO. This enzyme has a low CO₂ attraction and catalytic rate, which plants compensate for by increasing their CO₂ affinity, synthesizing large quantities of the enzyme, or increasing the CO₂ concentration near it (1–3). Among green organisms (algae and land plants), CO₂-concentrating mechanisms (CCMs, also referred to as carbon-concentrating mechanisms) have evolved in numerous lineages to increase the CO₂:O₂ ratio inside their photosynthetic cells. These mechanisms are C₄ photosynthesis, Crassulacean acid metabolism, and the pyrenoids found in algae and hornworts (4, 5). Pyrenoids are proteinaceous bodies consisting of up to 90% RuBisCO (6, 7). In algae, CO₂ levels near the pyrenoid are raised up to 180 times above the concentration in the rest of the cell, enhancing photosynthesis in aquatic environments where CO₂ diffusion is limited (4). The extent to which pyrenoids are crucial to algal CCMs, however, has been controversial because, although all pyrenoid-containing algae have CCMs, not all algae expressing CCMs have pyrenoids (8). Some algal lineages without pyrenoids have passive or active ways of accumulating CO₂ and they may rely on carbon anhydrases to create a pool of internal dissolved carbon (DiC) (8), suggesting alternative adaptive advantages of pyrenoids besides RuBisCO concentration and more efficient CO₂ reduction.

Hornworts, the sister group to vascular plants (9, 10), have pyrenoids in approximately 100 of their 200 species (11) and are the only embryophytes with such structures. The ultrastructure of hornwort pyrenoids ranges from compact pyrenoids, as found in species of *Notothylas*, to highly dissected pyrenoids in some *Nothoceros* and *Phymatoceros* (12). Additionally, hornwort plastids

have a stacked arrangement of thylakoid membranes (grana) that results in the spatial separation of photosystems and increases the efficiency of light capture in terrestrial environments (13). Hornwort grana consist of stacks of short thylakoids and lack end membranes. Therefore, unlike other land plants, hornwort grana are devoid of the membrane “sacs” that enclose intrathylakoid spaces. Presumably, the perpendicular channel thylakoid system in hornwort plastids serves to isolate biochemical processes (13).

Organic isotope discrimination supports a function in CO₂ concentration for hornwort pyrenoids (14–18). Mass spectrometry analyses show that hornworts with pyrenoids (e.g., *Phaeoceros* and *Notothylas*) have lower compensation points (11–13 vs. 64 ppm) and larger pools of DiC (suggesting an accumulation of inorganic CO₂) than the C₃ liverworts, the organisms chosen as the reference point, a comparison across a vast phylogenetic distance.

Despite their important function, molecular phylogenies suggest losses of pyrenoids in some hornworts: for example, in the common ancestor of the genera *Megaceros* (approximately four species) and *Phaeomegaceros* (10 species) (11, 12). However, these phylogenies only included 11 of the 12 genera of hornworts and 37 species. Taken together, the morphological heterogeneity of hornwort pyrenoids and the losses implied by the previous studies focusing on hornwort phylogenetics raise the question of whether pyrenoid presence indeed is the ancestral state in hornworts, as long thought (18–20), or whether they instead evolved in parallel within hornworts. Answering this question also bears on the issue of which conditions might have favored evolution of pyrenoids.

Based on a fossil-calibrated phylogeny that includes 77 species (32–38% of 200–240 species from all 12 genera), we trace herein the evolution of pyrenoids in hornworts. Our main questions are: (i) How many times have pyrenoids (and putatively a CCM) been gained and lost in hornworts, and (ii) What is the timing of the origin of hornwort pyrenoids? Under the hypothesis that algal pyrenoids evolved at times of low atmospheric CO₂ concentrations (21–23), one might expect to find a clustering of their evolution in hornworts during times of especially low CO₂ levels and few losses during decreasing CO₂ levels.

Results and Discussion

Independent Gains and Losses of the Pyrenoids. Hornwort pyrenoids, structures associated with a CCM, evolved five to six times independently (Fig. 1). The remaining land plants, as well

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¹To whom correspondence should be addressed. E-mail: jc.villar@bio.lmu.de.

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as *Leiosporoceros dussii*, the sister species to all other hornworts, lack pyrenoids, suggesting that pyrenoidless plastids are the ancestral condition in hornworts and that pyrenoids first evolved within the hornwort lineage. Our maximum-likelihood (ML) and Bayesian reconstructions (Fig. 1 and Table S1) support the presence of pyrenoids in the *Anthoceros-Folioceros-Sphaerosporoceros* clade (*Anthoceros sensu lato*) [maximum-likelihood

probability (ML prob.)_{pyr} = 0.99; Bayesian prob._{pyr} = 0.95] with pyrenoid losses in *Anthoceros angustus*, *Anthoceros fusiformis*, *Anthoceros tristanianus*, and potentially *Anthoceros patagonicus* subsp. *gremmenii*. Among those taxa, the plastids of *A. fusiformis* and *A. angustus* contain a starch-free area that resembles a pyrenoid but does not concentrate RuBisCO (13). For the Notothyladaceae (*Notothylas*, *Phaeoceros*, and *Paraphymatoceros*), we

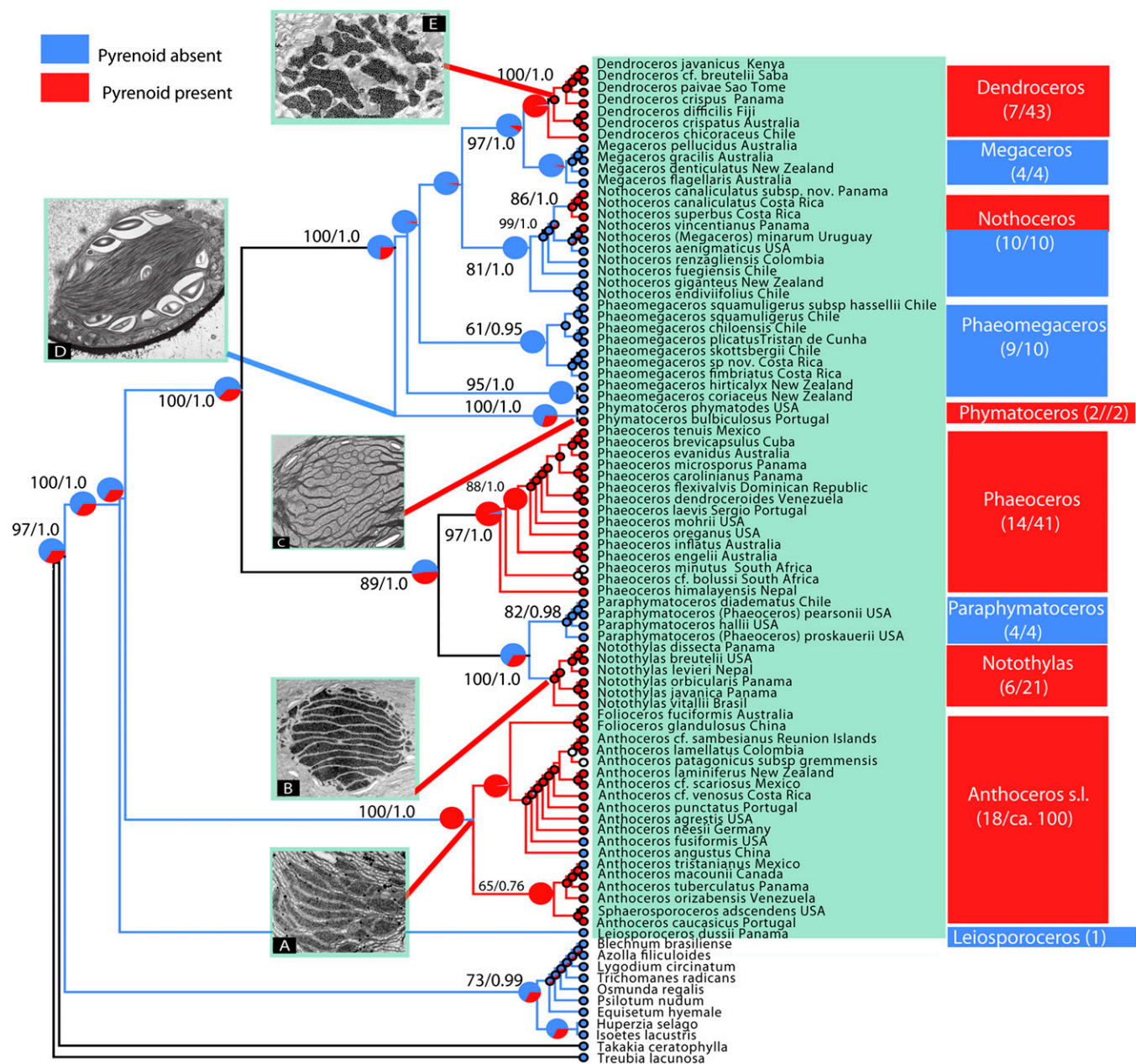


Fig. 1. Gains and losses of the pyrenoid (and putative CCM) in hornworts inferred under ML and an asymmetrical two-parameter Markov model. A phylogram showing genetic branch lengths is supplied as Fig. S1. Values at branches show ML bootstrap values and Bayesian posterior probabilities. Pyrenoid presence is shown in red, pyrenoid absence in light blue, and the pie diagrams represent the probability of either character. Pyrenoids evolved from five to six times, namely in *Anthoceros sensu lato*, Notothyladaceae (*Phaeoceros* and *Notothylas*), *P. bulbiculosus*, some Neotropical *Nothoceros*, and in *Dendroceros*. Transmission electronic micrographs show the main pyrenoid ultrastructural types. (A) *Anthoceros*-type. *Sphaerosporoceros adscendens*. Present in *Anthoceros sensu lato* and *Phaeoceros*, which have a darkly stained pyrenoid is traversed by multiple thylakoids, giving the appearance of multiple pyrenoids. (B) *Notothylas*-type. *Notothylas breutelii*. The pyrenoid is typically compact and darkly stained, with single thylakoids traversing the structure. (C) *Nothoceros*-type. *Nothoceros canaliculatus*. A lightly stained pyrenoid at the ultrastructural level and less conspicuous in living material; found in *P. bulbiculosus* and *Nothoceros* species. (D) Pyrenoidless condition. *Phaeomegaceros hirticalyx*. Pyrenoidless plastid with abundant short central grana and mostly peripheral starch. (E) *Dendroceros*-type. *Dendroceros crispatus*. The pyrenoid is composed of subunits of irregular shape, with numerous electron-opaque inclusions with thylakoids, including grana, interrupting the pyrenoid.

inferred ancestral lack of pyrenoids with low certainty (ML prob. $_{\text{pyr}} = 0.53$; Bayesian prob. $_{\text{pyr}} = 0.52$), and for *Phaeoceros* (ML prob. $_{\text{pyr}} = 0.95$; Bayesian prob. $_{\text{pyr}} = 0.95$) and *Notothylas* (ML prob. $_{\text{pyr}} = 0.99$; Bayesian prob. $_{\text{pyr}} = 0.99$) with high certainty. *Paraphymatoceros*, the sister genus to *Notothylas*, is pyrenoidless (ML prob. $_{\text{pyless}} = 0.92$; Bayesian prob. $_{\text{pyless}} = 0.99$). In Phymatocerotaceae, which comprise only two species, one species contains a pyrenoid in the plastid (the European *Phymatoceros bulbiculosus*); the other lacks pyrenoids (the Californian *Phymatoceros phymatodes*). In Dendrocerotaceae, the ancestral condition is the absence of pyrenoids (ML prob. $_{\text{pyless}} = 0.81$; Bayesian prob. $_{\text{pyless}} = 0.99$), with three independent reversals to pyrenoid presence: two in *Nothoceros* and one in the most recent common ancestor (MRCA) of *Dendroceros* (ML prob. $_{\text{pyr}} = 0.97$; Bayesian prob. $_{\text{pyr}} = 0.98$) (Fig. 1).

Physiological data suggest that pyrenoid presence in hornworts is related to carbon concentration, analogous to algae with pyrenoids (14–18; but see ref. 8 for algae with CCMs despite lacking pyrenoids) (Table S2). Hornworts without pyrenoids have the RuBisCO enzyme distributed throughout the stroma, similar to the condition in the remaining land plants (6). Hornworts with pyrenoids have a larger DiC pool and lower photorespiration than liverworts, which rely on the C_3 pathway (17, 18). Work on *Chlamydomonas* has shown pyrenoid formation to be controlled by nuclear genes, such as the CIA6 gene family (24) and the nuclear-encoded small subunit of RuBisCO (*rbcS*) (25). Whether the genes underlying hornwort pyrenoid formation are the same is not yet known.

Multiple independent transitions to pyrenoids in hornworts are supported by ultrastructural data. There are at least four pyrenoid types. In the *Notothylas*-type, pyrenoids are typically compact and stain darkly with osmium, with single thylakoids traversing them. In the *Anthoceros* type, found in *Phaeoceros* and *Anthoceros* (including *Folioceros* and *Sphaerosporoceros*), pyrenoids are darkly stained and traversed by multiple thylakoids, giving the appearance of multiple units. The isotope $\Delta^{13}\text{C}$ discrimination in *Notothylas*- and *Anthoceros*-type plastids is quite similar (7.2–10.0 ‰), although the *Phaeoceros* (10.3–14.0 ‰) species seem to have a higher DiC pool than *Notothylas* (17). The *Nothoceros*-type pyrenoids are lightly stained at the ultrastructural level and less conspicuous in living material; this type is found in *Phymatoceros bulbiculosus* and *Nothoceros* species (12) (Fig. 1 and Table S2). The pyrenoid in these species is similar to the *Anthoceros/Phaeoceros* type, but differs in the level of osmium staining and the degree of dissection. The degree of staining may be an indication of less protein in the pyrenoid (26). Finally, the *Dendroceros*-type pyrenoid is present in the strictly epiphytic genus *Dendroceros* (43 species) and is composed of subunits of irregular shape, with numerous electron-opaque inclusions with thylakoids, including grana, interrupting the pyrenoid (13) (Fig. 1). *Dendroceros* is also the only hornwort to have a desiccation tolerance mechanism; its complex pyrenoid might relate to the drought-stressed epiphytic habitats it inhabits.

The parallel evolution of hornwort pyrenoids is analogous to the repeated evolution of complex anatomical and biochemical pathways in C_4 plants (27–29). In angiosperms, C_4 photosynthesis has evolved independently in 66–68 lineages, providing one of the most compelling cases of convergent evolution (27). Pyrenoids in algae are likely to have evolved several times as well (21), occurring in chloroplasts from a single endosymbiotic event (green and red algae, but not glaucophytes) and also in chloroplasts from several endosymbiotic events (cryptophytes, haptophytes, dinoflagellates, euglenophytes, and chlorarachniophyta) (4, 30). All these data fit with our finding that hornwort pyrenoids evolved and were lost several times during the past 100 million years, a period during which CO_2 concentrations underwent large fluctuations.

Timing of Pyrenoid Acquisition in Hornworts. Fig. 2 provides a time tree for hornworts, with the chronogram tested for its sensitivity to model assumptions by using an array of substitution models and calibration priors (*Materials and Methods*). The fossil-calibrated clocks yielded a hornwort *rbcL* rate of 5×10^{-4} ($2\text{--}8 \times 10^{-4}$, 95% confidence range) substitutions per site per million years, five-times faster than the *rbcL* rate of 1×10^{-4} reported from 38 woody seed plants (31) but identical to the plastid rate reported from 37 land plants (32). The MRCA of all hornworts dates back to the Carboniferous (303.80 Mya), but with the exception of *Anthoceros sensu lato* (101.27 Mya), all genus-level crown groups are recent (from 8.8 to 62.2 Mya). Such recent crown group ages in a clade of fossil-documented presence in the Carboniferous mirror the situation in cycads, a group with similar species number and mostly Miocene and Oligocene genera (33). Probably hornwort diversification is another example of an ancient clade (in one sense a “living fossil”), having radiated mostly in the last 60 million years (Fig. 2 and Table S3).

The oldest pyrenoid-containing clade, *Anthoceros sensu lato*, originated ca. 101 Mya, coincidentally with a drastic drop in atmospheric CO_2 in the Late Cretaceous (Fig. 2, *Inset*). The other four pyrenoid-containing clades are much younger, *Phaeoceros* (ca. 66 Mya), *Notothylas* (ca. 35 Mya), *Dendroceros* (ca. 34 Mya), and some Neotropical *Nothoceros* (ca. 18 Mya). Whether episodic changes in the CO_2 levels promoted the success of hornwort lineages with pyrenoids at the expense of pyrenoid-lacking lineages is open to question. Pyrenoidless clades, such as *Paraphymatoceros* and *Phaeomegaceros*, also diversified during periods with low CO_2 atmospheric levels (Fig. 2), and pyrenoid-containing hornwort clades originated (or rediversified) at greatly different times (Fig. 2). This finding does not fit the hypothesis that pyrenoid evolution relates to times of low CO_2 concentration (see ref. 34 for a critical discussion of the evidence supporting this hypothesis for algae).

In angiosperms, the evolution of C_4 pathways, another CCM, has been seen as causally related to low atmospheric CO_2 levels (28, 35). Clearly, however, correlation does not establish causality (cf. 35), and the fact that C_4 mechanisms have evolved more or less continuously over the past 60 Mya (27); Fig. 3 (27) argues against their link with particular CO_2 concentrations. Episodes of positive selection on RuBisCO in Haptophyta (including coccolithophores) and Bacillariophyta (diatoms) have also been seen as linked to low CO_2 levels; however, the inferred times of strong selection are based on small taxon samples and cover such broad time windows as to cast doubt on this suggestion [they are between 375 and 285 Mya for Haptophyta and 142–97 Mya, 114–92 Mya, and 73–56 Mya for diatoms (22)]. In hornworts, investigations of periods of positive selection on the plastid *rbcL* gene are hampered by the presence of RNA editing; in *A. angustus*, post-transcriptional change of individual bases occurs in approximately half the functional plastid genes (36).

Evolutionary Implications. Hornwort clades with pyrenoids tend to have higher species numbers than their pyrenoidless sister clades (Fig. 1): *Anthoceros* (18 of approximately 83 species sampled), *Phaeoceros* (14 of 41 species), *Folioceros* (2 of 17), *Notothylas* (6 of 21), and *Dendroceros* (7 of ≤ 43). Most of the species in these genera contain visible pyrenoids (37, 38). The following pyrenoidless genera are interspersed among their pyrenoid-containing sister genera: *Paraphymatoceros* (4 of 4) - *Notothylas* (6 of 21); *Megaceros* (4 of 4) - *Dendroceros* (7 of 43). Losses of the pyrenoid occurred ca. 40 Mya (*Megaceros*), ca. 53 Mya (*Paraphymatoceros*), ca. 19 Mya (*Phaeomegaceros* I), and ca. 8 Mya (*Phaeomegaceros* II) (Fig. 2).

The relatively frequent gains and losses of pyrenoids in hornworts suggest that RuBisCO concentration between thylakoids may have benefits and costs unrelated to CO_2 concentration. Pyrenoids have sometimes been seen as an adaptation to low conductance of CO_2 in wet habitats, rather than low atmospheric gas levels (18). The hornwort pyrenoid may also play a role in the

Materials and Methods

Taxon Sampling. We sampled 77 species of hornworts; Table S4 provides a list of the sampled species with taxonomic author names, geographic origin of material, herbarium vouchers, and GenBank accession numbers for all sequences. Determination of plant material relied mostly on comparison type material, but two of the sampled species are not yet formally described. Morphological data do not support the genera *Hattorioceros* (J. Haseg.) J. Haseg. and *Mesoceros* Piiippo. The single species of *Hattorioceros* was set apart by its very small spores (less than 20 μm in diameter) without a trilete mark (40). These spores are most likely from fungi, probably ascomycetes, that develop within the sporophyte. *Mesoceros* was described from Yunnan and Papua New Guinea (41). Both its species are based on plants with features intermediate between *Anthoceros* (black spores) and *Phaeoceros* (solid thallus, few antheridia per chamber with irregular jacket cells) (41). The generic type species is *Mesoceros mesophoros*, and the isotype of this name consists of a package containing a mixed collection of an *Anthoceros* species and a *Phaeoceros* species. As outgroups, we included up to 11 species representing early land plants (liverworts, mosses) and seedless vascular plants (lycophytes, ferns).

Isolation of DNA, Amplification, and Sequencing. DNA isolation followed standard protocols. To deduce phylogenetic relationships, we used the exon 2 of the mitochondrial *nad5*, excluding an intron that is unique to *Leiosporoceros*, *Anthoceros*, *Folioceros*, and *Sphaerosporoceros*, and the plastid gene *rbcL*. Total DNA from fresh, silica-dried, or herbarium material was extracted with the Nucleo-Spin plant kit according to the manufacturer's protocol (Macherey-Nagel) or using a modified cetyltrimethyl ammonium bromide protocol. Primers and standard PCR protocols are listed in ref. 12.

PCR products were cleaned using ExoSap-it (Affymetrix), and sequencing reactions were run on an ABI 3130 capillary sequencer (Applied Biosystems, Perkin-Elmer), following the manufacturers' protocols. Sequence editing and alignment were carried out in Sequencher 4.7 (Gene Codes). Alignments for this study have been deposited in TreeBASE (submission no. S13448).

Phylogenetic Analysis. Individual and combined phylogenetic analyses were performed under ML optimization and the GTR + CAT substitution model, using RAxML 7.2.8 (42) with the RAxML online interface (43). Statistical support was assessed via 100 ML bootstrap replicates that used the same substitution model.

Bayesian analyses were conducted in MrBayes 3.2 (44), using the default two runs and four chains (one cold and three heated), with uniform priors on most parameters. Model parameters were unlinked, posterior probabilities of tree topologies were estimated from both partitions, and trees were sampled every 1,000th generation. Burn-in and convergence were assessed by comparing the bipartitions across the two runs. Runs were terminated when the maximum SD across all bipartitions was less than 0.0314, as we expected the average SD of split frequencies to approach zero, reflecting that both chains are increasingly similar. Convergence was usually achieved in MrBayes after 4×10^6 generations. We used 50% majority-rule consensus trees to assess posterior probabilities for nodes of interest.

Ancestral Character Reconstruction of the Pyrenoid. Each tip in the tree was scored for pyrenoid presence (score 0) or absence (score 1), based on observations made on the sequenced material (live, preserved in alcohol specimens) and compared with the relevant literature. Where possible, spores collected from ripe capsules were grown in agar (for culture methods, see ref. 45). Light microscopy was used to confirm pyrenoid presence, and data on pyrenoid type were obtained from transmission electronic micrographs (12, 13, 26). Ancestral reconstruction relied on ML as implemented in Mesquite using the Markov one- and two-parameter models (46) and the highest likelihood tree from RAxML.

Ancestral character-state reconstructions were also performed using a reverse-jump Markov chain Monte Carlo approach (47), as implemented in BayesTraits (48). Reconstructions of ancestral states were restricted to nodes with a posterior probability of $\geq 70\%$ in our Bayesian sample of 5,000 trees (burn-in excluded). Most of the nodes of interest were found in 75–100% of the Bayesian trees. The rates at which model parameters were permitted to change during the Markov chain (ratedev) were set so that the acceptance rate of the proposed changes globally ranged between 20% and 40%. Rate coefficients and ancestral character states were sampled every 1,000 generations, and the chain was run for 50×10^6 generations. The ancestral state probability at a particular node was derived by averaging the posterior state probabilities.

Dating Analyses. Dating relied on Bayesian divergence time estimation as implemented in BEAST 1.7.2 (49). Identical sequences and sequences differing only in nucleotide ambiguities were removed, yielding a matrix of 72 species, 62 hornwort species, and 10 outgroups. We carried out two runs either using the simple JC + Γ model of substitution or the more complex GTR + Γ model, identified as the best-fit in jModelTest (50). A Yule tree prior was used as the tree model. Runs were repeated under either a strict-clock model or a relaxed-clock model, the latter with rate variation across branches uncorrelated and log-normally distributed. The Markov chain Monte Carlo chains were run for 30 million in the strict-clock model, and for 100 million generations in the relaxed-clock model, with parameters sampled every 3,000th and 10,000th generation, respectively. Tracer 1.50 was used to assess effective sample sizes for all estimated parameters and to judge the percentage of burn-in for tree constructions. Trees were combined in TreeAnnotator 1.6.1, and maximum-clade credibility trees with mean node heights were visualized using FigTree 1.3.1.

The fossil record in hornworts is sparse, and earliest reliable fossils are only from the Upper Cretaceous (Table S5). Based on a review of the relevant literature, we selected three fossils for calibration (below). We also constrained the MRCA of vascular plants to $416 (\pm 3)$ Mya, using a normal distribution based on the fossil record (51) (Table S5). We used the age of *Anthoceros* spore type A from the Baqueró Formation, Argentina (118.56 ± 3.7 Mya) (52) to constrain the stem node of *Anthoceros* and the rest of the hornworts. The spore exine is ornamented with warts in the area of contact of the four meiotic products (proximal face) and the fossil has three proximal warts characteristic of two extant Neotropical species, *Anthoceros tuberculatus* and *A. tristianianus*. We used the age of *Notothytilites nirulai* from the Deccan Intertrappean beds of Mohgaonka, India (Maastrichtian, 65–70 Mya) (53) to constrain the age of the stem node of *Notothytilas* and *Phaeoceros* (including *Paraphymatoceros*). The petrified fossil of an entire plant has similar thallus size, sporophyte size, lack of stomata in sporophyte, and elater shape as seen in extant *Notothytilas*. As our fourth calibration, we used a fossil assigned to *Phaeoceros* sp. from the Uscari Formation, Costa Rica (Lower Miocene 15–23 Mya) (54). The spore fossil has six depressions in its distal face and closely resembles extant *Phaeomegaceros fimbriatus* in size and ornamentation.

Dating analyses relied on either exponential or γ -priors on the three fossil time constraints. Exponential or γ -priors were centered on the fossil age. We also carried out runs that removed one calibration fossil at a time, leaving only the vascular plant constraint. An additional analysis was conducted using a mean substitution rate across mitochondrial, nuclear, and plastid genomes of 3×10^{-4} substitutions per site per million years (55).

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