

NIH Public Access

Author Manuscript

J Cataract Refract Surg. Author manuscript; available in PMC 2013 December 01.

Published in final edited form as:

J Cataract Refract Surg. 2012 December ; 38(12): 2125–2130. doi:10.1016/j.jcrs.2012.07.034.

Corneal endothelial cell changes 5 years after laser in situ keratomileusis: Femtosecond laser versus mechanical microkeratome

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Abstract

PURPOSE—To compare corneal endothelial cell density (ECD) and morphology 5 years after laser in situ keratomileusis (LASIK) between flap creation with a femtosecond laser and flap creation with a mechanical microkeratome.

SETTING—Mayo Clinic, Rochester, Minnesota, USA.

DESIGN—Prospective randomized masked paired-eye study.

METHODS—In this study of LASIK for myopia or myopic astigmatism, fellow eyes were randomized by ocular dominance to flap creation by a femtosecond laser or by a mechanical microkeratome. Central endothelial images were analyzed before and 3 years and 5 years after LASIK; endothelial cell variables were compared between treatments at each examination. Relationships between endothelial cell loss and contact lens wear, residual bed thickness, and preoperative refractive error were evaluated.

RESULTS—There were no differences in the ECD, percentage of hexagonal cells, or coefficient of variation of cell area between treatments at any examination (all P=.99); the smallest detectable differences were 120 cells/mm², 5%, and 2%, respectively. The mean annual rate of corneal endothelial cell loss was $-0.1\% \pm 1.2\%$ (SD) and $-0.1\% \pm 1.0\%$ for the femtosecond laser and the mechanical microkeratome, respectively. Endothelial cell loss was not associated with contact lens wear, residual bed thickness, or preoperative refractive error.

CONCLUSIONS—The energy delivered to the cornea during femtosecond laser flap creation did not affect the corneal endothelium 5 years after LASIK when compared with flap creation with a mechanical microkeratome. Corneas that have had either method of flap creation could be accepted as donor tissue for endothelial keratoplasty from the standpoint of endothelial health.

Laser in situ keratomileusis (LASIK) and photorefractive keratectomy (PRK) are photoablative procedures of the corneal stroma that treat refractive errors and are the most

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Financial Disclosure: No author has a financial or proprietary interest in any material or method mentioned.

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common types of corneal surgery. Corneal clarity and function depend on an intact and healthy corneal endothelium, and surgical procedures of the cornea should not adversely affect this nonregenerative cell layer. Although an early study¹ suggested that endothelial cell loss 12 months after LASIK was as high as 8%, we recently found that the rate of cell loss during the first 9 years after LASIK did not differ from age-related physiologic cell loss in unoperated corneas.² In our previous study,² LASIK flaps were created with a mechanical microkeratome; we were unable to extrapolate our results to LASIK with flaps created using a femtosecond laser, which imparts energy to the cornea to photodisrupt tissue.^{3,4} The plasma and shock waves created by femtosecond laser photodisruption followed by excimer laser ablation could affect the corneal cells, including the endothelium, more than excimer ablation alone.

In this randomized paired-eye study, we compared endothelial cell changes 5 years after LASIK with the flap created by a femtosecond laser in 1 eye and LASIK with the flap created by a mechanical microkeratome in the other eye.^A We also evaluated relationships between endothelial cell loss and contact lens wear, residual bed thickness, and preoperative refractive error.

PATIENTS AND METHODS

Patients with myopia or myopic astigmatism were recruited from the refractive surgery service at Mayo Clinic, Rochester, Minnesota, USA. All patients were at least 21 years of age and were deemed suitable for LASIK after an extensive preoperative evaluation. Exclusion criteria included corneal abnormality; a history of ocular disease, trauma, or surgery; diabetes mellitus or other systemic disease known to affect the eye; use of any ocular medication; and use of systemic medication known to affect the cornea or anterior segment. The study complied with the U.S. Health Insurance Portability and Accountability Act and was prospectively approved by the Mayo Clinic Institutional Review Board. After receiving a complete explanation of the nature and possible outcomes of the study, all patients provided informed consent.

Randomization

This randomized paired-eye trial was designed to compare visual outcomes and postoperative ocular preference between LASIK with the flap created by a femtosecond laser and LASIK with the flap created by a mechanical microkeratome. One eye of each patient was randomized by ocular dominance to LASIK with the flap created by a femtosecond laser and the other eye to LASIK with the flap created by a mechanical microkeratome. Ocular dominance was determined by asking the patient to frame a distant object with both hands while an observer recorded which eye the patient used to view the object. Treatments were randomized to consecutive participants before participant recruitment.

Surgical Technique

The LASIK procedure has been described in detail.^{5,6} Briefly, bladeless flaps were created using a 15 kHz femtosecond laser (Intralase FS, Intralase Corp.). All flaps had a superior hinge and intended thickness of 120 μ m. Raster line and spot separation were 9 μ m and 11 μ m, respectively. The raster energy was 2.3 μ J, and the side-cut energy was 2.5 μ J. Flaps created by the mechanical microkeratome (Hansatome, Bausch & Lomb) had a superior hinge and an intended thickness of 180 μ m. Ablation of the stromal bed was performed with a Star S4 excimer laser (Visx, Inc.). Emmetropia was attempted in all eyes by using an ablation zone ranging from 6.5 mm × 6.5 mm for spherical corrections to 6.5 mm × 5.0 mm for astigmatic corrections. Postoperative topical regimens were identical for each eye and

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consisted of ciprofloxacin ophthalmic solution 4 times per day for 5 days and fluorometholone 0.1% 4 to 8 times daily with a taper over 3 weeks.

Endothelial Cell Analysis

The corneal endothelium in each eye was examined before LASIK and 3 years and 5 years after LASIK using in vivo confocal microscopy (Confoscan 3 or Confoscan 4, Nidek Technologies). The confocal examination technique has been described.⁷ Briefly, topical proparacaine hydrochloride 0.5% was instilled into the eye. An observer aligned the ×40 contact objective lens, coated with an optical coupling medium (Genteal Gel, Novartis Pharmaceuticals Corp.), with the center of the cornea. Digital images of the corneal endothelium were recorded. Original images were 768 pixels × 576 pixels (428 µm × 325 µm) and were rescaled to 640 pixels × 480 pixels for analysis. Magnification of the images in both microscopes was calibrated using the image of a scale with lines at 10 µm intervals etched into a glass slide. The calibration was verified periodically throughout the study, and the same observer analyzed all images in a masked manner.

Images were transferred to an image-analysis system (KSS-400, Konan Medical) and were analyzed using a centers method, in which the center of each endothelial cell was digitized by a masked observer.⁸ At least 100 cells were counted in each endothelial photograph. Outcome measures were endothelial cell density (ECD), endothelial cell loss from preoperative cell density, the percentage of hexagonal cells, and the coefficient of variation (CoV) of the cell area (standard deviation divided by the mean).

Residual Bed Thickness

Corneas were also examined with a tandem scanning confocal microscope (Tandem Scanning Corp.) 1 month after LASIK to determine residual bed thickness. The technique has been described in detail.^{9,10} Briefly, proparacaine hydrochloride 0.5% was instilled into the eye. A drop of optical coupling medium was placed on the tip of the objective, and the objective was advanced and aligned with the central cornea. Full-thickness scans through the cornea were acquired as the optical section was advanced at known speed from anterior to the epithelium to posterior to the endothelium. The best scan with no axial movement of the cornea relative to the objective was selected for analysis. Residual bed thickness was the distance between the LASIK interface and the endothelium.⁹ Because the Confoscan 3 confocal microscope, ¹¹ residual bed thickness could not be measured with the Confoscan 3 confocal microscope, which was used to examine 14 patients 1 month after LASIK.

Statistical Analysis

The primary outcome in this study was visual acuity (reported previously^{5,6}), and a priori power analysis was performed to determine the sample size for the study based on visual acuity. Because the endothelial cell analysis was a secondary outcome, post hoc power analyses were performed for nonsignificant comparisons by determining the minimum detectable differences. Endothelial cell density, endothelial cell loss, the percentage of hexagonal cells, and the CoV of the cell area were compared between treatments at each examination using 2-tailed paired *t* tests if the data were distributed normally and signed-rank tests if the data were not distributed normally. A *P* value of 0.05 or less was considered significant, and all *P* values were adjusted for 3 comparisons by the Bonferroni method. Differences in the same parameters between the preoperative and postoperative periods were also assessed using paired *t* tests or signed-rank tests, but with Bonferroni correction for 2 comparisons. Minimum detectable differences were calculated for nonsignificant comparisons ($\alpha = 0.05/3$ or 0.05/2, $\beta = 0.20$, paired analyses).

Correlations between endothelial cell loss and residual bed thickness or preoperative spherical equivalent in all eyes were determined using Pearson correlation coefficients. The significance of these correlations was determined using generalized estimating equation models,¹² which account for possible correlation between fellow eyes of the same patient. Differences in the endothelial cell variables between preoperatively and 5 years postoperatively for contact lens wearers and noncontact lens wearers were assessed using generalized estimating equation models.

RESULTS

Twenty-one patients were enrolled and examined before LASIK; 11 patients were habitually wearing contact lenses before surgery. The mean patient age at surgery was 38 years \pm 10 (SD) (range 22 to 54 years). At 3 years and 5 years, 1 eye was not examined because of recurrent corneal erosions and 1 patient declined to participate in confocal examinations. At 5 years, confocal microscopy data were not available in 1 additional patient and data from another patient were excluded because of interim cataract surgery. Thus, endothelial cell data were available for 39 eyes (20 patients) at 3 years and for 35 eyes (18 patients) at 5 years.

Endothelial cell density did not differ between fellow eyes before LASIK (P=.99) or between treatments (femtosecond and mechanical microkeratome) at 3 years (P=.99) or 5 years (P=.99) (Table 1). Endothelial cell density 5 years after LASIK did not differ from the preoperative density in either treatment group (P=.99).

The percentage of hexagonal cells did not differ between the 2 treatments at any examination (P= .99) and remained stable 5 years after LASIK (P .30) (Table 1). The CoV of cell area did not differ between treatments (P= .99) and also remained stable 5 years after LASIK (P .62) (Table 1).

At 5 years, the mean endothelial cell loss from before LASIK was $-0.8\% \pm 5.8\%$ for femtosecond laser treatments and $-0.4\% \pm 5.0\%$ for mechanical microkeratome treatments (P = .85, signed-rank test). The annual rate of endothelial cell loss was $-0.1\% \pm 1.2\%$ and $-0.1\% \pm 1.0\%$, respectively. When all eyes were combined, the endothelial cell loss at 5 years was not correlated with the preoperative refractive error (r = 0.21, P = .18) (Figure 1) or with the residual bed thickness (r = -0.10, P = .43) (Figure 2).

Five years after LASIK, the ECD, percentage of hexagonal cells, and CoV of the cell area did not differ from preoperatively in contact lens wearers or noncontact lens wearers (Table 2). The minimum detectable difference for ECD at 5 years versus preoperatively was 148 cells/mm² for contact lens wearers and 72 cells/mm² for noncontact lens wearers.

DISCUSSION

Corneal endothelial changes 5 years after LASIK with the flap created with a femtosecond laser were similar to those after LASIK with the flap created with a mechanical microkeratome; differences in the mean density, if they exist, are likely less than 120 cells/ mm², or approximately 4% of the mean cell density. This indicates that the additional energy imparted to the cornea during LASIK with a femtosecond laser is not detrimental to the health of the endothelium.

Endothelial cell loss over the 5-year follow-up in this study was close to zero for both treatments, similar to that of normal corneas,¹³ showing that LASIK surgery did not have an effect. Similarly, with 9 years of follow-up, we previously found no effect of LASIK with a microkeratome on endothelial cell loss above that of age-related physiologic cell loss.² Kato

et al.¹⁴ retrospectively analyzed 779 eyes after myopic LASIK with a microkeratome and found that endothelial loss at 5 years was 1.2%, which is within the range of physiologic cell loss.

In recent years, femtosecond lasers have been increasingly used to create LASIK flaps¹⁵; however, there are few reports of the long-term effect, if any, on the corneal endothelium. Smith et al.¹⁶ found no difference in ECD between fellow eyes receiving LASIK with a femtosecond laser versus PRK; however, their end point was 3 months after surgery, which might not have been long enough to detect small changes. Muñoz et al.¹⁷ created flaps with a 15 kHz femtosecond laser; 1 year after LASIK, they found that the ECD increased in previous contact lens wearers and remained stable in noncontact lens wearers. The results in our study with 5 years of follow-up provide further evidence that LASIK with a femtosecond lasers,^{18,19} in which lamellar cuts deeper than those used in LASIK were not associated with endothelial cell damage. Similarly, the depth of ablation in LASIK in this study was not correlated with endothelial cell loss, confirming previous results.²

Contact lens wear is known to induce morphologic changes in the corneal endothelium, although ECD is typically unaffected.^{20,21} Several studies^{2,17,22,23} found an improvement in ECD and morphology after photoablative refractive surgery, and this has often been attributed to cessation of contact lens wear. In this study, there was a trend toward increased cell density 5 years after LASIK in contact lens wearers. However, the trend was not statistically significant, although our statistical power prevented us from detecting an increase smaller than 148 cells/mm² (or 5%). Although we had sufficient statistical power to detect an increase in cell density as low as 2% in noncontact lens wearers, determining the effect of cessation of contact lens wear after refractive surgery would require a larger controlled study.²³

The strengths of this study were its randomized paired-eye design and 5-year prospective follow-up with careful calibration and standardization of the endothelial cell analysis. The small sample size was a limitation and was determined based on the primary outcome of the trial, which was visual acuity. Nevertheless, post hoc analyses indicated sufficient power to detect a difference in cell loss as small as 4% at 5 years, if indeed a difference existed between treatments. All femtosecond flaps were created with a 15 kHz femtosecond laser, which was standard at the time the patients were enrolled in the study. Because femtosecond lasers have since improved and now deliver much less energy to the cornea during flap creation, we expect that similar results would be found with the newer lasers. Although we used 2 different confocal microscopes (Confoscan 3 and Confoscan 4) for endothelial imaging in the initial phases of the study, the microscopes were spatially calibrated with the same scale etched into a glass slide and the ECD measured using both microscopes has been validated with a specular microscope.^{24,25}

In summary, the energy delivered to the cornea during femtosecond laser flap creation did not affect the corneal endothelium 5 years after LASIK when compared with flap creation using a mechanical microkeratome. The results support the use of corneas that have had LASIK as acceptable donor tissue for endothelial keratoplasty with respect to endothelial cell health, enabling these corneas to expand the donor pool.² The latter is contingent on successful preparation of endothelial grafts from donor corneas that have had LASIK and is aided by a complete donor history and careful screening of the tissue.²⁶

Acknowledgments

Supported by National Institutes of Health EY02037, Bethesda, Maryland; Research to Prevent Blindness (unrestricted departmental grant, and SVP as Olga Keith Wiess Special Scholar), New York, New York; and the Mayo Foundation, Rochester, Minnesota, USA.

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Other Cited Material

27. A. National Institutes of Health Clinical Trials. [Accessed August 12, 2012] Long-term effects of laser refractive surgery. p. NCT00350246Available at: http://www.clinicaltrials.gov/ct2/show/ NCT00350246?term=NCT00350246&rank=1 • With 9-year follow-up, LASIK with the flap created by a mechanical microkeratome had not been not associated with corneal endothelial cell changes above those associated with age. Similarly, adverse endothelial cell changes have not been found after LASIK with the flap created by a femtosecond laser, although follow-up has been limited to 1 year.

WHAT THIS PAPER ADDS

• In a randomized contralateral eye trial, LASIK with the flap created by a femtosecond laser was not associated with adverse effects on the corneal endothelium with 5 years of follow-up. The longer follow-up provides stronger evidence that femtosecond laser flap creation is safe for the corneal endothelium.





Relationship between endothelial cell loss after LASIK and preoperative refractive error (N = 35).





Table 1

Endothelial cell variables before and after LASIK.

		Mean ± SD		
Parameter	Before LASIK (21 Paired Eyes)	3 Y Postop (19 Paired Eyes)	5 Y Postop (17 Paired Eyes)	MDD [*] (%)
ECD (cells/mm ²)				120
Femtosecond laser	2758 ± 406	2760 ± 383	2829 ± 317	
Mechanical microkeratome	2773 ± 399	2796 ± 398	2853 ± 355	
Hexagonal cells (%)				5
Femtosecond laser	59 ± 8	57 ± 8	57 ± 7	
Mechanical microkeratome	59 ± 6	58 ± 6	57 ± 6	
CoV of cell area (%)				2
Femtosecond laser	32 ± 6	32 ± 4	31 ± 4	
Mechanical microkeratome	32 ± 6	32 ± 3	32 ± 3	

CoV = coefficient of variation; ECD = endothelial cell density; MDD = mean minimum detectable difference

* Determined because there were no significant differences in any variable at any examination between treatments (P=.99)

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Endothelial cell changes after LASIK by preoperative contact lens status.

	Befor	e LASIK	5 Y	Postop	
Parameter	Eyes (n)	Mean ± SD	Eyes (n)	Mean ± SD	P Value*
ECD (cells/mm ²)					
Contact lens wearers	22	2899 ± 307	17	2972 ± 282	.94
Non-contact lens wearers	20	2618 ± 440	18	2733 ± 338	.15
Hexagonal cells (%)					
Contact lens wearers	22	58 ± 8	17	57 ± 8	.66
Non-contact lens wearers	20	60 ± 7	18	58 ± 5	.10
CoV of cell area (%)					
Contact lens wearers	22	34 ± 6	17	32 ± 4	.18
Non-contact lens wearers	20	32 ± 4	18	31 ± 3	.63