

Published in final edited form as:

*Mol Nutr Food Res.* 2011 August ; 55(8): 1207–1218. doi:10.1002/mnfr.201000576.

## Resveratrol (trans-3,5,4'-trihydroxystilbene) suppresses EL4 tumor growth by induction of apoptosis involving reciprocal regulation of SIRT1 and NF- $\kappa$ B

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### Abstract

**Scope**—Understanding the molecular mechanisms through which natural products and dietary supplements exhibit anticancer properties is crucial and can lead to drug discovery and chemoprevention. The current study sheds new light on the mode of action of Resveratrol (RES), a plant-derived polyphenolic compound, against EL-4 lymphoma growth.

**Methods and results**—Immuno-compromised NOD/SCID mice injected with EL-4 tumor cells and treated with RES (100 mg/kg body weight) showed delayed development and progression of tumor growth and increased mean survival time. RES caused apoptosis in EL4 cells through activation of aryl hydrocarbon receptor (AhR) and upregulation of Fas and FasL expression *in vitro*. Blocking of RES-induced apoptosis in EL4 cells by FasL mAb, cleavage of caspases and PARP, and release of cytochrome c, demonstrated the participation of both extrinsic and intrinsic pathways of apoptosis. RES also induced upregulation of SIRT1 and downregulation of NF- $\kappa$ B in EL4 cells. SiRNA-mediated down regulation of SIRT1 in EL4 cells increased the activation of NF- $\kappa$ B but decreased RES-mediated apoptosis, indicating the critical role of SIRT1 in apoptosis via blocking activation of NF- $\kappa$ B.

**Conclusion**—These data suggest that RES-induced SIRT1 upregulation promotes tumor cell apoptosis through negative regulation of NF- $\kappa$ B, leading to suppression of tumor growth.

### Keywords

Cancer; Lymphoma; Resveratrol; AhR; Fas; FasL; Apoptosis; Extrinsic pathway; Intrinsic pathway

## 1. Introduction

RES (trans-3,5,4'-trihydroxystilbene) is a naturally occurring polyphenolic phytoalexin compound found in a large number of plant products including red grapes, mulberries, peanuts, and Japanese knotweed [1]. RES possesses structural similarities to estradiol and diethylstilbestrol [2], and is a member of plant antibiotic compounds produced as a part of a plant's defense system against fungal infection [3]. Ko-jo-kon, an oriental medicine used to

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The authors have declared no conflicts of interests

treat diseases of the blood vessels, heart [3], and liver [3] contains RES as an essential component. Although, RES received attention initially as a compound in red wine responsible for the "French Paradox" [4], in recent years, it has gained more attention for its anticancer [5,6], antioxidant [7], anti-inflammatory [8,9], and anti-aging properties [10,11].

RES has been the focus of many studies for its anticancer and chemopreventive properties against various types of cancer [6,12–14]. Armour et al proposed that some of the beneficial effects of RES may be due to its suppressing property of autophagy [15]. RES has been shown to suppress proliferation of various types of cancer cells, including breast, lung, colon, pancreas, liver, stomach, prostate, leukemia, and medulloblastoma [5,16]. This may result from induction of apoptosis by RES in cancer cells [5,6], although the precise mechanisms and pathways involved remain unclear.

RES is well known for its ability to induce the expression of SIRT, a NAD<sup>+</sup>-dependent histone deacetylase that plays a role in chromatin silencing, longevity and genomic stability [17]. The question as to whether SIRT1 acts as a tumor promoter or tumor suppressor is much debated [18]. SIRT1 is overexpressed in certain types of cancer [19], which led to the hypothesis that SIRT1 may serve as a tumor promoter. In contrast, SIRT1 expression was also shown to be reduced in many other types of cancers [20]. Thus, it is likely that increased expression of SIRT1 may be a consequence, rather than a cause, of tumorigenesis [18]. The fact that SIRT1 serves as a tumor suppressor was suggested by the findings that overexpression of SIRT1 in *APC<sup>min/+</sup>* mice reduces development of colon cancer [21]. Thus, clearly, additional studies are necessary to understand the mode of action of RES and its impact on SIRT1 expression and consequent effect on tumor growth. In the current study, we investigated the effect of RES on a T cell lymphoma line, EL4. We demonstrate that the anticancer property of RES against EL4, can be attributed, at least in part, to its ability to induce apoptosis in EL4 cells through reciprocal regulation in the expression of SIRT1 (upregulation) and NF- $\kappa$ B (downregulation).

## 2. Materials and Methods

### 2.1 Mice and Cell line

We purchased NOD/SCID/ $\gamma$ C<sup>null</sup> mice from Jackson Laboratory (Bar Harbor, Maine). The animals were housed in University of South Carolina Animal facility and care and maintenance of the animals were in accordance with guide for the care and use of laboratory animals and according to the declaration of Helsinki and as adopted by Institutional and NIH guidelines.

Mouse T lymphoma cell line (EL4 cells) was maintained in complete RPMI 1640 medium containing 10% heat-inactivated fetal bovine serum, 10 mM L-Glutamine, 10 mM HEPES, and 100  $\mu$ g/ml penicillin/streptomycin at 37°C and 5% CO<sub>2</sub>.

### 2.2 Reagents and Antibodies

Culture medium and its reagents (RPMI 1640, L-Glutamine, HEPES, Gentamicin, DMEM, PBS, and FBS) were purchased from Invitrogen Life Technologies (Carlsbad, CA) and ConA from Sigma-Aldrich (St. Louis, MO). The following mAbs: anti-mouse IgG-PE, FcBlock, CD3-PE (chain purified anti-FasL (k-10), anti-FasL-PE (Kay-10), and anti-Fas-PE (Jo2) were purchased from BD Pharmingen (Carlsbad, CA). The following primary Abs: caspase-2, caspase-3, caspase-8, caspase-9 (Cell Signaling, Danvers, MA), cytochrome-c (Cell Signaling, Danvers, MA), PARP (Cell Signaling, Danvers, MA), Bax (Cell Signaling, Danvers, MA), Bid (R & D System, Minneapolis, MN), and  $\beta$ -actin (Sigma-Aldrich, St. Louis, MO) for Western blots were used. All antibodies used for Western blots were diluted 1:1000 fold except  $\beta$ -actin, which was used at 1:5000 dilution. HRP-conjugated secondary

Ab (Cell Signaling, Danvers, MA) was used at 1:1000 dilution. Inhibitors against Caspase 3 (Z-DEVD), Caspase 8 (Z-IETD-FMK), Caspase 9 (Z-LEHD-FMK) were purchased from R&D Systems (Minneapolis, MN). RNeasy Mini kit and iScript cDNA synthesis kit were purchased from Qiagen (Valencia, CA). Epicentre's PCR premix F and Platinum *Taq* Polymerase kits were purchased from Invitrogen Life Technologies (Carlsbad, CA). TUNEL kits were purchased from Roche (Indianapolis, IN). RES was purchased from Sigma-Aldrich (St. Louis, MO). RES suspended in DMSO was used in the *in vitro* studies and suspended in water was used for *in vivo* studies, as described [22].

### 2.3 EAE-induced tumorigenesis in NOD/SCID mice and RES treatment

NOD/SCID mice on the background of BALB/c were subcutaneously injected with freshly cultured EL4 cells ( $1 \times 10^6$ /mice) suspended in 100  $\mu$ l 1X PBS. Five days post injection, vehicle or various doses of RES suspended in water (10, 50, and 100 mg/kg body weight) were administered orally every day. The mice were scored for tumor growth every alternate day and tumor size was documented by direct measurement in two perpendicular directions using a Max-Cal caliper (Cole Parmer Instrument Co.). The experiments were terminated when the tumors reached 18–20 mm in diameter, or severe ulceration and bleeding had developed. The measurements were recorded as tumor area ( $\text{mm}^2$ ) from groups of 6 mice each. The experiments were repeated with various groups three times.

### 2.4 RES induced apoptosis in EL4 cells

To determine RES-induced apoptosis in EL4 cells *in vitro*, freshly cultured EL4 cells were treated with vehicle (DMSO) or different concentrations (1–100  $\mu$ M) of RES for 6, 12, and 24 hrs. Apoptosis in EL4 cells post-RES treatment was determined by performing TUNEL assays (FITC-dUTP nick-end labeling) using In situ Cell-Death Detection kit (Roche, Indianapolis, IN) as described previously [23].

### 2.5 Reverse Transcriptase PCR (RT-PCR) to determine the expression of AhR, Fas, and FasL in EL4 cells

First strand cDNA synthesis was performed using total RNA (2  $\mu$ g) isolated from EL4 cells treated with vehicle (DMSO) or RES using iScript Kit and following the protocol of the manufacturer (Bio-Rad). To detect the expression AhR, Fas, and FasL, sets of primers specific to mouse AhR, Fas, and FasL as described earlier [22] were used and PCR was performed as described earlier [22]. The PCR products, generated from mouse AhR, Fas, and FasL primer pairs, were normalized against PCR products generated from mouse 18S forward (5'-GCCCGAGCCGCTGGATAC-3') and reverse (5'-CCGGCGGGTCATGGGAATAAC-3') primers after electrophoresis on 1.5% agarose gel and visualization with UV light. The band intensity of PCR products was determined using BioRad image analysis system (BioRad, Hercules, CA).

### 2.6 Role of FasL in RES-induced EL4 cell apoptosis

EL4 cells were cultured in the absence or presence of antibody against mouse FasL (5  $\mu$ g/ml) 1 hr prior to RES treatment. Apoptosis in EL4 cells was determined by performing TUNEL assays as described earlier [22]. At least three independent experiments were performed and the data shown represent one representative experiment. Data from 3 to 4 independent experiments were also depicted as mean fluorescence unit  $\pm$  SEM.

### 2.7 Analysis of caspase 3/7, caspase 8, and caspase 9 activity in EL4 cells post RES treatments

To determine the role of caspases 3/7, 8, and 9 in RES-induced apoptosis in EL4 cells, activity of these caspases were determined using the Apo-ONE Homogeneous Caspase-3/7,

caspase-8, and caspase-9 Assays according to manufacturer's instructions (Promega Corporation, Madison, WI) and as described earlier [22].

## 2.8 Role of various caspases in RES-induced apoptosis in EL4 cells

To investigate the role and participation of caspases in RES-induced apoptosis in EL4 cells, we performed *in vitro* assays as described earlier [22] and inhibitors specific to mouse caspase-3 (Z-DEVD), caspase-8 (Z-IETD-FMK), and caspase-9 (Z-LEHD-FMK) at a concentration of 20  $\mu$ M. EL4 cells were incubated with various caspase inhibitors for at least 1 hr prior to RES treatment. The cells were harvested 24 hrs post-vehicle or RES treatment and TUNEL assays were performed to determine apoptosis as described earlier. At least three independent experiments were performed and the data shown represent mean of three independent experiments.

## 2.9 Immunoblot analysis

Immunoblotting was performed as described previously [22]. The following antibodies: caspase-3, caspase-8, caspase-9, PARP, cytochrome-c, Bax, caspase-2, and  $\beta$ -actin were used at dilution of 1:2000 except  $\beta$ -actin, which was used at dilution of 1:5000. HRP-conjugated secondary Ab was used 1:4000 dilution (Cell Signaling). The proteins fractionated in SDS-PAGE were transferred onto PVDF membranes using a dryblot apparatus (BioRad, Hercules, CA) and was first, incubated in blocking buffer for 1 hr at RT, followed by incubation in primary antibody at 4°C overnight. After washing several times with washing buffer, the membrane was then incubated for 1 hr in HRP-conjugated secondary antibody (Cell Signaling Technology, Danvers, MA). The membranes after washing several times were incubated in developing solution (ECL Western Blotting Detection Reagents, GE Life Sciences, England) and signal was detected using ChemiDoc System (BioRad). Densitometric analyses of the Western blots were performed using ChemiDoc software (BioRad).

## 2.10 Mitochondrial membrane potential ( $\Delta\psi_m$ ) analysis

To examine the role of intrinsic pathway (mitochondrial pathway) in RES-mediated apoptosis in EL4 cell, we determined mitochondrial membrane potential ( $\Delta\psi_m$ ) of EL4 cells post-vehicle (DMSO) or RES treatment using 3,3'-dihexyloxacarboecyze (DiOC<sub>6</sub>) dye as described earlier [22]. Propidium iodide (PI) was used to differentiate the dead cells. At least three independent experiments were performed.

## 2.11 Expression SIRT1 in EL4 cells and effect of RES

To determine expression of SIRT1 in EL4 cells, we performed RT-PCR using mouse SIRT1-specific forward and reverse primers as described earlier [24]. In brief, total RNA from EL4 cells untreated or treated with vehicle or RES were prepared and cDNAs were synthesized as described earlier [22]. PCR for SIRT1 expression in EL4 cells was performed as described earlier [24]. The PCR products, generated from mouse SIRT1 primer pairs, were normalized against PCR products generated from mouse 18S (215 bp) as described earlier [22] after electrophoresis on 1.5% agarose gel and visualization with UV light. The band intensity of PCR products was determined using Bio Rad image analysis system (Bio Rad Hercules, CA). The fold increase mRNA in each sample was evaluated by using the ChemiDoc software (Bio-Rad, Hercules, CA).

## 2.12 Western blot analysis for expression of SIRT1 and p-I $\kappa$ B $\alpha$ -Ser32 in EL4 cells

We performed immunoblotting to determine the expression of SIRT1 and phosphorylated I $\kappa$ B $\alpha$  following the protocol as described earlier [24]. The proteins from various treated groups of EL4 cells were fractionated in 12% SDS-PAGE and transferred onto PVDF

membranes using a DryBlot apparatus (BioRad, Hercules, CA). The membrane after washing 3 times (10–15 min) with washing buffer (PBS + 0.2% Tween 20) were incubated in developing solution (ECL Western Blotting Detection Reagents, Amersham Biosciences England) and signal was detected using Chemi Doc System (Bio-Rad, Hercules CA). The protein on the membrane was quantified using Densitometry analyses of the western blots and Chemi Doc Software (Bio-Rad, Hercules CA).

### 2.13 Transfection of EL4 cells with mouse SIRT1 siRNA

Freshly cultured EL4 cells ( $5 \times 10^6$ ) were transfected with 1.7  $\mu\text{mol/L}$  mouse SIRT1-specific siRNAs (Dharmacon RNA Technologies, Lafayette, CO) using nucleofection of EL4 cells with EL4 Nucleofector Transfection Reagent kit and Nucleofactor II electroporation system and following the protocols of the company (Amaxa, Inc., Gaithersburg, MD). As a control,  $5 \times 10^6$  EL4 cells were transfected with mouse-specific control SiGLO RISK-free siRNA (3  $\mu\text{g}$ ; designated control siRNA) unconjugated and pmaxGFP plasmid (2  $\mu\text{g}$ ). Transfected T cells were cultured for 48 hours in complete medium at 37°C and 5% CO<sub>2</sub>. We observed >85% cell viability after transfection and observed >65% cells expressing green fluorescent protein when examined by flow cytometry (Cytomics FC 500, Beckman Coulter). EL4 cells, untransfected or transfected with control siRNA or mouse-specific SIRT1 siRNAs, were treated with vehicle or RES (25  $\mu\text{M/ml}$ ). EL4 cells, 24 hours after treatment, were harvested and apoptosis was determined by performing TUNEL assay and using flow cytometry (Cytomics FC 500, Beckman Coulter).

### 2.14 Electrophoretic Mobility Shift Assay (EMSA)

To determine the expression of NF- $\kappa\text{B}$  post RES treatment, EMSA assays using mouse-specific NF- $\kappa\text{B}$  probes and nuclear proteins harvested from EL4 cells post vehicle or RES treatment were performed following the protocol as described previously [25].

**Preparation of nuclear proteins/extracts from EL4 cells**—Nuclear proteins/extracts from EL4 cells treated with vehicle or RES or SIRT1 siRNA-transfected and not treated or treated with RES for EMSA were prepared as described previously [25]. The supernatant containing nuclear proteins/extracts was collected and the protein concentration was measured using the BCA protein determination kit from Pierce, snap frozen in liquid nitrogen, and stored at  $-80^\circ\text{C}$ .

**Generation of NF- $\kappa\text{B}$  oligo probes, radio-labeling and EMSA assays**—We used double stranded mouse NF- $\kappa\text{B}$ -specific wild-type and mutant oligo probes in this study. The double stranded wild- types and mutant NF- $\kappa\text{B}$  oligonucleotide probes were 5-end-labeled as described previously [25]. Radiolabeled (50,000 cpm) NF- $\kappa\text{B}$  probes were then incubated together with nuclear protein (3–5  $\mu\text{g}$ ) in a reaction mix containing 1  $\mu\text{l}$  binding buffer (10 mM Tris, 1 mM EDTA, 1 mM DTT, 100 mM KCl, 10% (v/v) glycerol) and 1  $\mu\text{g}$  poly (dI-dC) (Amersham Biosciences) as a nonspecific inhibitor in a final volume of 25  $\mu\text{l}$  for 30 min at 25 °C. The samples were resolved on a 6% polyacrylamide gel in Tris borate EDTA that had been pre run for 30 min. The gels were dried and exposed to X-ray film.

### 2.15 Statistical analysis

Results presented here represent at least three independent experiments and are presented as the mean  $\pm$  SEM. Statistical analyses were performed using Student's *t*-test or two-factor ANOVA as appropriate, with a *P*-value of 0.05 considered to be statistically significant. For tumor size, significant difference between control and experimental groups was determined using the Mann-Whitney U test (\**p*<0.01).

## 3 Results

### 3.1 RES suppresses EL4 tumor growth in NOD/SCID mice

To assess the efficacy of RES against EL4-mediated tumorigenesis *in vivo*, independent of the immune components, an immuno-compromised murine (NOD/SCID) model was used. NOD/SCID mice were challenged s.c. into the right flank with a lethal dose of EL4 cells ( $1 \times 10^6$ ) and generation and development of tumor growth was monitored for 40 days. All the NOD/SCID mice that received vehicle treatment post EL4 challenge developed tumor (Fig. 1A and B) whereas the mice that received various doses of RES treatments, responded in accordance with the dose of RES (Fig. 1A and B). The mice that received lower dose (10 mg/kg bw) of RES showed minimal effect on tumor development and survival of the mice (Fig. 1A and B). Mice that received 50 mg/kg bw RES showed moderate effects of RES on tumor burden (Fig. 1A and B). However, mice that received highest dose (100 mg/kg bw) of RES treatment, showed significant effects as there was a delay in tumor growth and significantly lower tumor burden (Fig. 1A and B). Also, RES showed a dose-dependent increase in survival time of mice when compared to those treated with vehicle, which died by day 24 (Fig 1C). These data together suggested that RES inhibits tumor growth as well as prolongs the survival of EL4 bearing mice.

### 3.2 RES induces apoptosis in EL4 cells

To understand the mechanisms through which RES inhibited EL4 tumor growth, we performed a series of *in vitro* assays. To this end, EL4 cells were treated with various doses of RES (5–100  $\mu$ M). The data obtained from TUNEL assays demonstrated that EL4 cells cultured in the presence of RES underwent significant levels of apoptosis in a dose-dependent fashion (Fig. 2A and B). Apoptosis was demonstrable as early as 6 hr and peaked at 24 hr. At 24 hrs, particularly at higher concentrations, RES induced 80–90% apoptosis in EL4 cells.

### 3.3 RES upregulates AhR, Fas, and FasL expression in EL4 cells

Next, we determined the expression of AhR, Fas, and FasL in EL4 cells *in vitro* post vehicle or RES treatment. To this end, we first performed RT-PCR to determine the expression of AhR, Fas and FasL in EL4 cells. We also stained EL4 cells with mouse-specific anti-Fas and FasL antibodies respectively to determine the expression Fas and FasL in EL4 cells. Data obtained from RT-PCRs showed constitutive expression of AhR, Fas, and FasL in EL4 cells. However, post RES-treatment, there was a significant increase in expression of all the three genes in EL4 cells (Fig. 3A and B) when compared to vehicle-treated EL4 cells (Fig. 3A and B). Importantly, the increase in their expression in the presence of RES was dose-dependent (Fig. 3A and B). We observed similar 18S (a house keeping gene) expression between RES- and vehicle-treated EL4 cells (Fig. 3A). Upon examination of Fas and FasL expression in EL4 cells post RES/vehicle treatments, by flow cytometry, data corroborated with RT-PCR data for increased expression of Fas and FasL (Fig 3C and D).

### 3.4 FasL plays a role in initiating death-receptor pathway during RES-induced apoptosis in EL4 cells

To test the role of FasL in RES-induced apoptosis, EL4 cells were cultured in the absence or presence of anti-mouse FasL mAb (5  $\mu$ g/ml) and various doses of RES (5–50  $\mu$ M). There was significant reduction in RES-induced apoptosis in EL4 cells when Ab against FasL was added to the culture (Fig. 3E and F). Addition of isotype control Ab failed to exhibit any significant effect on RES-induced apoptosis (Fig. 3E and F). These data suggested a role for FasL in initiating RES-mediated death-receptor pathway leading to apoptosis in EL4 cells.

### 3.5 RES triggers both extrinsic (death-receptor) and intrinsic (mitochondrial) pathways of apoptosis in EL4 cells

We next investigated the role of various apoptotic pathways in RES-induced apoptosis in EL4 cells. To this end, we determined the role of various caspases (Caspase-3/7, caspase-8, and caspase-9) in RES-induced apoptosis in EL4 cells. To this end, we performed standard enzymatic assays in EL4 cells post vehicle or RES treatment measuring caspases as described earlier [22]. Increased enzymatic activities were observed for all three caspases examined in RES-treated EL4 cells when compared to vehicle-treated EL4 cells (data not shown). These data were similar to our previous studies in which activated T cells were shown to undergo apoptosis following resveratrol treatment that was mediated by caspases [22]. The role of various caspases was further confirmed by blocking caspase activity using various caspase inhibitors (caspase-3; Z-DEVD, caspase-8; Z-IETD-FMK, and caspase-9; Z-LEHD-FMK). These data demonstrated significant blocking of RES-induced apoptosis in EL4 cells the presence of caspase-3 inhibitor and partial blocking in the presence of caspase-8 or caspase-9 inhibitors (data not shown), thereby suggesting that RES-induced apoptosis in EL4 cells was caspase-dependent involving both intrinsic and extrinsic pathways. To further corroborate the role of caspases in RES-induced apoptosis in EL4 cells, Western blot analysis for various caspases, PARP, BAX, Bid, and CYT-c was performed. The data demonstrated that RES treatment caused cleavage of caspases-8, -3, -9, -2, and PARP in EL4 cells and these data were similar to that reported by us earlier [22]. Increased expression of BAX, Bid, and cytochrome-c was also observed in RES-treated EL4 cells when compared to vehicle controls (data not shown). The increase in Bid expression in RES-treated EL4 cells demonstrated its role in initiating cross-talk between death-receptor and mitochondrial pathways. Moreover, release of cytochrome c from nucleus to cytoplasm in RES-treated EL4 cells, corroborated the role of mitochondrial pathway in RES-induced apoptosis in EL4 cells.

To further corroborate the role of mitochondrial pathway in RES-induced apoptosis in EL4 cells, we examined loss of mitochondrial membrane potential ( $\Delta\psi_m$ ) using DIOC<sub>6</sub> dye post-RES or vehicle treatment. Reduction in  $\Delta\psi_m$  in RES-treated EL4 cells compared to vehicle-treated EL4 cells was noted (data not shown), similar to our previous data on the effect of RES on T cells[22]. However, even at a high dose of 50  $\mu$ M RES, we noted moderate levels of reduction in  $\Delta\psi_m$  (data not presented) thereby suggesting that mitochondrial pathway plays only a partial role in RES-mediated apoptosis in EL4 cells.

### 3.6 RES reciprocally regulates SIRT1 and NF-kB expression in EL4 cells

There are reports demonstrating RES-mediated reciprocally regulates SIRT1 and NF-kB expression [26–29]. To determine the effect of RES on SIRT1 and NF-kB activation in EL4 cells, we performed a series of *in vitro* assays and determined the expression of SIRT1, phosphorylated I $\kappa$ K, and NF-kB in EL4 cells. As shown in Fig 4A, EL4 cells express moderate-level of SIRT1 but upon RES treatment, SIRT1 expression was significantly upregulated (Fig. 4A).

Furthermore, we used mouse SIRT1-specific siRNAs to silence the expression of SIRT1 in EL4 cells and examined the expression of SIRT1 in the absence or presence of RES. As shown in Fig 4B, there was significant blocking of SIRT1 expression in EL4 cells two days post transfection with SIRT1 siRNAs. However, RES treatment of SIRT1 siRNA-transfected EL4 cells led to upregulation of SIRT1 expression in transfected EL4 cells although these levels were less than that seen in wild-type EL-4 cells (Fig 4C). We also examined the phosphorylation of I $\kappa$ B $\alpha$  which leads to release NF-kB that migrates to nucleus to regulate various genes. We observed lower level of phosphorylation of I $\kappa$ B $\alpha$  (Ser 32) upon RES treatment in these cells when compared to vehicle treatment (Fig 4C)

demonstrating that RES-mediated induction in expression of SIRT1 blocks phosphorylation of I $\kappa$ B $\alpha$  (Ser 32) which in turn influences expression and release of NF- $\kappa$ B.

We also determined the activation of NF- $\kappa$ B in the nucleus. To this end, we performed EMSA assays using mouse NF- $\kappa$ B-specific probe and nuclear protein prepared from untreated or SIRT1 siRNA transfected EL4 cells treated with vehicle or RES. As shown in Fig 4D, we observed shift in NF- $\kappa$ B probe when nuclear protein from vehicle-treated EL4 cells was used. However, there was lesser shift in NF- $\kappa$ B probes when nuclear proteins from Resveratrol-treated EL4 cells were used (Fig 4D). Moreover, when nuclear protein from SIRT1 siRNA-transfected EL4 cells was used, we observed relatively more shift in NF- $\kappa$ B probes but RES treatment of transfected EL4 cells significantly affected the shift of NF- $\kappa$ B probes (Fig. 4D). The nuclear extracts from various treated groups of EL4 cells did not show a shift in mutant NF- $\kappa$ B probes demonstrating NF- $\kappa$ B-specific binding of nuclear extracts of EL4 cells (Fig. 4D). The data obtained from these studies demonstrated that RES treatment of EL4 cells upregulated SIRT1 expression but downregulated NF- $\kappa$ B expression.

### 3.7 SIRT1 expression affects RES-mediated apoptosis of EL4 cells

We next assessed the effects of SIRT1 on RES-mediated apoptosis in EL4 cells. To this end, we performed *in vitro* assays using EL4 cells untransfected or transfected with SIRT1 siRNA and treated with vehicle or RES. We observed that untransfected EL4 cells were more susceptible to RES-induced apoptosis (Fig. 4E and F), when compared to EL4 cells transfected with SIRT1 siRNAs, particularly when the background apoptosis seen with vehicle was subtracted from RES-induced apoptosis (Fig. 4E and F). These data demonstrated that SIRT1 expression influences RES-mediated apoptosis in EL4 cells.

## 4 Discussion

Development of anti-cancer drugs with high efficacy is a major challenge. The resistance of many cancers to various treatments throws obstacles and constitutes major problems in cancer therapy. Usually, the abnormalities in apoptotic pathways of cancer cells make them resistant to various chemopreventive drugs. Successful induction of apoptosis in cancer cells, therefore, is a key for the development of anti-cancer drugs and therapies. Chemoprevention is considered as a promising strategy to control cancer development [30,31]. There are many natural products and/or dietary supplements that have been shown to act as chemopreventive agents and inhibit experimental carcinogenesis [32]. In recent years, RES, a natural plant-derived phytoalexin compound, which possesses anti-cancer properties, has been the focus for its use as a chemopreventive agent against various types of cancer [5,6]. RES has been shown to suppress the growth of transformed cells through induction of apoptosis [33]. There are studies demonstrating RES-mediated apoptosis in HL60 leukaemia cells as well as in T47D breast carcinoma cells [6]. RES-mediated apoptosis has also been shown in human T-cell acute lymphoblastic leukemia MOLT-4 cells [34].

In the current study, we investigated the chemopreventive and anti-cancer properties of RES against EL4, a T lymphoma cell line, and evaluated its efficacy against EL4-induced tumorigenesis in immunodeficient NOD/SCID mouse model. We used this model to directly test the effect of RES on tumor cells because it is well known that RES also exhibits immunomodulatory properties, including the ability to enhance perforin expression and NK cell cytotoxicity through NKG2D-dependent pathways [36]. We noted that RES treatment significantly suppressed EL4-tumor growth and increased the mean survival time. These results correlated with the ability of RES to induce apoptosis in EL4 tumor cells. Analysis of apoptotic pathways revealed that RES triggered both death-receptor (Fas/FasL-mediated) and mitochondrial pathways in EL4 cells. Although both death-receptor and mitochondrial



pathways played a role in RES-mediated apoptosis in EL4 cells, the fact that only a small proportion of apoptotic cells showed loss of mitochondrial membrane potential suggested that death-receptor pathway may play more critical role in RES-mediated apoptosis in EL4 cells. The mitochondrial pathway may be activated through cross-talk via Bid as we observed presence of cleaved Bid (22 kd) in EL4 cells in the presence of RES. We also observed the presence of cleaved caspase-2, which can be activated by cytotoxic stress, and caspase-2 is required for the permeabilization of mitochondria [35]. RES has been shown to trigger CD95 signaling-dependent apoptosis in human tumor cells [6]. RES may also induce FasL-mediated apoptosis through Cdc42 activation of ASK1/JNK-dependent signaling pathway in human leukemia HL-60 cells [37]. Dorrie et al have shown that RES induces apoptosis by depolarizing mitochondrial membrane and activating caspase-9 in acute lymphoblastic leukemia cells [5].

We and others have shown that RES also acts as a AhR mixed agonist/antagonist [22,38]. In this study, we observed RES-mediated the activation of AhR in EL4 cells. Previously, we have shown that activated AhR induced Fas and FasL expression in T cells leading to apoptosis [22,23]. The earlier study from our laboratory has also shown that AhR upon activation upregulates the expression of Fas by interacting with dioxin response element (DRE) present as a regulatory element in murine Fas promoter, and FasL by interacting with NF- $\kappa$ B regulatory elements present in murine FasL promoter [25]. Thus, RES not only induces AhR but also acts as an AhR ligand which may trigger the induction of Fas and FasL leading to apoptosis. There are reports demonstrating that RES can act as a ligand for aryl hydrocarbon receptor (AhR) thereby regulating the expression of various genes [39]. In summary, we propose a model demonstrating participation of both extrinsic and intrinsic pathways in RES-mediated apoptosis in EL4 cells (Fig. 4G).

RES is one of the most potent natural compounds that activate SIRT1 [40,41]. Earlier studies have demonstrated that SIRT1 acts as a longevity factor and its overexpression increases the life span of many organisms tested [42]. Many of the SIRT1 substrates are transcription factors and key regulators described to take part in cancer development, such as the nuclear factor- $\kappa$ B (NF- $\kappa$ B), the DNA repair factor Ku70, the tumor suppressor gene p53, and the forkhead transcription factors (FoxOs) [43,44]. The relationship between SIRT1 activity and tumorigenesis is still open to debate [43]. Boily and others have reported that SIRT1 does not behave like a classical tumor-suppressor gene but the antitumor activity of RES is mediated, at least in part, by SIRT1 [45]. There are other reports demonstrating high expression of SIRT1 in several types of tumors [46] and may be responsible for the development of chemotherapy resistance [47]. SIRT1 is also an important mediator in calorie restriction-associated tumor prevention [48]. Although some studies have suggested that SIRT1 may function as a tumor promoter because of its increased expression in some types of cancers, other studies have demonstrated that SIRT1 levels are reduced in some other types of cancers thereby acting as a tumor suppressor [18]. Moreover, SIRT1 deficiency promotes tumorigenesis, and overexpression of SIRT1 attenuates cancer formation in mice heterozygous for tumor suppressor p53 [49,50] or APC [45]. Thus, clearly, additional studies are necessary to address the precise role of SIRT1 in tumor growth and metastasis. In the current study we noted that upon RES treatment, SIRT1 expression was significantly increased in EL4 tumor cells. It was also striking that there was a significant decrease in p-I $\kappa$ B $\alpha$  expression and NF- $\kappa$ B nuclear translocation in EL4 cells. These data are consistent with the observation that I $\kappa$ B $\alpha$  levels were elevated in mouse embryonic fibroblasts derived from SIRT1 $-/-$  mice under basal conditions as well as in response to TNF $\alpha$ , thereby suggesting that SIRT1 suppresses the expression of NF- $\kappa$ B-dependent genes [51]. Moreover, SIRT1 regulates the transcriptional activity of NF- $\kappa$ B by physically interacting with the RelA/p65 subunit of NF- $\kappa$ B and inhibiting transcription by deacetylating RelA/p65 [52]. Together, these studies suggest that RES by enhancing SIRT1

expression may downregulate NF- $\kappa$ B activation and thereby making tumor cells susceptible to RES-induced apoptosis, specifically because NF- $\kappa$ B exhibits anti-apoptotic properties.

In the current study, we used a dose of 10, 50 and 100 mg/kg of RES administered by oral gavage. Previous *in vivo* studies to treat cancer suggested that low doses such as 40 mg/kg of RES are not effective while higher doses such as 80 mg/kg were partially effective [53]. In another study, RES was shown to inhibit tumor growth in Balb/c mice at high doses such as 500, 1000 and 1500 mg/kg in a dose dependent manner when administered for 10 days [54]. Also, in a rat model, 100 mg/kg of RES was very effective in delaying tumorigenesis [55]. In this study, we observed that lower dose (10 mg/kg) was not effective against EL4 tumor growth while a higher dose (100 mg/kg) was very effective. It should be noted that the dose that we have used is feasible to achieve in humans because the human equivalent dose of 100 mg/kg in mouse is 486 mg, considering an average human weight of 60 kg. Currently, there are several nutraceutical companies selling purified resveratrol in 500-mg quantities in capsule form. Thus, our doses reflect the potential pharmacological/dietary supplement dose available in market.

In summary, our study provides important information regarding the reciprocal regulation of SIRT1 and NF- $\kappa$ B in tumor apoptosis *in vitro* and tumor growth *in vivo*. Our study demonstrates that exposure of a T cell lymphoma line to RES induces SIRT1 and decreases NF- $\kappa$ B activation thereby making the cells more susceptible to apoptosis and inhibition of tumor growth *in vivo*. Thus, our studies suggest that RES may serve as a chemopreventive agent and make the tumor cells more susceptible to apoptosis when used either directly or in combination with other anti-cancer agents.

## Acknowledgments

N.P.S. designed and performed experiments, analyzed results, prepared the figures, and wrote the manuscript; U.P.S. helped in design of experiments and discussion, VGH, performed apoptotic assays and analyzed data, HG, performed *in vitro* experiments and analyzed data, LH, participated in discussion to examine data, M.N. designed the experiments, edited the manuscript, and provided the resources, and P.S.N. assisted in project conception, design of experiments, provided resources, and edited the manuscript.

All authors read and approved the final manuscript.

This work was funded in part by National Institutes of Health Grants P01-AT03961, R01-ES09098, R01-DA016545).

## Abbreviations used in this paper

<b>Resveratrol (RES)</b>	trans-3,5,4'-trihydroxystilbene
<b>SIRT1</b>	silent mating type information regulation 2 homolog, 1
<b>NF-<math>\kappa</math>B</b>	Nuclear Factor Kappa B
<b>AhR</b>	aryl hydrocarbon receptor

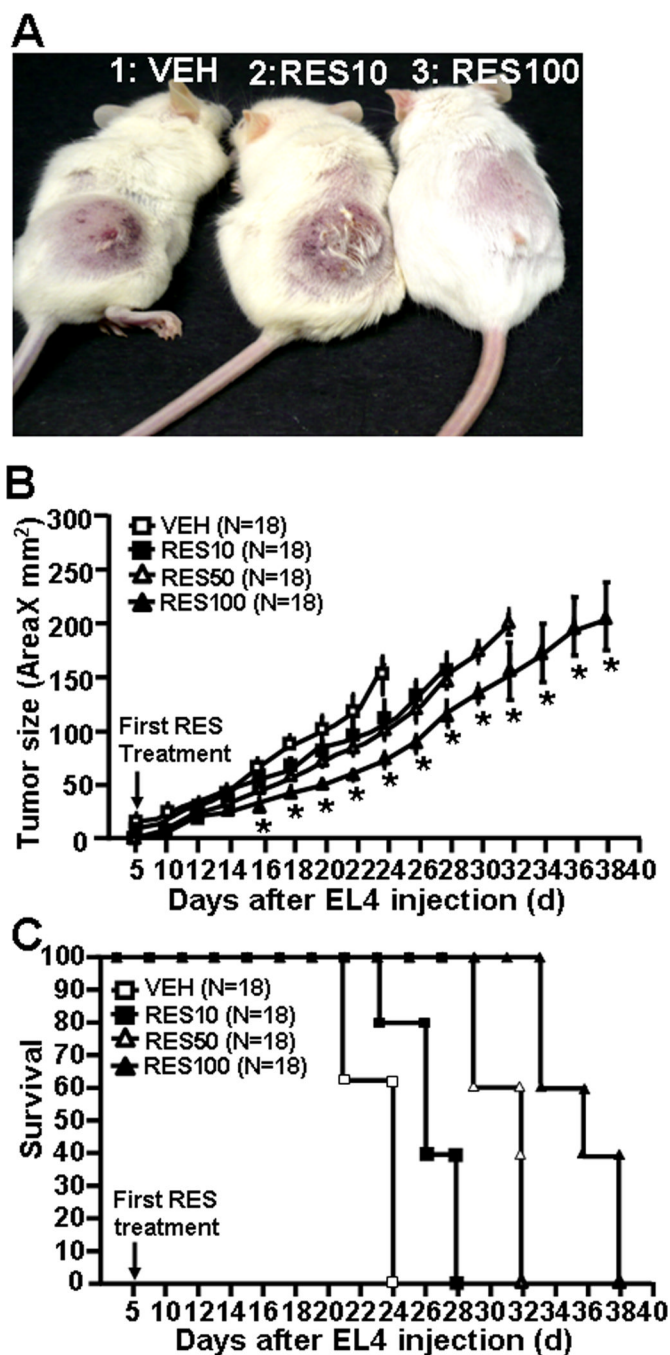
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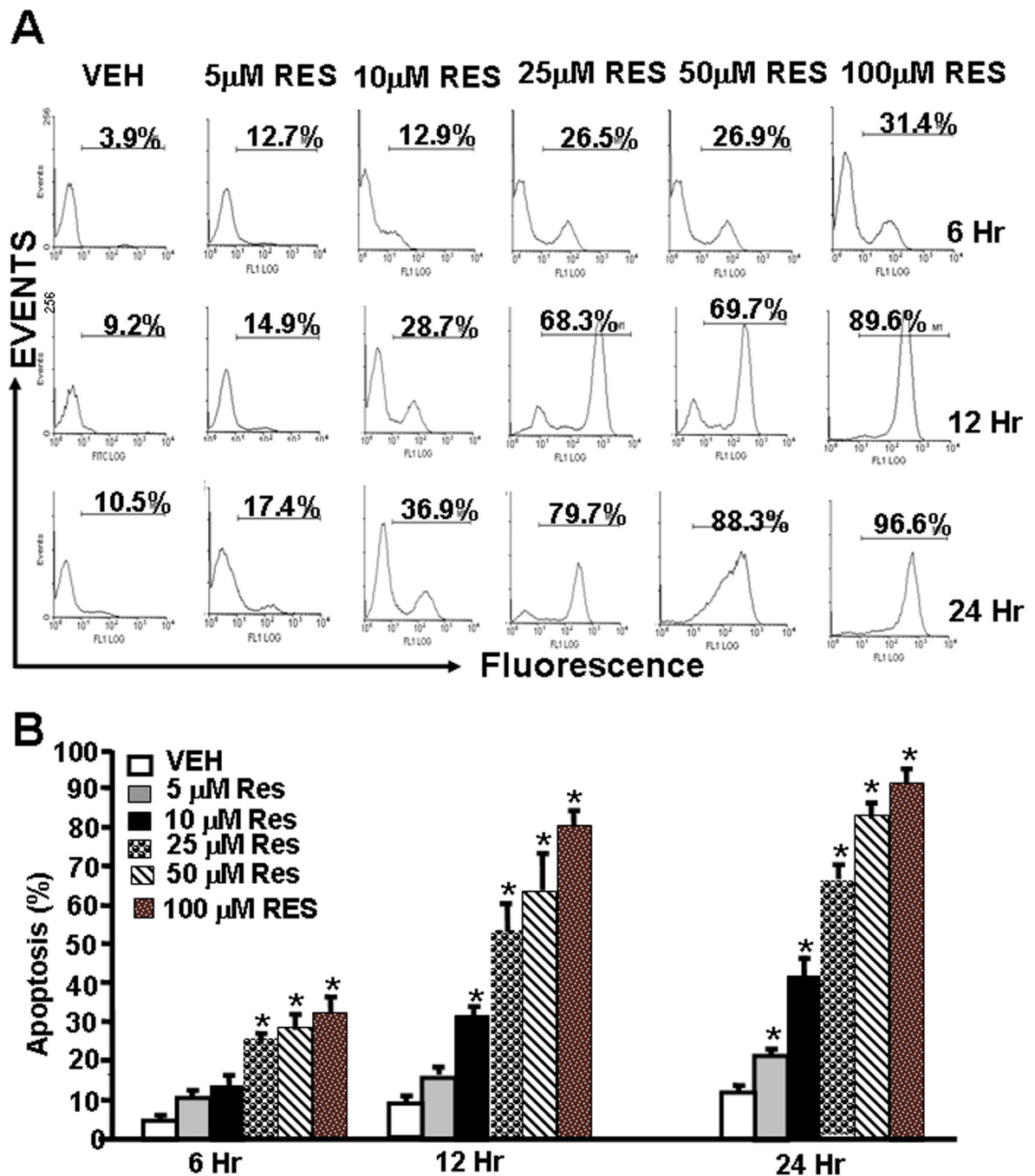
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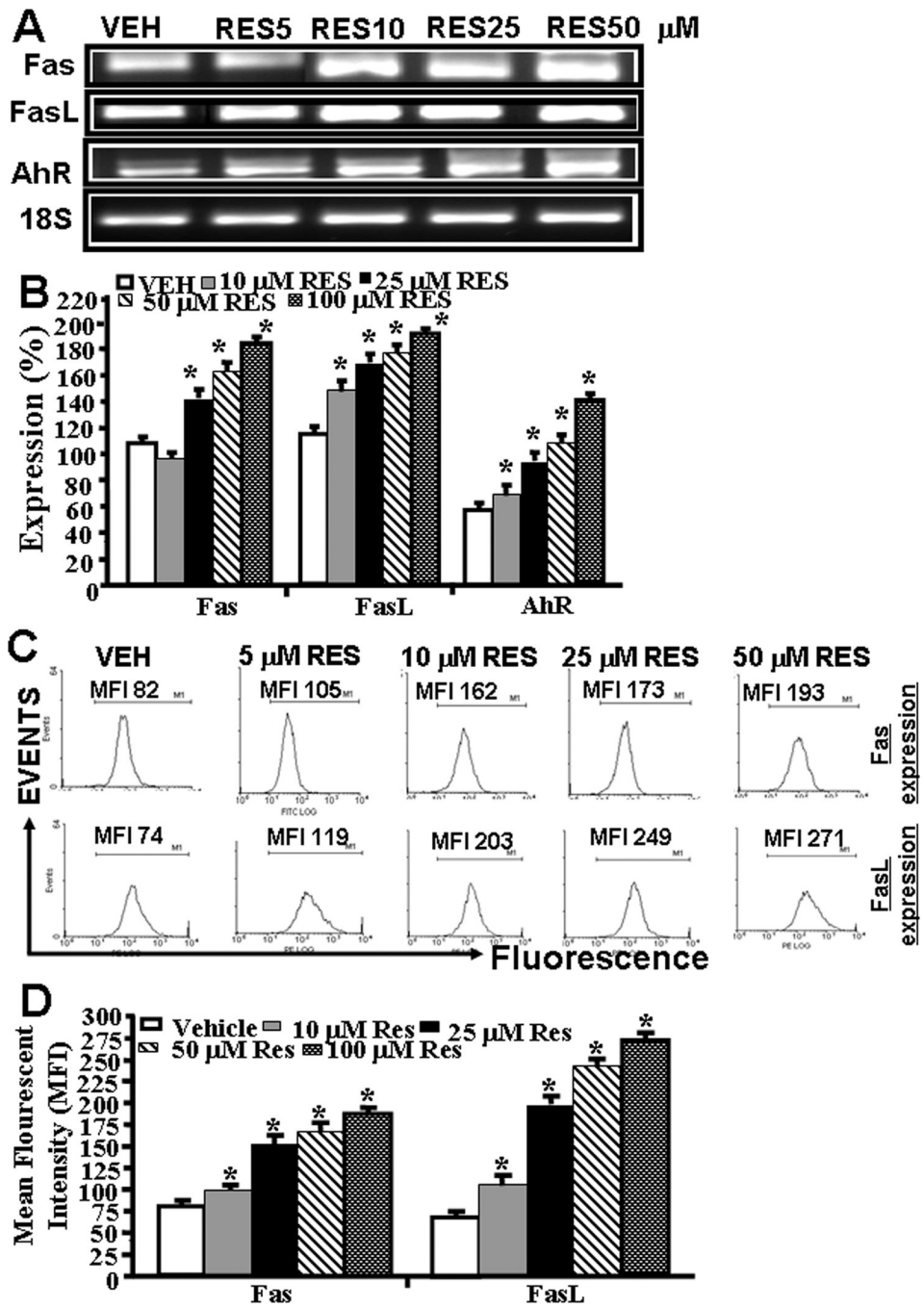


**Figure 1.**

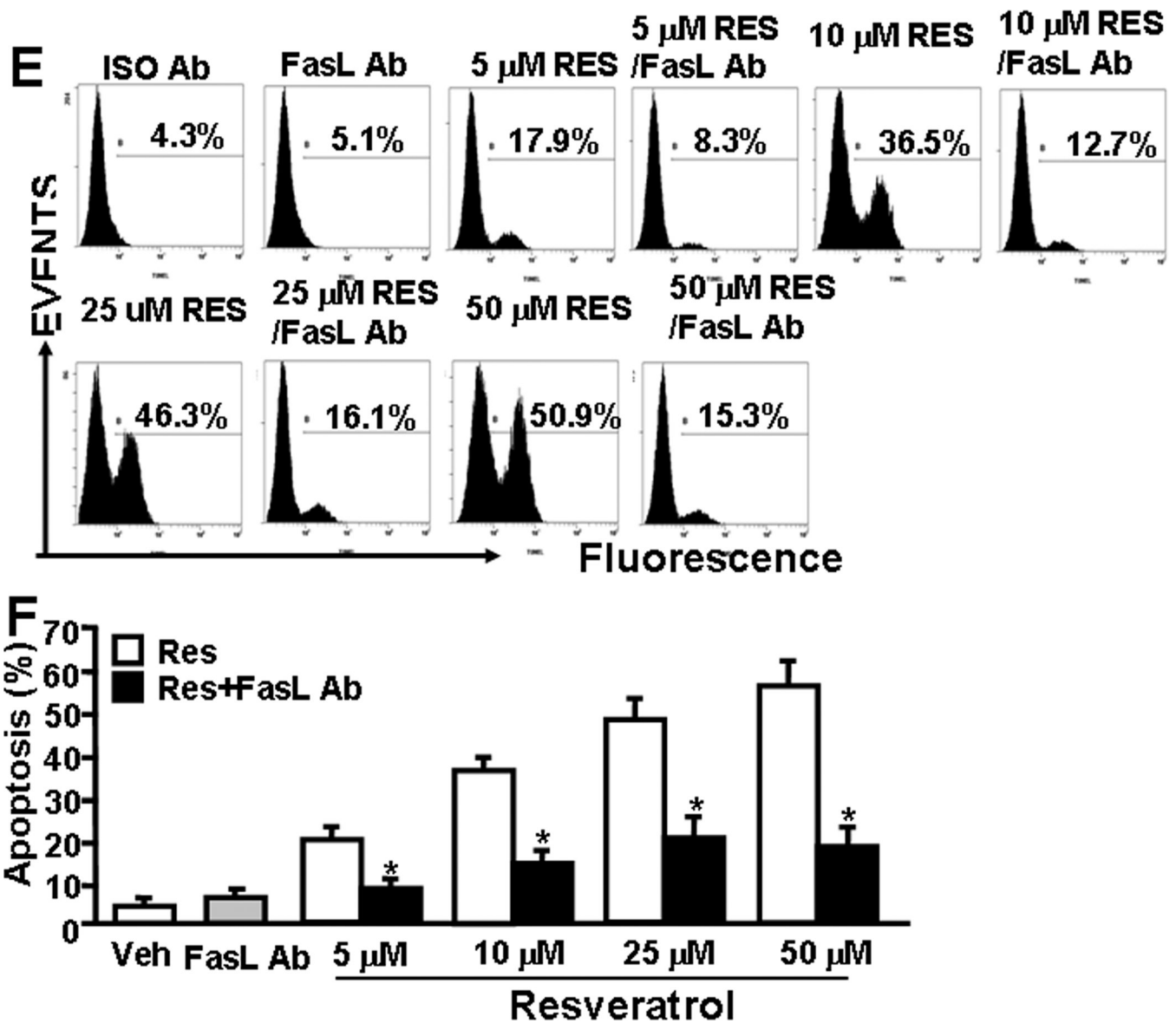
RES treatment suppresses EL4 tumor growth in NOD/SCID mice. A, EL4 cells ( $1 \times 10^6$ ) were subcutaneously injected on to dorsal surface of NOD/SCID mice. These mice received daily dose of 10, 50 and 100 mg/kg of RES orally from day 5 till the termination of the experiment. B, Measurement of tumor size in NOD/SCID mice. C, survival time of NOD/SCID mice post EL4 injection. Data represent mean  $\pm$  SEM of 18 animals and asterisks (\*) represent significant differences ( $p < 0.05$ ) between RES-treated groups when compared to vehicle controls.



**Figure 2.** RES triggers apoptosis in EL4 cells. EL4 cells were treated with RES or vehicle for 6–24 hrs (Panels A–B). Panel A demonstrates representative experiment for apoptosis and panel B shows mean  $\pm$  SEM of 4 independent experiments. Asterisks (\*) indicate statistically significant ( $p < 0.05$ ) difference between RES-treated groups when compared to vehicle controls.

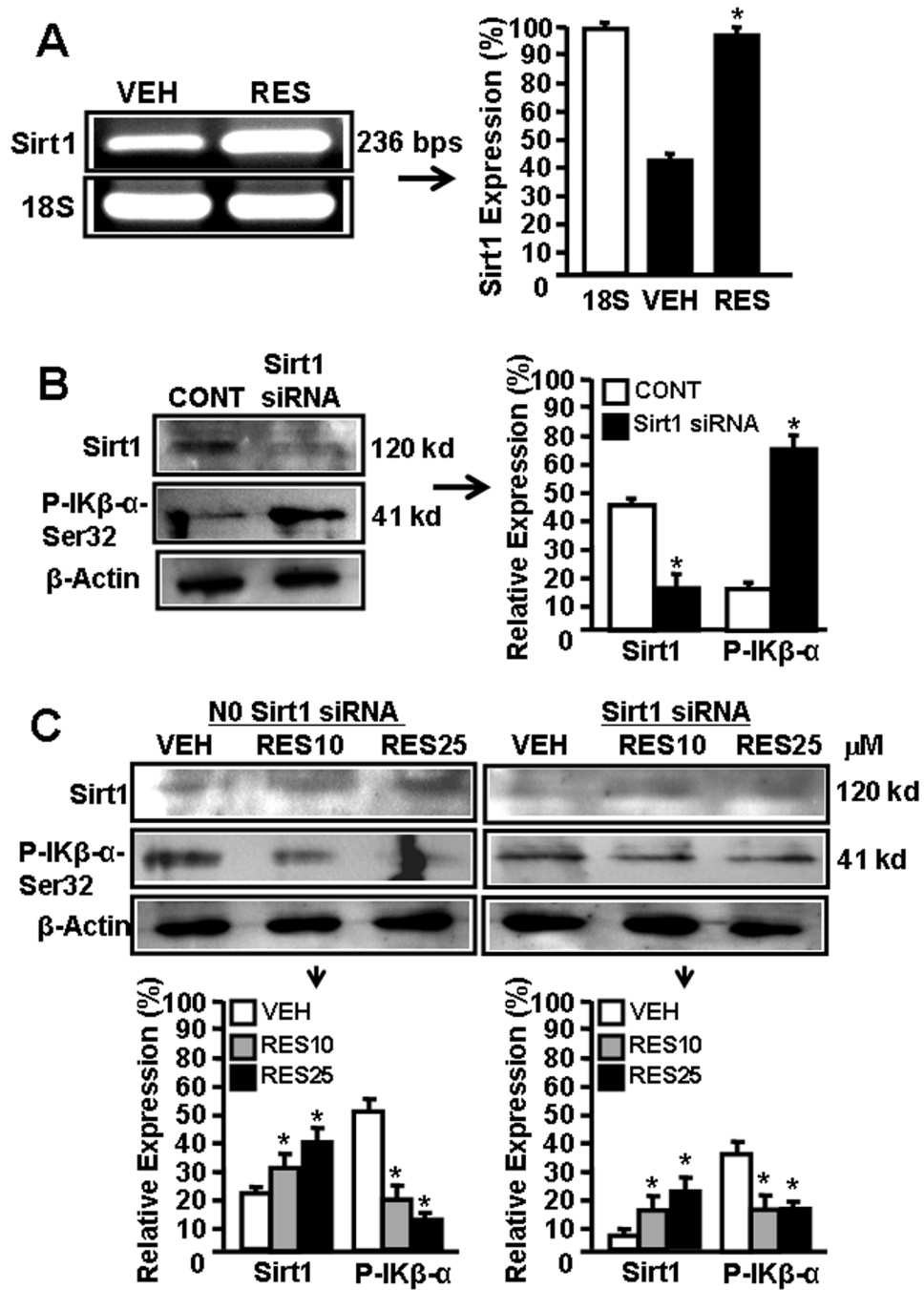


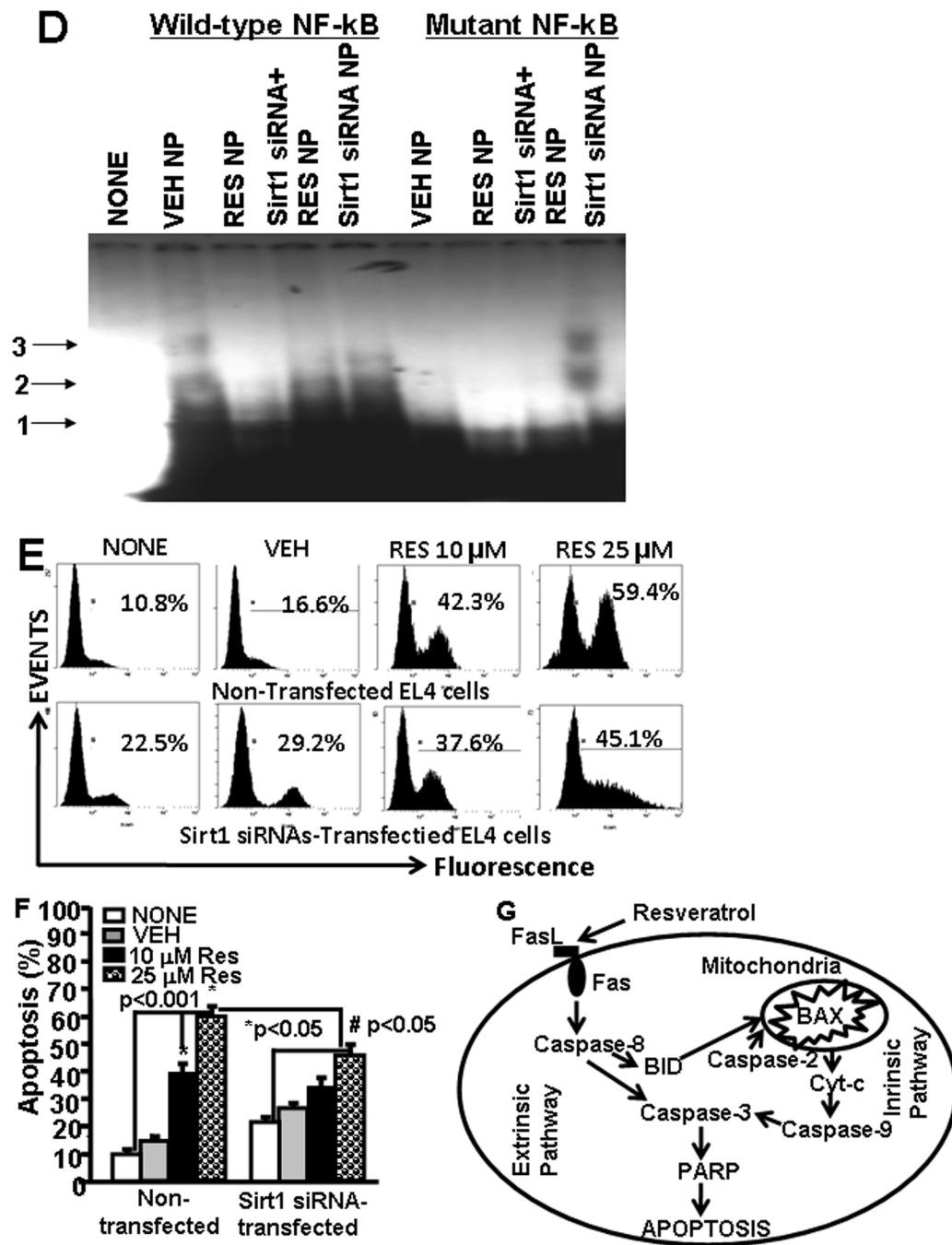




**Figure 3.** RES-induced upregulation of AhR, Fas, and FasL in EL4 cells and role of FasL in induction of death-receptor pathway of apoptosis in EL4. Expression of AhR, Fas, and FasL in EL4 cells was determined by performing RT-PCR and staining the cells with anti-mouse Fas-PE and anti-mouse FasL-PE antibodies and analyzed by Flow cytometry. A, Expression of AhR, Fas, and FasL in EL4 cells 24 hrs post RES or vehicle treatment (RT-PCR). 18S, a house keeping, was used as a positive control. B, represents mean  $\pm$  SEM of three independent experiments and asterisks (\*) represent significant ( $p < 0.05$ ) difference between RES-treated groups when compared to vehicle controls. C, Mean fluorescent intensity of Fas and FasL expression post RES or vehicle treatment. Panel D represents mean  $\pm$  SEM of three independent experiments and asterisks (\*) represent significant ( $p < 0.05$ ) difference between RES-treated groups when compared to vehicle controls. Panels E and F: Apoptosis in EL4 cells in the absence or presence of mouse-specific anti-FasL Ab was determined by TUNEL assays. The data presented in panel E are representative of 3 independent experiments. Panel F represents mean of 3 independent experiments and

asterisks (\*) represent significant ( $p < 0.05$ ) reduction in RES-induced apoptosis of T cells cultured in the presence of FasL Ab when compared to the controls.





**Figure 4.**

SIRT1 expression in EL4 cells regulates NF- $\kappa$ B activation and apoptosis. Expression of SIRT1 in EL4 cells was determined by performing RT-PCR. A, Expression of SIRT1 in EL4 cells 6 hrs post vehicle or RES treatment (RT-PCR). 18S, a house keeping gene, was used as a positive control. In panel B, the bars represents mean  $\pm$  SEM of three independent experiments and asterisks (\*) represent significant ( $p < 0.05$ ) difference between RES-treated EL4 cells when compared to vehicle-treated EL4 cells. B, cytosolic proteins from EL4 cell untransfected or transfected with mouse SIRT1-specific siRNAs were fractionated in polyacrylamide gel and expression of SIRT1 and p-IK $\beta$  $\alpha$ -Ser32 was analyzed by Western blotting using antibody against mouse-specific SIRT1 and p-IK $\beta$  $\alpha$ -Ser32. Expressions of

SIRT1 and p-IK $\beta$  $\alpha$ -Ser32 is presented as percentage of  $\beta$ -Actin expression on Y-axis and expression of  $\beta$ -Actin was considered to be 100% for each experiment. Vertical bars represent mean  $\pm$  SEM of three independent experiments and asterisks (\*) represent statistically significant ( $p < 0.002$ ) reduction in expression of SIRT1 (untransfected control; CONT vs SIRT1 siRNA transfected EL4 cells) and an increase in expression of p-IK $\beta$  $\alpha$ -Ser32 (untransfected control; CONT vs SIRT1 siRNA transfected EL4 cells) in EL4 cells. C, cytosolic proteins from EL4 cell untransfected or transfected with mouse SIRT1-specific siRNAs and treated with vehicle or RES were fractionated in polyacrylamide gel and expression of SIRT1 and p-IK $\beta$  $\alpha$ -Ser32 in EL4 cells was analyzed by Western blotting using antibody against mouse-specific SIRT1 and p-IK $\beta$  $\alpha$ -Ser32. Left panel in C represents expressions of SIRT1 and p-IK $\beta$  $\alpha$ -Ser32 in untransfected EL4 cells and treated with vehicle or RES whereas right panel represents expressions of SIRT1 and p-IK $\beta$  $\alpha$ -Ser32 in EL4 cells transfected with SIRT1 siRNA and treated with vehicle or RES. The vertical bars represent percentage of  $\beta$ -actin expression which was considered to be 100%. Also, vertical bars represent mean  $\pm$  SEM of three independent experiments and asterisks (\*) represent statistically significant ( $p < 0.002$ ) increase in expression of SIRT1 (VEH vs RES10 or RES25  $\mu$ M) and decrease in p-IK $\beta$  $\alpha$ -Ser32 (VEH vs RES10 or RES25  $\mu$ M). D, EMSA analysis of NF- $\kappa$ B motif. Double stranded probes containing wild-type NF- $\kappa$ B or mutant NF- $\kappa$ B motifs were generated and nuclear extracts (4–5  $\mu$ g) generated from vehicle- or RES-treated untransfected or SIRT1 siRNA-transfected EL4 cells not treated or treated with RES was used in each reaction. Radiolabeled ( $P^{32}$ ) wild-type or mutant NF- $\kappa$ B1 probes were used either without incubation with nuclear extracts or after incubation with nuclear extracts. Arrows 1 represents free probes and arrows 2 and 3 represent NF- $\kappa$ B+ nuclear protein (NP) complexes. E, EL4 cells non-transfected or transfected with SIRT1 siRNA were cultured in the presence of vehicle or RES (10 or 25  $\mu$ M) for 24 hrs and apoptosis was determined using TUNEL assay. F, Vertical bars in the left panel of E represent mean  $\pm$  SEM of three independent experiments and asterisks (\*) represent statistically significant ( $p < 0.001$ ) increase in apoptosis in non-transfected EL4 cells or SIRT1-siRNA transfected EL4 cells post RES treatment compared to vehicle-treated cells and (#) represents statistically significant ( $p < 0.05$ ) decrease in apoptosis in SIRT1-siRNA transfected EL4 cells post RES treatment compared to untransfected EL4 cells. G, Schematic diagram demonstrating pathways involved in RES-mediated apoptosis in EL4 cells.