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Targeting DNA G-Quadruplex Structures with Peptide Nucleic Acids

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Abstract

Regulation of genetic functions based on targeting DNA or RNA sequences with complementary oligonucleotides is especially attractive in the post-genome era. Oligonucleotides can be rationally designed to bind their targets based on simple nucleic acid base pairing rules. However, the use of natural DNA and RNA oligonucleotides as targeting probes can cause numerous off-target effects. In addition, natural nucleic acids are prone to degradation *in vivo* by various nucleases. To address these problems, nucleic acid mimics such as peptide nucleic acids (PNA) have been developed. They are more stable, show less off-target effects, and, in general, have better binding affinity to their targets. However, their high affinity to DNA can reduce their sequence-specificity. The formation of alternative DNA secondary structures, such as the G-quadruplex, provides an extra level of specificity as targets for PNA oligomers. PNA probes can target the loops of G-quadruplex, invade the core by forming PNA-DNA guanine-tetrads, or bind to the open bases on the complementary cytosine-rich strand. Not only could the development of such G-quadruplex-specific probes allow regulation of gene expression, but it will also provide a means to clarify the biological roles G-quadruplex structures may possess.

Keywords

Peptide Nucleic Acids; G-quadruplex; gene expression regulation

Introduction

The human genome is enriched with sequences that can potentially form G-quadruplex structures [1, 2]. Usually, such sequences consist of four runs of three or more guanines separated by short linker sequences. The runs of guanines form the core of the quadruplex stabilized by guanine tetrads while the linker sequences form loops of various conformations [3]. Human telomeric repeats contain the greatest number of such sequences [4]. In addition, numerous genes possess sequences capable of forming G-quadruplex structures in their regulatory regions [5, 6]. Proto-oncogenes are highly enriched with such G-quadruplex-forming sequences compared to tumor suppressor genes [7]. Furthermore, there is mounting

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evidence that G-quadruplex structures may play roles not only in telomere maintenance but in regulation of gene expression as well [8]. Numerous small molecules with higher affinity to G-quadruplex versus duplex DNA have been synthesized [9, 10]; some of these are potent inhibitors of the telomere-extending enzyme, telomerase, which is overexpressed in cancer cells, and are being extensively tested as anticancer drugs [11]. Targeting G-quadruplex structures in the intrachromosomal regulatory regions is more challenging because of the wide variety of G-quadruplex structures that can form in different genes based on their unique sequences [8]. Even though molecules have been designed to specifically bind to the G-quadruplex structures formed in particular genes, the task remains very challenging.

An alternative approach to targeting specific G-quadruplex structures is based on using short oligonucleotides that bind to the complementary DNA bases that become accessible after G-quadruplex formation. This approach is similar to antisense [12], antigene [13], and RNAi-based [14] approaches, and is based on simple nucleic acids complementarity rules. While developing antisense, antigene and RNAi-based therapies, it became clear that for this approach to be effective, chemically modified analogs or nucleic acid mimics should be used. One such class of molecules, peptide nucleic acids (PNAs), are nucleic acid mimics in which the natural nucleobases are connected to an achiral, uncharged polyamide backbone [15] (Figure 1). PNA oligomers form very stable duplexes with complementary nucleic acids; they can even invade double-stranded DNA under certain conditions. For example, when the DNA is negatively supercoiled, PNA and DNA will complex and form a P-loop [15, 16] (Figure 2). In addition, guanine-rich PNA are able to form G-quadruplexes by themselves or DNA-PNA hybrid G-quadruplex structures [17].

Based on these unique properties, several approaches to target G-quadruplexes with PNA have been proposed. First, guanine-rich PNA can invade a DNA G-quadruplex and simultaneously bind to the complementary cytosine-rich strand, thus facilitating G-quadruplex formation [18, 19]. Second, short PNA oliomgers can be designed to bind to the single-stranded DNA (ssDNA) in the exposed loops of G-quadruplex structures [20]. Third, PNA can bind exclusively to the complementary cytosine-strand, thus facilitating G-quadruplex extrusion in the G-rich strand without interfering with native DNA G-quadruplex conformation [21] (Figure 3).

In this short review, we will describe all these approaches. We also will provide background on PNA chemistry and design, and speculate on possible mechanisms of PNA-based strategies to regulate gene expression by targeting a G-quadruplex.

PNA as DNA sequence-specific targeting drugs

There are many approaches to make gene-specific drugs, but a system that takes advantage of nucleobase hydrogen bond recognition would be of general use for any gene target (Figure 4). Nucleic acid derivatives are attractive drug candidates over natural nucleic acids. PNAs are non-natural nucleic acids in which the natural nucleobases are preserved but appended to an uncharged, achiral pseudo-peptide backbone in lieu of the natural sugar phosphate backbone [22]. Because the PNA oligomers are uncharged, they tend to exhibit tighter binding to natural nucleic acids due to the lack of poly anionic charge-charge interactions [23]. Furthermore, PNA oligomers are resistant to degradation *in vivo* because they are not recognized by nucleases or proteases, and their stability makes them attractive candidates for antigene, antisense, or nucleic acid probes [24].

Chemical modification of PNA oligomers can abrogate some of its inherent shortcomings, such as solubility, cell permeability, or bioavailability (Figure 5). The use of modified PNA residues in PNA oligomers can also affect the binding affinity and selectivity to nucleic acids through backbone rigidification and preorganization [25–27], increase solubility [28],

provide a handle for further conjugation or ligand display [29] or increase cellular uptake [30]. Recent work with diethylene glycol γ -substituted PNA residues afforded oligomers that had both higher binding affinity to DNA and increased aqueous solubility [31]. However, the thermodynamic data indicated that preorganization might not be the reason for the increased binding affinity in this example.

PNA oligomers targeting genomic DNA in a sequence specific manner has already seen remarkable progress [32–36]. When cell-penetrating peptides are conjugated to an antigene PNA oligomer, inhibition of gene expression has been demonstrated [37–39]. Using pseudovirion delivery agents as another means of delivering PNA oligomers into cells has similarly shown promise in suppressing gene expression associated with drug resistant cancer cells [40]. Besides targeted gene inhibition, another application of PNA oligomers involves promoting the repair and recovery of gene function [41, 42]. All of these approaches utilize the nucleic acid sequence specificity rather than recognition of nucleic acid secondary structure [43].

G-quadruplex and other alternative DNA structures

DNA forms a remarkable variety of secondary structures beyond the well-known B-form double helix [44]. Examples include A-form DNA duplex [45], the left handed Z-DNA [46], triplexes formed from binding the Hoogsteen face of purine bases in the major groove (Figure 4) [47], cytosine-rich i-motif [48] and G-quadruplexes [49,50]. Many of these types of nucleic acid structures depend on non-Watson-Crick hydrogen bonding such as wobble base pairing or sheared-type base pairing [51]. However, the question whether many of these alternative DNA structures play a biological role remains open to this day. Some alternative structures were found under the conditions that are normally not present in cells, e.g., low pH, extremely supercoiled DNA, crystallized DNA, etc. [52]. Some of these secondary structures could not form readily under physiological conditions. Nevertheless, all of these structures tend to be sequence specific and some are observed *in situ* and may play a direct role in biological processes. For example, DNA triplexes utilizing Hoogsteen hydrogen bond recognition have been linked to several disease states [53]. This type of secondary structure only forms in stretches of polypurine/polypyrimidine tracks of DNA where another pyrimidine rich strand can bind the Hoogsteen face of the polypurine strand (Figure 3). The tips of vertebrate chromosomes, telomeres, contain multiple GGGTTA repeats of single stranded DNA which can form G-quadruplexes and play an integral role in preventing chromosomal deterioration or unwanted chromosomal fusion [54]. The sequences potentially forming G-quadruplex DNA structures are highly abundant along the human genome, especially in regulatory regions [55]. This observation might indirectly indicate the possible epigenetic role of these DNA structures.

PNA oligomers also have the potential to form many secondary structures, either with itself or in combination with natural nucleic acids. Nielsen and coworkers originally designed PNA to bind to the major groove (i.e. the Hoogsteen hydrogen bonding mode) of DNA duplexes [56]. It was only afterwards they discovered that PNA oligomers invade DNA duplexes and form stable (PNA)₂:DNA triplexes. Today, PNA:DNA triplexes are wellknown, and Bis-PNAs (PNA oligomers designed to bind polypurine DNA in both Watson-Crick and Hoogsteen hydrogen bonding modes connected through a flexible linker) invade even long tracks of duplex DNA to form very stable triplex structures [57–59] (Figure 2). PNA oligomers also form stable quadruplexes in the presence of appropriate cations (sodium, potassium, ammonium, etc.) [60]. Guanine-rich PNA oligomers form stable heterocomplexes with guanine-rich DNA oligomers [61]. The stability and selectivity of PNA quadruplexes can be modified by using PNA derivatives. The use of γ -substituted PNA can bias PNA oligomers towards binding in the guanine-quadruplex mode versus

duplex binding [18]. Furthermore, the selective arrangement of *trans*-cyclopentane PNA residues can increase the stability of PNA:DNA heterocomplexes while discouraging the competing PNA quadruplex formation [62]. The range and diversity of secondary structures that PNA forms or recognizes shows that trying to increase selectivity through targeting non-duplex complexes could be a worthwhile strategy.

Modes of targeting DNA quadruplexes with PNAs

PNAs were designed to target G-rich, C-rich, and/or both DNA strands of a G-quadruplex. Initially, PNA probes containing guanines were designed to invade homologous DNA Gquadruplex-forming sequences and participate in the formation of heterogeneous DNA-PNA guanine tetrads. This idea was first put forward by Armitage and co-workers who noticed that guanine-rich PNA formed hetero-quadruplexes with homologous DNA oligomers [17, 61]. This approach is based on targeting the guanine-rich quadruplex-forming DNA strand [17-19, 61, 63-66]. Instead of G-quadruplex invasion, guanine rich PNA probes can alternatively bind to the complementary cytosine-rich DNA strand, thus providing additional stabilization of the G-quadruplex-PNA complex. DNA sequences with four guanine runs can also be targeted in this mode via formation of two consecutive quadruplexes each consisting of two PNA- and two DNA-strands [19] (Figure 3, box, bottom). To increase the efficiency and specificity of quadruplex invasion, Lusvarghi et al. utilized modified PNA probes. They incorporated abasic sites as well as chiral modifications to the backbone of PNA and showed an improvement in the selectivity of quadruplex versus duplex formation [18]. Paul and coauthors [64] proposed another model of G-quadruplex targeting with PNAs. They designed a guanine-rich PNA probe that combines with three guanine runs of a human telomere sequence to form an intermolecular PNA-DNA G-quadruplex in a "3+1" mode. The resulting complex mimics the biologically relevant pure DNA telomeric quadruplex. However, the PNA probes described above could not invade duplex DNA even if the latter contained the motif complementary to the PNA sequence. Therefore, further efforts are required to increase the ability of PNA of invade duplex DNA to make these types of strategies viable.

An alternative approach was used by Amato et al [20] to target DNA nucleobases in the loops of G-quadruplex structure and exclude disruption of guanine tetrads within the quadruplex. They screened a small library of short PNAs complementary to a part of quadruplex-forming DNA sequence that does not contain guanines involved in G-quadruplex formation. Depending on PNA length and ionic conditions, PNAs are able to bind to the loops of the G-quadruplex and either stabilize or disrupt the quadruplex structure. In their earlier studies, Amato et al. used short cytosine-rich PNA probes to show that they could form novel G-quadruplex-PNA complexes in addition to the expected DNA-PNA heteroduplexes, depending on ionic conditions [65, 66].

Our team proposed a different approach that is based on targeting only the cytosine-rich strand complementary to the quadruplex-forming DNA strand [21, 67]. In this case, a PNA probe invades a double helix and binds the cytosine-rich strand while allowing the guanine-rich strand to form native G-quadruplex. We studied this mode of targeting using a double-stranded DNA plasmid model containing a quadruplex-forming sequence from the human *BCL2* gene promoter. Our studies on PNA invasion of duplex DNA enabled us to examine the role of quadruplex formation in PNA invasion. In addition to the plasmid with the original *BCL2* sequence, we also used a plasmid with a mutant sequence incapable of quadruplex formation but which still retained the PNAbinding sequences. Chemical probing revealed that PNA oligomers were able to invade and form a heteroduplex with the cytosine-rich strand only in plasmid DNA with the original *BCL2* sequence. We also tested the effect of total PNA charge on the efficiency of the invasion. PNAs that are positively charged or

zwitterionic (which contain both positive and negative charges but are charge neutral overall), invade the naturally supercoiled plasmid, but negatively charged PNA does not invade. Zwitterionic PNA also showed the highest specificity to the targeted sequence. Moreover, if triplex-forming bis-PNAs targeting flanking sequences were used in combination with central binding PNA (Figure 3) then invasion appeared even more favorable. Overall, we demonstrated that the potential to form a G-quadruplex facilitated PNA invasion. Our results provide strong evidence that PNA probes can be designed that are not only sequence-specific, but also quadruplex-dependent.

The aforementioned results are consistent with PNA invasion and binding facilitated by nonduplex secondary structures. Zhang *et al.* [68] demonstrated that formation of cruciform structures in palindromic regions of DNA facilitated PNA invasion. Amiard *et al.* [69] showed that multiple t-loops (lariat-like structures that form when 3' telemeric overhang DNA invades the double-stranded telomeric repeat array) increase the ability of singlestranded DNA to invade plasmid DNA. Furthermore, invasion took place only in supercoiled DNA, implying that targeting may occur only in actively transcribed genes. As all these examples demonstrate, targeting double-stranded DNA with the potential to form alternative secondary structures has the potential to affect transcription or replication.

Possible mechanisms to regulate gene expression with G-quadruplexspecific PNA

Numerous genes possess guanine-rich sequences in promoter and other regulatory segments. Although guanine-rich regions might directly interact with DNA binding proteins, it is likely that formation of G-quadruplexes also directs interaction with proteins. In particular, the high prevalence of guanine-rich sequences in regulatory regions suggests possible regulatory roles for these quadruplex DNA structures. For example, the number of guanine-rich sequences with G-quadruplex forming potential in the human genome is almost 2 orders of magnitude higher than that in a random DNA sequence of the same length [1].

Multiple runs of guanines can be found in the promoter and enhancer regions of many genes, and particularly in oncogenes [7]. Recent studies show that these guanine-rich sequences could play a role in the regulation of gene transcription. Quadruplex formation has been studied in *PDGF-A* [70,71], *VEGF* [71–73], c*-myc* [71,74], *KRAS* [75], *C-KIT* [76, 77], *BCL2* [78, 79] *hTERT* [80], *Rb* [81], *PDGFR-* β genes [82]. This provides an appealing opportunity for gene regulation by targeting guanine quadruplexes. While G-quadruplex formation has been demonstrated in models of single-stranded DNA oligonucleotides, several studies provide evidence of quadruplex formation in supercoiled double-stranded DNA [83] [67].

Below, we describe examples of several studies where quadruplex formation was implicated to affect gene expression. In this respect the G-quadruplex forming sequence located in the promoter region of human *C-MYC* gene [74] was one of the first described and most extensively studied. Hurley and co-workers proposed the following model of *C-MYC* expression regulation. Dynamic stress (negative supercoiling) resulting from transcription converts the duplex DNA to a G-quadruplex on the guanine-rich strand and an i-motif (proposed secondary structure in cytosine-rich sequence) on the pyrimidine-rich strand. This displaces activating transcription factors and silence gene expression. Specific proteins, namely NM23-H2 and nucleolin, that recognize the G-quadruplex are able to fold or to resolve its structure and hence regulate *C-MYC* expression [8, 84]. Authors found that inhibition of NM23-H2 silences *C-MYC* and redistribution of nucleolin from the nucleolus to the nucleoplasm negatively affects expression of this gene.

In another example, quadruplex formation in plasmid DNA during transcription *in vitro* was found using electron microscopy [85]. Authors developed a plasmid with guanine rich inserts and observed a "G-loop", i.e. DNA/RNA hybrid on the cytosine-rich strand and a G-quadruplex on the complimentary strand during transcription. They concluded that the RNA/DNA hybrid was critical for G-quadruplex stabilization in the post-transcriptional G-loops.

Another group of authors identified a characteristic potential G-quadruplex-forming sequence element within the promoter of human thymidine kinase 1 (TK1). Their data suggested that this sequence forms an intramolecular G-quadruplex with two G-tetrads. A cell-based reporter assay revealed the role of this G-quadruplex motif in TK1 transcription. Nucleotide substitutions designed to destabilize G-quadruplex structure formation resulted in increased promoter activity, therefore, pointing on direct involvement of the G-quadruplex structure in transcription regulation of TK1. [86].

Sanders et al. described the role of Pif1 proteins (implicated in the maintenance of genome stability in yeast) in stabilizing DNA sequences that could otherwise form G-quadruplex structures by acting as a G-quadruplex resolvase. They found that for the human Pif1 to resolve a G-quadruplex, an extended (>10 nucleotide) 5' ssDNA tail is required. The authors suggest that human Pif1 could therefore have a role in processing G4 structures that arise in the single-stranded nucleic acid intermediates formed during DNA replication and transcription. [87].

Cogoi et al. described another example of G-quadruplex-protein interaction. They found that the quadruplex-forming GA-element in the *KRAS* promoter responds to a Myc-associated zinc finger and poly(ADP-ribose) polymerase 1 proteins. Through use of an immunoprecipitation assay, they discovered that the Myc-associated zinc finger protein specifically binds to the duplex and quadruplex conformations of the GA-element, whereas poly(ADP-ribose) polymerase binds only to the G-quadruplex. Introduction of a point mutation into the quadruplex-forming sequence showed down-regulation of *KRAS*, while addition of phthalocyanines (G-quadruplex stabilizing agents) up-regulated *KRAS* expression [88].

The above examples along with a recent genome-wide study indicate that formation of Gquadruplex in the regulatory gene sequences can play a dual role in gene expression; it can cause up- or down-regulation of the gene expression [89]. In this respect, PNA probes can provide a powerful instrument to target guanine-rich regulatory DNA sequences, stabilize or destabilize G-quadruplexes, and ascertain the effect of G-quadruplex formation on the expression of a particular gene. Based on existing experimental data, several modes of gene transcription regulation through quadruplex targeting with PNA probes are possible. In one mode, PNA probes are designed to interfere with G-quadruplex recognition by protein factors. This can be achieved with PNA probes that invade G-quadruplex [17–19, 61, 63– 66], or that are complementary to the loops of G-quadruplex structure [20] (Figure 6A). Alternatively, PNA oligomers complementary to the cytosine-rich strand can be used to invade DNA duplex, bind the cytosine rich strand forming a DNA:PNA duplex and allow the guanine-rich strand to form a native quadruplex structure [21, 67]. In these cases, PNA-DNA interaction leads to stabilization of native G-quadruplex structures and promotes binding of G-quadruplex specific protein factors. Once a quadruplex is stable, it can become a target for quadruplex-binding proteins. In turn, these proteins can switch on or off gene transcription, depending on that particular protein's function (Figure 6B).

Conclusion

Targeting G-quadruplex structures with PNA has been demonstrated only *in vitro* thus far, and in many cases only models containing single-stranded guanine rich DNA are used. The next step would be to demonstrate the efficiency and specificity of this approach in cell culture models. To achieve this goal, both the affinity and specificity of PNA binding to their targets must be improved, along with methods of delivery of PNA to cells and, to DNA targets within the cell nuclei. Nevertheless, recent developments in the PNA field give us optimism that in the near future, an anti-G-quadruplex PNA will be developed with good biological activity.

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Figure 1. PNA (blue) and DNA (red) backbones. Shown PNA-DNA hybrid.





Various modes of PNA (blue) binding to duplex DNA (red).



Figure 3.

Proposed modes of PNA binding to DNA G-quadruplex formed in duplex DNA. Quadruplex binding PNA (shown in dashed) replaces one or more DNA strands containing quadruplex-core-forming runs of guanines. Panyutin et al.



Figure 4.

(i). The hydrogen bonding formation of triplex form nucleic acids. The guanine:cytosine form a pair on the Watson-Crick face of the guanine forming a duplex as third oligomer containing a protonated cytosine binds the Hoogsteen face of guanine. The third strand would be stationed in the major groove of normal nucleic acid duplex. (ii). The same type base-pairing with complementary thymine residues across both Hoogsteen and Watson-Crick faces of the adenine.

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Figure 5.

The composition of aminoethyl glycine PNA (aegPNA) and some of the common derivatives based on maintaining the basic form of the PNA backbone while augmenting it with ring structures or side chains.



Figure 6.

Possible effects of PNA binding to a quadruplex-forming sequence on G-quadruplex recognition by a protein factor and gene transcription. A. PNA bind G-quadruplex-forming sequence interfering with a protein factor (green) recognition of the quadruplex; gene regulation by the protein factor is disrupted. B. PNA bind cytosine-rich strand complementary to G-quadruplex-forming sequence, thus, helping quadruplex formation. A protein factor recognizes the quadruplex, binds to it and accomplishes its transcription regulation functions.