# Sound Sleep, a Crucial Component of Military Medicine's Armamentarium?

Commentary on Macera et al. Do sleep problems mediate the relationship between traumatic brain injury and development of mental health symptoms after deployment? SLEEP 2013;36:83-90.

Paul E. Peppard, PhD<sup>1</sup>; COL Kevin J. Reichmuth, MD<sup>2</sup>

<sup>1</sup>University of Wisconsin-Madison, Madison, WI; <sup>2</sup>Medical Command, Nebraska Army National Guard, Nebraska Pulmonary Specialties, Lincoln, NE

It is 2007 and three Marines in the lead vehicle of a platoon convoy traveling through east-central Iraq encounter a remotely detonated roadside improvised explosive device (IED). The blast demolishes the front of vehicle as it comes to a violent halt in the IED-excavated crater. The Marines experience traumatic brain injury (TBI) via strong acceleration-deceleration forces and shear stresses on various brain structures as well as a high-pressure blast wave. All three lose consciousness but survive.

The Marines are recovered to their forward operating base, undergo several days of evaluation, treatment, and observation, but soon resume field operations. During their remaining deployment, one of the Marines experiences worsening of previously troubled sleep patterns, and another has new-onset of severe insomnia.

Following deployment, the Marines return to their US base. During demobilization, they are assessed by questionnaire about exposure to TBI and sleep and mental health problems. Over the ensuing months, they reintegrate into their US-based lives and experience a variety of typical wartime family-, financial-, and health-related stresses. Some months later, they are reassessed and report new or worsening mental health symptoms.

The preceding account is fictionalized but based on real events and is unfortunately representative of myriad similar scenarios experienced by service members who participated in Operation Iraqi Freedom and the ongoing Operation Enduring Freedom. We would like to be able to make sense of this too-common scenario to better understand whether proactive interventions-rather than the reactive treatment of downstream mental health manifestations-might arrest the development of service-related mental health problems. Can TBI experienced during deployment cause sleep pathology? Can this sleep pathology cause or amplify mental health outcomes? And, can the "signal" of causal associations between TBI, sleep, and mental health be separated from the "noise" of many other factors affecting service members' health? If the answer to these questions is "yes," then there may be hope that the process by which TBI evokes common service-related mental health pathologies such as depression and posttrau-

#### Submitted for publication November, 2012 Accepted for publication November, 2012

Address correspondence to: Paul Peppard, PhD, Department of Population Health Sciences, University of Wisconsin-Madison, WARF Building #685, 610 N. Walnut St., Madison, WI 53726-2397; Tel: 608-262-2680; E-mail: ppeppard@wisc.edu matic stress disorder (PTSD) might be interrupted by preventing or treating sleep problems.

Indeed, there is evidence that: (1) TBI increases risk of depression and PTSD<sup>1,2</sup>; (2) TBI is associated with disturbed sleep, sleepiness, and polysomnographic EEG abnormalities<sup>3-6</sup>; and (3) sleep pathologies predict development of depression and PTSD.<sup>7-11</sup> Thus, the potential for sleep disturbance to serve as an intermediary on a pathway from TBI to mental health pathology is supported.

In this issue of *SLEEP*, Macera and colleagues<sup>12</sup> attempt to measure the degree to which sleep problems mediate an association of TBI and development of depression or PTSD. They do so with a longitudinal examination of male Navy and Marine Corp service members who participated in Operations Iraqi Freedom or Enduring Freedom and returned from deployments in 2008-2009. The approximately 29,000 service members in the sample were predominantly enlisted active duty Marines. At the end of deployment, the Marines and Sailors answered questionnaires that included items assessing exposure to TBI (deployment-related head injury resulting in lost consciousness; feeling dazed or confused; seeing stars; and/ or an inability to remember the injurious event), depression and PTSD symptomatology, and a question about "problems sleeping or still feeling tired after sleeping." A similar followup questionnaire was administered from one to several months later. The authors then employed a commonly used statistical technique to examine evidence of a mediation process associating TBI with sleep problems and then sleep problems with subsequent development of depression or PTSD in the months post-deployment.

The investigators estimated that—after accounting for service members' pay grade, age, combat experiences, and other factors—26% percent of the association of deployment-related TBI with new-onset post-deployment PTSD may be mediated by sleep problems occurring prior to PTSD. Similarly, sleep problems were found to mediate 41% of the association between TBI and depressive symptoms. These figures do not have a straightforward biological or clinical interpretation (e.g., one cannot infer that 41% of TBI-caused depression would be prevented by treating sleep problems). Nevertheless, the findings justify hypothesizing that efforts to treat sleep problems in service members recently experiencing TBI may reduce the risk of downstream depression and PTSD.

While the study of Macera et al.<sup>12</sup> yielded an important finding, the usual methodologic difficulties facing observational research apply and may conspire to diminish or exaggerate measured associations. Classification of key variables was not based on comprehensive clinical assessments, but on a brief set of questionnaire items. "Sleep problems" were assessed nonspecifically. Depression, PTSD, and TBI symptoms can overlap and are highly subjective. Service members may be motivated for a variety of reasons to underreport such symptoms,<sup>13</sup> especially immediately post-deployment when self-reporting of mental health symptoms may lead to a delay in authorization to take leave (or delayed release from active duty for reservists). Imperfect questionnaire sensitivity and specificity often mask true causal associations. However, if some service members tended to be more or less willing to disclose clusters of symptoms at one time point, or over time, this could result in spuriously elevated correlations between the symptoms. Additionally, accurate estimation of mediation parameters requires measuring unconfounded pairwise associations between TBI, sleep problems, and depression (or PTSD). This can be difficult since, for example, factors such as ongoing family-related stress or non-TBI injuries that underlie both persistent insomnia symptoms and risk for developing depression can bias mediation parameter estimates even if those factors are unrelated to TBI (e.g., via a "collider bias").<sup>14</sup>

Despite these methodologic challenges, we believe the findings of Macera and colleagues<sup>12</sup> and prior literature are compatible with a link between TBI and elevated risk of depression and PTSD, partially mediated by sleep problems. These findings have continuing relevance since service members participating in Operation Enduring Freedom remain exposed to a high burden of TBI-an injury that has been increasingly prominent in the last decade as advances in battlefield protective gear and medicine have allowed service members to survive injuries that would have been fatal in previous eras. We therefore have three recommendations. First, we recommend thorough and repeated screening for sleep problems in post-deployment service members. Second, there is a need for research to further extend the findings of Macera and colleagues, ideally with highly sensitive and specific approaches for identifying key variables. In addition to standard screening practices, supplemental anonymous screening of service members' depression and PTSD symptoms would likely increase symptom-reporting and enhance future research efforts in this area.<sup>13</sup> Thirdly, effective treatments of sleep problems, appropriate to the circumstances of deployed and recent postdeployment service members, should be investigated. There is a substantial knowledge gap in effective treatment of sleep disturbances in TBI, and there is some concern that commonly used pharmacological agents for insomnia may adversely affect neuroplasticity.<sup>15</sup> Appropriate treatment will likely include a significant nonpharmacological component, such as tailored cognitive behavioral therapy.<sup>16</sup> We applaud Macera and coauthors for their important analysis and expect that it will serve as an impetus for further study and ultimately result in better health for our service members.

## CITATION

Peppard PE; Reichmuth KJ. Sound sleep, a crucial component of military medicine's armamentarium? *SLEEP* 2013;36(1):7-8.

## **DISCLOSURE STATEMENT**

Dr. Peppard has indicated no financial conflicts of interest. Dr. Reichmuth has been a speaking consultant for Pfizer, Forest, and Boehringer Ingelheim Pharmaceuticals for COPD-related medications. This includes educational and promotional lectures and training. None of these are related to any sleep disorders or treatment. The entire amount is less than \$10,000. This arrangement has no bearing on the current editorial.

### REFERENCES

- Bombardier CH, Fann JR, Temkin NR, Esselman PC, Barber J, Dikmen SS. Rates of major depressive disorder and clinical outcomes following traumatic brain injury. JAMA 2010;303:1938-45.
- Hoge CW, McGurk D, Thomas JL, Cox AL, Engel CC, Castro CA. Mild traumatic brain injury in US soldiers returning from Iraq. N Eng J Med 2008;358:453-63.
- Mathias JL, Alvaro PK. Prevalence of sleep disturbances, disorders, and problems following traumatic brain injury: a meta-analysis. Sleep Med 2012;13:898-905.
- Beaulieu-Bonneau S, Morin CM. Sleepiness and fatigue following traumatic brain injury. Sleep Med 2012;13:598-605.
- Rao V, Bergey A, Hill H, Efron D, McCann U. Sleep disturbance after mild traumatic brain injury: indicator of injury? J Neuropsychiatry Clin Neurosci 2011;23:201-5.
- Schreiber S, Barkai G, Gur-Hartman T, et al. Long-lasting sleep patterns of adult patients with minor traumatic brain injury (mTBI) and non-mTBI subjects. Sleep Med 2008;9:481-7.
- Wright KM, Britt TW, Bliese PD, Adler AB, Picchioni D, Moore D. Insomnia as predictor versus outcome of PTSD and depression among Iraq combat veterans. J Clin Psychol 2011;67:1240-58.
- Buysse DJ, Angst J, Gamma A, Ajdacic V, Eich D, Rossler W. Prevalence, course, and comorbidity of insomnia and depression in young adults. Sleep 2008;31:473-80.
- Baglioni C, Battagliese G, Feige B, et al. Insomnia as a predictor of depression: a meta-analytic evaluation of longitudinal epidemiological studies. J Affect Disord 2011;135:10-19.
- Peppard PE, Szklo-Coxe M, Hla KM, Young T. Longitudinal association of sleep-related breathing disorder and depression. Arch Intern Med 2006;166:1709-15.
- Szklo-Coxe M, Young T, Peppard PE, Finn LA, Benca RM. Prospective associations of insomnia markers and symptoms with depression. Am J Epidemiol 2010;171:709-20.
- Macera CA, Aralis HJ, Rauh MJ, MacGregor AJ. Do sleep problems mediate the relationship between traumatic brain injury and development of mental health symptoms after deployment? Sleep 2013;36:83-90.
- Warner CH, Appenzeller GN, Grieger T, et al. Importance of anonymity to encourage honest reporting in mental health screening after combat deployment. Arch Gen Psychiatry 2011;68:1065-71.
- Rothman KJ, Greenland S, Lash TL. Modern epidemiology, 3rd ed. Philadelphia: Lippincott Williams & Wilkins, 2008. pp. 201-202.
- Larson EB, Zollman FS. The effect of sleep medications on cognitive recovery from traumatic brain injury. J Head Trauma Rehabil 2010;25:61-7.
- Ulmer CS, Edinger JD, Calhoun PS. A multi-component cognitive-behavioral intervention for sleep disturbance in veterans with PTSD: a pilot study. J Clin Sleep Med 2011;7:57-68.