

## Pegylated Liposomal Doxorubicin, Rituximab, Cyclophosphamide, Vincristine, and Prednisone in AIDS-Related Lymphoma: AIDS Malignancy Consortium Study 047

Alexandra M. Levine, Ariela Noy, Jeannette Y. Lee, Wayne Tam, Juan Carlos Ramos, David H. Henry, Samir Parekh, Erin G. Reid, Ronald Mitsuyasu, Timothy Cooley, Bruce J. Dezube, Lee Ratner, Ethel Cesarman, and Anil Tulpule

Listen to the podcast by Dr Smith at [www.jco.org/podcasts](http://www.jco.org/podcasts)

Alexandra M. Levine, City of Hope National Medical Center, Duarte; Erin G. Reid, University of California at San Diego, San Diego; Ronald Mitsuyasu, University of California at Los Angeles Medical Center; Anil Tulpule, University of Southern California Keck School of Medicine, Los Angeles, CA; Ariela Noy, Memorial Sloan-Kettering Cancer Center; Wayne Tam and Ethel Cesarman, Weill Cornell Medical College, New York; Samir Parekh, Montefiore-Einstein Cancer Center, Montefiore Medical Center, Bronx, NY; Jeannette Y. Lee, University of Arkansas for Medical Sciences, Little Rock, AK; Juan Carlos Ramos, University of Miami, Miami, FL; David H. Henry, Pennsylvania Hospital, Philadelphia, PA; Timothy Cooley, Boston Medical Center; Bruce J. Dezube, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA; and Lee Ratner, Washington University, St Louis, MO.

Published online ahead of print at [www.jco.org](http://www.jco.org) on November 19, 2012.

Written on behalf of the AIDS Malignancy Consortium.

Supported by Grant No. U01 CA 121947 from the Department of Health and Human Services (R.M.).

Authors' disclosures of potential conflicts of interest and author contributions are found at the end of this article.

Clinical trial information: NCT00389818.

Corresponding author: Alexandra M. Levine, MD, City of Hope National Medical Center, 1500 East Duarte Rd, Duarte, CA 91010; e-mail: [alevine@coh.org](mailto:alevine@coh.org).

© 2012 by American Society of Clinical Oncology

0732-183X/13/3101-58/\$20.00

DOI: 10.1200/JCO.2012.42.4648

### ABSTRACT

#### Purpose

Infusional chemotherapy is efficacious in patients with AIDS-related lymphoma, but it may be difficult to administer. We studied standard agents with rituximab plus pegylated liposomal doxorubicin (DR-COP) in an attempt to provide a more practical approach to therapy while ascertaining rates of response, potential infectious complications, and prognostic role of biological markers.

#### Patients and Methods

We conducted a prospective, multi-institutional phase II trial, employing (day 1) pegylated liposomal doxorubicin 40 mg/m<sup>2</sup>, rituximab 375 mg/m<sup>2</sup>, cyclophosphamide 750 mg/m<sup>2</sup>, vincristine 1.4 mg/m<sup>2</sup> (not > 2 mg), and prednisone 100 mg orally on days 1 through 5, with concomitant antiretroviral therapy.

#### Results

In 40 evaluable patients, median CD4 cells was 114/μL (range, 5 to 1,026/μL), and median HIV-1 viral load (VL) was 25,000 copies/mL. High or intermediate/high age-adjusted International Prognostic Index was present in 28%. Overall response was 67.5%, with complete remission in 47.5% (95% CI, 31.5 to 63.9). Of 19 complete responders, 84% had extranodal disease, 47% had CD4 < 100/μL, and 47% had VL > 50,000 copies/mL; one relapsed. With 25.5-month median follow-up, 62% (95% CI, 44 to 75) of patients remain alive. Sixteen patients (40%) experienced 22 infections, with grade 4 in only two (5%). No patient died as a result of infection during treatment; one had opportunistic infection.

#### Conclusion

Profound immunodeficiency and high HIV-1 viral load do not preclude attainment of complete response after DR-COP with highly active antiretroviral therapy. The regimen is tolerable, and use of rituximab was not associated with death as a result of infection during treatment. This approach may be useful in patients in whom the more intensive infusional regimens are impractical.

*J Clin Oncol* 31:58-64. © 2012 by American Society of Clinical Oncology

### INTRODUCTION

HIV infection has been altered by highly active antiretroviral therapy (HAART), leading to a substantial decrease in AIDS-defining conditions,<sup>1,2</sup> including AIDS-related lymphoma (ARL).<sup>3,4</sup> HAART has also been associated with a remarkable prolongation of survival in patients with ARL.<sup>5,6</sup>

Despite these advances, optimal therapy for ARL has not yet been defined. Although R-CHOP (rituximab, cyclophosphamide, doxorubicin, vincristine,

and prednisone) is highly effective in HIV-negative patients with diffuse large B-cell lymphoma (DLBCL),<sup>7,8</sup> outcome is inferior with HIV.<sup>9</sup> This suboptimal response may be related to treatment delays resulting from intercurrent illnesses or to chemotherapy resistance, mediated by various mechanisms, including p-glycoprotein, the protein product of the multidrug resistance 1 gene (*MDR-1*).<sup>10-12</sup> In HIV-negative lymphoma, *MDR-1* expression is seen at diagnosis in < 20%, increasing to > 50% at time of relapse.<sup>13,14</sup> By contrast, in 50 patients with

ARL, 66% expressed *MDR-1* at diagnosis, correlating with a lower rate of complete remission (CR) when compared with *MDR-1*-negative patients.<sup>15</sup> Protease inhibitors, an important component of multiple HAART regimens, may serve as both substrates and inducers of *MDR-1*, providing a potential explanation for these differences.<sup>16,17</sup>

Infusional chemotherapy may overcome *MDR-1* by providing continuous, intracellular entry of chemotherapeutic agents despite subsequent efflux. In this regard, the infusional EPOCH (etoposide, prednisone, vincristine, cyclophosphamide and doxorubicin hydrochloride) regimen is quite effective in ARL.<sup>5,6,18,19</sup> Nonetheless, EPOCH requires indwelling intravenous lines, infusion pumps, and either hospitalization or multiple outpatient visits each cycle for delivery of 4-day infusions.

Doxorubicin is one of the most active agents in DLBCL,<sup>20</sup> but it is a substrate for p-glycoprotein. In vitro, liposomal encapsulation of doxorubicin can overcome excessive drug efflux resulting from *MDR-1*.<sup>21,22</sup> In a phase I/II trial, liposomal doxorubicin together with standard agents was tested in 24 patients with newly diagnosed ARL, resulting in CR of 75%.<sup>23</sup> The regimen was equally effective in *MDR-1*-positive and -negative patients, suggesting that efficacy could be related to the ability of liposome-encapsulated doxorubicin to overcome excessive *MDR-1*-induced drug efflux.

The current study was undertaken to determine if pegylated liposomal doxorubicin, added to standard agents, would result in efficacy similar to that reported with infusional regimens. If a CR rate of  $\geq 60\%$  was achieved, formal phase III testing against R-EPOCH would occur, and if found noninferior, the less complex regimen would likely be favored. We also assessed the potential toxicity of rituximab in terms of infections, as well as the prognostic significance of various biologic markers.

## PATIENTS AND METHODS

### Treatment Regimen

Pegylated liposomal doxorubicin 40 mg/m<sup>2</sup> (Doxil; Manufactured for Centocor Biotech Products [Raritan, NJ] by Ben Venue Labs [Bedford, OH]) was administered intravenously (IV) on day 1, with IV rituximab 375 mg/m<sup>2</sup>, IV cyclophosphamide 750 mg/m<sup>2</sup>, IV vincristine 1.4 mg/m<sup>2</sup> (not  $> 2$  mg), and 100 mg of oral prednisone, on days 1 through 5 of each cycle, repeated every 21 to 28 days. CNS prophylaxis was mandated in patients with involvement of bone marrow, testis, sinuses, or epidural regions and with stage IV and/or  $\geq 2$  extranodal sites, with specific regimen left to physician discretion.

### Supportive Therapy

Granulocyte colony-stimulating factor, granulocyte-macrophage colony-stimulating factor, or pegfilgrastim was started on day 3 of each cycle and continued until beyond nadir of blood counts. Erythropoietic growth factor support occurred at physician discretion. Prophylaxis against *Pneumocystis carinii* was required. Oral quinalones were required with CD4 cell counts  $\leq 100/\mu\text{L}$  at entry or during treatment and with absolute neutrophil count  $< 500/\mu\text{L}$ . HAART was required, with specific regimen left to physician discretion. Zidovudine was prohibited.<sup>24</sup>

### Inclusion Criteria

Patients were HIV infected, age  $\geq 18$  years, had Karnofsky performance status of  $\geq 50\%$  or Eastern Cooperative Oncology Group score of 0, 1, or 2, and had previously untreated, histologically documented, CD20+ B-cell lymphoma as diagnosed at the treating site, including: follicular large-cell (grade 3), DLBCL, immunoblastic, plasmablastic, or primary effusion lymphoma. Burkitt's lymphoma, primary CNS, and leptomeningeal lymphoma were excluded.

All stages were allowed, with adequate organ function and no history of myocardial infarction. Patients with history of cutaneous or mucocutaneous disorders, causing hospitalization or inability to eat or drink for  $\geq 2$  days, were excluded because of risk of cutaneous reactions to rituximab.<sup>25</sup> Women had negative pregnancy tests. Institutional review board approval was required, as was signed consent.

### Baseline and Follow-Up Evaluations

Medical history, physical examination, ECG, HIV-1 RNA level, CD4 and CD8 counts, routine chemistries, and complete blood count were required at baseline and before every cycle, and quantitative immunoglobulins and assessment for hepatitis C and B viruses were required every other cycle. Computed tomography (CT) scan or magnetic resonance imaging (MRI) of chest, abdomen, and pelvis was required at baseline and every two cycles. Bone marrow biopsy or aspirate was required. Positron emission tomography (PET) or PET/CT was not required. One month after completion of chemotherapy, these studies were repeated to confirm response.

Chemotherapy was administered two cycles beyond documentation of CR. Patients attaining partial remission (PR) after six cycles or stable disease (SD) after four cycles were withdrawn. Patients with progressive disease (PD) were withdrawn at PD and then observed for 12 weeks for safety. After treatment, interim history, physical examination, and blood work were performed every 2 months (year 1) and every 6 months (for 2 more years), with CT or MRI every 6 months.

### Definition of Response

Radiographic responses were based on CT or MRI. CR required disappearance of all evidence of disease. PR required  $\geq 50\%$  decrease in the sum of the greatest diameters of the six largest masses, no increase in other nodes, liver, or spleen, and regression of splenic or hepatic nodules by  $\geq 50\%$ , without new disease. SD was less than PR, without progression. PD required 50% increase from baseline or nadir in total tumor size of previous masses and/or appearance of new lesions. Recurrence or relapse was appearance of lymphoma after CR. Time to progression was time from chemotherapy initiation to first progression. Response duration was time from first response to first progression.

### Pathology and Immunohistochemistry

Central pathology review was performed, along with review of all site reports. Patients were eligible with a diagnosis of DLBCL from the treating institution. As per protocol guidelines, data from all 40 eligible patients were included in response and toxicity assessments, even if central pathologic review did not confirm the original diagnosis. Immunohistochemical studies were performed as previously described, using monoclonal antibodies to CD-10, BCL-2, BCL-6, MUM-1, and FOXP1.<sup>26</sup> PRDM1/BLIMP-1 (3H2E8; Santa Cruz Biotech, Santa Cruz, CA) and Ki-67 (MIB-1; DakoCytomation, Carpinteria, CA) were also evaluated. Epstein-Barr Virus Probe ISH Kit (Leica Microsystems, Wetzlar, Germany) was used for in situ hybridization for Epstein-Barr virus (EBV) RNA (EBER). Patients were positive when  $> 20\%$  neoplastic cells were immune reactive, except: BCL-2 positivity was  $> 50\%$  of cells with moderate to strong positivity, and FOXP1 positivity required moderate to bright staining in  $> 80\%$  tumor cell nuclei. Nuclear Ki-67 expression was semiquantitative, as percentage of positive tumor cells. Hybridization signal in  $\geq 50\%$  neoplastic cells defined EBV EBER positivity. Patients with adequate tissue were categorized as germinal center B cell-like (GCB) versus non-GCB according to Hans algorithm.<sup>27</sup> Full immunohistochemical characterization was compromised because of exhaustion of diagnostic tissue.

### Study Design and Statistical Considerations

This was a nonrandomized, single-arm, phase II study. Forty patients were felt sufficient to test the null hypothesis that the CR rate was 0.40 against the alternative of 0.60, at the one-sided .10 significance level, with power of 0.87. Forty-four enrolled patients would allow for 10% dropout.

Binomial proportions and 95% CIs were used to describe CR and overall response rates.<sup>28</sup> Kaplan-Meier methods were used for duration of response, EFS, and overall survival times. Binomial proportions were used to estimate proportion of patients with infection.<sup>28,29</sup>

Cox proportional hazards model evaluated the relationship between response and survival. Wilcoxon rank sum test compared patients who developed infection with those who did not, with respect to baseline CD4 lymphocyte count and HIV-1 viral load.<sup>29</sup>

## RESULTS

### Demographic Characteristics

Forty-three patients were accrued. One was never treated, one was erroneously removed after one cycle, and one was ineligible. Forty patients are reported. Median age was 44 years (range, 21 to 68 years). Thirty were men; 15 (38%) were African American, and 10 (25%) were Hispanic.

### HIV-Related Characteristics

Entry median CD4 cell count (n = 38) was 114/ $\mu$ L (range, 5 to 1,026/ $\mu$ L). Median HIV-1 viral load (n = 39) was 25,000 copies/mL (range nondetectable to 9,276,210 copies/mL).

### Lymphoma Characteristics

Lymphoma characteristics are listed in Table 1. DLBCL was diagnosed by the site pathologist in 39 patients, and lymphoma, not otherwise specified, was diagnosed in one. Central pathologic review was retrospectively accomplished in 33 patients; attempts to collect the remaining seven patient cases were unsuccessful. DLBCL was present in 23 patients; aggressive/high-grade lymphoma, not otherwise specified, in 1; B-cell lymphoma intermediate between DLBCL and Burkitt's in one; and Burkitt's lymphoma in one. Follicular hyperplasia with a clonal B-cell population was diagnosed in one patient, from a nondiagnostic specimen submitted. Diagnostic material available for the remaining six was insufficient. Evaluation of GC cell origin was feasible in 16 patients; GC was found in 11. EBV status was positive in six of 28 patients. BCL-2 was positive in 13 of 28 patients. Stage III or IV disease was confirmed in 82% of patients, with  $\geq$  two extranodal sites in 15 (37.5%). Eleven patients (28%) had high or intermediate/high age-adjusted International Prognostic Index scores.<sup>30</sup>

**Table 1.** Baseline Disease Characteristics

Characteristic	No.	%
Ann Arbor stage		
IE	2	5
II	4	10
IIE	2	5
III	6	15
IIIE	4	10
IV	22	55
Pathologic diagnosis		
DLBCL	39	98
Other	1	2
Age-adjusted IPI risk category		
0	15	37.5
1	14	35
2	10	25
3	1	2

Abbreviations: DLBCL, diffuse large B-cell lymphoma; IPI, International Prognostic Index.

### Therapy Administered and Reasons for Early Protocol Withdrawal

A median of six cycles was administered (range, one to eight). Seventeen patients completed all therapy, whereas 11 (27.5%) withdrew early because of PD, and nine (22.5%) because of adverse events (Table 2).

### Infections and Adverse Events

Twenty-two infections were reported in 16 patients during therapy (Table 3), including opportunistic infection (*Mycobacterium avium* intracellulare [MAI]) in one and grade 4 infection in two (abdominal and catheter-related infections). No patient died as a result of infection while receiving therapy. Mucositis was diagnosed in eight patients (all grade 1 or 2). Eight developed hand-foot syndrome (grade 1 or 2 in six; grade 3 in two, causing protocol withdrawal in these two; Table 4).

### Response and Relapses After Protocol Therapy

The overall response rate was 67.5%, with 19 CRs (47.5%; 95% CI, 31.5% to 63.9%) and eight PRs (20%). Thirteen patients experienced no response (32.5%). With median follow-up of 25.5 months, one CR patient relapsed.

Twenty-two patients met criteria for CNS prophylaxis, and 12 (54.4%) received it, consisting of intrathecal (IT) cytarabine in four, methotrexate in three, cytarabine and methotrexate in three, liposomal cytarabine in one, and IT hydrocortisone in one. Four patients, none of whom attained CR, experienced CNS progression. One had stage II disease, attained PR, and, as per protocol, received no prophylaxis, whereas the other three had multiple sites of extranodal lymphoma and had received IT methotrexate and/or cytarabine, three times in two and once in the third.

### Biologic Correlates of Disease

Of 11 patients with GC subtype of DLBCL, all were alive at 1 year, versus 50% of those with non-GC sub- = type ( $P = .074$ ; Table 5).

### Characteristics of Complete Responders

Sixteen (84%) of 19 complete responders had extranodal disease, and seven (37%) had multiple extranodal sites. The median CD4 cell count was 180/ $\mu$ L (range, 39 to 824/ $\mu$ L), and nine (47%) had CD4 counts  $< 100$ / $\mu$ L. Median HIV-1 viral load was 38,693 copies/mL (range  $< 75$  to 9,276,210 copies/mL). Nine CR patients (47%) had viral loads  $\geq 50,000$  copies/mL; three,  $> 100,000$  copies/mL; and two,  $> 1,000,000$ /mL at entry. The relationship between viral load and response to therapy was not significant ( $P = .207$ ). Of the 11 patients with GC subtype, eight (73%) achieved CR, versus two (40%) of five with non-GC subtype. GC subtype, EBER, and BCL-2 expression were not statistically associated with CR. Central pathologic review was accomplished in 18 of 19 complete responders. DLBCL was confirmed in 12, whereas one each had Burkitt's lymphoma, aggressive B-cell lymphoma, and B-cell lymphoma between DLBCL and Burkitt's. Three complete responders (including one reviewed as follicular hyperplasia with clonal B-cell proliferation) had submission of nondiagnostic material.

### Survival and Causes of Death

With 25.5-month median follow-up, overall survival (OS) at 1 year was 70.3% (95% CI, 52.8 to 82.3), and at 2 years, it was 61.6%

**Table 2.** Treatment Summary and Reasons for Protocol Termination

No. of Cycles Completed	Reason for Termination											
	Treatment Completed Per Protocol		Disease Progression		Adverse Event		Patient Withdrawal		Other		Total	
	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%
1	0		1		1		0		1*		3	7.5
2	0		3		0		1		0		4	10
3	0		3		2		0		0		5	12.5
4	0		3		0		0		0		3	7.5
5	0		0		4		0		0		4	10
6	15		1		2		0		0		18	45
7	0		0		0		0		0		1	2.5
8	2		0		0		0		1†		2	5
Total	17	42.5	11	27.5	9	22.5	1	2.5	2	5.0	40	100

\*Noncompliance.

†Delayed &gt; 6 weeks.

(95% CI, 43.9 to 75.2; Fig 1). Progression-free survival at 1 year was 60.6% (95% CI, 43.4 to 74.1), and at 2 years, it was 52.1% (95% CI, 35.1 to 66.6). Median survival for CR has not been reached, whereas that for PR was 9.5 months (range, 3 to  $\geq$  27 months). OS of patients with pathologically confirmed DLBCL was 71.4% (95% CI, 47.2 to 86) at 1 year and 56.4% (95% CI, 32.8 to 74.5) at 2 years, with 2-year progression-free survival of 49.3% (95% CI, 27.3 to 68.1).

Causes of death included progressive lymphoma in 14 patients (35%), progressive multifocal leukoencephalopathy (PML) in one CR patient, nonmalignancy complications of HIV in one CR patient, and cardiac arrest in one. No patient experienced death resulting from infection while receiving treatment, although three were removed early because of MAI after cycle one, pneumonitis after cycle three, and catheter-related infection after cycle five (Tables 2 and 3).

**Table 3.** Summary of Infections During Protocol Therapy

Infection	CTCAE Grade (No. of patients)					All
	1	2	3	4		
Abdominal	—	—	—	1	1	1
Catheter related	—	—	2	1	3	3
Infections and infestations—other, specify	1	3	—	—	4*	4*
Lung	—	—	1	—	1	1
Mucosal	3	2	—	—	5	5
Nail	1	—	—	—	1	1
Rhinitis	—	1	—	—	1	1
Skin	—	—	1	—	1	1
Small intestine	—	—	1	—	1	1
Upper respiratory	—	2	—	—	2	2
Urinary tract	—	1	1	—	2	2
All	5	9	6	2	22	22

Abbreviation: CTCAE, Common Terminology Criteria for Adverse Events.

\*Toe inflammation (grade 2), clostridium difficile infection (grade 1), Mycobacterium avium intracellulare = (grade 2), infection with grade 3 or 4 neutropenia (grade 2).

## DISCUSSION

By substituting long-acting pegylated liposomal doxorubicin in a regimen similar to R-CHOP,<sup>7,8</sup> we hoped to improve outcome in newly diagnosed ARL, while obviating the need for multiple-day continuous infusions.<sup>5,6</sup> Although well tolerated and associated with 62% 2-year survival, DR-COP was associated with a CR rate of only 48%, inferior to the 74% CR and 5-year survival of 73% first reported with infusional EPOCH<sup>5</sup> and the 80% 5-year survival with R-EPOCH.<sup>6</sup> In the AMC (AIDS Malignancy Consortium) 034 trial, in a multi-institutional setting, EPOCH was associated with 70% 2-year survival

**Table 4.** Specific Adverse Events Leading to Termination of Protocol Therapy

Cycle	Patient	Adverse Event	Grade	Relationship to Study Drugs
1	15	MAI infection	2	Unlikely
		Dyspnea	4	Possible
3	26	Confusion	4	Unrelated
		Pneumonitis	3	Unlikely
5	16	Anemia	2	Probable
		Neutropenia	3	Probable
		Thrombocytopenia	3	Probable
		Catheter-related infection	4	Possible
10	20	Palmar-plantar erythrodysesthesia syndrome	3	Definite
		Neutropenia	3	Probable
6	12	Fatigue	3	Probable
		Anorexia	3	Probable
		Optic Nerve Disorder	4	Unrelated
		Gait disturbance	2	Unlikely
		Cognitive disturbance	2	Unrelated
		Dizziness	2	Unrelated
		Leukoencephalopathy	1	Possible
24	24	Peripheral motor neuropathy	3	Unlikely
		Palmar-plantar erythrodysesthesia syndrome	3	Probable

Abbreviation: MAI, Mycobacterium avium intracellulare.

**Table 5.** Prognostic Significance of Biologic Markers

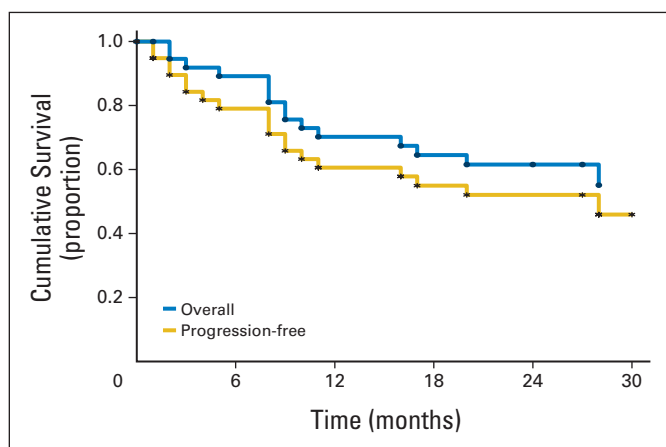
Marker	No. of Patients	CR*			CR + PR*			1-Year OS†	
		No.	%	P	No.	%	P	%	P
BCL-2				1.000			.153		.384
Negative	15	9	60		14	93.3		73.3	
Positive	13	7	53.9		9	69.2		72.7	
EBER				.354			.286		.282
Negative	22	14	63.6		19	86.4		76.2	
Positive	6	2	33.3		4	66.7		60.0	
GC/non-GC				.300			1.000		.074
GC	11	8	72.7		9	81.8		100	
Non-GC	5	2	40.0		4	80.0		50	

Abbreviations: CR, complete remission; EBER, Epstein-Barr virus RNA; GC, germinal center B cell-like; OS, overall survival; PR, partial response.  
\*Fisher's exact test.  
†Log-rank test.

when rituximab was administered concurrently, and 67% when rituximab was administered at the completion of all EPOCH infusions.<sup>18</sup> Thus, the 2-year survival rate of 62% with DR-COP seems similar to that of either concomitant or sequential R-EPOCH, as reported by the AMC,<sup>18</sup> although inferior to EPOCH or R-EPOCH, as reported by the National Cancer Institute.<sup>5,6</sup>

Characteristics of complete responders were informative, in that neither low CD4 cells nor high viral load precluded attainment of CR. The median CD4 cell count at entry among complete responders was 180/ $\mu$ L, and 47% had CD4 cells < 100/ $\mu$ L, with 47% demonstrating viral loads > 50,000 copies/mL; there was no statistically significant relationship between viral load and response. The findings of EPOCH and R-EPOCH from the National Cancer Institute were consistent, because HAART was started only after completion of all cycles of therapy; despite falling CD4 cells and rising HIV viral load during chemotherapy, outcome was not compromised.<sup>5,6</sup>

Although most biomarkers were not associated with response, we found a higher proportion of complete responses in GC versus non-GC subtypes. The prognostic significance of DLBCL subclassification in HIV-infected patients is controversial.<sup>26,31</sup> Existing discrepancies could be attributed to the various therapeutic modalities employed or to the accuracy of GC subclassification by immunohis-

**Fig 1.** Overall and progression-free survival in 40 evaluable patients.

tochemistry versus gene expression profiling. It remains to be seen whether use of new algorithms or molecular technologies will reliably predict outcome in ARL.<sup>32,33</sup>

Median survival in the current study has not been reached, and 62% of patients remain alive at 2 years. The rather high rate of OS may reflect the relatively short follow-up, or may indicate some improvement when compared with CHOP/R-CHOP.<sup>9</sup> Only three CR patients have died (as a result of relapse, complications of PML, and complications of nonmalignancy HIV), and the median survival of PR patients is 9.5 months (range, 3 to > 27 months), indicating that some of these PR patients may have had a better biologic response than indicated by CT evaluations. PET scan results were not required for determination of response.

The phase III R-CHOP versus CHOP trial from the AMC reported that rituximab-treated patients had a statistically increased rate of death resulting from infection, even though R-CHOP was not associated with higher rates of neutropenia, neutropenic infections, or hypogammaglobulinemia.<sup>9</sup> Rather, these deaths occurred among patients with severe immunocompromise. In the subsequent AMC study of R-EPOCH,<sup>18</sup> no increase in death resulting from infection was apparent among rituximab-treated patients, similar to results from others.<sup>33</sup> Our study confirms the relative safety of rituximab when administered with chemotherapy in ARL, because no patient died as a result of infection during treatment, grade 4 infection occurred in only two patients, and opportunistic infection (MAI) occurred in only one patient (during cycle one), whereas another developed PML 2 months after protocol completion. Prophylactic antibiotics were mandated for patients with < 100/ $m^3$  CD4 cells, and HAART was required, perhaps explaining the relative paucity of infections, despite low entry median CD4s (114/ $\mu$ L). Death resulting from bacterial infection has been reported in HIV-infected patients with < 50/ $\mu$ L CD4 cells, without malignancy.<sup>35</sup> Furthermore, PML and mycobacterium tuberculosis reactivation have been reported with rituximab in the absence of HIV.<sup>36</sup>

Twenty-two patients were eligible for CNS prophylaxis per protocol, yet only 12 received it, and three of these 12 experienced CNS along with extracranial progression. The only other CNS progressor had localized disease, achieved PR, did not receive IT prophylaxis (per protocol), and had no extracranial site of progression. Although ARL remains a risk factor for CNS relapse, the efficacy of IT prophylaxis remains unproven in this setting.<sup>37,38</sup>

A weakness of this study is that centralized pathologic review confirmed the original impression of DLBCL in only 70% (23 of 33 reviewed). Nonetheless, inadequate tissue was received in six of these 33 patients, and CR occurred in three of the discrepant patient cases, centrally diagnosed as Burkitt's, aggressive B cell, and lymphoma intermediate between DLBCL and Burkitt's, whereas in a fourth, reviewed as high-grade lymphoma, PR was attained. Furthermore, when analyzing only those patients with DLBCL by central review, data were similar, with 25-month survival of 56% versus 62% in the 40-patient protocol-eligible group. The protocol required eligibility based on diagnosis made at the treating hospital, because rapid institution of therapy is often required. We found it difficult to obtain tissue for central review because of small biopsies performed in an attempt to avoid surgical procedures and exhaustion of diagnostic tissue. Inconsistency of lymphoma diagnosis among pathologists is well described,<sup>39,40</sup> and use of more objective measures of diagnosis will be important for future studies.

In summary, DR-COP is associated with 62% overall survival at 25 months, with almost half of complete responders initiating chemotherapy at CD4 counts < 100/ $\mu$ L and HIV viral loads > 50,000 copies/mL. Despite concurrent use of rituximab, no patient died as a result of infection. This approach may be useful in patients for whom more intensive infusional regimens are impractical.

#### AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

*Although all authors completed the disclosure declaration, the following author(s) and/or an author's immediate family member(s) indicated a financial or other interest that is relevant to the subject matter under consideration in this article. Certain relationships marked with a "U" are those for which no compensation was received; those relationships marked with a "C" were compensated. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.*

#### REFERENCES

1. Palella FJ Jr, Delaney KM, Moorman AC, et al: Declining morbidity and mortality among patients with advanced human immunodeficiency virus infection. *N Engl J Med* 338:653-660, 1998
2. Mocroft A, Ledergerber B, Katlama B, et al: Decline in the AIDS and death rates in the EuroSIDA study: An observational study. *Lancet* 362:22-29, 2003
3. International Collaboration on HIV and Cancer: Highly active antiretroviral therapy and incidence of cancer in human immunodeficiency virus infected adults. *J Natl Cancer Inst* 92:1823-1830, 2000
4. Engels EA, Pfeiffer RM, Landgen O, et al: Immunologic and virologic predictors of AIDS-related non-Hodgkin lymphoma in the highly active antiretroviral therapy era. *J Acquir Immune Defic Syndr* 54:78-84, 2010
5. Little RF, Pittaluga S, Grant N, et al: Highly effective treatment of acquired immunodeficiency syndrome-related lymphoma with dose adjusted EPOCH: Impact of antiretroviral therapy suspension and tumor biology. *Blood* 101:4653-4659, 2003
6. Dunleavy K, Little RF, Pittaluga S, et al: The role of tumor histogenesis, FDG-PET and short course EPOCH with dose-dense rituximab (SC-EPOCH-RR) in HIV associated diffuse large B cell lymphoma. *Blood* 115:3017-3024, 2010
7. Coiffier B, Lepage E, Briere J, et al: CHOP chemotherapy plus rituximab compared with CHOP alone in elderly patients with diffuse large B cell lymphoma. *N Engl J Med* 346:235-242, 2002
8. Sehn LH, Donaldson J, Chhanabhai M, et al: Introduction of combined CHOP plus rituximab therapy dramatically improved outcome of diffuse large B cell lymphoma in British Columbia. *J Clin Oncol* 23:5027-5033, 2005
9. Kaplan LD, Lee JY, Ambinder RF, et al: Rituximab does not improve clinical outcome in a randomized phase 3 trial of CHOP with or without rituximab in patients with HIV associated non-Hodgkin's lymphoma: AIDS Malignancies Consortium Trial 010. *Blood* 106:1538-1543, 2005
10. Pastan I, Gottesman MM: Multidrug resistance. *Annu Rev Med* 42:277-286, 1991

**Employment or Leadership Position:** None **Consultant or Advisory Role:** Lee Ratner, Galen (C) **Stock Ownership:** None **Honoraria:** None **Research Funding:** None **Expert Testimony:** None **Other Remuneration:** None

#### AUTHOR CONTRIBUTIONS

**Conception and design:** Alexandra M. Levine, Jeannette Y. Lee, Bruce J. Dezube, Anil Tulpule  
**Financial support:** Alexandra M. Levine, Ronald Mitsuyasu  
**Administrative support:** Alexandra M. Levine  
**Provision of study materials or patients:** Alexandra M. Levine, Ronald Mitsuyasu, Bruce J. Dezube, Lee Ratner  
**Collection and assembly of data:** Alexandra M. Levine, Juan Carlos Ramos, Samir Parekh, Ronald Mitsuyasu, Timothy Cooley, Bruce J. Dezube, Lee Ratner, Ethel Cesarman, Anil Tulpule  
**Data analysis and interpretation:** Alexandra M. Levine, Ariela Noy, Jeannette Y. Lee, Wayne Tam, Juan Carlos Ramos, David H. Henry, Erin G. Reid, Ronald Mitsuyasu, Ethel Cesarman, Anil Tulpule  
**Manuscript writing:** All authors  
**Final approval of manuscript:** All authors

11. Ueda K, Coswell MM, Gottesman MM, et al: The MDR1 gene, responsible for multidrug-resistance, codes for p-glycoprotein. *Biochem Biophys Res Commun* 141:956-962, 1986
12. Hamada H, Tsuruo T: Purification of the 170 to 180 kilodalton membrane glycoprotein associated with multidrug resistance. *J Biol Chem* 263:1454-1458, 1997
13. Yuen AR, Siki BI: Multidrug resistance in lymphoma. *J Clin Oncol* 12:2453-2459, 1994
14. Miller TP, Grogan TM, Dalton WS, et al: P-glycoprotein expression in malignant lymphoma and reversal of clinical drug resistance with chemotherapy plus high dose verapamil. *J Clin Oncol* 9:17-24, 1991
15. Tulpule A, Sherrod A, Dharmapala D, et al: Multidrug resistance (MDR-1) expression in AIDS related lymphomas. *Leuk Res* 26:121-127, 2002
16. Lee CG, Gottesman MM, Cardarelli CO, et al: HIV-1 protease inhibitors are substrates for the MDR-1 multidrug transporter. *Biochemistry* 37:3596-3601, 1998
17. Kim RB, Fromm MF, Wandel C, et al: The drug transporter P-glycoprotein limits oral absorption and brain entry of HIV-1 protease inhibitors. *J Clin Invest* 109:1:289-294, 1998
18. Sparano JA, Lee JY, Kaplan LD, et al: Rituximab plus concurrent infusional EPOCH chemotherapy is highly effective in HIV associated B cell non Hodgkin lymphoma. *Blood* 115:3008-3016, 2010
19. Spina M, Jaeger U, Sparano JA, et al: Rituximab plus infusional cyclophosphamide, doxorubicin and etoposide in HIV associated non-Hodgkin lymphoma: Pooled results from 3 phase 2 trials. *Blood* 105:1891-1897, 2005
20. McKelvey EM, Gottlieb JA, Wilson HE, et al: Hydroxydaunomycin (Adriamycin) combination chemotherapy in malignant lymphoma. *Cancer* 38:1484-1493, 1976
21. Rahman A, Husain SR, Siddiqui J, et al: Liposome mediated modulation of multi-drug resistance in human HL-60 leukemia cells. *J Natl Cancer Inst* 84:1909-1915, 1992
22. Thierry AR, Vigé D, Coughlin SS, et al: Modulation of doxorubicin resistance in multi-drug resistant cells by liposomes. *FASEB J* 7:572-579, 1993

23. Levine AM, Tulpule A, Espina B, et al: Liposome-encapsulated doxorubicin in combination with standard agents (cyclophosphamide, vincristine, prednisone) in patients with newly diagnosed AIDS related non-Hodgkin's lymphoma: Results of therapy and correlates of response. *J Clin Oncol* 22:2662-2670, 2004
24. Gill PS, Rarick MU, Brynes RK, et al: Azidothymidine (AZT) and bone marrow failure in AIDS. *Ann Intern Med* 107:502-505, 1987
25. Hellerstedt B, Ahmed A: Delayed-type hypersensitivity reaction or serum sickness after rituximab treatment. *Ann Oncol* 14:1792, 2003
26. Chadburn A, Chiu A, Lee JY, et al: Immunophenotypic analysis of AIDS-related diffuse large B-cell lymphoma and clinical implications in patients from AIDS Malignancies Consortium clinical trials 010 and 034. *J Clin Oncol* 27:5039-5048, 2009
27. Hans CP, Weisenburger DD, Greiner TC, et al: Confirmation of the molecular classification of diffuse large B-cell lymphoma by immunohistochemistry using a tissue microarray. *Blood* 103:275-282, 2004
28. Chernick MR, Liu CY: The saw-toothed behavior of power versus sample size and software solutions: Single binomial proportion using exact methods. *Am Stat* 56:149-155, 2002
29. Lawless J: *Statistical Models and Methods for Lifetime Data*. Hoboken, NJ, John Wiley and Sons, 1992
30. International Non-Hodgkin's Lymphoma Prognostic Factors Project: A predictive model for aggressive non-Hodgkin's lymphoma. *N Engl J Med* 329:987-994, 1993
31. Hoffmann C, Tiemann M, Schrader C, et al: AIDS-related B cell lymphoma (ARL): Correlation of prognosis with differentiation profiles assessed by immunophenotyping. *Blood* 106:1762-1769, 2005
32. Visco C, Li Y, Xu-Monette ZY, et al: Comprehensive gene expression profiling and immunohistochemical studies support application of immunophenotypic algorithm for molecular subtype classification in diffuse large B cell lymphoma.

phoma: A report from the International DLBCL Rituximab-CHOP Consortium Program Study. *Leukemia* 26:2103-2113, 2012

33. Linton K, Howarth C, Wappett M, et al: Microarray gene expression analysis of fixed archival tissue permits molecular classification and identification of potential therapeutic agents in diffuse large B cell lymphoma. *J Mol Diagn* 14:223-232, 2012

34. Reference deleted

35. Borg C, Ray-Coquard I, Philip I, et al: CD4 lymphopenia as a risk factor for febrile neutropenia and early death after cytotoxic chemotherapy in

adult patients with cancer. *Cancer* 101:2675-2680, 2004

36. Koo S, Baden LR: Infectious complications associated with immunomodulating monoclonal antibodies used in the treatment of hematologic malignancy. *J Natl Compr Canc Netw* 6:202-213, 2008

37. Kridel R, Dietrich PY: Prevention of CNS relapse in diffuse large B cell lymphoma. *Lancet Oncol* 12:1258-1266, 2011

38. Haioun C, Besson C, Lepage E, et al: Incidence and risk factors of central nervous system relapse in histologically aggressive non-Hodgkin's

lymphoma uniformly treated and receiving intrathecal central nervous system prophylaxis: A GELA study on 974 patients. *Ann Oncol* 11:685-690, 2000

39. Kim H, Zelman RJ, Fox MA, et al: Pathology panel for lymphoma clinical studies: A comprehensive analysis of cases accumulated since its inception. *J Natl Cancer Inst* 68:43-67, 1982

40. LaCasce AS, Kho ME, Friedberg JW, et al: Comparison of referring and final pathology for patients with non-Hodgkin's lymphoma in the National Comprehensive Cancer Network. *J Clin Oncol* 26:5107-2112, 2008



## JCO's Rapid Review Program

*Journal of Clinical Oncology (JCO)* now has a Rapid Review program for original research articles deemed to be of high interest to our clinical and translational readership.

The *JCO* Rapid Review program selects those articles that have the most practice-changing or time-dependent research implications and fast-tracks them for acceptance decisions and subsequent online publication. In addition, in an effort to provide the widest possible dissemination of the manuscripts chosen for the program, all Rapid Review articles are published on *JCO* online without access controls.

For more information, or to submit a manuscript, please visit [submit.jco.org](http://submit.jco.org), or contact the *JCO* Editorial office at [jco@asco.org](mailto:jco@asco.org).



American Society of Clinical Oncology