ORIGINAL ARTICLE

A 21-year analysis of stage I gallbladder carcinoma: is cholecystectomy alone adequate?

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Abstract

Objectives: Gallbladder carcinoma (GBC) is a rare disease that is often diagnosed incidentally in its early stages. Simple cholecystectomy is considered the standard treatment for stage I GBC. This study was conducted in a large cohort of patients with stage I GBC to test the hypothesis that the extent of surgery affects survival.

Methods: The National Cancer Institute's Surveillance, Epidemiology and End Results (SEER) database was queried to identify patients in whom microscopically confirmed, localized (stage I) GBC was diagnosed between 1988 and 2008. Surgical treatment was categorized as cholecystectomy alone, cholecystectomy with lymph node dissection (C + LN) or radical cholecystectomy (RC). Age, gender, race, ethnicity, T1 sub-stage [T1a, T1b, T1NOS (T1 not otherwise specified)], radiation treatment, extent of surgery, cause of death and survival were assessed by log-rank and Cox's regression analyses.

Results: Of 2788 patients with localized GBC, 1115 (40.0%) had pathologically confirmed T1a, T1b or T1NOS cancer. At a median follow-up of 22 months, 288 (25.8%) had died of GBC. Five-year survival rates associated with cholecystectomy, C + LN and RC were 50%, 70% and 79%, respectively (P < 0.001). Multivariate analysis showed that surgical treatment and younger age were predictive of improved disease-specific survival (P < 0.001), whereas radiation therapy portended worse survival (P = 0.013).

Conclusions: In the largest series of patients with stage I GBC to be reported, survival was significantly impacted by the extent of surgery (LN dissection and RC). Cholecystectomy alone is inadequate in stage I GBC and its use as standard treatment should be reconsidered.

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Introduction

An estimated 9810 new cases of gallbladder carcinoma (GBC) were diagnosed in the USA in 2011, resulting in 3200 deaths.¹ Outcomes in patients with regional GBC improve after the resection of liver segments IVb and V and the dissection of periportal lymph nodes (LNs).^{2–6} This approach has been recommended for patients with tumour extending into the liver (tumour stage T2 or higher).^{2–5} By contrast, GBC confined to the lamina propria (T1a)

This manuscript was presented at the annual AHPBA meeting, Miami, 7–11 March 2012 and at the 10th World IHPBA Congress, Paris 1–5 July 2012. or to the muscularis propria (T1b) has historically been treated with cholecystectomy alone and very small studies have reported good results.^{7,8} Most patients with localized GBC are diagnosed incidentally after routine laparoscopic cholecystectomy.^{2,9,10} Despite evidence for the adverse prognostic impact of LN metastases, the need for further surgery in these patients remains controversial.^{5,11–15}

Current staging of GBC follows the standard tumour-nodemetastasis (TNM) system (Table 1) and reflects progressively worse survival with increasing stage.¹⁶ This study reports the largest population-based analysis of outcomes of stage I GBC patients in the USA by demographic, treatment and survival

Stage	TNM	Depth	Regional lymph node status	Distant metastases
0	Tis	In situ	None	None
la	T1aN0M0	<lamina propria<="" td=""><td>None</td><td>None</td></lamina>	None	None
lb	T1bN0M0	<muscular layer<="" td=""><td>None</td><td>None</td></muscular>	None	None
II	T2N0M0	<perimuscular beyond<br="" extension="" no="" tissue;="">serosa or into liver</perimuscular>	None	None
Illa	T3N0M0	>Serosa and/or directly invades the liver and/or other adjacent organ or structure	None	None
IIIb	T1-3N1M0	Any	Positive nodes along cystic duct bile, common bile duct, hepatic artery and/or portal vein	None
IVa	T4N0M0	Invades main portal vein, hepatic artery or ≥2 extrahepatic organs/structures	None	None
	T2N1M0	Perimuscular tissue; no extension beyond serosa or into liver	Positive nodes along cystic duct bile, common bile duct, hepatic artery and/or portal vein	None
IVb	T1-3N2M0	Any	Positive nodes: peri-aortic, pericaval, superior mesenteric artery and/or coeliac artery lymph nodes	None
	T1-3N1-2 M1	Any	Any	Yes

Table 1 American Joint Committee on Cancer staging for gallbladder cancer¹⁶

TNM, tumour-node-metastasis; Tis, tumour in situ.

characteristics. This study was conducted to test the hypothesis that patients in whom surgical treatment included LN dissection or radical cholecystectomy (RC) would survive longer than patients treated with cholecystectomy alone.

Materials and methods

The National Cancer Institute (NCI) Surveillance, Epidemiology and End Results (SEER) registry is a government-run database that collects population-based data from 14 regional and three supplemental cancer registries, which together represent approximately 26% of the population in the USA.¹⁷ Data held in the SEER registry contain no identifiers and are publicly available for studies of cancer-based epidemiology and health policy, and thus are exempt from institutional review board approval requirements. The NCI's SEER*Stat software was used to identify patients in whom microscopically confirmed, invasive, localized, nodenegative GBC was diagnosed between 1988 and 2008.18 A 98% case ascertainment is mandated with annual quality assurance studies.¹⁷ Only patients with stage I [T1a, T1b, T1NOS (not otherwise specified)] GBC were included. Patients were excluded if they had in situ or T2 or worse disease as determined by the extent of disease codes. Patients were also excluded if surgical treatment included locally ablative treatment, biopsy only or surgery not otherwise specified. Age, sex, race, ethnicity, T1 sub-stage, tumour grade, tumour histology, radiation treatment, extent of surgery, cause of death, survival in months and vital status were assessed. Chemotherapy data are not included in the SEER database.

Surgical treatment in the SEER database is categorized as comprising: simple cholecystectomy with no LNs recovered per extent of disease coding; cholecystectomy with any LN recovery reported in the extent of disease coding (C + LN); RC including any type of liver resection with extensive LN dissection, and surgery not otherwise specified (other). Data on staged resections are not available in the SEER database. Patients were assigned to one of three outcome categories: dead from GBC; dead from other causes, and alive at the end of the study.

Statistics

Summary statistics and Kaplan–Meier survival curves were generated using SAS Version 9.2 (SAS Institute, Inc., Cary, NC, USA). *P*-values for survival curves were determined by the log-rank test. Cox's proportional hazard regression analysis was performed incorporating variables with P < 0.1 on the log-rank test and the final model was built utilizing a stepwise selection method.

Results

Of 2788 patients with localized GBC, 300 (10.8%) and 536 (19.2%) had microscopically confirmed T1a or T1b disease, respectively, and 279 (10.0%) had T1NOS disease (Table 2). Female and White patients were more commonly represented. Thus, of these 1115 localized tumours, 300 (26.9%) represented T1a, 536 (48.1%) represented T1b and the remaining 279 (25.0%) represented T1NOS disease. Tumour size in those patients in whom it was reported was evenly distributed; however, tumour size was not reported in 942 (84.5%) patients. Of the 1115 patients with stage I GBC, 892 (80.0%) patients underwent cholecystectomy, only 168 (15.1%) underwent C + LN and 55 (4.9%) underwent RC. Only 97 (8.7%) patients received adjuvant radiation therapy.

Of the 1115 patients with stage I GBC, 421 (37.7%) were alive at the end of the study. The 694 deaths (62.2%) included 288

Variable	Patients, n (%)	5-year survival		Variable	Patients, n (%)	5-year survival	
		DSS, %	OS , %			DSS, %	OS , %
Age, years				Tumour grade			,
<50	85 (7.6)	80%	68%	Well differentiated	264 (23.7)	68%	49%
50–59	141 (12.6)	63%	55%	Moderately differentiated	409 (36.7)	56%	40%
60–69	214 (19.2)	56%	49%	Poorly differentiated	197 (17.7)	26%	15%
70–79	329 (29.5)	53%	37%	Undifferentiated	19 (1.7)	21%	21%
≥80	346 (31.1)	42%	20%	Unknown	226 (20.3)	62%	46%
		P < 0.001	P < 0.001			P < 0.001	P < 0.00
Gender				Tumour histology			
Female	846 (75.9)	54%	39%	Adenocarcinoma	881 (79.0)	51%	36%
Male	269 (24.1)	55%	37%	Neuroendocrine	21 (1.9)	95%	81%
		P = 0.725	P = 0.560	Papillary	141 (12.6)	76%	46%
Race				Other	72 (6.5)	30%	25%
White	881 (79.0)	53%	38%			P < 0.001	P < 0.00
African-American	90 (8.1)	50%	29%	Surgery type			
Asian/Other	144 (12.9)	64%	47%	Cholecystectomy	892 (80.0)	50%	35%
		P = 0.093	P = 0.017	C + LN	168 (15.1)	70%	53%
Tumour stage I sub-stage				RC	55 (4.9)	79%	48%
T1NOS	279 (25.0)	34%	22%			P < 0.001	P < 0.00
T1a	300 (26.9)	70%	54%	Lymph nodes examined, n			
T1b	536 (48.1)	56%	39%	Unknown	23 (2.1)	62%	50%
		P < 0.001	P < 0.001	0	855 (76.7)	51%	35%
Tumour size, cm				1–4	213 (19.1)	65%	49%
Unknown	942 (84.5)	52%	37%	>5	24 (2.2)	63%	56%
<1.0	44 (4.0)	87%	72%			P = 0.001	P < 0.00
1.1–2.0	30 (2.7)	51%	37%	Radiation therapy			
2.1-3.0	36 (3.2)	80%	58%	No	1004 (90.4)	56%	39%
3.1-4.0	27 (2.4)	46%	40%	Yes	97 (8.4)	33%	28%
>4.0	36 (3.2)	67%	55%	Unknown	14 (1.2)	48%	48%
		P = 0.394	P = 0.553			P < 0.005	P < 0.08

Table 2 Demographic and survival data for patients with stage I gallbladder carcinoma

DSS, disease-specific survival; OS, overall survival; T1NOS, tumour stage I not otherwise specified; C + LN, cholecystectomy plus lymph node dissection; RC, radical cholecystectomy.

(41.5%) from GBC, 127 (18.3%) from other types of cancer, 133 (19.2%) from heart or vascular disease, eight (1.2%) from neurological disease, 21 (3.0%) from infection, 26 (3.7%) from lung disease, 11 (1.6%) from accident or suicide, 35 (5.0%) from other causes, and 45 (6.5%) from unknown causes. Median follow-up was 22 months (range: 7–244 months).

The type of surgery selected varied slightly by tumour stage, although the differences did not reach statistical significance (Fig. 1). Patients with T1a and T1b disease were equally likely to undergo cholecystectomy alone [n = 236 (78.7%) vs. n = 42 (79.7%); P = 0.731], C + LN [n = 54 (18.0%) vs. n = 79 (14.7%); P = 0.258] or RC [n = 10 (3.3%) vs. n = 30 (5.6%); P = 0.055].

Based on univariate analysis, sex, race and tumour size had no significant effect on 5-year disease-specific survival (DSS) in patients with T1 GBC (Table 2). Younger age (P < 0.001), T1a disease (P < 0.001) and examination of one or more LNs (P = 0.001) were associated with better DSS. Race was not a contributing factor (P = 0.934). Rates of DSS were also significantly higher after C + LN (70%) or RC (79%) than after cholecystectomy alone

(50%) (P < 0.001) (Fig. 2). Disease-specific survival was shorter in patients who received radiation therapy.

Five-year overall survival (OS) was not significantly affected by sex, tumour size or radiation therapy (Table 2). As might be expected, younger patients had significantly better survival than older patients (P < 0.001). Asians and Pacific Islanders with GBC achieved better survival than African-American and White patients (47% vs. 29%, respectively; P = 0.017). Similar to DSS, OS in patients with T1a disease was superior to that in those with T1b disease (54% and 39%, respectively; P < 0.001). Overall survival was significantly affected by the extent of surgery (Fig. 3). Patients who underwent C + LN or RC achieved better survival (53% and 48%, respectively) than patients treated with cholecystectomy alone (35%) (P < 0.001).

Further investigation regarding the role of surgical therapy based on T1 sub-stage revealed no DSS advantage in T1a patients who underwent more extensive surgery (C + LN or RC) compared with patients treated with cholecystectomy alone (Fig. 4a). However, T1b patients did benefit from more extensive surgery

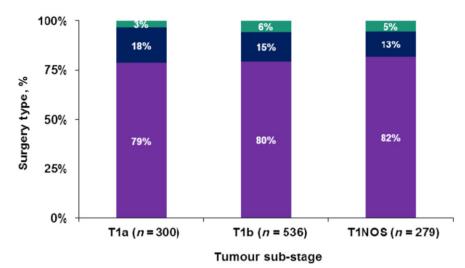
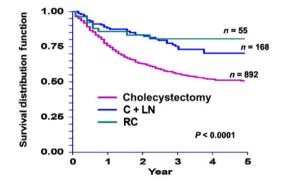
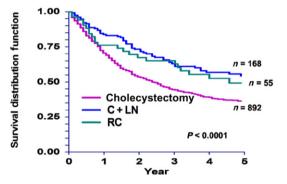


Figure 1 Types of surgery performed in tumour stage I (T1) gallbladder carcinoma. Green bars, radical cholecystectomy; blue bars, cholecystectomy plus lymph node resection; purple bars, cholecystectomy only; T1NOS, T1 not otherwise specified



All Stage I	Number at risk (12-month intervals)						
	0	12	24	36	48	60	
Cholecy- stectomy	892	578	406	314	257	224	
C + LN	168	120	94	69	53	43	
RC	55	36	32	27	19	14	

Figure 2 Kaplan–Meier curves for disease-specific survival in patients with tumour stage I (T1) gallbladder carcinoma by type of surgery (P < 0.0001). C + LN, cholecystectomy plus lymph node resection; RC, radical cholecystectomy



All Stage I	Number at risk (12-month intervals)							
	0	12	24	36	48	60		
Cholecy- stectomy	892	578	406	314	257	224		
C + LN	168	120	94	69	53	43		
RC	55	36	32	27	19	14		

Figure 3 Kaplan–Meier curves for overall survival in patients with tumour stage I (T1) gallbladder carcinoma by type of surgery (P < 0.0001). C + LN, cholecystectomy plus lymph node resection; RC, radical cholecystectomy

(Fig. 4b). These findings correlate with OS patterns in T1a and T1b patients (Fig. 5).

A multivariate Cox proportional hazard survival model was built using a stepwise selection method incorporating age, race, tumour sub-stage, tumour grade, tumour histology, radiation therapy and surgery type. Independent predictors for DSS were age, T1 sub-stage, tumour grade, tumour histology, radiation and surgery type. Independent predictors for OS were age, T1 sub-stage, tumour grade, tumour histology, race and surgery type. Table 3 gives the results of a Cox proportional hazard regression model in the entire stage I GBC cohort based on 5-year DSS and OS. Patients who underwent C + LN and RC had a significant DSS benefit over patients who underwent cholecystectomy alone [hazard ratio (HR) 0.501, 95% confidence interval (CI) 0.353–0.710 (P = 0.001) and HR 0.410, 95% CI 0.218–0.814 (P = 0.006), respectively].

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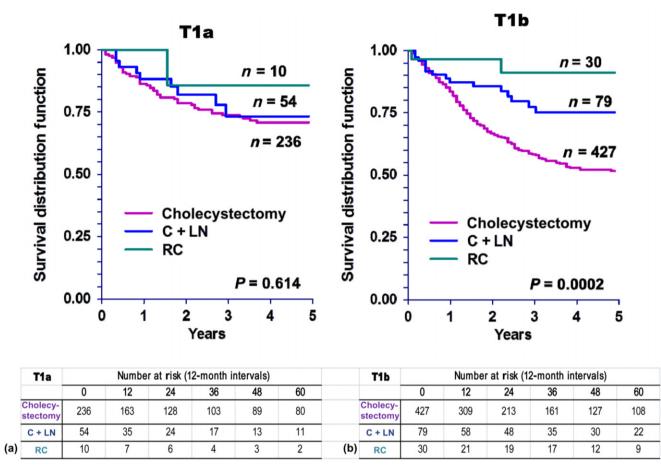


Figure 4 Kaplan–Meier curves for disease-specific survival in patients with (a) tumour stage Ia (T1a) (P = 0.614) and (b) tumour stage Ib (T1b) (P = 0.0002) gallbladder carcinoma, by type of surgery. C + LN, cholecystectomy plus lymph node resection; RC, radical cholecystectomy

Discussion

The standard of care in the surgical treatment of GBC remains controversial. Current recommended therapy for early GBC includes cholecystectomy for T1a tumours, and cholecystectomy with liver resection and periportal, gastrohepatic and retroduodenal LN dissection for T1b tumours.¹⁹ In this population-based cohort, 46.0% of patients with stage I GBC treated with cholecystectomy eventually died of GBC. By contrast, the risk for death from GBC appeared to significantly decrease when the operative procedure included the resection of any LNs and liver resection. Although this study found that most patients with T1b GBC received cholecystectomy alone, their survival differed significantly from that of patients with T1a or T1NOS disease. This may reflect the understaging of nodal disease in the absence of nodal sampling.

Nodal status is the most powerful predictor of outcomes in patients with stage I GBC.^{12,20,21} These data indicated improved survival when cholecystectomy was accompanied by LN resection (C + LN or RC). Although the results of Cox regression analysis

demonstrated the prognostic importance of age in patients with stage I GBC, the improved survival associated with the examination of any LNs suggests a staging issue. This may be attributed to a stage migration phenomenon in which the better detection of disease results in more accurate staging.

Ogura *et al.*⁵ studied a multi-hospital cohort of 1686 Japanese patients who underwent radical resections for GBC. Of 201 patients with mucosal involvement only (T1a), 2.5% had LN metastases; of 165 patients in whom disease involved the muscularis propria (T1b), 15.6% had metastases in local LNs. Had these patients undergone cholecystectomy alone, their disease would have been staged strictly on the basis of the primary tumour. This highlights the distinction between primary tumour (T) stage, which is based on the depth (not size) of the initial lesion, and cancer stage, which incorporates nodal and metastatic components.

In order to adequately assess LN status, the guidelines of the American Joint Committee on Cancer (AJCC) require the removal and pathologic examination of at least three regional LNs, which can include cystic, pericholedochal, retroportal,

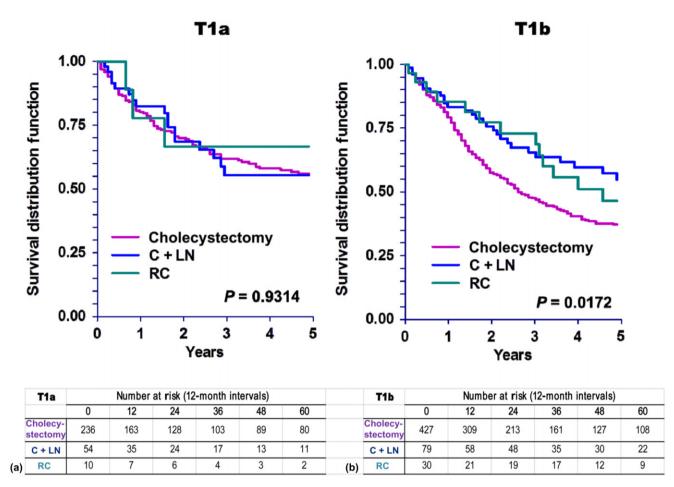


Figure 5 Kaplan–Meier curves for overall survival in patients with (a) tumour stage Ia (T1a) (P = 0.9314) and (b) tumour stage Ib (T1b) (P = 0.0172) gallbladder carcinoma. C + LN, cholecystectomy plus lymph node resection; RC, radical cholecystectomy

periduodenal, peripancreatic, coeliac and superior mesenteric nodes.¹⁶ Given that a majority of stage I GBC patients receive only cholecystectomy (77.0% in this cohort), this suggests that the majority of patients with stage I GBC may have been inadequately staged.

Shirai *et al.*²² used intra-lymphatic injection of indigo carmine to map the drainage pattern of gallbladder lymphatics in 21 patients with biliary tract cancer. The blue dye traced a path around the bile ducts, through the cystic LN, into the pericholedochal LNs and then into the retroportal and peripancreatic LNs. Others have examined the frequency of GBC nodal metastases by nodal basin. These studies indicate that the cystic and pericholedochal LNs are the most common sites of initial tumour spread.^{3,11,12}

At the time of diagnosis, advanced GBC is likely to have spread to locoregional sites. As a result, chemotherapy is indicated, particularly when a negative margin (R0) resection is not achievable.^{23–27} However, the data are limited in advanced GBC and even more scant in stage I GBC. The role of adjuvant radiation in GBC also remains unclear.²⁸ In this study, radiation therapy had a negative impact on survival. However, radiation therapy was applied in a limited number of patients and as all radiation was given postoperatively, radiation therapy may have been reserved for use in patients with a higher risk for recurrence.

No prospective trial has examined the impact of nodal surgery on outcomes in patients with stage I GBC. Most of the studies published during the last 21 years have been small, singleinstitution series with relatively short follow-up (Table 4). Given the infrequency of GBC and the rarity of its diagnosis in its earliest stages, the small numbers of patients in these studies is not surprising. Several studies have reported 5-year survival rates of 100%, but most of these included only two to 40 patients at selected institutions.^{3,4,9,11,29,30} To the authors' knowledge, the present study represents the largest population-based investigation of outcomes in patients with stage I GBC.

Although the use of the population-based SEER dataset minimized the risk for selection bias associated with smaller studies, the enormous size of the SEER database inevitably limits its detail on specific surgical and oncologic management. In addition, the SEER database was not designed to include data on comorbidities,

Variable: reference	Variables: comparison	5-year disease-spe	cific survival	5-year overall survival	
		HR (95% CI)	P-value	HR (95% CI)	P-value
Age: <50 years	50–59 years	1.628 (0.889–2.981)	0.114	1.260 (0.771–2.059)	0.357
	60-69 years	1.922 (1.084-3.408)	0.025	1.501 (0.947-2.379)	0.084
	70-79 years	1.923 (1.102–3.355)	0.021	1.951 (1.256–3.031)	0.003
	≥80 years	2.608 (1.496-4.548)	0.001	3.023 (1.949-4.687)	< 0.001
Race: White	Black	N/A	N/A	1.629 (1.236-2.148)	<0.005
	Other	N/A	N/A	0.888 (0.688-1.144)	0.357
	Unknown	N/A	N/A	0.000 (0.000-0.000)	0.956
T1 sub-stage: T1a	T1b	1.311 (0.989–1.739)	0.060	1.240 (0.994–1.547)	0.057
	T1NOS	2.470 (1.845-3.307)	<0.001	1.931 (1.524–2.448)	<0.001
Surgery type: cholecystectomy alone	C + LN	0.501 (0.353–0.710)	0.001	0.638 (0.488-0.834)	0.001
	RC	0.410 (0.218-0.771)	0.006	0.742 (0.490-1.122)	0.157
Grade: well differentiated	Moderate	1.483 (1.104–1.991)	0.009	1.239 (0.985–1.558)	0.067
	Poor	2.696 (1.977-3.675)	<0.001	2.107 (1.642-2.704)	<0.001
	Undifferentiated	3.430 (1.885-6.242)	<0.001	2.233 (1.259-3.963)	0.006
	Unknown	1.193 (0.843–1.688)	0.318	1.048 (0.798–1.375)	0.737
Histology: adenocarcinoma	Neuroendocrine	0.129 (0.018-0.933)	0.043	0.316 (0.116-0.865)	0.025
	Papillary	0.505 (0.338-0.756)	<0.009	0.594 (0.440-0.803)	< 0.001
	Other	1.997 (1.431–2.789)	<0.001	1.734 (1.279–2.350)	<0.004

Table 3 Adjusted Cox proportional hazards regression model for 5-year disease-specific survival (DSS) and overall survival (OS) in patients with stage I gallbladder carcinoma

HR, hazard ratio; 95% CI, 95% confidence interval; N/A, not available; T1NOS, tumour stage I not otherwise specified; C + LN, cholecystectomy plus lymph node dissection; RC, radical cholecystectomy.

Table 4 Clinical studies of patients with stage I gallbladder carcinoma published in the last 21 years

Authors, year	Patients, n	Overall survival	Comment/treatment			
		Median, months	5 years, %	10 years, %		
Wibbenmeyer <i>et al</i> ., 1995 ³¹	2	16 ^a				
Yamaguchi <i>et al</i> ., 1996 ³²	2	Not mentioned			100% at 2 years	
Mingoli <i>et al.</i> , 1997 ³³	2	6.5				
Kraas <i>et al.</i> , 2002 ⁹	2		100% ^a			
Arnaud <i>et al.</i> , 1995 ²⁹	4		100%			
Shimada et al., 1997 ³	4		100%		RC	
Donohue <i>et al.</i> , 1990 ⁴	6		100%		Cholecystectomy, RC	
Sarli <i>et al.</i> , 2000 ³⁴	6	24 ^a			Cholecystectomy	
Gall et al., 1991 ³⁵	7	100	57%			
North <i>et al</i> ., 1998 ³⁶	7	24				
Whalen <i>et al</i> ., 2001 ³⁷	11	19.5				
Kang <i>et al.</i> , 2007 ³⁸	11	Not mentioned			Cholecystectomy	
Tsukada <i>et al</i> ., 1997 ¹¹	15	76	91%		RC	
Sun <i>et al.</i> , 2005 ³⁰	15		100%		Cholecystectomy	
Cho <i>et al</i> ., 2010 ¹⁴	18	Not mentioned			Cholecystectomy	
de Aretxabala <i>et al</i> ., 1997 ³⁹	24		87.50%		Cholecystectomy, RC	
Suzuki <i>et al</i> ., 2000 ⁸	25		96%		Cholecystectomy	
Wakai <i>et al.</i> , 2001 ⁷	25	90–95		87%	T1b/cholecsytectomy, RC	
Chan <i>et al.</i> , 2006 ⁴⁰	33		87%		Cholecystectomy	
Shirai <i>et al</i> ., 1992 ¹⁰	40		100%		Cholecystectomy, RC	
Goetze & Paolucci, 2010 ¹⁵	118	56	49-71%		Cholecystectomy, RC	
Ogura et al., 1991 ⁵	366		78%		RC	
Downing et al., 2011 ¹²	683	33–93			Cholecystectomy, C + LN, I	

^aApproximate.

C + LN, cholecystectomy plus lymph node dissection; RC, radical cholecystectomy.

which may be problematic in studies of elderly populations. Finally, in this study, 44.2% of patients were found to have died from unknown causes.

Because staging based strictly on the primary tumour may be inadequate in some patients with GBC, the present authors recommend that a combination of cholecystectomy and periportal or more extensive LN dissection be used when the patient's medical condition permits nodal staging of GBC. More accurate assessment of nodal status should improve the assessment of prognosis and thereby guide the selection of patients for clinical trials and for more rigorous follow-up.

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Conflicts of interest

None declared.

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