Method of electronic health record documentation and quality of primary care

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ABSTRACT

Objective Physicians who more intensively interact with electronic health records (EHRs) through their documentation style may pay greater attention to coded fields and clinical decision support and thus may deliver higher quality care. We measured the quality of care of physicians who used three predominating EHR documentation styles: dictation, structured documentation, and free text.

Methods We conducted a retrospective analysis of visits by patients with coronary artery disease and diabetes to the Partners Primary Care Practice Based Research Network. The main outcome measures were 15 EHR-based coronary artery disease and diabetes measures assessed 30 days after primary care visits. **Results** During the 9-month study period, 7000 coronary artery disease and diabetes patients made 18 569 visits to 234 primary care physicians of whom 20 (9%) predominantly dictated their notes, 68 (29%) predominantly used structured documentation, and 146 (62%) predominantly typed free text notes. In multivariable modeling adjusted for clustering by patient and physician, quality of care appeared significantly worse for dictators than for physicians using the other two documentation styles on three of 15 measures (antiplatelet medication, tobacco use documentation, and diabetic eye exam); better for structured documenters for three measures (blood pressure documentation, body mass index documentation, and diabetic foot exam); and better for free text documenters on one measure (influenza vaccination). There was no measure for which dictators had higher quality of care than physicians using the other two documentation styles.

Conclusions EHR-assessed quality is necessarily documentation-dependent, but physicians who dictated their notes appeared to have worse quality of care than physicians who used structured EHR documentation. **Clinical trial registration number** ClinicalTrials.gov Identifier: NCT00235040.

INTRODUCTION

Electronic health records (EHRs) have been touted as a means to improve the quality of medical care in the USA.^{1 2} Indeed, EHRs and clinical decision support systems have been shown in certain settings and for certain problems to be associated with improved quality of care.^{3–5} However, on a national scale, quality gains have not emerged.^{6–8} Part of the explanation for this EHR use–quality gap may be that physicians are using the EHR mainly for documentation purposes, simply as an electronic replacement for the paper chart.

Physician documentation styles run the gamut from not interacting at all with the EHR—dictating and using the EHR only as a notes repository—to intensive focus on the EHR before, during, and after patient visits with attention to structured fields, coded data entry, and clinical decision support.⁹ One might expect physicians with documentation styles that lead them to more meaningfully interact with the EHR to have better quality of care. To examine if primary care physicians who more intensively interact with the EHR through their documentation style have better quality of care, we compared quality between physicians who predominantly dictated, used structured documentation, or typed free text notes.

METHODS Overview

We performed a cross-sectional analysis of data collected as part of a physician-randomized, controlled trial of a novel documentation-based clinical decision support system for coronary artery disease and diabetes (CAD/DM) called Smart Forms (ClinicalTrials. gov Identifier: NCT00235040).10 Physicians used the clinical decision support system in only 5.6% of CAD/ DM visits. There were modest, statistically significant gains in quality associated with Smart Form use in an intention-to-treat analysis. As-used. Smart Forms were associated with marked improvements in clinical documentation and compliance with clinical decision support alerts.¹¹ For the present analysis, the associations of interest were between three physician documentation styles-dictation, structured documentation, and free text-and visit-level performance on 15 CAD/DM quality measures. The Partners Human Research Committee approved the study protocol.

Setting and EHR

The Partners Primary Care Practice Based Research Network is part of an integrated regional healthcare delivery network in eastern Massachusetts that includes over 20 primary care clinics affiliated with Brigham and Women's Hospital and Massachusetts General Hospital. The main EHR used in Partners HealthCare ambulatory clinics is the Longitudinal Medical Record (LMR). The LMR is an internally developed, full-featured, Certification Commission for Healthcare Information Technology-approved EHR including primary care and subspecialty notes; problem lists; medication lists; coded allergies; and laboratory test and radiographic study results.¹² The LMR includes e-prescribing and radiology ordering, but does not include computerized electronic laboratory order entry. The LMR has clinical decision support in the form of reminders for preventive services, chronic care management (including for CAD/DM), and medication monitoring; medication

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Received 20 December 2011 Accepted 25 April 2012 Published Online First 19 May 2012 dosing alerts; and medication alerts for drug-drug, drug-lab, drug-condition, and drug-allergy interactions. The LMR includes a registry function called Reports Central that provides physician panel views for a range of preventive services and chronic medical conditions.

Data source

There were 10 primary care practices that participated in the CAD/DM Smart Form randomized controlled trial. Practices received the Smart Form on a rolling basis from March 3, 2007 to August 10, 2007. The study duration was 9 months for each practice. For patients to qualify for analysis they had to have CAD or DM on their EHR problem list as of the day prior to the trial start date for that practice. We previously found these definitions of CAD and DM to have a positive predictive value of 94% and 96%, respectively.¹³ Eligible visits were defined as those made by eligible patients to a primary care physician who belonged to one of the study practices during that practice's 9-month study period.

Data analysis

Documentation style

Table 1 Quality measure definitions

Physician documentation style was determined by evaluating 188 554 visit notes written by participating physicians for all of their patients (not just CAD/DM patients) from May 1, 2007 to May 10, 2008. In the EHR, physicians could dictate notes, use structured documentation, or type unstructured free text notes.

Dictations were done via telephone and transcribed and uploaded to the EHR by a third-party transcription service. During the study period, physicians were supposed to review and electronically sign their dictations, but dictations 'auto-finalized' after 21 days and became a permanent part of the record even if physicians did not actively review and sign the notes.

Structured documentation templates divide the components of the note into separate sections (eg, history of present illness, review of systems, family history, etc) that can be reused in subsequent notes. As part of structured documentation, physicians could, but are not required to, access and manipulate coded fields within the EHR such as problems, allergies, medications, vital signs, and health maintenance items (eg, screening and chronic disease monitoring tests). For example, a physician could choose to have a patient's problem list automatically imported from the EHR into a note each time a template is used.

Free text notes were generated using a single window, similar to a word processing program. Free text notes could be generated from free text templates, discrete pieces of data (eg, problem list, allergies, or medications) could be brought into the note as free text, and old notes could be 'carried forward' in their entirety for editing as a new note.

We divided physicians into three mutually exclusive groups by predominating documentation style: those who dictated more than 25% of their notes (dictation), those who used structured templates for more than 25% of their notes (structured), and the remainder, who mostly typed free text notes. For each predominating style, we calculated the proportion of notes generated using all three documentation types.

Quality measures

We calculated 15 visit-based quality measures: two for CAD patients, seven for both CAD and DM patients, and six for DM patients (see table 1 for definitions). Measures included quality of documentation (eg, smoking status), medication use (eg, antiplatelet prescribing), testing (eg, HbA1c ordering), management measures (eg, blood pressure control), and vaccinations (eg, influenza). We omitted a measure of tobacco treatment because treatment rates were 1% or lower for documented smokers across the

Quality measure	Denominator (determined day prior to visit)	Numerator (determined 30 days after visit)		
Coronary artery disease				
Antiplatelet medication	No allergy or contraindication to antiplatelet medications	Antiplatelet agent on medication list or new contraindication in allergies or problem list		
β Blocker	Patient with myocardial infarction, angina, or congestive heart failure, and no allergies or contraindication to β blockers	β Blocker on medication list or a new contraindication in allergies or problem list		
Coronary artery disease or diabete	S			
Cholesterol testing	All patients	LDL test result in clinical data repository or health maintenance section within the previous 13 months		
Cholesterol control	All patients	An LDL test result $<$ 100 mg/dl prior to visit, after visit, or subsequent intensification of antihyperlipidemic therapy on medication list		
Blood pressure documentation	All patients	Blood pressure result in coded vital signs		
Blood pressure control	All patients	Blood pressure in coded field $<140/90$ mm Hg or $<130/80$ mm Hg for patients with diabetes or renal disease as of visit or subsequent intensification of antihypertensive therapy on medication list		
Tobacco use documentation	All patients	Tobacco use status in problem list or health maintenance section		
Body mass index	All patients	Weight within 13 months and height within 5 years if patient $>$ 23 years old (otherwise within 13 months) in vital signs		
Influenza vaccine	All patients visiting between October 1 and April 31	Receipt of influenza vaccine as noted in immunization section of chart		
Diabetes				
ACE-I/ARB	No allergy or contraindication to either ACE-I or ARB	ACE-I or ARB on medication list or a new contraindication documented		
HbA1c testing	All patients	HbA1c test in clinical data repository or health maintenance section within the previous 13 months		
Glucose control	All patients	HbA1c result <7% as of visit or subsequent intensification of antihyperglycemic therapy on medication list		
Foot exam	All patients	Foot exam in health maintenance section within the previous 13 months		
Eye exam	All patients	Eye exam in health maintenance section within the previous 13 months		
Microalbuminuria testing	All patients	Urine microalbumin testing in clinical data repository or health maintenance section within the previous 13 months		

ACE-I, ACE inhibitor; ARB, angiotensin receptor blocker; LDL, low density lipoprotein.

three styles of documentation.¹¹ Quality measures were fulfilled through the presence of data in EHR coded fields including vital signs, medications, allergies, problem list entries, lab tests, and vaccinations. None of the methods of documentation, by themselves, fulfilled the quality measures. At the end of the documentation process, all three documentation styles resulted in text notes, which were not used for quality assessment.

Eligibility for each measure was determined as of the day prior to the visit. Because these data were from a trial of visit-based documentation and to give physicians and patients an opportunity to fulfill the quality measures, we calculated quality measures by querying the EHR and Partners Clinical Data Repository 30 days after the patient's visit. Individual patients could have fulfilled a quality measure on an earlier visit but fail to fulfill it on a subsequent visit (eg, cholesterol testing that becomes 'out of date' on a later visit).

Covariates

We collected information about physicians including age, gender, level of training, the proportion of patients in their panel with CAD or DM, total patient visits per week, visits per year, and whether they were in the intervention group of the Smart Form trial. We also surveyed physicians about their self-reported experience with the EHR. We collected information about patients including sociodemographic variables; the number of visits and hospitalizations in the previous year; total primary care clinic visits and visits with their own primary care physician during the study period; number of problems and medications listed in the EHR; median household income by zip code; whether their physician was in the trial intervention group; and whether the Smart Form was used during one or more of their visits.

Statistical analysis

We used standard descriptive statistics to describe and compare the baseline characteristics of physicians and patients. We compared linear variables using ANOVA. We compared categorical variables using Fisher's exact test for physician variables and patient variables with cells containing five or fewer counts, and the χ^2 test for the remaining patient variables. We used SAS V.9.2 (SAS Institute Inc.).

To adjust for patient and physician clustering and potential confounders, we used SAS-callable SUDAAN V.10.0.1 (RTI International, Research Triangle Park, North Carolina, USA) to create multivariable logistic regression models for each quality measure as the dependent variable. To avoid collinearity, the models included a parsimonious list of patient and provider-level covariates that were clinically or statistically significant predictors of documentation style on bivariate testing. Although not statistically significantly related to documentation style on bivariate testing, we included the trial intervention group as an independent variable. We modeled continuous variables linearly. We report the adjusted percentage of visits at which the quality measure was fulfilled for each documentation style and the p value from the Wald F type 3 analysis of effects. We used an adaptive step-up Bonferroni procedure to account for multiple comparisons for the 15 quality measures.¹⁴

RESULTS

Documentation style

Based on 188554 notes (mean per physician, 805), 20 (9%) physicians predominantly dictated their notes, 68 (29%) used structured documentation, and 146 (62%) used free text notes. On average, dictators dictated 67% of their notes, used structured documentation for 4%, and used free text for 32%.

Structured documenters dictated <1% of their notes, used structured documentation for 54%, and used free text for 46%. Free text documenters dictated <1% of their notes, used structured documentation for 4%, and used free text for 96%.

Practice, physician, and patient characteristics

During the 9-month study period, in the 10 participating primary care practices, there were 234 primary care physicians who saw 7000 patients with CAD/DM who made 18 569 visits. Practices ranged in size from six physicians to 96 physicians. The proportion of dictators in each practice ranged from 0% in three practices (of 8, 16, and 20 physicians) to 33% in two practices (of 9 and 6 physicians). The proportion of structured documenters in each practice ranged from 11% (1 of 9 physicians) to 67% (4 of 6 physicians). The proportion of free text documenters in each practice ranged from 0% (0 of 6 physicians) to 85% (17 of 20 physicians).

Physicians who predominantly dictated their notes were older, had more visits, and were exclusively attending physicians compared to structured documenters and free text documenters (table 2). Eligible patients had CAD (29%), DM (60%), or both (11%). Patients who saw physicians who predominantly dictated their notes were older; more often men and White; more often had private insurance and less often Medicaid; had fewer visits (than patients who saw predominantly structured documenters); had more documented problems; and had higher median household income by zip code (table 3). Patients who saw physicians who predominantly dictated their notes were also less likely to have had the Smart Form used once or more during the course of their care.

Quality measures

In multivariable modeling, after adjusting for clustering by patient and physician and for patient and physician covariates, quality of care appeared significantly worse for dictators than for physicians using the other two documentation styles on three of 15 measures: antiplatelet medication, tobacco use documentation, and diabetic eye exam (table 4). Quality appeared better for structured documenters than for physicians using the other two documentation styles on three measures: blood pressure documentation, body mass index documentation, and diabetic foot exam. Quality of care appeared better for free text documenters on one measure (influenza vaccination). There was no measure for which dictators had higher quality of care than physicians using the other two documentation styles.

DISCUSSION

We hypothesized, based on our prior work, that physicians with documentation styles that led them to interact with the EHR to a greater degree would have better quality of care.⁹ We found that physicians who predominantly dictated their notes appeared to have generally lower quality of care than physicians who used structured EHR documentation or typed free text notes. Physicians who used structured EHR documentation appeared to have generally higher quality of care than the other two documentation styles.

None of the three methods of documentation, by themselves, would fulfill the quality measures. All three result in text within clinic notes. So, why might structured documentation have been associated with improved quality of care? Physicians who used the EHR more intensively for documentation could have paid more attention to necessary items that were missing from coded fields. In addition, physicians interacting with the EHR had greater potential to see and respond to clinical decision support

Table 2 Physician characteristics

		Documentation style			
Physician characteristic	Total (N=234)	Dictation (N=20)	Structured (N=68)	Free text (N=146)	p Value
Age in years, mean (SD)	38 (11)	52 (8)	38 (10)	36 (10)	<0.001
Patients in panel with CAD, % mean (SD)	4 (4)	4 (3)	4 (5)	4 (4)	0.90
Patients in panel with DM, % mean (SD)	9 (7)	6 (5)	9 (8)	10 (6)	0.08
Visits per week, mean (SD)	16 (17)	37 (15)	17 (18)	12 (15)	< 0.001
Visits per year, mean (SD)	710 (839)	1759 (777)	781 (871)	533 (718)	< 0.001
Female, N (%)	123 (53)	10 (50)	42 (62)	71 (49)	0.19
Level of training, N (%)					< 0.001
Attending physician, N (%)	125 (53)	20 (100)	38 (56)	67 (46)	
Fellow, N (%)	2 (1)	0 (0)	1 (1)	1 (1)	
Resident, N (%)	107 (46)	0 (0)	29 (43)	78 (53)	
Physicians with \geq 1000 visits/year, N (%)	70 (30)	14 (70)	21 (31)	35 (24)	< 0.001
Experience with EHR, N (%)					0.81
Very experienced, N (%)	42 (18)	4 (20)	11 (16)	28 (19)	
Somewhat experienced, N (%)	62 (27)	5 (25)	20 (29)	37 (25)	
Somewhat or very inexperienced, N (%)	37 (16)	1 (5)	10 (15)	26 (18)	
Did not respond to survey, N (%)	92 (39)	10 (50)	27 (40)	55 (38)	
Intervention group, N (%)	131 (56)	10 (50)	40 (59)	81 (55)	0.77

CAD, coronary artery disease; DM, diabetes mellitus; EHR electronic health record.

before, during, or after the patient visit, some of which was relevant to CAD/DM documentation and care. Notes could be dictated without interacting with or even necessarily looking at the EHR. Dictations were uploaded to the EHR as unstructured, free text. In addition, dictation built in a documentation delay with unstructured information reaching the chart potentially days after the patient visit, when an opportunity to take action may have passed. Of course, use of structured EHR documentation may simply be a marker for physicians who were more attentive to quality measure-relevant detail, but our analysis was adjusted for clustering by physician.

Although it might appear obvious that physicians who use a more EHR-intensive documentation style would have better

EHR-documented quality of care, this is not a foregone conclusion. Physicians who dictate could potentially have more time with their patients, time to review quality reports, and time to direct practice staff to enter structured data. Until large scale natural language processing (NLP) can produce structured data from dictated and free text reports, structured data entry will be an essential input to both clinical decision support and increasingly detailed quality measurement. Even dictation with advanced NLP may not be ideal because it would limit physicians' interaction with the EHR and clinical decision support.

Most of the differences we found were for 'documentationdependent' quality measures that required coded information in the right place, for example, for blood pressure, tobacco use, and

Table 3 Patient characteristics

	Total (N = 7000)	Documentation style			
Patient characteristic		Dictation (N=960)	Structured (N=2102)	Free text (N = 3938)	p Value
Age (years), mean (SD)	65 (14)	67 (13)	64 (14)	64 (14)	<0.001
Visits in previous year, mean (SD)	4 (4)	4 (4)	5 (4)	4 (4)	0.01
Visits during study period, mean (SD)	4 (3)	4 (3)	4 (3)	4 (3)	0.38
Visits with primary care physician during study period, mean (SD)	3 (2)	3 (2)	3 (2)	3 (2)	0.16
Hospitalizations in previous year, mean (SD)	0.3 (0.9)	0.3 (0.8)	0.3 (0.8)	0.4 (0.9)	0.06
Problems on problem list, mean (SD)	8 (5)	11 (6)	8 (5)	8 (4)	< 0.001
Active medications, mean (SD)	7 (4)	7 (4)	6 (4)	7 (4)	0.007
Median household income by zip code (\$), mean (SD)	52 148 (30 412)	59 665 (36 519)	53 796 (30 271)	49 436 (28 421)	< 0.001
Female, N (%)	3605 (52)	454 (47)	1045 (50)	2106 (53)	< 0.001
Race/ethnicity, N (%)					
White	4029 (58)	709 (73)	1159 (55)	2161 (55)	< 0.001
Latino	1220 (17)	52 (5)	358 (17)	810 (21)	
Black	1050 (15)	82 (9)	365 (17)	603 (15)	
Other	319 (5)	47 (5)	119 (6)	153 (4)	
Unknown	382 (5)	70 (7)	101 (5)	211 (5)	
Primary insurance, N (%)					< 0.001
Managed care	925 (13)	143 (15)	313 (15)	469 (12)	
Private	1210 (17)	224 (23)	372 (18)	614 (16)	
Medicare	3671 (52)	526 (55)	1044 (50)	2101 (53)	
Medicaid	926 (13)	46 (5)	289 (14)	591 (15)	
Free care/self-pay/other	268 (4)	21 (2)	84 (4)	163 (4)	
Intervention group, N (%)	3573 (51)	440 (46)	822 (39)	2311 (59)	< 0.001
Smart Form used once or more, N (%)	218 (3)	5 (<1)	123 (6)	90 (2)	< 0.001

Table 4Documentation style and quality of care

	Eligible visits N	Documentation style			
Quality measure		Dictation Adjusted % visits	Structured s fulfilled†	Free Text	p Value [*]
Coronary artery disease					
Antiplatelet medication	16 723	51	59	56	0.03
β Blocker	1054	63	69	72	0.68
Coronary artery disease and diabetes					
Cholesterol testing	18 569	92	93	92	0.68
Cholesterol control	18 569	69	70	69	0.68
Blood pressure documentation	18 569	81	98	89	< 0.001
Blood pressure control	18 569	54	59	56	0.09
Tobacco use documentation	18 569	22	38	36	< 0.001
Body mass index	18 569	28	40	35	< 0.001
Influenza vaccination	8783	60	64	68	< 0.001
Diabetes					
ACE-I/ARB	13 572	60	62	62	0.68
HbA1c testing	13 736	98	99	98	0.68
Glucose control	13 736	53	59	57	0.33
Foot exam	13 736	11	14	9	< 0.001
Eye exam	13 736	39	53	54	< 0.001
Microalbuminuria testing	13 736	84	88	88	0.27

*p Value uses an adaptive, step-up Bonferroni procedure for the difference in proportions between the three documentation styles for each quality measure adjusted for clustering by patient; clustering by physician; patient age, gender, race/ethnicity, insurance, median household income by zip code, annual visits per year, number of medications, and number of problems; physician age, gender, and annual number of visits; and intervention group.

+Percentages are adjusted as is the p value. We do not provide Ns because the denominators are different for each quality measure and because the percentages are adjusted and cannot be calculated directly from the raw numbers.

ACE-I, ACE inhibitor; ARB, angiotensin receptor blocker.

body mass index. However, even if documentation style were only associated with better documentation quality, a complete and accurate record is important to demonstrate high-quality care and inform clinical decision support and population management.¹⁵ ¹⁶ Put simply, good documentation drives quality improvement and vice versa. In addition, while there are many ways to measure quality,¹⁷ ¹⁸ because of the limitations in administrative data,¹⁹ the future of large scale quality measurement probably lies with EHR-based quality measurement that will be dependent on structured documentation. Indeed, the national Meaningful Use incentive program will increase EHR use and has quality measurement as one important EHR capability.²⁰

Our findings are consistent with other studies showing that the simple presence of an EHR was not associated with improved quality,^{6–8} but use of certain EHR features, like the problem list, radiology result features, and visit note functionality were associated with improved quality.²¹ There have been many examples in which structured electronic documentation has been associated with increased timeliness, increased completeness, decreased errors, and increased report quality for operative reports, disability exams, discharge summaries, and radiology reports.^{22–27}

Despite structured documentation being associated with better quality of care, it is worth noting that a separate study in our system of self-reported satisfaction with documentation method found that physicians who used structured documentation.²⁸ It may be that physicians who use structured documentation are less satisfied, but understand they get benefits like generating reusable data elements for later documentation and are more likely to enter coded data which facilitates decision support and higher quality of care for their patients. Of course, structured documentation and information system rigidity can go too far: overly structured or singularly focused templates or clinical decision support systems may go largely unused by physicians.¹¹ ^{29–35}

Our analysis has limitations that should be considered. First, we have described an association and can only speculate about causality. Second, our definition of documentation style was presumed to be stable during the study period, was based on a broader range of visits than just CAD/DM visits, and we did not have data about documentation type used for each individual CAD/DM visit. Third, we did not consider other methods of documentation such as the 'scribe' method or voice recognition software. Fourth, our sample size, especially for dictating physicians, was relatively small. Fifth, we assessed a restricted set of 15 quality measures that were specific to CAD/DM; these findings may not generalize to other conditions or measures. Sixth, our quality measures, which in some cases only required intensification of therapy or documentation of a contraindication within 30 days of a visit, rather than actual risk factor control, were forgiving. Seventh, our method of adjusting for clustering and confounding was complex, assumes similar clustering and confounding for each of the quality measures, and does not account for unmeasured confounding. In particular, we included proxies for severity of illness such as patient age and number of problems, medications, and visits. Regardless, it is possible the patients of physicians who dictate their notes were still sicker than the patients of physicians using the other two documentation styles and this confounded the results of our analyses (perhaps because it is more difficult to document high quality care in sicker patients, although this is not necessarily true). We could not adjust for level of training as all of the dictators were attending physicians, but there were proportionally more residents among the free text documenters and we were able to adjust for other physician, panel, and patient characteristics as well as clustering by physician. Finally, this study was conducted at a single academically-affiliated network of primary care practices. However, this limitation is also a strength as all of the physicians provided care in a similar system using a single EHR regardless of their documentation style.

CONCLUSION

In conclusion, we found that physicians who predominantly dictated their notes appeared to have worse quality of care, especially as compared to physicians who used structured EHR documentation. Potential solutions include increasing the usability of structured documentation systems so they are more appealing to physicians, improving NLP and other technologies to pull structured and coded data out of free text or dictated notes, and better use of affiliated staff, such as medical assistants or nurses, to enter critical coded data. Whatever dictation style physicians use, practices need systems to ensure that critical coded information is captured and deficits in quality are addressed.

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Competing interests None.

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