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## Genomic deletions and point mutations induced in *Saccharomyces cerevisiae* by the trinucleotide repeats (GAA•TTC) associated with Friedreich's ataxia

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### Abstract

Expansion of certain trinucleotide repeats causes several types of human diseases, and such tracts are associated with the formation of deletions and other types of genetic rearrangements in *Escherichia coli*, yeast, and mammalian cells. Below, we show that long (230 repeats) tracts of the trinucleotide associated with Friedreich's ataxia (GAA•TTC) stimulate both large (>50 bp) deletions and point mutations in a reporter gene located more than 1 kb from the repetitive tract. Sequence analysis of deletion breakpoints indicates that the deletions reflect non-homologous end joining of double-stranded DNA breaks (DSBs) initiated in the tract. The tract-induced point mutations appear to reflect a different mechanism involving single-strand annealing of DNA molecules generated by DSBs within the tract, followed by filling-in of single-stranded gaps by the error-prone DNA polymerase zeta.

### Keywords

Friedreich's ataxia; GAA•TTC triplet repeats; genome instability; mutations; *Saccharomyces cerevisiae*

## 1. Introduction

In humans, certain genetic diseases are caused by expansions of tri-, tetra-, penta- or dodecanucleotide repeats [1–5]. The types of repeats associated with expansions are usually, although not always [6], capable of forming “hairpins” or other types of non-canonical DNA structures. For example, the triplet repeat associated with Friedreich's ataxia [2], (GAA•TTC), is capable for forming triplex DNA/H-DNA [4].

The effects of long (>100 repeats) trinucleotide tracts on genome stability have been examined in bacteria, yeast, and cultured mammalian cells. These tracts tend to have high levels of alterations, with deletions exceeding expansions in most yeast and bacteria studies

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Conflict of interest

None.

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[7]. These alterations are likely to reflect the processing of non-canonical DNA structures by various cellular repair proteins [8] or errors made during replicative DNA synthesis of these unconventional repetitive sequences [6].

In *E. coli*, plasmid-borne tracts of  $(\text{GAA}\cdot\text{TTC})_n$  exhibit length- and orientation-dependent instability [9]. The longer (>100 repeat) tracts tend to contract, particularly when oriented such that the GAA sequences are on the lagging strand template during replication; henceforth, we will refer to this orientation as the GAA orientation and the reverse orientation as the TTC orientation. Similar orientation- and length-dependence effects are observed in yeast [10]. In yeast, replication forks stall at the  $(\text{GAA}\cdot\text{TTC})_n$  tracts in the GAA, but not the TTC, orientation. This result argues that one cause of tract instability is likely to be related to stalled or broken DNA replication forks. In the experiments described below, all yeast strains had tracts in the GAA orientation based on our previous analysis of tract-associated replication fork blockage [11].

$(\text{GAA}\cdot\text{TTC})_n$  tracts also stimulate recombination and chromosome rearrangements. Plasmid-borne tracts in *E. coli* elevate the frequency of both intra- and interchromosomal recombination [12]. Kim *et al.* [13] showed that  $(\text{GAA}\cdot\text{TTC})_n$  tracts increased the frequency of deletions, translocations, and ectopic recombination in the GAA orientation with a smaller effect in the TTC orientation. In strains with a  $(\text{GAA}\cdot\text{TTC})_{230}$  tract in the GAA orientation, a double-stranded DNA break (DSB) occurs within the tract; this break is not observed for tracts in the TTC orientation. The  $(\text{GAA}\cdot\text{TTC})_{230}$  tracts in both orientations act as strong mitotic recombination hotspots in diploid yeast cells [11]. In addition, in these strains, a tract-associated DSB was observed with  $(\text{GAA}\cdot\text{TTC})_{230}$  tracts in both orientations. Based on these results, it is likely that there are two types of tract-associated DSBs: those that occur in exponentially-growing cells (orientation-dependent) and those that occur in stationary phase cells (orientation-independent).

In mammalian cells, DNA sequences capable of forming triplex DNA, including  $(\text{GAA}\cdot\text{TTC})_n$  tracts, can induce mutations of flanking sequences. Wang and Vasquez [14] showed that a triplex-forming sequence derived from the *c-myc* promoter stimulated mutations in an immediately-adjacent reporter gene by about 20-fold; over 98% of these mutations were deletions or complex rearrangements. Wojciechowska *et al.* [15] found that  $(\text{GAA}\cdot\text{TTC})_{200}$  tracts stimulated deletions and rearrangements of an adjacent reporter gene 3- to 10-fold. No significant tract-associated increases in point mutations were observed in either of these mammalian cell studies. In contrast, Bidichandani *et al.* [16] reported a three-fold elevation of point mutations induced in the *X25* gene by a  $(\text{GAA}\cdot\text{TTC})$  tract located within the intron in serially-passaged lymphoblasts. In addition, point mutations could be induced about 10-fold in mammalian cells by transformation with triplex-forming oligonucleotides [17]. In yeast, Shishkin *et al.* [18] showed that  $(\text{GAA}\cdot\text{TTC})$  tracts located within an intron of the *URA3* stimulated point mutations and small deletions in the coding sequences flanking the intron; subsequent studies showed that these mutations were generated independently of the error-prone DNA polymerase zeta (K. Shah and S. Mirkin, personal communication). Large deletions that included the tracts as well as the flanking *URA3* coding sequences were also observed. In addition, expansions of the  $(\text{GAA}\cdot\text{TTC})$  tracts were observed. These expansions were much more frequent with long (> 125 repeats) than short tracts (< 100 repeats) and were not affected significantly by the orientation of the tract.

In both *E. coli* [19] and *S. cerevisiae* [20–23], DSBs can elevate the frequency of mutations in sequences located near the break. These studies utilize site-specific endonucleases, HO or I-SceI, to efficiently generate DSBs. Strathern *et al.* [20] showed that an HO-induced DSB stimulated reversion of a point mutation in a tightly-linked reporter gene; this effect was

largely dependent on Rev3p [24], encoding the catalytic subunit of DNA polymerase zeta. In a system that allowed detection of both point mutations and deletions, most of the mutations induced by HO were point mutations or frameshifts with large deletions representing a minor class [25]. Yang *et al.* [21] showed that long single-stranded regions adjacent to the site of an I-SceI-generated DSB were hypermutable by ultraviolet light and methyl methanesulfonate, and this hypermutability was dependent on DNA polymerase zeta. In contrast to the studies of Holbeck and Strathern [24] and Yang *et al.* [21], Hicks *et al.* [22] found that mutations introduced during *MAT $\alpha$ -HMR* gene conversion events were independent of DNA polymerase zeta. Mutations introduced during break-induced replication in yeast were also only modestly (two-fold) reduced in cells lacking DNA polymerase zeta [23].

In the studies described in the paragraph above, site-specific endonucleases were used to generate the mutagenic DSB. In our study, we examine the mutagenic effects of sequences that are prone to DSB formation in the absence of a site-specific endonuclease. Below, we investigate mutations induced by a (GAA•TTC)<sub>230</sub> tract located about 1 kb from the reporter gene. We show that long (230 repeats), but not short (20 repeats) significantly elevate the frequency of both large deletions and point mutations within the reporter gene. The large deletions usually delete part or all of the (GAA•TTC) tract in addition to part or all of the *URA3* gene, and sequence analysis demonstrates that these deletions are a consequence of non-homologous end-joining events. The tract-induced point mutations require Rev3p and are usually associated with reductions in the length of the (GAA•TTC)<sub>230</sub> tract. We suggest that deletions and point mutations reflect two different outcomes of the cellular repair of tract-induced DSBs. In a related study in yeast, elevated levels of mutations were observed in a reporter gene located about 8 kb from DSB-prone sites generated by either a quasi-palindrome or a (GAA•TTC)<sub>230</sub> tract (N. Saini, Y. Zhang, Y. Nishida, and K. Lobachev, personal communication). These induced mutations were also dependent on DNA polymerase zeta.

## 2. Materials and methods

### 2.1 Yeast strains

We used four haploid strains with two different tract sizes (20 and 230 repeats) of GAA•TTC tracts in two different orientations (termed “GAA” and “TTC”): WXT10[(TTC)<sub>20</sub>], WXT11 [(TTC)<sub>230</sub>], WXT12 [(GAA)<sub>20</sub>], and WXT13 [(GAA)<sub>230</sub>] [11]. WXT10-13 had an insertion of the wild-type *URA3* gene located 1.2 kb centromere-proximal to the tract (Table S1; Fig. 1, Fig. S1). These strains are derived from the haploid strain PSL5 [26] that is derived from the YJM789 genetic background [27]. The DNA sequences flanking the tracts are shown in Fig. S2. The details of the constructions and genotypes of these strains are given in Supplementary Material (Text S1, Table S1, and Table S2). Standard yeast procedures were used for transformations [28]. Media were prepared as described previously [26,29].

### 2.2 Measurements of mutation rates and analysis of *ura3* mutations

We measured the rate of *ura3* mutations in the WXT strains by measuring the frequency of 5-fluoroorotic acid (5-FOA) resistant derivatives in multiple independent cultures (>20). The methods used to determine the rates of *ura3* mutations in various strains were similar to those described previously [30] except for the drug used for selection. A detailed description of rate measurements is given in Supplementary Material (Text S1).

Independent 5-FOA<sup>R</sup> resistant strains were analyzed by PCR to determine the ratio of *ura3* point mutations to deletions. In two separate PCR reactions, we examined the sizes of the GAA•TTC tracts and the sizes of the *URA3* gene. In about 150 strains with a point mutation

of *URA3*, we sequenced the PCR fragment to locate the point mutation. Strains that lacked a GAA•TTC tract and/or a *URA3* gene were further examined by other PCR reactions in order to determine the deletion breakpoint. Details of this analysis, including the sequence of the diagnostic primers, are described in Supplementary Material. Sequences of deletions are in Table S3, and sequences of point mutations are in Tables S4 and S5.

### 2.3 Statistical analysis

Analysis of the statistical significance of the numbers of events of different classes was done using the Fisher exact test on the VassarStats Website (<http://faculty.vassar.edu/lowry/VassarStats.html>). Calculation of mutation rates and 95% confidence limits on these rates were done as described previously [31].

## 3. Results

### 3.1 Experimental system

In our previous study in this genetic background [11], we showed that in one orientation (defined as the GAA orientation) the (GAA•TTC)<sub>230</sub> tract resulted in a replication fork block; this block was not observed for the (GAA•TTC)<sub>230</sub> tract in the opposite orientation or for the (GAA•TTC)<sub>20</sub> tract in either orientation. We also showed that the (GAA•TTC)<sub>230</sub> tracts stimulated reciprocal mitotic crossovers in an orientation-independent manner, and that tract-associated double-stranded DNA breaks (DSBs) occurred in about 1% of stationary phase cells with long tracts.

Our experiments involved four closely-related haploid strains (WXT10-13) that had either long (230 repeats) or short (20 repeats) (GAA•TTC) tracts in both orientations placed about 1 kb from a wild-type *URA3* gene on chromosome V (Fig. 1). Strains with a wild-type *URA3* gene are sensitive to 5-fluoro-orotate (5-FOA) [32]. Thus, we could determine whether the (GAA•TTC) tracts stimulated nearby mutations by measuring the frequencies of 5-FOA-resistant colonies in multiple independent cultures and converting those frequencies into rates by the method of the median [30,33]. Details of the constructions of these strains are in Supplementary Material (Text S1, Tables S1 and S2) and Lee *et al.* [26].

Before detailed measurements of mutation rates were done, we replica-plated “patches” of WXT10-13 grown in rich medium (allowing the growth of both Ura<sup>+</sup> and Ura<sup>-</sup> cells) to 5-FOA-containing medium. It was apparent that the long (GAA•TTC) tracts in both orientations strongly elevated *ura3* mutations (Fig. 2). The rates of *ura3* mutations are shown in Table 1. Relative to an isogenic strain without any tract (MD415), the short tracts (strains WXT10 and WXT12) were not mutagenic. In contrast, 230-repeat (GAA•TTC) tracts stimulated mutation rates more than 30-fold in both orientations (strains WXT11 and WXT13). Although there is no strong orientation-dependence of the mutagenic effects of the 230-repeat tracts, the strain with the replication-fork-blocking GAA orientation (WXT13) had an approximately two-fold stronger mutagenic effect than the strain with the non-blocking TTC orientation (WXT11, Table 1).

### 3.2 Analysis of the mutational spectra in WXT10-WXT13

We characterized the types of *ura3* mutations in strains with short and long tracts. The initial characterization involved two PCR reactions. One primer pair (Double-CHK-R and Big-CHK-F; sequences in Table S2) flanked the tract and was used to monitor deletions or expansions of repeats. The second pair (Del-R and Del-F; Table S2) closely flanked the *URA3* insertion. Using these primer pairs, we examined 84, 154, 84, and 176 5-FOA<sup>R</sup> colonies from strains WXT10 [(TTC)<sub>20</sub>], WXT11 [(TTC)<sub>230</sub>], WXT12 [(GAA)<sub>20</sub>], and

WXT13 [(GAA)<sub>230</sub>] respectively. An example of the analysis for 5-FOA<sup>R</sup> colonies derived from WXT13 is shown in Fig. 3.

We observed that most of the 5-FOA<sup>R</sup> derivatives in the strains with 230 repeats (138 of 154 for WXT11 and 158 of 176 for WXT13) retained the repetitive tract, but the sizes of the repetitive tracts were usually smaller than the original tract size. As shown in Fig. 4, less than 20% of the tracts in the 5-FOA<sup>R</sup> strains with 230 repeats in either orientation retained a tract of the original size. In contrast, when we examined Ura<sup>+</sup> derivatives of WXT11 or WXT13, more than 90% tracts were of the original size, and none of the tracts lacked the PCR fragment generated by primers flanking the tract. One interpretation of this result is that the mechanism that produces a mutation in *ura3* in the strain with the long tracts is a consequence of a tract-associated DNA lesion. In our study, as in previous studies of the behavior of long trinucleotide tracts in yeast [7], tract expansion events are rare relative to deletions (Fig. 4).

About 10% of the 5-FOA<sup>R</sup> derivatives lacked a PCR fragment indicative of the trinucleotide tract, indicating deletion one or both of the primer sites flanking the tract (derivative 2, Fig. 3A); these same strains also usually lacked the PCR fragment characteristic of the *URA3* gene (derivative 2, Fig. 3B). We interpret this result as indicating that these strains had a deletion that extended from the tract to the *URA3* gene, a conclusion supported by subsequent DNA sequence analysis described below. No deletions of tracts or of the *URA3* genes were observed in strains with the 20-repeat tracts.

A summary of the frequency of point mutations and large deletions (classification based on PCR analysis) is shown in Table 2. In this analysis, a large deletion is defined as a deletion that removes primer-binding sites for both the tract and the *URA3* gene. As discussed below, by subsequent DNA sequence analysis, we found a small number of small (< 20 bp) deletions within *URA3*; these are included as point mutations in Table 2. About 10% of the *ura3* mutations obtained in the (TTC)<sub>230</sub> strain WXT11 were large deletions versus 0% in the (TTC)<sub>20</sub>; by Fisher exact test, the numbers of events in these two categories were significantly different (p<0.002). Similarly, the number of large deletions in the (GAA)<sub>230</sub> 5-FOA<sup>R</sup> strain WXT13 was significantly in excess of that observed in the (GAA)<sub>20</sub> strain WXT12 (p<0.003).

The extent of the deletions was determined by PCR analysis using additional primers in the region containing the tract and the *URA3* gene (details in Supplementary Material). The expected extent of the deletions is limited by the essential centromere-proximal *TIM9* gene located <300 bp downstream of *URA3* and the centromere-distal *SNU13* gene located about 14 kb upstream of *URA3*. We sequenced 34 deletions, one example of which is shown in Fig. 5. In this example and in all of the other sequenced deletions (data in Table S3), there are no short repetitive DNA sequences at the deletion breakpoints. Thus, the deletions reflect non-homologous end-joining (NHEJ) events. The summary of the mapping of deletions in WXT11 and WXT13 is shown in Fig. 6. All deletions removed part or all of the tract, and all or part of *URA3*.

As shown in Table 1, the frequencies of *ura3* point mutations were substantially elevated by long, but not short, (GAA•TTC) tracts. We sequenced the mutant genes in 91 Ura<sup>-</sup> derivatives of strains with long tracts (WXT11 and WXT13) and 57 Ura<sup>-</sup> derivatives of strains with short tracts (WXT10 and WXT12). These data are shown in Tables S4 and S5. For both types of strains, almost all of the mutations (>97%) were single-base substitutions or single base in/dels. It was previously shown that most (>90%) of the 5-FOA<sup>R</sup> mutants derived from a wild-type strain have single base substitutions or single base in/dels [34]. One difference between the mutations observed in the long- and short-tract strains is that the



long-tract strains had more double mutations. In the long-tract strains, 21 5-FOA<sup>R</sup> mutants had double mutations and 70 had one, whereas in the short-tract strains, only two strains had double mutations and 55 had single mutations; this difference is statistically significant by the two-tailed Fisher exact test ( $p=0.002$ ).

### 3.3 Long (GAA)<sub>230</sub> and (TTC)<sub>230</sub> tracts do not elevate mutation rates throughout the genome

It is conceivable that the long (GAA)<sub>230</sub> and (TTC)<sub>230</sub> tracts could generate a DNA lesion that would activate error-prone DNA polymerases and stimulate mutations throughout the genome. To examine this possibility, we constructed isogenic *CANI* derivatives of WXT10, WXT11, WXT12, and WXT13, respectively MD573, MD574, MD577, and MD575. The mutation rates from canavanine-sensitivity to canavanine-resistance were calculated for each strain by fluctuation analysis. The rates of mutation for all strains were not significantly different (95% confidence intervals shown in parentheses): MD573 (TTC)<sub>20</sub>,  $4.7 \times 10^{-7}$  ( $3.7\text{--}6.0 \times 10^{-7}$ ); MD574 (TTC)<sub>230</sub>,  $3.8 \times 10^{-7}$  ( $3.3\text{--}4.4 \times 10^{-7}$ ); MD577 (GAA)<sub>20</sub>,  $2.4 \times 10^{-8}$  ( $2.0\text{--}3.0 \times 10^{-7}$ ); MD575 (GAA)<sub>230</sub>,  $3.9 \times 10^{-7}$  ( $3.2\text{--}4.3 \times 10^{-7}$ ). These results demonstrate that the long trinucleotide tracts do not elevate mutation rates throughout the genome and it is likely that they only act to stimulate mutation rates of genes located nearby.

### 3.4 Point mutations induced by the (GAA)<sub>230</sub> and (TTC)<sub>230</sub> tracts are dependent on Rev3p

*REV3* encodes the catalytic subunit of the error-prone DNA polymerase zeta and, as discussed in the Introduction, the Rev3p is involved in translesion bypass and DSB repair. Since the mutations in a reporter gene induced by cleavage of a closely-linked HO recognition sequence are Rev3p-dependent [24,25], we examined the effect of the *rev3* mutation on tract-stimulated mutations in *URA3*. The *rev3* strains WXT81 and WXT83 are derived from WXT11 and WXT13, respectively. As shown in Table 1, most of the tract-induced *ura3* mutations are Rev3p-dependent. In addition, for both WXT81 and WXT83, about 28% of the *ura3* mutations were deletions compared to the isogenic strains with the wild-type *REV3* gene (Table 2); this difference was statistically significant ( $p=0.001$ , Fisher exact test). This result suggests that point mutations have a stronger dependence on Rev3p than deletions, although loss of Rev3p reduces both types of mutations.

## 4. Discussion

Our analysis reveals a number of important features of tract-stimulated mutagenesis in yeast. First, (GAA•TTC)<sub>230</sub> tracts in both the GAA and TTC orientations substantially (>10-fold) elevate the frequency of large deletion mutations and point mutations in a reporter gene located about 1 kb from the tract; (GAA•TTC)<sub>20</sub> tracts do not elevate the rate of mutations. Second, both the point mutations and the large deletions are likely to be initiated by a tract-associated DNA lesion since the large deletions always include part or all of the tract and since >80% of the point mutations were associated with a tract of reduced size. Third, sequence analysis of the large deletions indicates that these deletions were formed by non-homologous end-joining. Fourth, there was a higher frequency of double mutations in *ura3* mutants associated with the long tracts than the short tracts. Fifth, the tract-associated mutations require the activity of Rev3p, part of the error-prone DNA polymerase zeta.

Our model to explain these observations is in Fig. 7. We suggest that the tract-associated mutations are initiated by a DSB formed within the tract in unreplicated DNA. Previously, we showed that stalled replication forks were observed in strains with tracts in one orientation (defined as GAA), but not the other (defined as TTC) [11]; this pattern indicated that the tract was replicated by replication forks emanating from ARS510, located centromere-proximal to the tract. Since we see no strong orientation-dependence of the tract

on *URA3* mutagenesis and since we find a tract-associated DSB in stationary phase cells [11], the event that initiates mutagenesis is likely to occur in unreplicated DNA rather than a DSB at a stalled replication fork.

Several other points concerning the initiating DNA lesion are relevant. First, the observation that all large *URA3* deletions include all or part of the tract is a strong argument that the initiating DNA lesion occurs within the tract. Second, we do not know the proteins that are responsible for creating the orientation-independent G<sub>0</sub>/G<sub>1</sub> tract-associated DNA lesions. The replication- and orientation-dependent DSBs observed at the long (GAA•TTC) tract in a strain in a different genetic background requires most of the proteins of the DNA mismatch repair system [13]. One possibility is that formation of H-DNA by the long (GAA•TTC) tract in non-dividing cells, triggered by negative supercoiling [35], exposes a large single-stranded region of DNA that is acted on by cellular endonucleases. Another possibly relevant observation is that Lin *et al.* [36] showed that R loops formed during the transcription of CTG•CAG tracts enhanced the instability of the tracts. Although we have not directly examined the transcription of the GAA•TTC tracts in our study, there is no promoter located upstream of the tracts.

Following tract-associated DSB formation, we suggest that broken chromosome ends are processed by 5' to 3' resection, as observed for HO-induced broken ends [37]. This process involves the Mre11p-Rad50p-Xrs2p complex and Sae2p at an early step, and Exo1p and/or Sgs1p-Top3p-Rmi1p and Dna2p at a later step. Since resection is inefficient in haploid cells in G<sub>0</sub>/G<sub>1</sub> cells [38,39], it is possible that this resection occurs as the cells exit G1 and enter S.

We suggest that processed broken chromosome has two alternative fates, leading to the two different types of mutations. On the left side of Fig. 7, we show replication of the broken DNA molecule to yield two broken chromatids. Fusion of the resulting DNA molecules by non-homologous end-joining would lead to daughter cells with deletions.

In one cell, the deletion would include *URA3* and, therefore, be selectable in medium containing 5-FOA. The other daughter cell would contain an unselectable deletion. In this model, the asymmetry of the deletions relative to the tracts is a consequence of the 5-FOA selection process rather than asymmetric processing of the DSB. In considering this model, it is important to point out that yeast cells have inefficient repair of DSBs by homologous recombination in G1 as a consequence of a number of connected factors: inefficient processing of broken ends as discussed above, weak activation of checkpoint proteins [40], and poor recruitment of Rad52p to broken ends [41]. In addition, there is strong genetic evidence that yeast chromosomes broken in G1 by treatment with gamma rays can be replicated to yield two broken chromatids [31]. Another explanation for the deletions is that they reflect nucleolytic processing of both DNA strands to yield a double-stranded DNA gap. Non-homologous end-joining could then re-join the broken ends to yield the deletion. Zierhut and Diffley [42] have recently obtained evidence for double-stranded DNA processing of HO-produced broken ends.

The alternative fate of the processed DSB, which results in point mutations in the *URA3* reporter gene, is shown on the right side of Fig. 7. Before replication of the broken chromosome, the processed ends undergo single-strand annealing within the repetitive sequences. This mechanism is consistent with the observation that most of the strains with *ura3* point mutations have a GAA•TTC tract that is shorter than the original size. The resulting gaps could be filled in by the error-prone DNA polymerase zeta, thus resulting in point mutations within *URA3*. This model is similar to those proposed by Yang *et al.* [21]

and by others (N. Saini, Y. Zhang, Y. Nishida, and K. Lobachev, personal communication) to explain the hypermutability of single-stranded DNA formed at DSBs.

There are two alternative explanations of the Rev3p dependence of the DSB-associated mutations. First, it is possible that the single-stranded DNA resulting from processing of tract-associated DSB has a high frequency of damaged bases, and DNA polymerase zeta introduces mutations during the replication of this damaged template [21]; Yang *et al.* [21] showed that exogenous DNA damage in single-stranded DNA was repaired by error-prone synthesis mediated by Rev3p. Alternatively, the single-stranded gaps could be filled-in by error-prone DNA synthesis even in the absence of base damage. Consistent with this possibility, Hirano and Sugimoto [43] showed that DNA polymerase zeta is recruited to broken ends by a Mec1p-dependent mechanism. Finally, it should be noted that the observed frequency of mutations associated with the long (GAA•TTC) tracts (about  $2 \times 10^{-6}$ /division) is much less than the frequency of DSBs associated with the long tracts (about  $10^{-2}$ /division [11]). The simplest interpretation of this discrepancy is that most of the DSBs are repaired by reannealing of minimally-processed broken ends. If the single-stranded gap resulting from processing of broken ends (depicted in Fig. 7) does not extend into the flanking *URA3* gene, *ura3* mutations would not be stimulated.

DSBs in yeast can also be repaired by mutagenic processes that are independent of DNA polymerase zeta [22,23]. For example, mutations induced in a *URA3* gene by a shorter (GAA•TTC)<sub>100</sub> tract located within its artificial intron are independent of Rev3p (K. Shah and S. Mirkin, personal communication). It is currently unclear whether the variation in the requirement for Rev3p in the DSB-associated mutagenesis reflects sequence context, variation in the timing of the DSB relative to the cell cycle, the nature of the DSB (blunt-ended, or with single-stranded ends), or some other factor.

## 5. Summary

In conclusion, we demonstrated that (GAA•TTC)<sub>230</sub> tracts induce both deletions and point mutations in a reporter gene located about 1 kb from the tract. These mutations require the error-prone DNA polymerase zeta. The mutagenic effects of the tract do not appear to be related to DSBs forming at a stalled replication fork, since the effects are largely independent of the orientation of the tract. Our data and the data of others argue that DSB-prone sequences may influence the rate of evolution of closely-linked genes.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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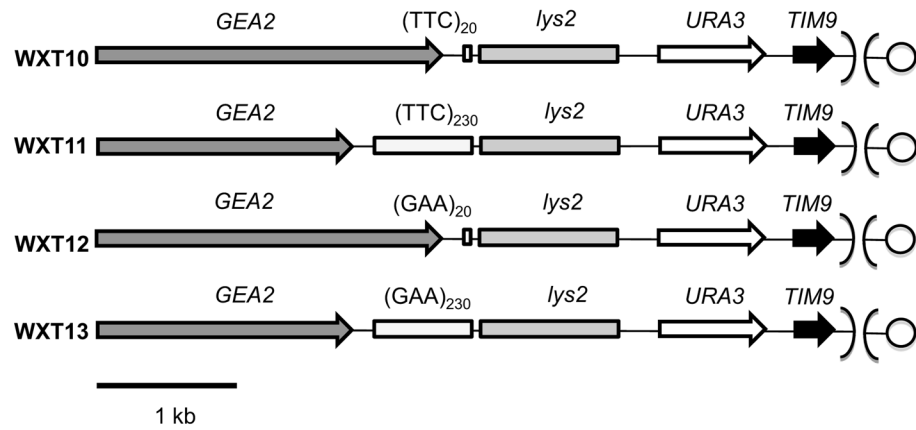
### Highlights

Trinucleotide repeats (GAA•TTC) stimulate mutations in adjacent sequences. Both point mutations and deletions are induced. An error-prone DNA polymerase is required for the generation of point mutations. This mechanism will be a factor in genome evolution.

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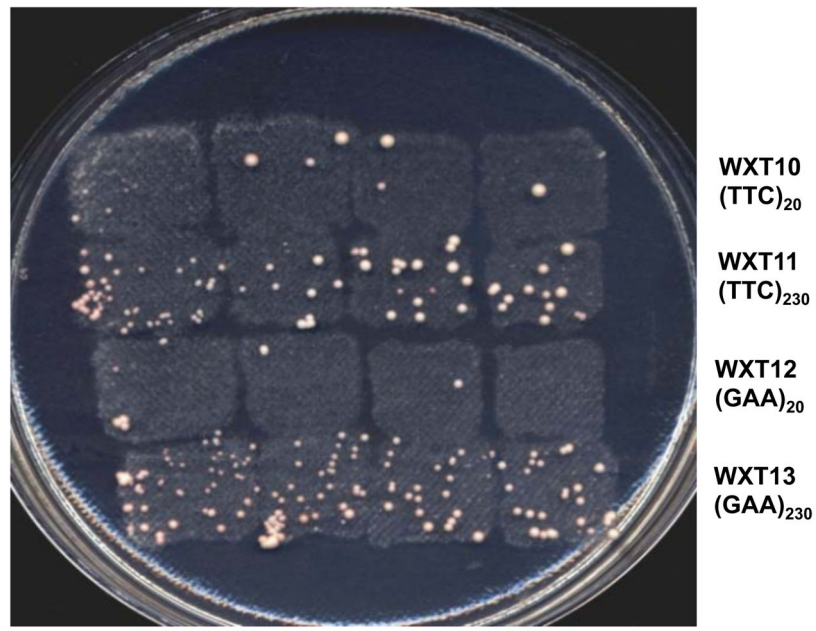
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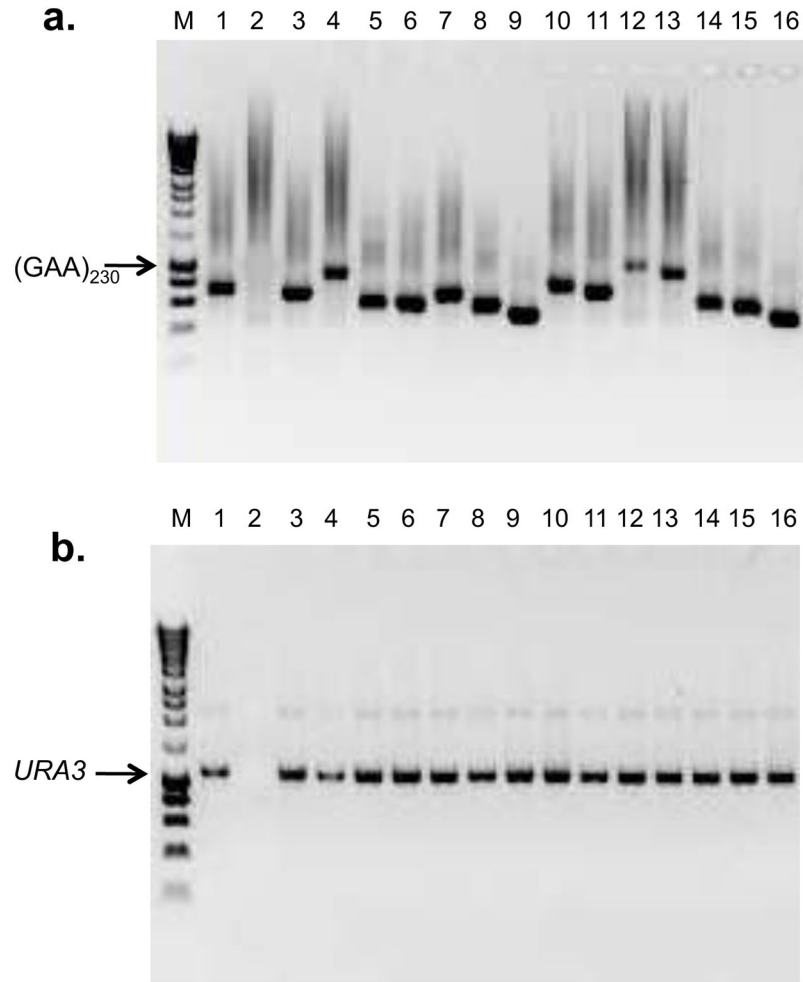
**Figure 1.**

Depictions of genes flanking the GAA•TTC tracts on chromosome V in strains used in the study (not drawn to scale). In all strains, the tracts (shown by white rectangles) are located about 1.2 kb from the *URA3* gene that is used as a reporter of mutagenesis. The *GEA2* and *TIM9* genes flank the tract and the *URA3* gene; the centromere is shown as a circle. A 1 kb spacer fragment containing a 3' fragment of the *LYS2* gene is inserted between the tracts and the *URA3* gene. The nearest essential genes flanking the tract are *TIM9* and *SNU13* (located about 14 kb centromere-distal to the tract).



**Figure 2.** Induction of *ura3* mutations, leading to 5-FOA<sup>R</sup> strains, by (GAA•TTC)<sub>230</sub> tracts. Single colonies derived from the strains WXT10 (TTC)<sub>20</sub>, WXT11 (TTC)<sub>230</sub>, WXT12 (GAA)<sub>20</sub>, and WXT13 (GAA)<sub>230</sub> were used to make patches of cells on rich growth medium. These patches were replica-plated to medium containing 5-fluoro-orotate. This medium allows the growth of *ura3* mutant strains, but not wild-type strains. The elevated number of 5-FOA<sup>R</sup> colonies in strains with the long tracts (WXT11 and WXT13) relative to those with the short tracts (WXT10 and WXT12) is evident.



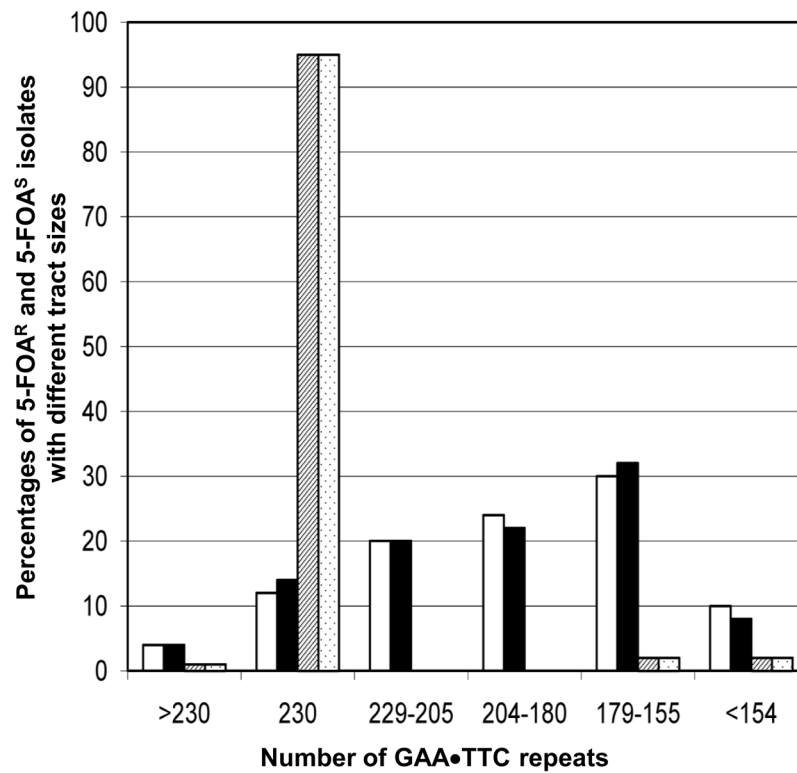


**Figure 3.**

Gel analysis of alterations in tract length and alterations in the size of the *URA3* gene in *ura3* mutants with a  $(GAA)_{230}$  tract. Independent 5-FOA<sup>R</sup> mutants were isolated from the haploid strain WXT13. Using primers that closely flank the tract (Doub-CHK-R and Big-CHK-F) or closely flank the *URA3* gene (URA-F and URA3-R), we performed PCR and then looked for size changes of the tract (Fig. 3a) or the *URA3* gene (Fig. 3b) by gel electrophoresis in 16 independent 5-FOA<sup>R</sup> derivatives.

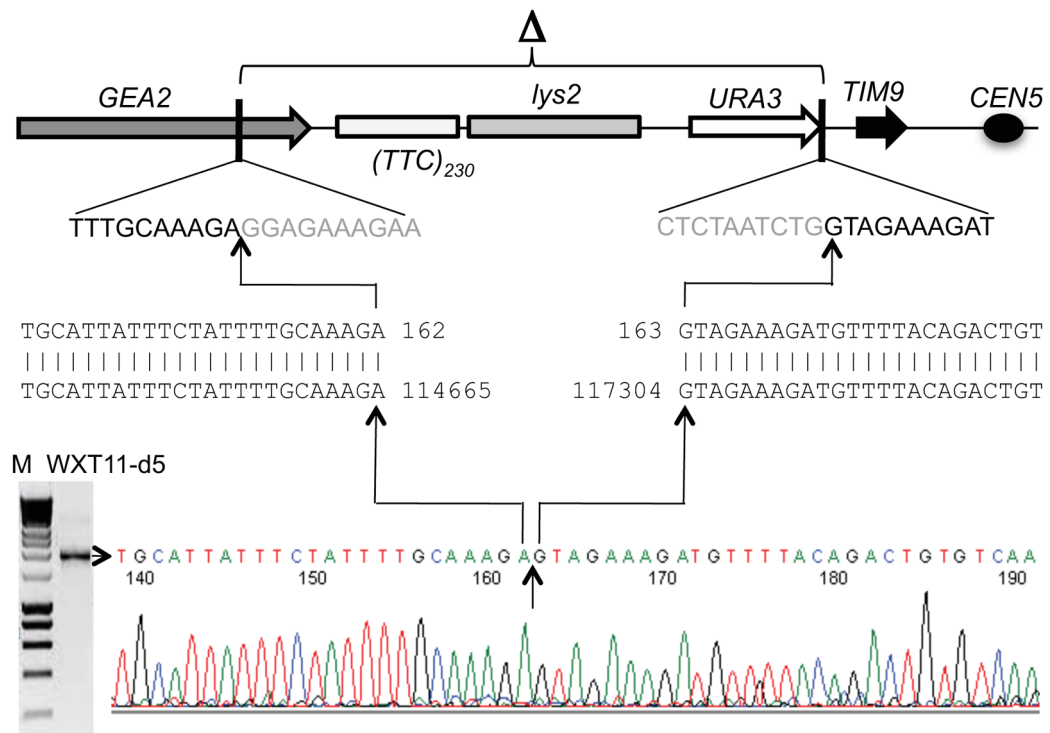
a. Tract size. Most of the tracts in the 5-FOA<sup>R</sup> derivatives are shorter than the tract in the parental WXT13 strain (position indicated by the arrow). Derivative 2 lacks a PCR fragment, indicating deletion of one or both primer sites.

b. *URA3* size. Most strains have a band that is approximately the size of the original wild-type *URA3* gene, with the exception of derivative 2. The lack of a PCR fragment in derivative 2 by this analysis and that shown in Fig. 3a suggests that this strain has a deletion that includes both tract and *URA3* sequences, a conclusion supported by mapping and sequencing the deletion breakpoints.



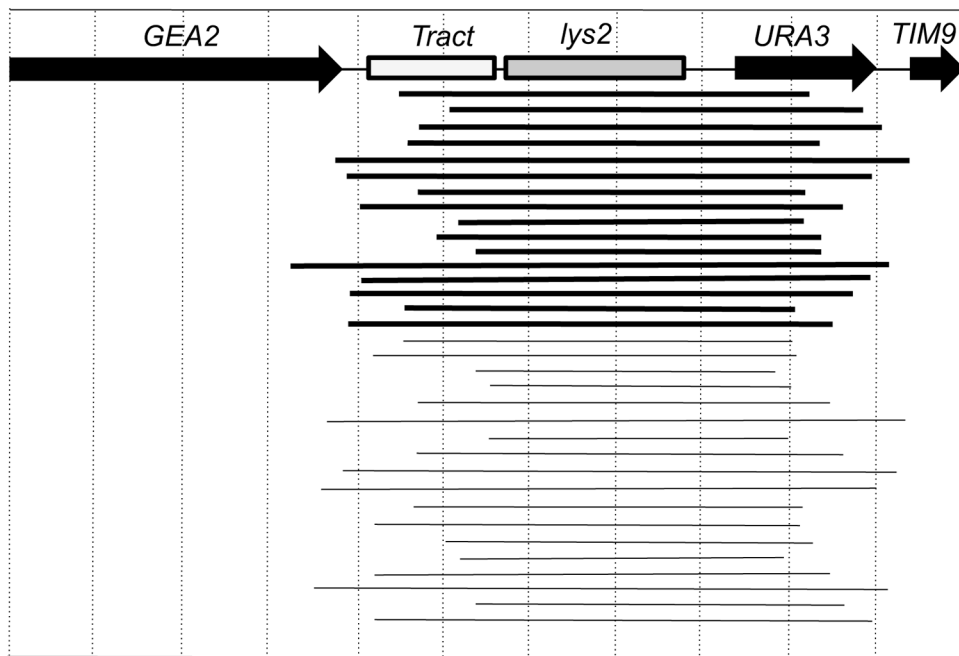
**Figure 4.**

Tract alterations observed in independent isolates of the *URA3* parental strains WXT11 ([GAA•TTC]<sub>230</sub> tract in TTC orientation) and WXT13 ([GAA•TTC]<sub>230</sub> tract in GAA orientation) compared to tract alterations in 5-FOA<sup>R</sup> mutants derived from WXT11 and WXT13. The white and black rectangles show tract sizes in the 5-FOA<sup>R</sup> isolates of WXT11 and WXT13, respectively. The striped and stippled rectangles indicate tract sizes in individual *URA3* (5-FOA<sup>S</sup>) isolates of WXT11 and WXT13, respectively. The numbers of isolates examined for each strain were: WXT11 *ura3* strains (50), WXT13 *ura3* (50), WXT11 *URA3* (100), and WXT13 *URA3* (100).



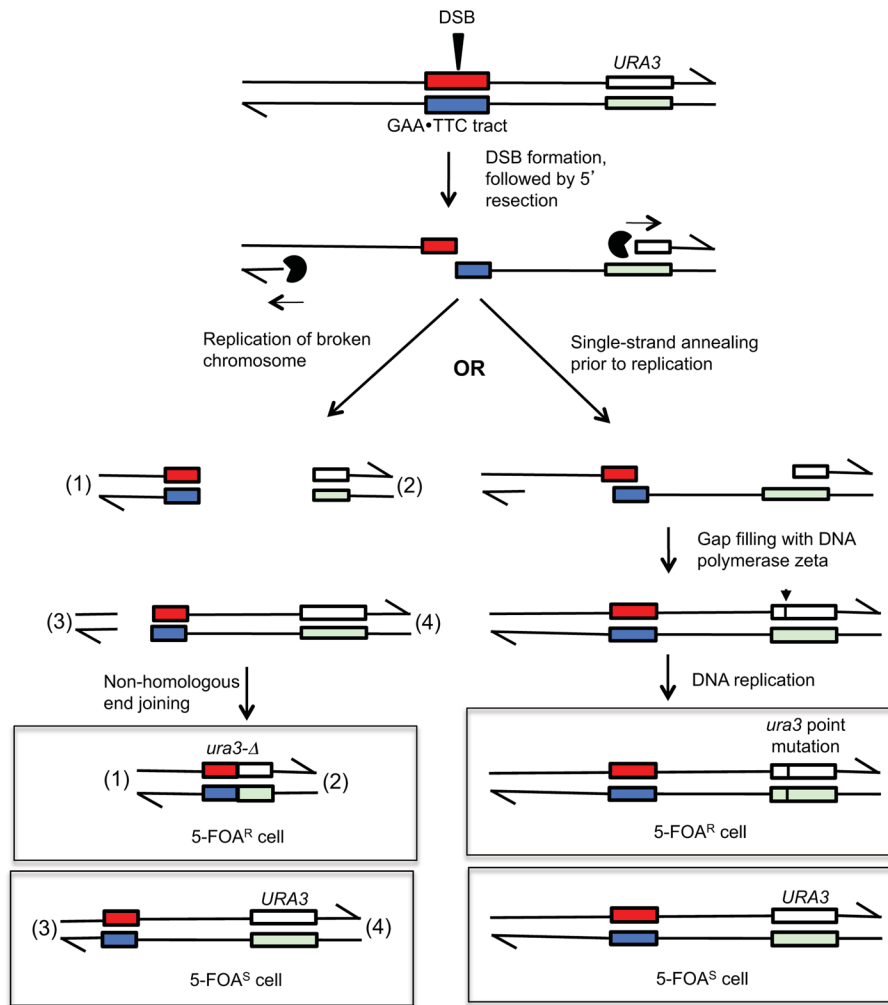
**Figure 5.**

Sequence analysis of an *ura3* deletion derived from WXT11 (WXT11-d5). PCR analysis indicated that this 5-FOA<sup>R</sup> isolate lacked at least one primer site flanking the tract and one primer site flanking the *URA3* gene. PCR analysis using one primer located about 1.5 kb upstream of the tract (Del-R) and a second located about 1 kb downstream of *URA3* indicated that this strain had a 2.7 kb deletion. Sequence analysis of the PCR product (shown at the bottom part of the figure) demonstrated that the deletion was between SGD coordinates 114665 and 117304. The sequences displayed with clustered vertical lines are the SGD sequence of chromosome V (on the bottom) and the sequence of the PCR fragment shown on the top. The sequences shown in gray below the line drawing of chromosome V are the deleted sequences at the breakpoints. The comparison of these sequences indicates that the deletion reflects a non-homologous end-joining event.



**Figure 6.**

Mapping of deletions induced in strains with  $(GAA\bullet TTC)_{230}$  tracts. By sequence analysis, we mapped deletions in strains WXT11 and WXT13. In this figure, the distance between the vertical dotted lines represents 500 bp. Each horizontal line represents an independent deletion. Thick lines show deletions derived from WXT11 (TTC orientation) and thin lines show deletions derived from WXT13 (GAA orientation).



**Figure 7.**

Alternative fates of a GAA•TTC tract-associated DSB results in deletions (left side of the figure) or point mutations (right side of the figure) of the *URA3* reporter gene. We suggest that the initiating event in mutagenesis is a DSB in G1- or G0-phase cells. The broken ends are processed 5' to 3' with the processing complex indicated by a black circle. In this figure, processing extends into the *URA3* reporter gene.

One possible fate of the broken ends is to be replicated (left side of figure) resulting in two broken chromatids. If the broken ends are rejoined by NHEJ and segregated into daughter cells, one daughter would have an *ura3* gene whereas the other daughter would have a deletion of sequences that are centromere-distal to the tract. Only the strain with the *ura3* deletion would be 5-FOA<sup>R</sup>.

In the alternative pathway, the broken ends, following processing, reanneal within the GAA•TTC tract. We suggest that the resulting single-stranded gap is filled in by the error-prone DNA polymerase zeta. This error-prone synthesis could result in a mutation inserted within *URA3* in one strand of the duplex (shown by the arrow and horizontal line).

Replicative synthesis would result in one daughter cell with an *ura3* point mutation and daughter with the wild-type *URA3* gene.



**Table 1**Rates of the GAA•TTC tract-associated *ura3* mutations

Relevant genotype (strain name) <sup>1</sup>	Tract orientation, size, and URA3 location <sup>2</sup>	Rate of 5-FOA <sup>R</sup> (95% confidence limits) [normalized rate] <sup>3</sup>
Wild-type (MD416)	No tract	$3.5 \times 10^{-8}$ (2.1–4.8) [1]
Wild-type (WXT10)	(TTC) <sub>20</sub>	$2.5 \times 10^{-8}$ (1.8–3.8) [0.7]
Wild-type (WXT11)	(TTC) <sub>230</sub>	$1.4 \times 10^{-6}$ (0.9–1.5) [40]
Wild-type (WXT12)	(GAA) <sub>20</sub>	$3.0 \times 10^{-8}$ (1.8–3.0) [0.9]
Wild-type (WXT13)	(GAA) <sub>230</sub>	$3.2 \times 10^{-6}$ (2.4–3.6) [91]
<i>rev3</i> (WXT81)	(TTC) <sub>230</sub>	$9.6 \times 10^{-8}$ (4.7–14) [2.7]
<i>rev3</i> (WXT83)	(GAA) <sub>230</sub>	$4.4 \times 10^{-8}$ (2.6–13) [1.3]

<sup>1</sup>All strains are isogenic with PSL5 (*MAT $\alpha$*  *ade2-1 ura3 can1 $\Delta$ ::SUP4-o gal2 ho::hisG*; [29]) except for alterations introduced by transformation as described in Supplementary Material.

<sup>2</sup>(GAA•TTC) tracts, 20 or 230 repeats in length, were inserted in all strains except for MD416. The GAA and TTC orientations are the same as those defined previously [11].

<sup>3</sup>Rates and 95% confidence limits were calculated as described in Stone *et al.* [30]. Rates were normalized (shown in brackets) by dividing the observed rate for the strain with the GAA or TTC tracts by the rate observed in the strain without any tract.

**Table 2**

Numbers of *ura3* deletions and *ura3* point mutations recovered in strains with insertions of (GAA • TTC) tracts.

Relevant genotype(strain name) <sup>1</sup>	Tract orientation and size <sup>2</sup>	# 5-FOA <sup>R</sup> strains examined <sup>3</sup>	# strains with <i>ura3</i> deletions (%) <sup>4</sup>	# strains with <i>ura3</i> point mutations (%)
Wild-type (WXT10)	(TTC) <sub>20</sub>	84	0	84
Wild-type (WXT11)	(TTC) <sub>230</sub>	154	16 (10%)	138 (90%)
Wild-type (WXT12)	(GAA) <sub>20</sub>	84	0	84
Wild-type (WXT13)	(GAA) <sub>230</sub>	176	18 (10%)	158 (90%)
<i>rev3</i> (WXT81)	(TTC) <sub>230</sub>	103	32 (31%)	71 (69%)
<i>rev3</i> (WXT83)	(GAA) <sub>230</sub>	98	25 (26%)	73 (74%)

<sup>1</sup>All strains are isogenic with PSL5 (*MAT $\alpha$* , *ade2-1 ura3 can1 $\Delta$ ::SUP4-o gal2 ho::hisG*; [29]) except for alterations introduced by transformation as described in Supplementary Material

<sup>2</sup>(GAA•TTC) tracts, 20 or 230 repeats in length, were inserted in all strains. The GAA and TTC orientations are the same as those defined previously [11].

<sup>3</sup>All mutations were independently isolated.

<sup>4</sup>This column includes only deletions that are detectable by the PCR analysis described in the text. Deletions <50 bp would not be detectable.

**Table 3**

The (GAA•TTC)<sub>230</sub> tract increases the frequency of 5-FOA<sup>R</sup> strains that have two mutations within the *URA3* coding sequence<sup>1</sup>

Strain name and tract size	# 5-FOA <sup>R</sup> strains examined	# strains with single mutation	# strains with double mutations
No tract <sup>2</sup>	207	198	9
WXT10 (TTC) <sub>20</sub>	34	33	1
WXT11 (TTC) <sub>230</sub>	55	44	11
WXT12 (TTC) <sub>20</sub>	25	24	1
WXT13 (TTC) <sub>230</sub>	57	47	10

<sup>1</sup>5-FOA<sup>R</sup> derivatives were isolated and the *ura3* genes were amplified by PCR and sequenced.

<sup>2</sup>Data for the strain within no GAA•TTC tracts are from Lang and Murray [34].