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Molecular Pathways: Targeting Mdm2 and Mdm4 in Cancer Therapy

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Abstract

The p53 tumor suppressor is activated in response to cellular stresses to induce cell cycle arrest, cellular senescence, and apoptosis. The *p53* gene is inactivated by mutations in more than 50% of human tumors. In addition, tumor cells dampen p53 activities via overexpression of p53 negative regulators, in particular two structurally related proteins Mdm2 and Mdm4. And yet, Mdm2 and Mdm4 possess p53-independent activities, which also contribute to tumor formation and progression. Given that Mdm2 and Mdm4 inhibit p53 activities to promote tumor development, small molecules and peptides were developed to abrogate the inhibition of p53 by Mdm proteins. Anti-tumor activities of these molecules have already been confirmed in preclinical studies and early-phase clinical trials. These research endeavors and clinical advances constitute the main focus of this review.

Background

p53, the most frequently inactivated gene in human cancers, is mutated in over half of human tumors. In response to various extra- and intra-cellular stresses including but not limited to oncogene activation, DNA damage and hypoxia, p53 functions as a transcriptional factor and transactivates a set of genes engaged in multiple cellular processes such as cell cycle arrest, cellular senescence, energy metabolism, and apoptosis. p53 signaling is also alternatively inactivated by high levels of p53 inhibitors. Suppression of p53 activities is largely attributed to two major negative regulators of p53, Mdm2 and Mdm4 (reviewed in 1). Different mechanisms increase the levels of Mdm2 and/or Mdm4 in a large number of tumors that carry wild-type p53 alleles. These observations suggest that upregulation of Mdm2 and/or Mdm4 serves as an alternate means of inactivating the p53 pathway, further highlighting that silencing p53 signaling is a more common event in tumorigenesis than previously thought.

In this review, we will discuss the regulation of p53 by the Mdm proteins, p53-independent oncogenic functions of Mdm2 and Mdm4 as well as potential pharmaceuticals targeting Mdm2 and Mdm4 in cancer treatment.

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The <u>m</u>urine <u>d</u>ouble <u>m</u>inute $\underline{2}$ (*Mdm2*) was first identified as one of the genes that were amplified on double minute chromosomes in transformed mouse NIH-3T3 fibroblasts (2). Early studies demonstrated that Mdm2 could bind the p53 transactivation domain and repress its transcriptional activity (3, 4). Additionally, Mdm2 functions as an E3 ubiquitinligase, mediates ubiquitination of p53, and targets it for degradation (reviewed in 1). By exploiting the beauty of genetic models, two research groups independently showed that biallelic deletion of *p53* rescued the embryonic lethal phenotypes in *Mdm2*-deficient embryos (5, 6). Deletion of *Mdm2* in specific types of cells such as neuronal progenitors and cardiomyocytes also leads to p53-dependent embryonic lethal phenotypes (7-9). These *in vitro* and *in vivo* data together suggest an essential function of Mdm2 as a negative regulator of p53 in numerous cell types.

Mdm4 was originally discovered as a p53-interacting protein through screening of a mouse embryo cDNA expression library (10). The Mdm4 and Mdm2 proteins are very similar at the primary structural level (10, 11). The p53-binding domains at the N-termini of Mdm4 and Mdm2 show very high structural and functional similarities (10). The p53-binding domain of Mdm4, like that of Mdm2, interacts with the transactivation domain of p53 to represses its transcriptional activity (10). Another prominent conserved domain between Mdm4 and Mdm2 is the RING-finger domain at the C-termini of both proteins (10, 11). The RING domain of Mdm2 is responsible for its E3 ubiquitin ligase function (12); however, the Mdm4 RING domain lacks E3 ligase activity (reviewed in 1). Mice with Mdm4 RING domain alterations recently revealed that interaction of Mdm2 with Mdm4 through this RING domain is required for modulating p53 activities in embryonic stages but dispensable for Mdm2 and p53 stabilization in the adult mouse (13, 14).

Loss of *Mdm4* in mice also results in embryonic lethality, which is completely rescued by concomitant *p53* loss (15-17). These data indicate that Mdm4 negatively regulates p53 activity *in vivo*. Deletion of *Mdm4* in mouse erythroid progenitors, embryonic neuronal progenitors, cardiomyocytes, and intestinal epithelia leads t o distinct pathological phenotypes, compared with that of *Mdm2* (18-22). Deletion of both *Mdm2* and *Mdm4* in mouse embryonic neuronal progenitors results in a more severe phenotype compared with deletion of either gene alone (8). All Mdm loss-of-function phenotypes are rescued by deletion of *p53* (7, 8, 18-20). Together, these data suggest that Mdm2 and Mdm4 function in a non-overlapping manner to suppress p53 activities *in vivo*. Intriguingly, overexpression of Mdm2 also rescues the *Mdm4^{-/-}* embryonic lethality (23), implying that high levels of Mdm2 can compensate for loss of *Mdm4* possibly through inhibition of p53 activities.

p53-independent Functions of Mdm2 and Mdm4

Although the major function of Mdm2 is to suppress p53 activities, emerging evidence has identified p53-independent roles of Mdm2 in tumor formation and progression. Early studies showed that $p53^{-/-}$ mice carrying an *Mdm2* transgene developed a higher percentage of sarcomas compared to $p53^{-/-}$ mice (24), supporting the p53-independent functions of Mdm2 in tumorigenesis. p53-independent activities of Mdm2 include regulation of genomic instability, apoptosis and metastasis.

Elevated genomic instability is a hallmark of cancers (reviewed in 25). Ectopic expression of *Mdm2* in murine mammary epithelial cells and murine embryonic fibroblasts (MEFs) increases ploidy and chromosome/chromatid breaks regardless of *p53* status (reviewed in 26), suggesting that Mdm2 promotes genomic instability independently of p53. Mdm2 induces genomic instability likely through inhibiting DNA damage repair and suppressing cell cycle arrest. The repair of DNA double strand breaks (DSBs) caused by ionizing

radiation (IR) requires the Mre11 complex, composed of Mre11, Rad50 and Nbs1 (27). Mdm2 directly binds and co-localizes with Nbs1 at DNA damage sites after IR treatment, and in turn inhibits DNA DSB repair (28) (Figure 1a). The p53-independent functions of Mdm2 in suppressing cell cycle arrest involve the direct interaction with Retinoblastoma protein (Rb) and E2F transcription factors (Figure 1a). The Rb protein interacts with several E2Fs, suppresses E2F-mediated transcription of cell-cycle essential genes, and therefore causes cell cycle arrest especially at the G1/S transition (reviewed in 29). Loss of Rb activity leads to genomic instability and tumorigenesis due to defective cell proliferation and chromosome mis-segregation (30). Mdm2 compromises Rb-mediated G1 arrest through multiple mechanisms: 1) Mdm2 directly binds Rb protein, suppresses the interaction between Rb and E2F1, and induces activation of E2F1; and 2) Mdm2 targets Rb for ubiquitin-dependent and -independent degradation (reviewed in 26) (Figure 1a). In addition, Mdm2 also directly binds E2F1 and activates its transcriptional activities (31) (Figure 1a). Moreover, Mdm2 targets other cell cycle inhibitors such as p21 and hnRNP K for proteasomal degradation, and therefore promotes cell proliferation (reviewed in 22, 26) (Figure 1a). Notably, p21 is involved in maintaining genomic stability (32), and loss of p21 induces an euploidy, chromosomal aberrations, and accelerated tumor onset in mice (33). These data suggest degradation of p21 by Mdm2 contributes to genomic aberrations (Figure 1a). Thus, Mdm2 binds and alters the activities of several proteins involved in DNA repair and transition through the cell cycle impacting ploidy and other chromosomal abnormalities.

Additional data indicate a role of Mdm2 in inhibition of apoptosis independently of p53. FOXO3a, a forkhead transcription factor, induces apoptosis and decreases tumorigenicity of breast cancer cells (34). And inverse correlation between Mdm2 and FOXO3a expression exists in human breast cancer samples, due to Mdm2-mediated degradation of FOXO3a (34) (Figure 1a), indicating that Mdm2 may counteract FOXO3a-mediated apoptosis during tumor development. Unexpectedly, Mdm2 was also reported to suppress apoptosis via a different pathway by upregulating the anti-apoptotic protein XIAP through translational regulation (35) (Figure 1a). Upon DNA damage, cytoplasmic Mdm2 binds the internal ribosome entry site at the XIAP 5'-UTR and enhances the translation of XIAP mRNA, which may confer resistance to radiation-induced apoptosis in tumor cells (35). The mechanism by which Mdm2 regulates this process is unknown.

Additional p53-independent functions of Mdm2 include the ability to promote epithelial-tomesenchymal transition (EMT) and metastasis (Figure 1a). The EMT effector TGF- β 1 induces *Mdm2* transcription via activation of Smad3 in murine mammary epithelial cells (36). Histopathological analyses of human breast cancers indicate that activation of Smad3 and overexpression of Mdm2 coexist in 65% of late-stage carcinomas and are strongly correlated to metastatic phenotypes in breast cancer patients (36), suggesting an important role of *Mdm2* overexpression in metastasis. A mechanism by which Mdm2 promotes EMT and metastasis is through E-cadherin. Mdm2 binds E-cadherin, which targets it for degradation thus inducing EMT (37) (Figure 1a). Overexpression of Mdm2 in breast cancer cells disrupts cell-cell contacts, enhances cell motility, and promotes cell invasiveness (37). High Mdm2 levels are also strongly associated with low E-cadherin levels in human metastatic breast cancer specimens (37). Thus, a series of experiments in breast cancers indicate high levels of Mdm2 correlate with metastasis.

Data for p53-independent functions of Mdm4 are by far more limited. Mdm4 was found to interact with p21 and target it for proteasomal degradation independently of ubiquitination (38) (Figure 1b). Degradation of p21 mediated by Mdm4 is independent of but in cooperation with Mdm2 and leads to abrogation of G1 cell cycle arrest (38). Surprisingly, loss of *Mdm4* in *p53*-null cells leads to multipolar spindle formation, enhanced loss of chromosomes, elevated proliferation potentials and increased spontaneous tumor

transformation as compared to $p53^{-/-}$ cells (39). In addition, deletion of *Mdm4* results in accelerated tumorigenesis in both $p53^{+/-}$ and $p53^{-/-}$ mice (39). Since Mdm4 forms heterodimers with Mdm2 (reviewed in 1), loss of *Mdm4* may promote interaction of Mdm2 with other proteins such as Rb and p21 and thus enhances tumorigenesis. Moreover, Mdm4 represses E2F1 transactivation though either disrupting E2F1-DNA binding (40) or altering localization of E2F1 transcription complex (41) (Figure 1b). Overexpression of *E2F1* promotes G1/S transition in cells, and is associated with tumorigenesis (42). However, upregulation of *E2F1* also triggers both p53-dependent and -independent apoptosis (reviewed in 43). These data together suggest that upregulation of Mdm4 that is present in many human tumors (44), in addition to suppressing p53 activity, may represent a mechanism for tumor cells to overcome apoptosis induced by elevated E2F1 levels.

Elevated Levels of Mdm Proteins in Human Tumors

The functions of Mdm2 and Mdm4 including p53 inhibition and p53-independent activities all suggest important roles in tumorigenesis and tumor progression. High levels of Mdm2 are commonly observed in human cancers including sarcomas, gliomas, hematological malignancies, melanomas, and carcinomas (reviewed in 45). By analyzing gene amplification and overexpression status of *Mdm2* in human soft tissue tumors, Patterson *et al* (46) demonstrated that high levels of Mdm2 detected by immunohistochemistry occurred in tumors with *Mdm2* gene amplification, but were also found in tumors without *Mdm2* gene amplification indicates that *Mdm2* gene amplification indeed results in its overexpression, but other mechanisms may also confer Mdm2 upregulation. Extensive studies have indicated that upregulation of Mdm2 results from elevated transcription, increased mRNA stability, enhanced translation and altered post-translational modification (reviewed in 22, 47). In addition, these mechanisms are associated with upregulation of Mdm2 in tumors.

High levels of Mdm4 are also found in a variety of human cancers. Mdm4 upregulation in malignancies is mostly ascribed to Mdm4 gene amplification (reviewed in 44). Gene amplification and consequent upregulation of Mdm4 are reported in multiple types of human tumors including glioma, soft tissue sarcoma, head and neck squamous carcinoma, retinoblastoma, melanoma, and breast cancer (reviewed in 44). To date, information regarding transcriptional regulation of Mdm4 remains extremely limited. Stimulation with mitogens, e.g. IGF-1, leads to phosphorylation of mitogen-activated protein kinase (MAPK) ERK and thus activates transcriptional factors c-Ets-1 and Elk-1, which bind the Mdm4 promoter and induce *Mdm4* expression (48). Human colon tumors that are stained positive for phosphorylated ERK are two-fold more likely to have high levels of Mdm4 (48), implying a role of *Mdm4* overexpression stimulated by the MAPK in tumor development. Mdm4-S, the first Mdm4 transcript variant identified in human and mouse cells, produces an Mdm4 isoform containing the p53-binding domain but missing the negative regulatory sites, which is more stable and oncogenic than full-length Mdm4 (reviewed in 49). Overexpression of *Mdm4-S* has been found in several types of cancers, and is associated with decreased survival and poor prognosis for cancer patients (reviewed in 44, 49), indicating a role of Mdm4-S in tumor development.

A number of studies have modeled Mdm2 and Mdm4 overexpression *in vivo* using genetically engineered mice. Transgenic mice overexpressing *Mdm2/Mdm4* either globally or in specific tissues/cell types all develop spontaneous tumors (24, 50-54). A T to G single nucleotide polymorphism (SNP309) in the human *Mdm2* P2 promoter creates an additional binding site for the transcriptional activator Sp1, leads to elevated *Mdm2* expression, and attenuates p53 signaling (55). An association between SNP309 and earlier tumor onset is revealed in both hereditary and sporadic cancer patients (55). A knock-in mouse with a

humanized Mdm2 intron 1 harboring SNP309 was generated in our laboratory and develops multiple types of malignancies with accelerated onset in both p53 wild-type and p53 mutant backgrounds, providing causal evidence for the role SNP309 in tumorigenesis (54). Approximately 65% of human retinoblastomas undergo gene amplification of Mdm4 and 10% have extra copies of Mdm2, but no p53 mutations or defects downstream to p53 are found in the retinoblastomas caused by Rb loss (56), suggesting that p53 is inactivated in retinoblastomas via overexpression of p53 negative regulators. A very low retinoblastoma penetrance is observed in mice with loss of Rb family genes Rb and p107 in retina (56), but ectopic expression of *Mdm4* in retina significantly promotes retinoblastoma development in these mice with Rb and p107 loss (56). In addition, the treatment of mice with p53activating drugs leads to growth suppression of Mdm4-overepressing retinoblastomas (56), suggesting that *p53* remains intact in these tumors. Therefore, this retinoblastoma mouse model recapitulates human defects and emphasizes the causative role of Mdm4 overexpression in retinoblastoma formation. In a recent study, Mdm4 is overexpressed in 65% of human melanoma samples examined, and *p53* mutations are rare among these melanoma cases (57). Specific overexpression of Mdm4 in the murine melanocytes enhances tumorigenesis of Nras-induced melanoma in both p53 wild-type and heterozygous backgrounds, while knockdown of Mdm4 in human melanoma cells leads to increased cell death but reduced cell proliferation and oncogenic ability (57). However, the p53 status in these mouse melanomas has not been examined. Nevertheless, this melanoma mouse model elucidates the tumorigenic roles of *Mdm4* overexpression in melanoma formation *in vivo*.

Clinical-Translational Advances: Targeting Mdm Proteins in Cancer Therapy

Clearly, Mdm proteins are present at high levels and inhibit p53 activities in many human cancers. Thus, disrupting the Mdm-p53 interaction to reactivate p53 is a valuable therapeutic strategy for tumor treatment.

Several strategies have been used to develop small molecules to block Mdm2-p53 interaction. Using structure based design and high throughput screening, several groups independently developed distinct small molecules including Nutlins (58), benzodiazepinediones (59) and MI-63/MI-219 (60, 61) (Figure 2) that bind Mdm2 in its p53-binding pocket and disrupt Mdm2-p53 interaction (58-61). Treatment with these small molecules leads to elevated p53 protein levels, induction of p53 target gene expression, decrease in cell proliferation, upregulation of apoptosis, and reduced tumorigenic ability in a variety of tumor cell lines carrying wild-type but not mutant p53 (58-61). Notably, no significant weight loss or any other gross abnormalities is observed in the mice undergoing Nutlin-3 or MI-219 treatment (58, 61). Another small molecule RITA (Figure 2) binds the p53 N-terminus instead of Mdm2 and blocks its interaction with Mdm2 (62). Treatment of cells with RITA results in similar effects as treatment with Nutlins or MI-219 (62). A novel strategy using stapled peptides is also applied to block interaction between Mdm2 and p53 (63). These small synthetic peptides, locked into certain folded shapes by an all-hydrocarbon crosslink, are optimized for protein interaction and are resistant to proteolysis (63). Stapled peptides SAH-p53s (Figure 2) compete with p53 for Mdm2 binding, reactivate p53, and lead to apoptosis in cancer cells with high levels of Mdm2 (63). Yang et al demonstrated that HLI98 (Figure 2), a family of small molecules inhibiting Mdm2, could bind Mdm2 at the Cterminus and compromise its E3 ubiquitin ligase activity (64). HLI98 treatment suppresses degradation of p53 and induces p53-dependent apoptosis in tumor cells (64). A different small molecule, JNJ-26854165 (Figure 2), reactivates p53 by inhibiting Mdm2 E3 activity and inhibits tumor growth in mouse xenografts modeling multiple types of human cancers (65). Since p53-independent G2 arrest was observed in tumor cells upon HLI98 treatment

(64), small molecules that bind the Mdm2 RING domain may also inhibit p53-independent functions of Mdm2.

The small molecule inhibitors of Mdm2 described above show very low affinity for Mdm4 binding. But high levels of Mdm4 attenuate the apoptotic response to the small molecules targeting Mdm2-p53 interaction e.g. Nutlin-3a and MI-219 in tumor cells (57, 61, 66, 67). Given the importance of Mdm4 in inhibition of p53 and its presence at high levels in a variety of human tumors such as retinoblastomas and melanomas, efforts have recently been dedicated to the development of Mdm4 inhibitors. A small molecule SJ-172550 (Figure 2) targets p53-Mdm4 binding (68). A benzofuroxan derivative XI-006 suppresses the transcription of *Mdm4* (69). Both induce p53-dependent apoptosis, and act additively with Nutlin-3a in human cancer cell lines, which retain wild-type p53 but overexpress Mdm4 (68, 69). These observations suggest that an inhibitor against both Mdm2 and Mdm4 may promise a greater potential for anti-tumor activities. A stapled peptide SAH-p53-8 (Figure 2) binds both Mdm2 and Mdm4 and disrupts their interaction with p53 (67). Treatment of SAH-p53-8 reduces the viability of wild-type p53-carrying cancer cells that also contain high levels of Mdm2 and/or Mdm4 proteins (67). Of note, wild-type p53-carrying cancer cells with high levels of Mdm4 show minimal response to Nutlin-3 treatment (67). Recently, a dual Mdm2/Mdm4 antagonist RO-5963 (Figure 2) was identified that blocks p53 interaction with both Mdm2 and Mdm4 by inducing formation of homo- or hetero-dimers between Mdm proteins through their p53-binding domains (70). In the p53 wild-type but not mutant tumor cells, the dual antagonist induces apoptotic activity even in the presence of high levels of Mdm4, while Nutlin-3a induces a much weaker apoptotic response (70).

To date, a phase I clinical study of JNJ-26854165 (NCT00676910) to determine safety and dosing in patients with advanced stage or refractory solid tumors has been completed. Although JNJ-26854165 was well tolerated in these patients, no objective responses were observed (71). Several phase I studies of a Nutlin family member RG7112 in patients with liposarcomas (prior to debulking surgery), solid tumors, hematologic neoplasms and soft tissue sarcomas (NCT01143740, NCT01164033, NCT00559533, NCT00623870 and NCT01605526) have been completed or are in progress. Acceptable safety profiles and favorable clinical outcomes including complete remission, induction of apoptosis and decrease in proliferation were seen in patients treated with RG7112 for leukemias, lymphomas, sarcomas and other solid tumors (72-76). Further studies are needed to verify the efficacy of this agent long term in cancer treatment.

Despite these initial outcomes, concerns arise for potential adverse effects of Mdm2/Mdm4 inhibitor treatment of cancer patients. Genetically restoring p53 activity in mice in the absence of Mdm2 leads to ablation of the classically radiosensitive tissues including bone marrow, spleen, thymus and intestine, which results in 100% fatality of mice within 5-6 days (77). In addition, mice heterozygous for Mdm2 or Mdm4, although normal, are sensitive to low dose IR treatment (78), suggesting that the haploinsufficiency of Mdm2 and Mdm4 in response to DNA damage is detrimental for the organism. These two observations above question whether p53 activated by Mdm2/Mdm4 inhibitors will be toxic to normal tissues especially under stressed conditions. Xenograft models indicate that the tumor-suppressing doses of Nutlin-3, MI-219 and SAH-p53-8 are well tolerated in mice, leading to no significant weight loss or any gross abnormalities (58, 61, 67). Moreover, the treatment with Nutlin-3 in combination of the therapeutic DNA-damaging agent topotecan results in regression of retinoblastomas without any obvious side-effects in mice (56), implying that Mdm protein inhibitors may not be toxic to normal tissues even when combined with other therapeutic agents in patients. However, these data should be cautiously interpreted, as these preclinical studies in mice were short-term ranging from 6 days to 20 days. It still needs to

be examined whether prolonged administration of Mdm inhibitors together with other antitumor agents will be detrimental to patients.

Other studies have demonstrated the interaction of Mdm2 with mutant p53 (79, 80). Disruption of this interaction causes stabilization of mutant p53 (79, 80), which has been shown to have gain-of-function metastatic activities (81). Treatment with Mdm2 inhibitors might lead to unfavorable outcomes in patients with even one allele of mutant p53. Therefore, it is extremely important that Mdm2 inhibitor treatment should be given to patients with tumors carrying only wild-type and not mutant p53. Moreover, Nutlin-3 treatment of SJSA-1 tumor cells (p53 wild-type) leads to selection for p53 mutations and subsequent resistance to Nutlin-3 treatment (82). In addition, selected p53 mutants may lead to more adverse effects, if mutant p53 accumulates and exerts gain-of-function activities in the resistant cells. Thus, combinational therapies and inter-treatment evaluation of p53 status should be a standard of care for cancer patients administered Mdm inhibitors. Lastly, accumulation of Mdm2 proteins are observed when Mdm2/Mdm4 inhibitors are applied to normal and tumor cells (58-60, 62, 64, 66, 69, 70), and accumulated Mdm2 can execute p53independent oncogenic functions as discussed in this review. Therefore, administration of Mdm2/Mdm4 inhibitors to cancer patients might induce oncogenic side-effects, which may be evident only after a long latency. Thus, studies with long-term follow-up will be necessary to evaluate the safety of these inhibitors.

Concluding Remarks

Studies of Mdm2 and Mdm4 have long been focused on their roles as the negative regulators of the p53 tumor suppressor. Small molecules and synthetic peptides antagonizing Mdm2 and/or Mdm4 reactivate p53 in preclinical studies and have produced favorable outcomes in cancer patients in early-phase clinical trials. And yet, accumulating evidence has implicated p53-independent functions of Mdm2 and Mdm4, which accelerate tumorigenesis and tumor progression. However, it remains largely unclear whether inhibiting the binding of Mdm proteins to p53 compromises their p53-independent functions. Therefore, mouse models carrying mutations that will abrogate or enhance the p53-independent functions of Mdm2 and tumor phenotypes. Furthermore, drugs targeting these p53-independent activities of Mdm2 and Mdm4 to reactivate p53 in cancer treatment.

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Figure 1. Schematic representation of p53-independent functions of Mdm2 and Mdm4 a. Mdm2 interacts with multiple factors to affect genomic instability, apoptosis and metastasis. hnRNP: hnRNP K protein. **b.** Mdm4 interacts with p21 and E2F1 to regulate cell cycle arrest and apoptosis.

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Figure 2. Schematic representation of the targeting sites of Mdm protein antagonists on Mdm2, Mdm4 and p53

BDP: benzodiazepinedione; MI: MI-63/219; Stapled: SAH-p53 Stapled peptides; JNJ: JNJ-26854165; SJ: SJ-172550; p53 Binding: p53 binding domain; Acidic: Acidic domain; Zn Finger: Zn finger domain; RING: RING domain; TA: transactivation domain; PR: proline-rich domain; DNA Binding: DNA binding domain; oligo: oligomerization domain.