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Intact discourse cohesion and coherence following bilateral ventromedial prefrontal cortex

Jake Kurczek^{1,2} and Melissa C. Duff^{1,2,3}

¹Department of Neurology, Division of Cognitive Neuroscience, University of Iowa

²Neuroscience Graduate Program, University of Iowa

³Department of Communication Sciences and Disorders, University of Iowa

Abstract

Discourse cohesion and coherence give communication its continuity providing the grammatical and lexical links that hold an utterance or text together and give it meaning. Researchers often link cohesion and coherence deficits to the frontal lobes by drawing attention frontal lobe dysfunction in populations where discourse cohesion and coherence deficits are reported and through attribution of these deficits to underlying cognitive impairments putatively associated with the frontal lobes. We examined the distinct contribution of a region of the frontal lobes, the ventromedial prefrontal cortex (vmPFC), to discourse cohesion and coherence across a range of discourse tasks. We found that bilateral vmPFC damage does not impair cohesion and coherence in spoken discourse. This study provides insights into contribution of the major anatomical subdivisions of the frontal lobes to language use and furthers our understanding of the neural and cognitive underpinnings of discourse cohesion and coherence.

Keywords

cohesion; coherence; referential processing; ventromedial prefrontal cortex; discourse

Discourse cohesion and coherence give communication its continuity providing the grammatical and lexical links that hold an utterance or text together and give it meaning. Discourse cohesion and coherence are also among the most widely studied macrolingusitic measures of discourse production. Cohesive ties are defined as surface level (word and sentence) indicators of the relations within and between sentences that allow interlocutors to make connections within and across utterances, speakers, topics, and time (Halliday & Hasan, 1976). Cohesive ties can take multiple linguistic forms including anaphora (e.g., John and Mary are walking to school. *She* has been leading the way.) and conjunctions (e.g., John was walking to work. *But* he had to walk fast to beat the rain.)(e.g., Liles & Coelho, 1998). Whereas cohesion refers to the continuity within and between sentences, coherence refers to continuity in the overall meaning. Analyses of spoken discourse typically divide coherence further into local (the interrelatedness, or topic maintenance, across adjacent

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Corresponding Author: Melissa C. Duff, Ph.D. Department of Communication Sciences & Disorders 250 Hawkins Drive University of Iowa Iowa City, IA 52242 Phone: 319-384-4702 melissa-duff@uiowa.edu.

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utterances) and global (the interrelatedness, or topic maintenance, across larger stretches of discourse such as an entire conversation or narrative) coherence (Glosser & Deser, 1991).

Deficits in discourse cohesion and coherence have been reported across a number of clinical populations including Alzheimer's disease (AD) (e.g., Almor, Kemplier, MacDonald, Andersen, & Tyler, 1999; Dijkstra, Bourgeois, Allen, & Burgio, 2004; Ripich, Carpenter & Ziol, 2000), aphasia (e.g., Coelho & Flewellyn, 2003; Rogalski & Edmonds, 2008), traumatic brain injury (TBI) (e.g., Coelho, Grela, Corso, Gamble, & Feinn, 2005; Davis & Coelho, 2004; Hartley & Jensen, 1991; Youse & Coelho, 2005), right hemisphere syndrome (Davis, O'Neil-Pirozzi, & Coon, 1997) and schizophrenia (e.g., Docherty, DeRosa, & Andreasen, 1996; Rochester & Martin, 1979). Researchers have linked these deficits to the frontal lobes by drawing attention to the presence of frontal lobe damage or dysfunction in clinical populations where discourse cohesion and coherence deficits are reported (e.g., AD, TBI, schizophrenia) and through attribution of these deficits to underlying cognitive impairments putatively associated with the frontal lobes (e.g., executive function; theory of mind; working memory) (e.g., Coelho, 2002; Dijkstra et al., 2004; Glosser & Deser, 1991; Hartley & Jensen, 1991; Youse & Coelho, 2005). There have been calls to elucidate the relationship between the site of frontal lobe lesion and abnormal discourse cohesion (e.g., Levin, Goldstein, Williams, & Eisenberg, 1991). However, linking these deficits to specific cognitive domains or neural substrates is challenging because of insufficient lesion information in previous reports and the diffuse neural pathology and cognitive disruption in some populations studied to date (e.g., TBI, AD, schizophrenia).

In a previous study examining the contribution of hippocampal declarative memory to discourse cohesion and coherence, we circumvented these challenges by taking advantage of a rare patient group with a well-characterized neuropsychological and neuroanatomical profile of severe and selective declarative memory impairments secondary to bilateral hippocampal lesions (Kurczek & Duff, 2011). We argued that the role of the hippocampal declarative memory system in the creation of on-line representations for successive events including information about the co-occurrences of people, places, and things, and the ability to link the spatial, temporal and interactional relations among them across time (see Duff & Brown-Schmidt, 2012; Eichenbaum & Cohen, 2001) made it an attractive candidate system for contributing to the demands of discourse cohesion and coherence. Furthermore, hippocampal damage/dysfunction and declarative memory impairment are hallmark in populations where deficits in discourse cohesion and coherence have been reported (e.g., TBI, AD, schizophrenia) (Bourgeois & Hickey, 2009; Hanlon, Houck, Pyeatt, Lundy, Euler, Weisend, Thoma, et al., 2011; Murray, Ramage, & Hopper, 2001). In our study, we found that patients with hippocampal amnesia did have discourse cohesion and coherence deficits; they produced fewer cohesive ties, the adequacy of their ties were more often judged to be incomplete, and ratings of their local coherence were consistently lower than comparison participants (Kurczek & Duff, 2011). While this result was the first to link declarative memory to discourse cohesion and coherence, the finding alone does not preclude frontal lobe contributions to discourse cohesion and coherence. Indeed, successful communication is likely the result of a network of neural structures and the orchestration of multiple cognitive systems. However, the approach of working with patients with well characterized lesions to the hippocampus and selective and severe declarative memory deficits provided a rich and unparalleled opportunity to test our hypothesis regarding the role of particular neural and cognitive substrates to discourse cohesion and coherence.

Here, we apply this same approach to examining the distinct contribution of a region of the frontal lobes, the ventromedial prefrontal cortex (vmPFC), to cohesion and coherence in spoken discourse. We targeted the vmPFC for several reasons. First, the vmPFC is vulnerable in clinical populations such as TBI and AD (e.g., Adams, Doyle, Graham,

Lawrence, McLellan, Gennarelli, et al. 1985; Chalmers, Wilcock, & Love, 2005; Mattson & Levin, 1990) and deficits in cohesion and coherence in these populations have been linked to frontal lobe dysfunction. Second, the critical role of the vmPFC in a variety of social and emotional processes is well established including in social judgments and decision making (e.g., Bechara, Damasio, Damasio, & Anderson, 1994; Beer, Heerey, Keltner, Scabini, & Knight, 2003; Croft, Duff, Kovack, Adolphs, & Tranel, 2010; Stone, Baron-Cohen, & Knight, 1998), and theory of mind (e.g., Shamay-Tsoory, Tomer, Berger, & Aharon-Peretz, 2003; Stone et al., 1998; Stuss, Gallup, & Alexander, 2001). While deficits in these aspects of social and emotional processing following vmPFC damage have been linked to impairments in communication such as understanding humor, sarcasm and faux pas (e.g., Shamay-Tsoory, Tomer, & Aharon-Peretz, 2005; Shammi & Stuss, 1999), whether or not these same social and emotional processing deficits impact discourse cohesion and coherence is unknown. Finally, a recent study of discourse cohesion in patients with DLPFC lesions found no impairment (Le et al., 2009). The current study examining the contribution of the vmPFC to discourse cohesion is an important next step in characterizing the relationship between the anatomical subdivisions of the frontal lobes and language.

Replicating the procedures of Kurczek and Duff (2011), we analyzed cohesion and coherence across a variety of discourse genres (narrative, procedural, picture description, story re-telling) in six participants (3 females) with bilateral vmPFC damage (see Figure 1) and six healthy comparison participants matched pairwise to the patients on age (t(8) = 0.15, p = 0.88), education (t(8) = 0.98, p = 0.35), and sex. Data were analyzed using a two-tailed t-tests and Bonferroni correction for multiple comparisons (alpha of 0.01). Although vmPFC participants have well documented post-morbid changes in social and emotional processing, their neuropsychological profiles are relatively intact (i.e., normal intelligence, memory, speech, language, visual discrimination (see Table 1).

Results

Frequency of cohesive ties

Across the entire data set and all participants, 3133 cohesive ties were coded with 1414 and 1719 ties coded in the discourse of the vmPFC and comparison participants, respectively, a difference that was not significant, t(46) = -0.882, p = 0.38. There was also no significant group differences in the number of ties across individual tasks: story generation = t(10) = 0.31, p = 0.76; story retelling = t(10) = 0.04, p = 0.97; narrative = t(10) = -1.19, p = 0.26; procedural = t(10) = -1.04, p = 0.32. The number of cohesive ties per T-unit across the entire data set for vmPFC and comparison participants was 2.66 (SD = 0.83) and 2.70 (SD = 0.76) (t(46) = -0.145, p = 0.89). Row one in Table 2 presents the average number of cohesive ties per T-unit for each type of tie by discourse type and group. vmPFC participants consistently produced as many markers per T-unit as comparison participants across all discourse types as there was no difference across discourse types: story generation, t(10) = -1.22, p = 0.25; story retelling, t(10) = -0.31, p = 0.76; narrative, t(10) = 0.58, p = 0.58; procedural, t(10) = 0.95, p = 0.37.

Completeness of cohesive ties

Across the entire data set, 3025 ties were coded as complete, with 1336 and 1689 complete ties coded in the discourse of the vmPFC and comparison participants, respectively. The scoring of cohesive ties as complete across discourse types was slightly lower for vmPFC participants than comparison participants, however, this difference was not statistically significant, t(46) = -1.109, p = 0.27. An investigation of each discourse task reveals no difference in the percent of complete ties: story generation = t(10) = -0.633, p = 0.54; story

retelling = t(10) = -0.88, p = 0.40; narrative = t(10) = 0.36, p = 0.72; procedural = t(10) = -1.86, p = 0.09.

Coherence

Across the entire data set (all discourse tasks collapsed), there was no difference in scores of global coherence (t(46) = 0.58, p = 0.57). An investigation of each discourse task reveals no difference in the global coherence scores: story generation (t(10) = -1.40, p = 0.19), story retelling (t(10) = 2.70, p = 0.02), narrative (t(10) = 0.74, p = 0.48), or procedural discourse (t(10) = 0.71, p = 0.49). For local coherence, again, across all the discourse tasks, the scores were comparable between groups as there were no significant differences: story generation (t(10) = -0.410, p = 0.69), story retelling (t(10) = 2.63, p = 0.03), narrative (t(10) = -0.71, p = 0.50), and procedural (t(10) = 0.43, p = 0.68). It is worth noting that the Bonferroni correction increases the risk of Type 2 errors and that statistically significant group differences would have been observed for global and local coherence in story retelling.

Discussion

Identifying the neural and cognitive underpinnings of discourse cohesion and coherence has been challenging because of the diffuse nature of pathology and cognitive impairments in populations where discourse cohesion and coherence deficits occur (e.g., TBI, AD). In this study, we sought to examine the role of the vmPFC, an area of the brain frequently damaged in patients with cohesion and coherence deficits. We found that bilateral vmPFC damage does not impair cohesion and coherence in spoken discourse.

Much of the work linking language and discourse abilities to the frontal lobes has focused on the dorsolateral prefrontal cortex (DLPFC) (e.g., Alexander et al., 1989; Frattali & Grafman, 2005; Le, Mozeiko, Coelho, Krueger, & Grafman, 2009; Sirigu et al., 1998). The DLPFC plays a role in working memory and working memory accounts of language processing have garnered much attention due to its purported on-line maintenance capacity and the ease of correlating performance on working memory tasks with language ability. Given that the vmPFC participants here do not have deficits on standardized neuropsychological measures of working memory (see Table 1) we cannot address a connection to discourse cohesion and coherence. However, the DLPFC does not seem to be involved in discourse cohesion, despite its putative role in working memory. Coelho and colleagues examined discourse cohesion and coherence of six individuals with left (L) DLPFC damage and nine individuals with right (R) DLPFC from penetrating head injuries received during the Vietnam War (Le et al., 2009). They found that, compared to a group of healthy participants, damage to neither the L nor R DLPFC produced deficits in discourse cohesion. These authors also reported that while neither L nor R DLPFC damage produced a deficit in local coherence, the patients with L DLPFC damage had significantly lower scores on global coherence. Follow-up testing revealed that the L DLPFC group had significantly lower scores on a measure of working memory (Spatial Span-Backward) than the R DLPFC and comparison groups. These findings suggest a potential relationship between L DLPFC, working memory, and global coherence. Taken together with the findings of the current study, it appears that neither DLPFC nor vmPFC make significant contributions to discourse cohesion.

Given the strong connection in the literature between the frontal lobes and discourse cohesion and coherence, this set of results is surprising. They also may give more prominence to our previous work reporting disruptions in discourse cohesion in patients with bilateral hippocampal damage and severe and selective declarative memory impairment (Kurczek & Duff, 2011). Outside of acquiring and supporting semantic knowledge (i.e., lexical access) before neocortical consolidation, the hippocampal declarative memory

system has received little attention as a candidate mechanism for supporting language use. This is likely due to the long-held assumption that this form of memory contributes only to long-term memory representations and not to those that are available quickly enough to guide information processing in the moment. Recent evidence has challenged this view suggesting that hippocampal declarative memory is critical even over very short delays, or no delay at all, over a timescale typically considered working memory (e.g., Barense, Gaffan, & Graham, 2007; Hannula, Tranel, & Cohen, 2006). This work has significant implications for theories of language processing in general and for referential processing in particular. In addition to the ability to hold information on-line, discourse cohesion also requires the linking, integration, and tracking of various linguistic elements; abilities which are hallmark of the hippocampal declarative memory system (Duff & Brown-Schmidt, 2012; Eichenbaum & Cohen, 2001). In our original study we also found that the amnesic patients had lower scores of local coherence than global coherence. That is, the amnesic patients had difficulty linking each utterance to the next, in terms of the theme or content, but they are able to stay on topic in general (see Ogden & Corkin, 1991 for a similar observation in the patient HM). Researchers have suggested that local and global coherence may rely on distinct cognitive and neural substrates (e.g., Arbuckle & Gold, 1993; Rogalski, Altmann, Plummer-D'Amato, Behrman, & Marsiske, 2010). While speculative at this point, one possibility is that the relational binding of hippocampal declarative memory system supports local coherence (and cohesion) and that DLPFC supports global coherence. Additional research is needed to corroborate such a proposal. However, we think it is possible that some of the observed deficits in cohesion and coherence attributed to the frontal lobes in previous work may be attributable to hippocampal declarative memory system, particularly in populations with diffuse neural damage (e.g., TBI and AD) where declarative memory impairments are also common. The contribution of hippocampal declarative memory would likely be more transparent in the contexts of everyday language use where cohesion and coherence are maintained across speakers (two or more people), time (days, weeks), and communicative resources (gesture) (see Kurczek & Duff, 2011).

How do the current findings integrate with the role of the frontal lobes in language and communication more broadly? Stuss and Levin (2002) propose that the DLPFC subserves functions that are more cognitive in nature while the vmPFC is associated with abilities that are more affective. Our work, and others, examining the contribution of the vmPFC to various aspects of communication provides support to this distinction. For example, here we have shown that the vmPFC is not critical for linking and signaling the relations within and between sentences through the use of cohesive ties. Classifying discourse cohesion and coherence as a cognitive rather than affective ability, and thus an ability that is intact following vmPFC damage, would seem to make sense. Additionally, in previous work, we have shown that the acquisition and use common ground (i.e., knowledge of what information is shared between conversational partners that is used to tailor specific utterances) is also independent of the vmPFC (Gupta, Tranel, & Duff, 2012); a finding consistent with a dissociation in the cognitive and affective components of theory of mind where cognitive theory of mind is intact following vmPFC damage (e.g., Shamay-Tsoory & Aharon-Peretz, 2007; Shamay-Tsoory, Tibi-Elhanany, & Aharon-Peretz, 2006). Other aspects of communication, however, place higher demands on affective processing and appear to be disrupted in patients with vmPFC damage. Deficits in humor, sarcasm, and faux pas have been observed in patients with vmPFC lesions and these impairments have been attributed to diruptions in the ability of the vmPFC to integrate cognitive and affective information (e.g., Shamay-Tsoory, Tomer, & Aharon-Peretz, 2005; Shammi & Stuss, 1999). Furthermore, we found impairments in the same patients studied here in the ability to converge, or become more similar or in synch, with a communication partner on linguistic variables such length of an utterance across a conversation (Gupta, Duff, & Tranel, 2011). Discourse convergence is thought to be an affective component of communication as it is an

indication of reciprocity and rapport in social interactions (Cappella, 1981; Giles, Coupland, & Coupland, 1991). Thus, the distinction between affective and cognitive functions appears fruitful in delineating the unique contributions of the major anatomical subdivisions of the frontal lobes to various aspects of language use. Of course, language use and communication rely on a broader neural network and set of cognitive processes than those of the frontal lobes. Consideration of contributions from within and outside the frontal lobes as well as the products of the complex interactions of the frontal lobes with other neural systems (e.g., medial temporal lobe) promises to offer a more complete understanding of the neurobiology of language.

Methods

Participants

The participants with vmPFC damage have been well characterized neuropsychologically and neuroanatomically in our lab (e.g., Bechara et al., 1994; Croft et al., 2010; Gupta, Tranel, & Duff, 2012; Koenigs & Tranel, 2008) and all present with characteristic postmorbid changes in emotion processing, decision-making, and personality and/or social and interpersonal functioning. Performance on standardized tests of intelligence, memory, language, and visual discrimination are within normal limits (see Table 1). All participants are community dwelling and free of aphasia and dysarthria as determined by a certified speech-language pathologist. Bilateral vmPFC lesions are due to meningioma resection or subarachnoid hemorrhage. The vmPFC is defined as the region encompassing the medial orbital and lower medial sector of the prefrontal lobe, Broadmann areas, 25, 24, 32, 10, 11, 12 (Barrash, Tranel, & Anderson, 2000). An overlap map depicting the lesion overlap for five of the six vmPFC patients is provided in Figure 1. Subject 3350 was not included because of difficulty transferring the lesion to a common space.

Procedures

Procedures replicated Kurczek and Duff (2011). Cohesion and coherence were analyzed across three narrative and three procedural discourse samples using the Mediated Discourse Elicitation Protocol (MDEP; Hengst & Duff, 2007) and one picture description (Norman Rockswell's *The Runaway*) and one story-retelling sample (Paula Winter's *The Bear and the Fly*; e.g., Youse & Coelho, 2005). Cohesive ties were identified and each tie was judged for adequacy (Coelho, 2002; Liles, 1985). Ties were coded as complete (referent easily located in previous text), incomplete (referent difficult to ascertain in context) or erroneous (more than one referent or no referent indentified in discourse) and are reported as percent correct (Liles, 1985). Following Glosser and Deser (1991), coherence was rated on a 5-point Likert scale (5 is highest) for both global (related to overall topic) and local (connected to preceding thoughts) coherence.

Data Analysis

All discourse was audio and video taped and transcribed using a consensus transcription process (see Duff, Hengst, Tranel, & Cohen, 2008). Transcripts were coded for the number of words. Utterances were distributed into T-units. Consistent with our previous work, words were broadly defined and fillers (e.g., uh = 1 word), contractions (e.g., don't = 1 word), and each word in a false start (e.g., and then put and then you should put = 8 words) were included in the total word counts. Although comparison participants (CP) produced more words overall across discourse elicitations (10,129; M = 389.58, SD = 362.66) than vmPFC participants (8220; M = 316.15, SD = 280.85), this difference was not significantly different (t(46) = -1.33, p = 0.19). Additionally, no individual discourse type revealed a significant group difference: story generation = t(10) = -0.18, p = 0.86; story retelling =

t(10) = -0.92, p = 0.38; narratives = t(10) = -1.02, p = 0.33; procedure = t(10) = -0.64, p = 0.54.

T-units were defined as an independent clause and any associated subordinate clauses (Hunt, 1970). While comparison participants produced more T-units across discourse samples (655; M = 25.2, SD = 25.8) than vmPFC participants (507, M = 19.5, SD = 13.7), this difference was not significant (t(46) = -1.22, p = 0.23). Additionally, no individual discourse type revealed a significant group difference: story generation t(10) = 0.77, p = 0.46; story retelling t(12) = -0.04, p = 0.97; narrative t(10) = -1.35, p = 0.21; procedural t(10) = -1.31, p = 0.22.

Reliability

Point by point inter- and intra-rater reliability for T-units, cohesive ties, cohesion adequacy, and coherence coding was calculated on 33% of the data. Intra-rater reliability for T-units, cohesive tie, cohesion adequacy, local and global coherence was 99.7%, 98.5%, 97.6%, 99.1%, and 98.7%, respectively and inter-rater reliability was 95.1%, 90.2%, 96.4%, 89.0%, and 94.7%, respectively.

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Highlights

- 1. We examined the role of the vmPFC in discourse cohesion and coherence.
- 2. Bilateral vmPFC damage does not impair cohesion and coherence in spoken discourse.
- **3.** Findings elucidate contributions of the major anatomical subdivisions of the frontal lobes to language.

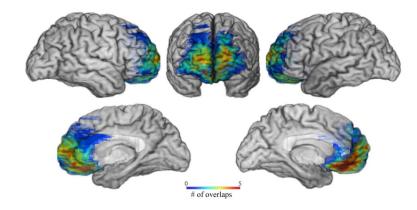


Figure 1. Lesion overlap map of five vmPFC participants

Table 1

Kurczek and Duff

WMS WMS DS DS Arith Sent BN Token COWA WCS GMI WMI Rept Rept Rept	124 12 15 14 60 44 54 6	124 13 10 N/A 54 44 34 6	102 9 13 11 57 43 59 6	88 7 9 15 55 44 44 0	104 11 11 11 53 44 40 5	118 11 11 14 52 N/A 40 6
GMI	143 109	106 109	109 132	84 96	101 103	118 108
Etiology FSIQ	Meningioma 1 Resection	SaH; ACoA 1	Meningioma 1 Resection	SaH; ACoA	Meningioma 1 Resection	Meningioma 1 Resection
Chron	36	13	12	13	9	∞
Ed	14	14	12	11	12	18
Age	71	62	65	71	68	59
3		14	12	11	12	18

Demographic and neuropsychological profiles of vmPFC participants.

Intelligence Scale IV; WMS GMI = Wechsler Memory Scale General Memory Index; WMS WMI = Wechsler Memory Scale Working Memory Index; WMS-III, Wechsler memory scale-III; DS = digit span subtest; WAIS-III, age-corrected scaled score, Arith = arithmetic subtest, WAIS-III, age-corrected scaled score; Sent Rept = sentence repetition subtest, WAIS-III, age-corrected scaled score; BN =

Boston Naming Test; COWA = Controlled Oral Word Association Test; WCS # Cat. = Wisconsin Card Sort Test, number of categories achieved.

Table 2

\$watermark-text

\$watermark-text

		Story								Average	Average across all discourse
		Generation	ation	Story R	Story Retelling	Narr	Narrative	Procedural	odural	tyl tyl	types
		ΜΛ	CP	МЛ	CP	ΜΛ	CP	МЛ	CP	ΜΛ	СЪ
Discourse	Words	113.83 (83.89)	122.67 (88.70)	188.33 (123.01)	260.67 (149.00)	576.33 (267.36)	803.17 (476.97)	491.50 (271.86)	501.67 (116.36)	342.50 (276.26)	422.04 (358.69)
	T-Units	9.17 (6.34)	7.00 (2.83)	16.00 (9.72)	16.17 (5.04)	2.64 (0.58)	2.65 (0.69)	2.85 (0.93)	2.44 (0.43)	21.13 (13.01)	27.29 (25.79)
	Ties	23.17 (19.77)	20.17 (13.23)	53.67 (36.01)	53.00 (24.40)	92.67 (31.82)	140.33 (93.15)	66.17 (25.36)	73.00 (23.49)	58.92 (37.13)	71.63 (64.71)
Cohesion	Ties/T- Units	2.24 (0.66)	2.80 (0.93)	3.00 (1.03)	3.17 (0.86)	2.64 (0.58)	2.65 (0.69)	2.85 (0.93)	2.44 (0.43)	2.66 (0.84)	2.84 (0.79)
	% Complete	0.89 (0.23)	0.95 (0.05)	0.96 (0.04)	0.97 (0.03)	0.99 (0.01)	0.98 (0.02)	0.96 (0.05)	1.00 (0.01)	0.95 (0.12)	0.97 (0.03)
Coherence	Global	3.94 (0.37)	4.29 (0.48)	4.90 (0.16)	4.59 (0.24)	4.47 (0.33)	4.34 (0.28)	4.68 (0.46)	4.49 (0.45)	4.50 (0.49)	4.43 (0.37)
	Local	4.32 (0.38)	4.32 (0.38)	4.96 (0.07)	4.70 (0.23)	4.53 (0.33)	4.65 (0.26)	4.65 (0.33)	4.57 (0.34)	4.59 (0.41)	4.56 (0.32)

Note: Data are presented as Mean (SD). Ties/T-unit is the mean number of cohesive ties per T-unit across all coding categories. Referential, lexical, and conjunctive ties are presented as the mean number of ties per T-unit. Coherence ratings are presented as the mean rating on the 5-point scale.