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Suppression of signal transducers and activators of transcription 1 (STAT1) in hepatocellular carcinoma is associated with tumor progression

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Abstract

Signal transducers and activators of transcription (STAT) 1 plays a pivotal role in cell-cycle and cell-fate determination, and vascular endothelial growth factor (VEGF) also contributes tumor growth. Recently interferon (IFN) α has been reported to be effective for prevention of hepatocellular carcinomas (HCCs) recurrence, but the detailed mechanisms remain elusive. *In vitro*, cobalt chloride-treated VEGF induction and hypoxia responsive element (HRE) promoter activity were inhibited by IFNs and this abrogation was cancelled by introduction of small interfering RNA for STAT1. Immunoprecipitation /Chromatin Immunoprecipitation analyses showed STAT1 bound to hypoxia-inducible factor (HIF)-1 α and dissociated HIF-complex from HRE promoter lesion. In a xenograft model using Balb/c nude mice, tumor growth was suppressed by IFN α through inhibition of VEGF expression and it was oppositely enhanced when STAT1-deleted cells were injected. This augmentation was due to upregulation of VEGF and hyaluronan synthase 2. In human samples, 29 HCCs were resected, divided into two groups based on STAT1 activation in tumor and the clinical features were investigated. Patients with suppressed STAT1 activity had a shorter recurrence-free survival. Histological and RT-PCR analyses showed portal vein microinvasion and increased VEGF levels in tumors from suppressed STAT1 group. These human samples also showed a reverse correlation between VEGF and STAT1-regulated genes expression. These results *in vitro and in vivo* suggested that IFN α are potential candidates for prevention of vessel invasion acting through inhibition of VEGF expression and need to be properly used when STAT1 expression is suppressed.

Keywords

STAT1; VEGF; HCC; HIF-1; IFN α

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INTRODUCTION

Hepatocellular carcinoma (HCC) is a very common malignancy and causes more than six hundred thousand patients' deaths annually worldwide. The prognosis of HCC is still poor because it often develops with vessel invasion. Several studies have revealed that neovascularization and angiogenic factors, such as vascular endothelial growth factor (VEGF), are significantly upregulated in human HCC samples and play a considerable role in its development and progression.¹

VEGF contributes to completing vessel invasion and distant metastasis because angiogenesis is thought to be essential for tumor growth. In addition, it is thought that cancer tissues with abundant tumor vessels have many routes of access to distant organs. We are interested not only in the angiogenic potential of VEGF but its permeability potential. The permeability function of VEGF appears to strongly involve cancer invasion and metastasis, because high permeability leads to the fragility and opening of cell-to-cell adherence in the vascular endothelium, which might allow the cancer cells to migrate into the vascular lumen.²

Interferon (IFN) therapy is frequently used for eradication of HCV and recently for prevention of HCC recurrence. IFNs are a superfamily of proteins secreted by human cells that manifest multiple functions in the human body such as protection of cells from viral infection, regulation of cell growth, and modulation of the immune system. There are two types of IFN, type I IFN (IFN α/β) and type II IFN (IFN γ). The roles of these IFNs against tumor progression and invasion have been reported by several investigators. IFN α inhibits VEGF expression and tumor angiogenesis in neuroendocrine tumors.³ Qin H et al. showed that IFN γ downregulates matrix metalloproteinase (MMP) 2, and Singh RK et al. presented results showing that IFN α suppresses fibroblast growth factor 2 (FGF2).^{4,5} As for signal transducers and activators of transcription (STAT) 1, which is activated by IFNs, genetic polymorphisms in STAT1 gene is reported to associate with increased risk of HCC.⁶ In a clinical setting, however, it remains unclear whether and how IFN-STAT signal transduction is associated with tumor progression and vessel invasion. In this study, we investigated the role of IFN-STAT signaling in the process of HCC tumor development by using a combination of clinical HCC samples, several HCC cell lines and tumor-transplanted nude mice.

MATERIALS and METHODS

Cell lines and tissues

Human hepatoma cell lines, PLC/PRF/5, Huh7 and HepG2, were purchased from American Type Culture Collection approximately 3 years ago, and have been tested every year to confirm that their sequences were conserved. They were cultured with Dulbecco's modified Eagle medium supplemented with 10% heat-inactivated fetal bovine serum and treated with various concentrations of IFN α (R&D systems, Minneapolis, MN)/ γ (Hayashibara Co. Ltd. Group, Japan) and/or 100 mM of CoCl₂ (Sigma) and/or 100 ng/ml of IL-6 (R&D systems) or exposed to hypoxia (1% O₂ condition), and then extracted 24 hours after stimulation. To block JAK-STAT signal transduction, 100 nM of JAK inhibitor 1, a broad inhibitor of JAKs (Calbiochem, Darmstadt, Germany) or S31-201, specific STAT3 inhibitor (Selleck, TX) was pretreated 1 hour before stimulation. For detection of hydroxylated-HIF-1 α , cells were extracted with lysate buffer including 10 μ M of MG132 (Sigma). HCCs and adjacent non-tumor counterparts were obtained at the time of surgical resection. Written informed consent was provided from each patient. We also obtained the approval of the Ethics committee in Osaka University Graduate School of Medicine.

Plasmid constructs

The pGL2TK plasmid was generated by replacing the SV40 promoter of pGL2 promoter (Promega) with the herpes simplex virus TK promoter fragment (from -105 to +51). The pGL2TkHRE plasmid was kindly provided by Uranchimeg B, National Cancer Institute. In brief, it was produced by subcloning three copies of the HRE (5'-GTGACTACGTGCTGCCTAG-3') from the iNOS promoter into the pGL2TK promoter vector.⁷

Mice

Balb/c nude mice (CAnN.Cg-Foxn1^{nu}/CrIcrlj) were purchased from Charles River Laboratories (Yokohama, Japan). They were maintained in a specific pathogen-free facility and treated with humane care with approval from the Animal Care and Use Committee of Osaka University Medical School.

Xenograft tumor

To produce a xenograft tumor, 5×10^6 PLC/PRF/5 cells were subcutaneously injected to Balb/c nude mice. For anticancer therapy, 5×10^4 IU/mouse of human IFN α was administered intraperitoneally (IP) every day, and the same volume of 0.9% saline was injected as a control. Treatment with 10 μ g/g BW of bevacizumab (Chugai Pharmaceutical Co., Japan) IP was started 3 days after injection of HCC cells and was continued 3 times a week.

Immunoblotting and Immunostaining

For immunoblotting, total cellular protein was electrophoretically separated by sodium dodecyl sulfate polyacrylamide gels and transferred onto PVDF membrane. The membrane was blocked in Tris-buffered saline-Tween containing 5% skim milk for 1 hour and then probed with primary Antibody (Ab) at 4°C overnight. Rabbit monoclonal anti-pSTAT1/STAT1/HIF-1 α /hydroxylated HIF-1 α Abs and mouse monoclonal anti-STAT3/pSTAT3 Abs were purchased from Cell Signaling Technology (Beverly, MA). Mouse monoclonal anti-VEGF Ab was from Calbiochem, and anti-CD31 Ab was from R&D systems. Mouse polyclonal anti- β -actin was obtained from Sigma. Horseradish peroxidase-conjugated anti-rabbit or mouse Ab and SuperSignal West Pico System (Pierce, Rockford, IL) were used for the detection of blots.

For immunohistochemistry, tumors were excised and prepared for immunostaining. Tumors were consecutively incubated in PBST for 15 minutes, in blocking buffer (PBST, 5% normal goat serum, 0.2% bovine serum albumin) for 30 minutes, in anti-CD31 Ab in blocking buffer for 12 hours, in PBST for 15 minutes, in Alexa fluor 594 (Molecular Probes, NY) in blocking buffer for an hour, and in PBST for 30 minutes. Finally, a coverslip was mounted in the mounting medium (Vectashield, Vector Laboratories) with 4',6-diamidino-2'-phenylindole-dihydrochloride, and the cells were examined by microscopy.

Protein-protein interaction analysis

To examine the binding of STAT1 protein to HIF-1 α protein, immunoprecipitation/western blot analyses were used. The detail procedure is described elsewhere.⁸ In brief, from CoCl₂ and IFN γ -treated PLC/PRF/5 cells, the cellular protein was extracted and precleared by incubation with protein A-Sepharose beads at 4 °C for 1 hour. Next, the sample was incubated with beads coupled to STAT1, pSTAT1, HIF-1 α , or hydroxylated-HIF-1 α antibody, or rabbit nonspecific γ -globulin (Dako, Denmark) for 18 hours. The immune complex was eluted by being boiled for 5 minutes, and finally the supernatant was used for western blot to detect each protein.

Analyses of cell growth

To examine the cell growth curve, 5×10^3 of PLC/PRF/5 cells were seeded on a 96-well culture plate. After 24, 48, 72, and 96 hours, the net number of viable cells was assessed colorimetrically using water-soluble tetrazolium (2-[2-methoxy-4-nitrophenyl]-3-[4-nitrophenyl]-5-[2,4-disulfophenyl]-2H-tetrazolium monosodium salt) (Roche Applied Science, IN). This assay is based on cleavage of the tetrazolium salt by mitochondrial dehydrogenase in viable cells. For the assay, 10 μ l of the water-soluble tetrazolium reagent was added to the 100- μ l culture medium, followed by the incubation at 37 °C for 1 hour. The optical density at 450 nm was measured. The assay was done in quadruplicate, and the values were expressed as the means \pm S.D.

ChIP

ChIP analysis was basically performed according to the manufacturers' instructions of MAGnify Chromatin Immunoprecipitation System (Invitrogen). In brief, cells (approx 5.0×10^7) were grown to a confluency of 85–90% in complete media and treated with 100 μ M CoCl_2 for 24 hours. These cells were treated with 1% formaldehyde for 10 minutes at room temperature, followed by the addition of 1.25 M glycine to a final concentration of 0.125 M. Cells were washed in 4°C PBS and pelleted in 1 ml of lysis buffer with protease inhibitors and incubated for 10 minutes at 4°C. Nuclear lysates were sonicated (Sonifier 250, Branson) for 15 cycles of 30 seconds ON and 30 seconds OFF to shear DNA to 200-500 bp fragments. Chromatin solutions were precleared and incubated with anti-HIF-1 α , STAT1 or the nonspecific γ -globulin (negative control) and rotated overnight at 4°C. Chromatin/protein complex was purified and applied to PCR analysis. The region –1386 to –1036 of the VEGF promoter was PCR amplified from the immunoprecipitated chromatin using the following primers: sense 5' -CAGGTCAGAAACCAGCCAG, antisense; 5' -CGTGATGATTCAAACCTACC. The 350-bp PCR product was resolved on a 1.2% agarose gel and visualized by ethidium bromide staining and UV illumination.

RNA extraction, real-time PCR analysis and small RNA interference

Total RNA was isolated (miRNeasy Mini Kit, Qiagen, Valencia, CA), reverse-transcribed (High Capacity RNA-to-cDNA Master Mix, Applied Biosystems) and then applied to real-time PCR analysis (TaqMan Gene Expression Assays, Applied Biosystems) normalized to beta-actin expression levels. All measurements were performed in triplicate. The details of each probe for real-time PCR are described in Supplementary Table 1. Cells were transfected with Stealth select RNAi (RNA interference, set of three oligonucleotides, Invitrogen) directed against STAT1. A Stealth RNAi negative control kit (set of three oligonucleotides, Invitrogen) was used as a control for sequence-independent effects following Stealth RNAi delivery. The transfections were carried out using Lipofectamine RNAiMAX (Invitrogen) according to the reverse transfection protocol.

Quantitative analysis of hyaluronic acid

Hyaluronic acid ELISA kit was purchased from Biotech Trading Partners (NY). Each assay was performed according to the manufacturer's instructions. In brief, the removed subcutaneous tumors were dried and treated with pronase E for 24 hours. The product was boiled for 10 minutes and centrifuged, and the supernatants were subjected to ELISA assay.

Statistical analysis

Data were presented as mean \pm SE (for *in vivo* experiments) or as mean \pm SD (for *in vitro* experiments). Comparisons between the two groups were performed by the unpaired *t*-test. Multiple comparisons were performed by ANOVA with the Scheffe post hoc test.

Recurrence-free survival curves were estimated using the Kaplan-Meier method. $P < .05$ was considered statistically significant.

RESULTS

IFN α suppresses VEGF expression through inhibiting HRE-promoter activity

To clarify the relationship between STAT1 activity and VEGF expression in HCC, we used a hypoxia model to induce VEGF expression, and treated HCC cell lines with IFN α , which is known to activate STAT1.⁹ This hypoxia model mimics the clinical setting of HCC, which was done by administration of cobalt chloride (CoCl₂). Our results shown in Fig. 1a were compatible with previous reports, suggesting that both CoCl₂ and IFN α worked well in this experiment using PLC/PRF/5 cells. VEGF mRNA/protein expression was enhanced by CoCl₂, but this induction was inhibited by IFN α administration in a dose-dependent manner. This inhibitory effect was cancelled by introduction of Janus kinase (JAK) inhibitor or STAT1 knockdown (Figs. 1b and 1c). HepG2 and Huh7 cells were both used and displayed the same tendencies as these results (Supplementary Fig.1). VEGF expression is regulated by the heterodimeric hypoxia-inducible factor (HIF)-1, which is made up of HIF-1 α and HIF-1 β to the hypoxia-responsive elements (HRE) on several target genes. HIF-1 β is constitutively expressed irrespective of various conditions, but HIF-1 α is proline-hydroxylated leading to a conformational change that promotes ubiquitination and proteasomal degradation under normoxic conditions.¹⁰ We next tried to find how CoCl₂-induced VEGF induction was suppressed by IFN α stimulation by examining the expression levels of HIF-1 α and hydroxylated HIF-1 α . CoCl₂ induced HIF-1 α expression, and this induction level was not altered by IFN α treatment (Fig. 1d). Hydroxylated HIF-1 α was expressed at low levels with or without CoCl₂ and/or IFN α . This inhibitory effect of IFN α on VEGF expression was also seen in low O₂ condition (Supplementary Fig. 2). Next, HRE promoter activity was assessed by transfection of the luciferase construct (pGL2TkHRE) which included HRE and the minimal promoter thymidine kinase (TK) gene upstream of the luciferase gene.^{7, 11} As shown in Fig. 1e, HRE promoter activity was enhanced by treatment with CoCl₂, and this upregulation was inhibited by IFN α stimulation. This inhibitory effect was abolished by introducing STAT1 siRNA as is the case with VEGF expression. IFN γ has also the same inhibitory effect on VEGF expression as IFN α (Supplementary Fig. 3). Our results, therefore, show that activation of JAK-STAT1 signal transduction causes inhibition of VEGF expression through suppression of HRE promoter activity.

STAT1 forms a complex with HIF-1 α

We hypothesized that a direct interaction of STAT1 and HIF-1 could be responsible for the effect of pSTAT1 on formation of the HIF-1 complex or on its binding to HRE. To test this, we examined the binding of STAT1 to HIF-1 protein by means of immunoprecipitation (Ip)/western blot analysis (Fig. 1f). From CoCl₂ and IFN γ -treated PLC/PRF/5 cells, the cellular protein was extracted and applied to Ip assay. The HIF-1 α protein was not found in the negative control using nonspecific γ -globulin (*lane 1*) but detected in the immunoprecipitates using antibodies against pSTAT1 (*lane 2*) and STAT1 (*lane 3*). In similar fashion, STAT1 protein was seen in the immunoprecipitates using antibodies against HIF-1 α . These findings indicate the possible binding of HIF-1 α protein to STAT1 protein. To further determine if this interaction has an effect on binding of the HIF-1 complex to HRE, we performed in vitro chromatin immunoprecipitation (ChIP) assays. Cultured cells were treated with CoCl₂ in the absence (Fig. 1g, *lanes 1, 4*) or presence of IFN γ (*lanes 2, 5*). Prior to these treatments, STAT1 expression was knocked down by siRNA (*lanes 3, 6*). The PCR product designed within HRE was not found in the negative control sample using nonspecific γ -globulin (*lanes 1-3*) but detected in the immunoprecipitates using antibodies against HIF-1 α (*lanes 4-6*). The detection level was less in IFN α -treated cells, and this

inhibitory effect was canceled in STAT1-deleted cells. No bands were seen in immunoprecipitates using STAT1 antibodies (lane 7) though STAT1 binds to HIF-1 α complex and then might be associated with HRE. These results indicate that STAT1 bound to HIF-1 α protein, and this binding caused the HIF-1 α complex disassociate with HRE.

IFN α has an inhibitory effect on tumor development in the presence of STAT1 but an opposite effect in the absence of STAT1

Several investigators have already reported that IFN α has anti-proliferative effects by inhibiting cell-cycle progression or by inducing apoptosis.^{12, 13} *In vitro*, the growth of cultured cells were inhibited by IFN α stimulation irrespective of STAT1, indicating that anti-proliferative effects involve both STAT1-dependent and -independent mechanisms (Supplementary Fig. 4). To clarify the relationship between IFN α -STAT1 and VEGF *in vivo*, we developed a xenograft model using nude mice. STAT1 gene knock down by siRNA was tested for reduction of STAT1 levels in several hepatoma cell lines. Western blot analysis showed STAT1 expression had been reduced by this method for more than 20 days, and that it was most efficient in PLC/PRF/5 cells (Supplementary Fig. 5). Several nude mice were then subcutaneously injected with control and STAT1-deleted cells to form xenograft tumors and were randomly assigned into two groups; one was treated with human IFN α , and the other with 0.9% saline as the control. In the presence of STAT1, IFN α had a strong inhibitory effect on the tumor development as expected, but in the absence of STAT1, surprisingly, IFN α had the opposite effect, that is, it enhanced tumor growth (Fig. 2a). Without IFN α treatment, there was no significant difference in tumor growth between control and STAT1-deleted cells. We also confirmed that STAT1 expression in tumor had been knocked down for at least 20 days after injection (Fig. 2b). Real-time RT-PCR and western blot analyses revealed that VEGF expression in tumors resected 20 days after transplantation was lower in the IFN α -treated group than in the control, which was consistent with the *in vitro* results, but surprisingly it was increased when the STAT1 gene was knocked down (Figs. 2c and 2d). To examine whether inhibition or progression of tumor growth was due to VEGF levels, we used bevacizumab, a VEGF monoclonal antibody, and measured tumor size at 25 days after injection of tumor cells. No differences were noted between the control and IFN α -treated groups irrespective of STAT1 expression when these mice were treated with bevacizumab (Fig. 2e). To examine the possibility that the human IFN α acts *in vivo* equally well on mouse cells and on human cell lines, we extracted mouse liver as well as transplanted tumor and examined STAT1 activity. It revealed that STAT1 was strongly activated in human cell lines but less activated in mouse liver (Supplementary Fig. 6). These data suggest that in this model, IFN α had an inhibitory effect on tumor growth through inhibition of VEGF expression, and that it also had a promotive effect through enhancement of VEGF expression when STAT1 expression was knocked down in tumor cells.

As a typical hypervascular tumor, HCC produces and secretes VEGF, thereby forming new tumor vessels, which provide oxygen and nutrients to cancer cells causing them to grow. Microvessel density was assessed by CD31 immunostaining of hepatic tumors resected 25 days after injection of tumor cells. It revealed that the number of CD31 positive cells, as an indicator of microvessels, was less in IFN α -treated mice, but without STAT1 they were enhanced by IFN α administration (Fig. 2f). Taken together, IFN α plays contrary roles for forming tumor vessels by regulating VEGF expression.

IFN α regulates VEGF expression through STAT3 activation in STAT1-null cells

Tumor growth was seen only in the STAT1-deleted and IFN α -treated group even when 1×10^5 cells were injected, although no tumors were observed in the other groups (Fig. 3a). Some adhesion molecules were examined and hyaluronan synthase (HAS) 2 was induced by

IFN α stimulation in the STAT1-deleted cells and higher expression levels of hyaluronic acid were observed in the STAT1-deleted and IFN α -treated tumors (Figs. 3b, 3c and Supplementary Fig. 7). The presence of STAT1 has an effect on them because HAS2 expression and HA concentration were also different between STAT1 (+);IFN (-) group and STAT1(-);IFN (-) group. These results indicate that IFN α -STAT1 regulates HAS2 expression, promoting attachment of tumor cells, because HAS2 has been implicated in the developmental process involving adherence to the extracellular matrix and tissue expansion through high expression of hyaluronic acid.¹⁴

The inhibitory effect of STAT1 on VEGF expression was due to its binding to HIF-1 α , but the enhancement effect remains unclear. Jung JE et al. have reported that STAT3 is a potential modulator of HIF-1-mediated VEGF expression.¹⁵ To examine STAT3 activation under IFN α stimulation, western blot analysis was performed. As shown in Fig. 3d, 1.0×10^3 IU/ml of IFN α induced almost the same level of phosphorylated STAT3 (pSTAT3), but prolonged STAT3 activity more in the STAT1-deleted cells than in the control. Administration of a higher concentration of IFN α , such as 5.0×10^3 or 2.0×10^4 IU/ml, also caused much more activation of STAT3 in the STAT1 knock-down cells than in the control. These data indicate that IFN α activated STAT3 without de novo protein synthesis, which might result in high expression of VEGF when STAT1 expression has been knocked down. The influence of enhanced STAT3 activity on HIF-1 α expression in the STAT1 knock-down cells was examined by western blot analysis but IFN α had no effects on HIF-1 α expression, irrespective of S31-201, specific STAT3 inhibitor (Selleck, TX)(Fig. 3e). Administration of CoCl₂ induced VEGF expression and this induction was enhanced by IFN α stimulation in STAT1-null cells (Fig. 3f). This augmentation was canceled by pretreatment with S31-201, providing evidence that IFN α enhanced VEGF expression through STAT3 activation in the absence of STAT1. This synergistic effect was found not only with CoCl₂ and IFN α stimulation, but also with CoCl₂ and IL-6 stimulation (Fig. 3g). These results indicate that inflammation itself is a potent modulator of VEGF expression when STAT1 expression is silenced or downregulated.

Patients with suppressed STAT1 activity show a poor prognosis, which might be linked to upregulation of VEGF

To examine the activity of STAT1 in human HCC samples, we used 29 pairs of surgically resected human HCC tumors (T) and adjacent non-tumor (NT) tissue samples. The backgrounds of the 29 cases are shown in Table 1. No one had received radiation or chemotherapy after surgery. In seven cases, STAT1 phosphorylation was suppressed in T compared to NT while in two cases, it was enhanced. All cases were then divided into two groups. In suppressed STAT1 group, STAT1 activity level was reduced more than by 2-fold in T to NT by western blot (abbreviated as supS1 group, representative data was shown in Fig. 4a, No. 1 and 2). In 22 patients, STAT1 activation was not so changed or enhanced when comparing T with NT (control group, Fig. 4a, No. 3-8). These two groups had no statistical differences in their clinical backgrounds (Table 1). With most the same levels of serum alanine aminotransferase, our results suggest that these two groups had similar levels of hepatic inflammation. Expression of STAT1 target genes, such as interferon regulatory factor (IRF)-1 and STAT1 itself, were measured by real-time RT-PCR, and statistically less expression of these molecules in the supS1 group were found in T than in NT (Fig. 4b). This is evidence for STAT1 activation in the supS1 group being suppressed in HCC tissues. Next, prognostic factors were examined, and surprisingly, all patients in the supS1 group displayed HCC recurrence within two years irrespective of having the same levels of liver function and staging of HCC compared to the control group (Table 1). We also observed suppression of STAT1 activity in tumor was associated with significantly worse recurrence-free survival by Kaplan-Meier analysis (Fig. 4c). As for the cause of the early recurrence in

the supS1 group, the rate of microscopic portal venous invasion was significantly higher in the supS1 group. This finding indicates that the early recurrence may be associated with micro-portal invasion.

We next asked why suppression of hepatic STAT1 activity could be linked to vessel invasion by examining vessel invasion-related molecules, such as FGF, MMP, tissue inhibitor of metalloproteinase (TIMP) and VEGF, in these two subject groups. VEGF expression level was higher in the whole supS1 group than in the control, and its expression is much more enhanced in T than in NT (Fig. 4d and Supplementary Fig. 8). Other molecules were supposed not to contribute to the high HCC recurrence in supS1 group. Not only angiogenic potential but also permeability functions of VEGF appear to strongly mediate cancer invasion as described in the Introduction section.^{2, 16} These results and previous reports suggest that inhibited STAT1 activity might cause upregulation of VEGF expression, resulting in portal invasion and poor prognosis.

To investigate finally the direct regulation of VEGF by STAT1 activation, we used these HCC samples. They revealed a reverse correlation between VEGF and STAT1-regulated gene expression, such as IRF-1 and STAT1 itself (Fig. 4e). Since the number of patients in this study was quite small, we cannot come to the strong conclusion, but these results are consistent with the hypothesis that STAT1 activation negatively regulates VEGF expression.

Discussion

Recently, IFN α therapy has been reported to be effective for preventing HCC recurrence.¹⁷ IFN is known to exert immunomodulatory effects by stimulating T cells, natural killer cells and monocytes. These immune cells play roles in prevention of HCC recurrence by IFN α therapy, however, it remains elusive whether IFN α therapy is effective on hepatocytes, and how IFN α mediates its effect on them.

We have shown *in vitro* that IFN α -treated activation of JAK-STAT pathway causes inhibition of VEGF expression through abrogation of HRE-promoter activity. Some molecules were reported to have effects on gene transcription of VEGF,^{3, 18} but this is the first report to show that STAT1 directly binds to HIF-1 and regulates HRE-promoter activity. This is a newly discovered mechanism about IFN α -treated inhibition of VEGF expression, but more important is the fact that IFN α has adverse effects when STAT1 expression is silenced. That is, STAT3 is much more activated under stimulation with IFN α , followed by enhancement of expression of target genes, such as VEGF, as indicated in this study. This is the so-called “STAT-shift”, and the same event occurs in the case of the growth hormone-STAT5, interleukin-6-STAT3.¹⁹⁻²¹ STAT1 expression is regulated by IFN α , and thus the less activated the STAT1 is, the less it is expressed. This negative loop of STAT1 is more likely to occur in the clinical setting than other STATs. In some cases, IFN α therapy causes rapid progression of HCC with vessel invasion,²² in which case the molecular pathogenesis might be explained by a STAT-shift and negative loop of STAT1 action.

This study has yielded three novel findings. First, patients with suppressed STAT1 activity have poor prognosis because of high HCC recurrence. This might be caused by upregulation of VEGF. Second, IFN α -treated activation of JAK-STAT pathway causes inhibition of VEGF expression through interaction of the HIF-1 complex with STAT1. Third, treatment with IFN α contributes to the harmful consequences when STAT1 expression is silenced or downregulated. These findings should be helpful for deciding which therapy is suitable for HCC patients. In some cases conventional therapy should be replaced by other therapies, such as bevacizumab, one of the molecular target drugs for patients with suppressed STAT1 activity in tumor.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations used in this paper

| | |
|-------------------------|--|
| STAT | Signal transducers and activators of transcription |
| VEGF | vascular endothelial growth factor |
| IFN | interferon |
| HCC | hepatocellular carcinoma |
| HRE | hypoxia responsive element |
| HIF | hypoxia-inducible factor |
| MMP | matrix metalloproteinase |
| FGF | fibroblast growth factor |
| IP | intraperitoneally |
| T | HCC tumors |
| NT | adjacent non-tumor tissue |
| IRF | interferon regulatory factor |
| TIMP | tissue inhibitor of metalloproteinase |
| CoCl₂ | cobalt chloride |
| JAK | Janus kinase |
| TK | thymidine kinase |
| Ip | Immunoprecipitation |
| ChIP | Chromatin Immunoprecipitation |
| HAS | hyaluronan synthase |
| pSTAT | phosphorylated STAT |
| CH | chronic hepatitis |
| LC | liver cirrhosis |
| simple | simple nodular type |
| surr | simple nodule with surrounded proliferation |
| multi | multiple nodular type |

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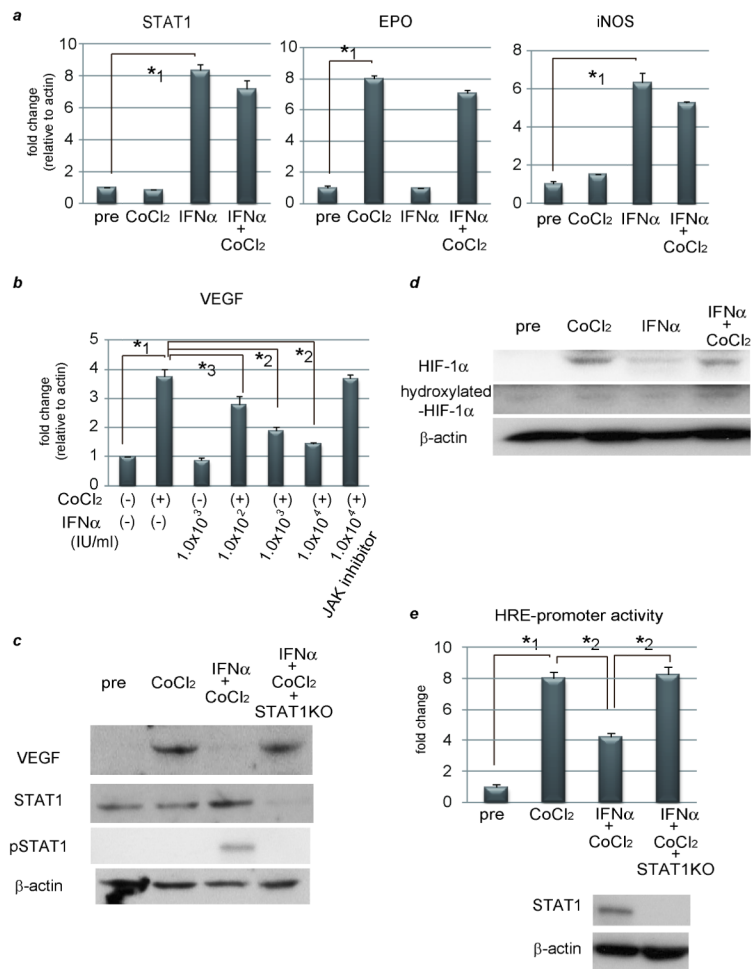
Novelty & Impact Statements

In this manuscript, we want to show two novel findings. First, IFN α -treated activation of JAK-STAT pathway causes inhibition of VEGF expression through interaction of the HIF-1 complex with STAT1. This is a newly discovered mechanism of IFN α . Second, IFN α therapy contributes to the harmful consequences when STAT1 expression is silenced or downregulated. In some cases conventional therapy should be replaced by the other therapies, such as bevacizumab, for patients with suppressed STAT1 activity in tumor.

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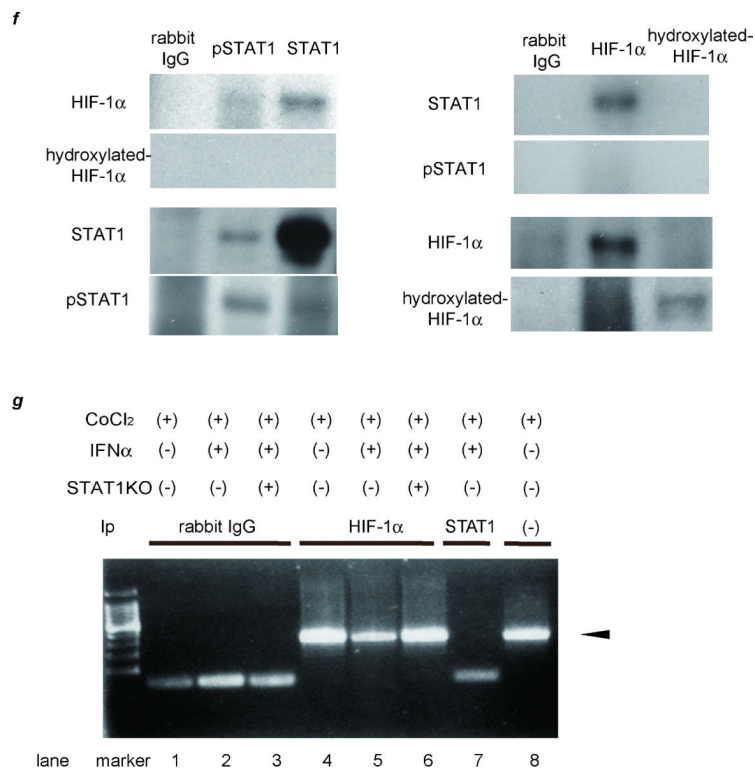


Figure 1. IFN α suppresses VEGF expression through inhibiting HRE-promoter activity by interaction between STAT1 and HIF-1 complex

(a) (b) Expression levels as detected by real-time RT-PCR analysis. Cells were treated with 100 μ M CoCl₂ and/or 1.0 \times 10³ IU/ml (a) or various concentrations of IFN α (b) for 24 hours. To block JAK-STAT signal transduction, 100 nM of JAK inhibitor 1 was pretreated 1 hour before stimulation. (c) Activation of STAT1 and expression of STAT1 and VEGF as detected by western blot analysis. PLC/PRF/5 cells were transfected with control siRNA or siRNA for STAT1 and subjected to each stimulation for 24 hours. (d) Expression levels of HIF-1 α and hydroxylated-HIF-1 α as detected by western blot analysis. (e) Cells were cotransfected of the pGL2TkHRE plasmid or the pGL2Tk plasmid (as a control vector) with the pRLtk plasmid (Promega). The cells were then stimulated with 100 mM of CoCl₂ units/ml and/or 1.0 \times 10³ IU/ml of IFN α , or left unstimulated, and subjected to dual luciferase assay. The relative light unit of the unstimulated sample was considered as 1 and the data were expressed as mean \pm S.D. Lower panel shows expression levels of STAT1 as detected by western blot analysis. (f) Cellular lysates from CoCl₂ and IFN γ -treated PLC/PRF/5 cells were immunoprecipitated with nonspecific γ -globulin (*lane 1*) and antibodies against pSTAT1 (left panel, *lane 2*), STAT1 (left panel, *lane 3*), HIF-1 α (right panel, *lane 2*), and hydroxylated-HIF-1 α (right panel, *lane 3*), and the immunoprecipitates were subjected to western blot analysis to detect each protein. (g) ChIP assay with HIF1 α or STAT1 antibody. Cells were transfected with siRNA for STAT1 or control, and stimulated with CoCl₂ for 24 hours and/or IFN α for 3 hours. The immunoprecipitated DNA was purified and the region from -1386 to -1036 base pairs of the human VEGF promoter was amplified by PCR (35 cycles). Cell lysates without Ip was used as a positive control (*lane 8*). Asterisks indicate significant differences (*1, P<.001, *2, P<.01, *3, P<.05).

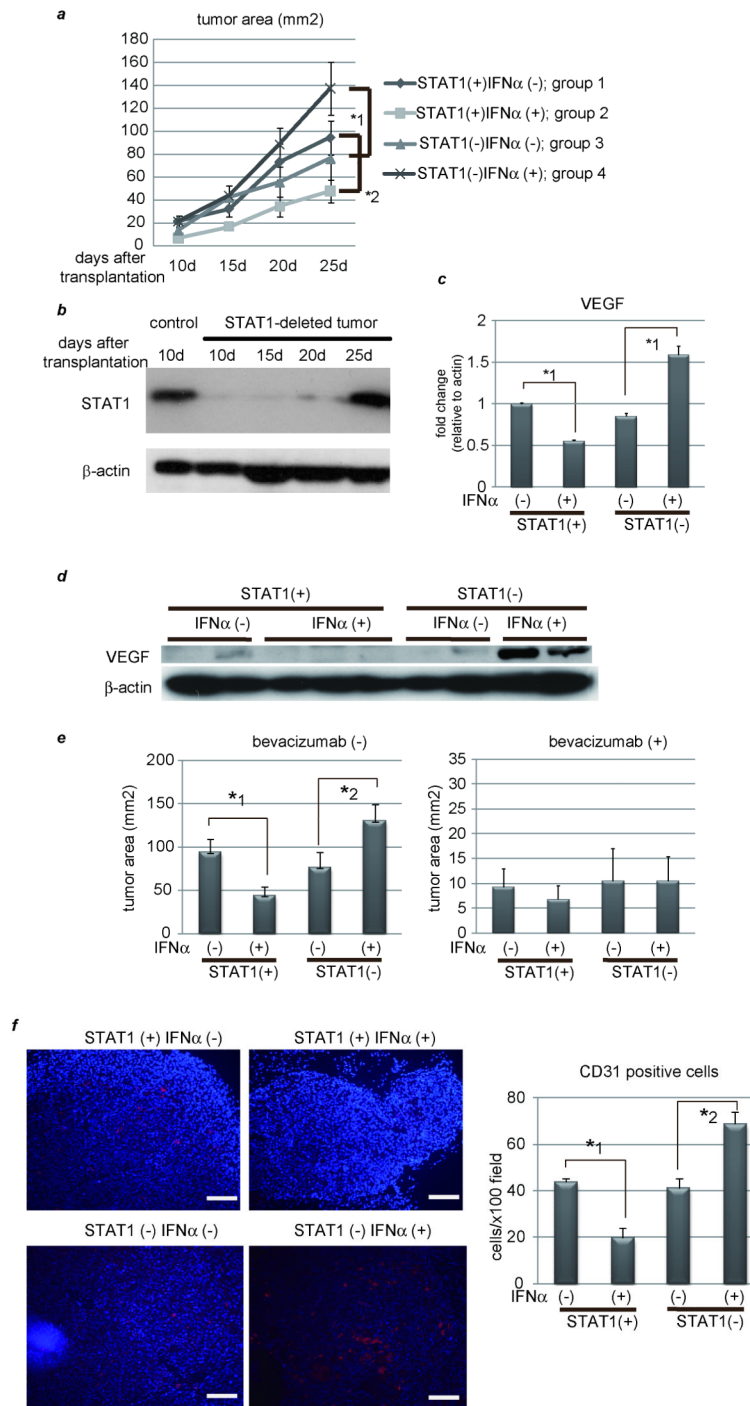


Figure 2. IFN α has an inhibitory effect on tumor development in the presence of STAT1 but an opposite effect in the absence of STAT1

(a) PLC/PRF/5 cells were transfected with control siRNA (group 1 and 2) or siRNA for STAT1 (group 3 and 4). One day after treatment, 5×10^6 of these cells were subcutaneously injected to Balb/c nude mice, and tumor area was measured every 5 days. In two groups (group 2 and 4), 5×10^4 IU/mouse of IFN α were administered IP every day, and in the other groups (group 1 and 3) the same volume of 0.9% saline was injected as a control. The

number of mice in each group was 5-7. (b) Expression levels of STAT1 as detected by western blot analysis. Each subcutaneous tumor in group 4 was removed at the different time points (10, 15, 20, 25 days after transplantation). One of tumors in group 2 was used as a positive control. (c) (d) Expression levels of VEGF as detected by real-time RT-PCR or western blot analysis. Each subcutaneous tumor was removed 20 days after transplantation. (e) Tumor area at 25 days after transplantation in each group in the absence (left panel) or presence (right panel) of bevacizumab, the monoclonal anti-VEGF antibody (N = 5-7 per group). Three days after injection of tumor cells, 10 mg/g body weight of bevacizumab were injected IP twice a week. (f) Immunofluorescent staining with anti-CD31 (red) antibody and Dapi (blue). Images are shown at low magnification (100×, left panel). Bars, 200 μm. The number of CD31-positive cells were presented (right panel). These data were acquired from three different fields in each tumor. Asterisks indicate significant differences (*1, P<.01, *2, P<.05).

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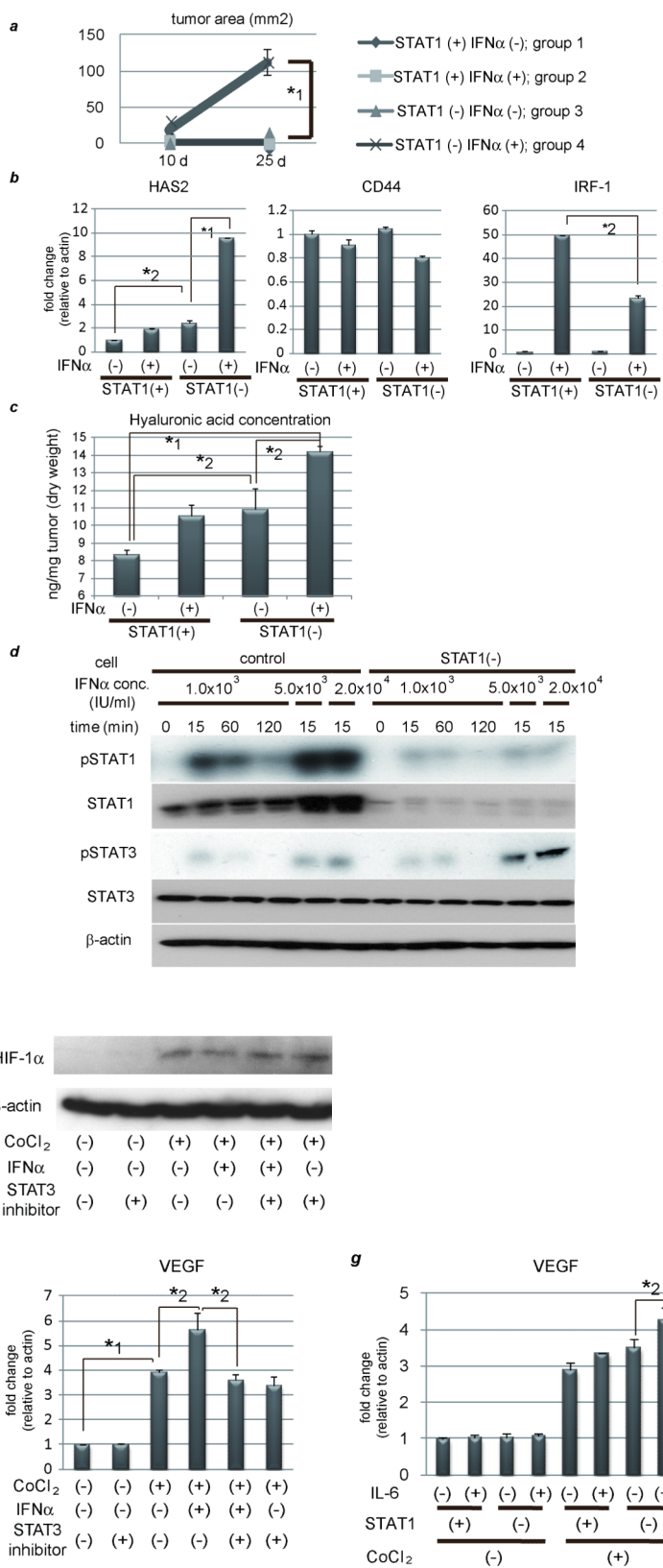


Figure 3. IFN α causes enhancement of HAS2 and VEGF through STAT3 activation in the absence of STAT1

(a) 1×10^5 of transfected cells were subcutaneously injected to Balb/c nude mice. Other procedures are the same as Fig. 3b. (b) Expression levels of hyaluronic acid synthase (HAS) 2, CD44, and IRF-1 as detected by real-time RT-PCR analysis. PLC/PRF/5 cells were transfected with control siRNA or siRNA for STAT1 and stimulated on the next day with 5.0×10^3 IU/ml of IFN α for 3 hours or left untreated. (c) Hyaluronic acid levels of each tumor as detected by ELISA assay. (d) Activation/expression of STAT1 and STAT3 as detected by western blot analysis. PLC/PRF/5 cells were transfected with control siRNA or siRNA for STAT1 and stimulated on the next day with various concentration of IFN α for 15, 60, or 120minutes. (e) (f) STAT1-knockdown cells were pretreated with or without 100 μ M of S31-201, a specific STAT3 inhibitor, before CoCl₂ and/or 5.0×10^3 IU/ml of IFN α . (e) Expression levels of HIF-1 α as detected by western blot analysis. (f) (g) Expression levels of VEGF as detected by real-time RT-PCR analysis. (g) Control and STAT1-knockdown cells were treated with CoCl₂ and/or 100 ng/ml of IL-6. Asterisks indicate significant differences (*1, P<.001, *2, P<.01).

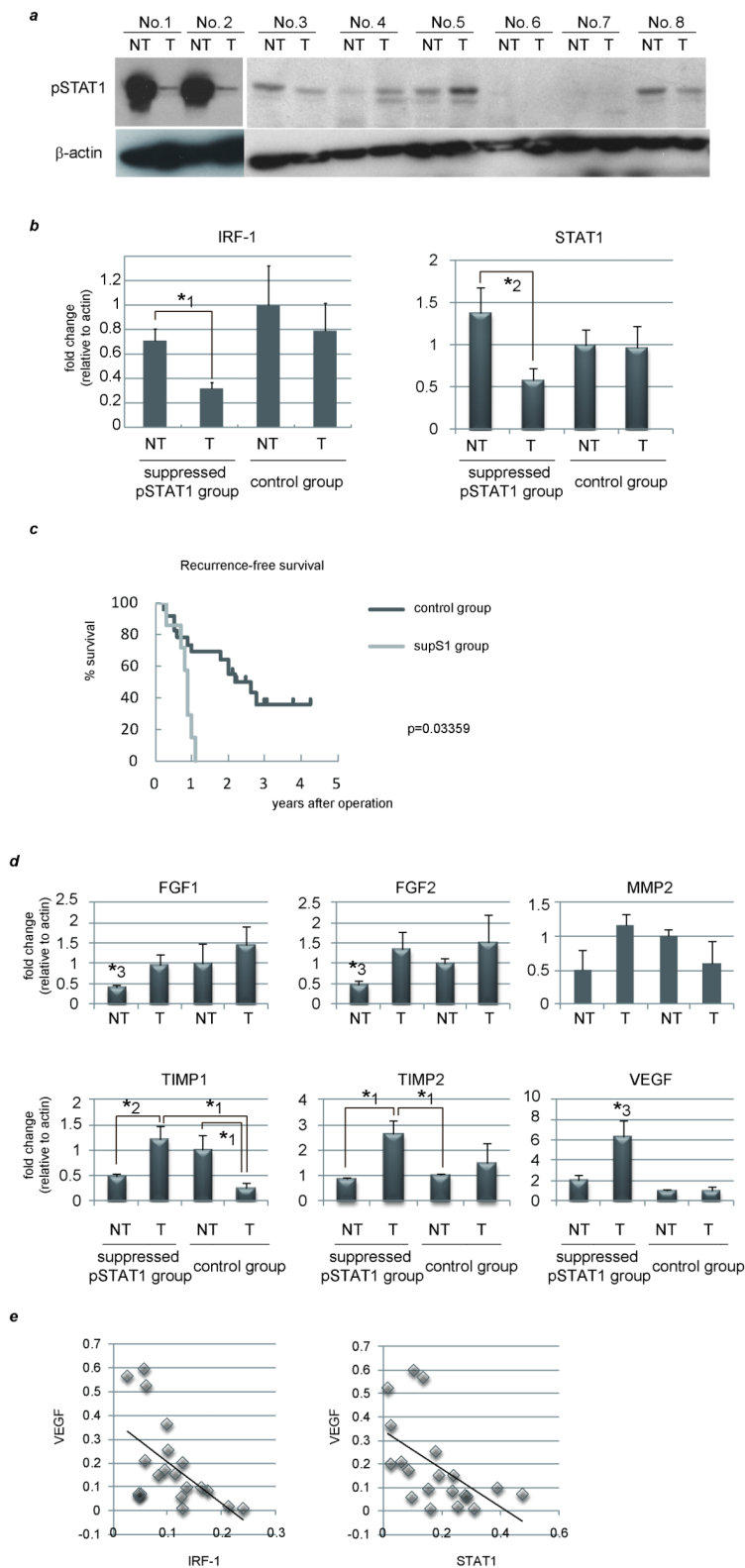


Figure 4. Suppressed STAT1 activity links to upregulation of VEGF, which might cause early HCC recurrence

29 pairs of surgically resected human HCC tumors (T) and adjacent non-tumor (NT) tissues were used. They were divided into two groups based on STAT1 activity (supS1 versus control). (a) Activation levels of STAT1 in T and NT as detected by western blot analysis. Representative data are presented. (b) (d) Expression levels in each tissue of two divided groups as detected by real-time RT-PCR analysis. The relative expression level of the NT sample in the control group was set as 1, and the fold expression level of each tissue was calculated. (c) Predictors of recurrence-free survival were identified using the Kaplan-Meier method. (e) IRF-1, STAT1, and VEGF mRNA levels in the liver of 29 HCC samples were determined by real-time RT-PCR and plotted to analyze the correlation between IRF-1 and VEGF (Pearson correlation coefficient (R) = -0.5446, P < .01) (left) or between STAT1 and VEGF (R = -0.5514, P < .01) (right). The asterisks indicate significant differences (*1, P < .01, *2, P < .05, *3, P < .05 versus all other groups).

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Table 1
Demographic characteristics of patients and tumors

Liver function was categorized by Child-Pugh classification and the tumors were also staged by means of the pTNM system. At least every 3 months, diagnostic imaging and blood sampling was done to check for HCC recurrence. Asterisks indicate significant differences (*1, p=0.0033, *2, p=0.042, *3, p=0.008).

| | Total HCC patients | Suppressed pSTAT1 group | control group |
|--|--------------------|-------------------------|----------------|
| Total number | 29 | 7 | 22 |
| Male/Female | 21 / 8 | 6 / 1 | 15 / 7 |
| Age (year) | 61.6 ± 10.3 | 66.5 ± 8.0 | 60.2 ± 10.8 |
| Etiology (HBV/HCV) | 11 / 15 | 3 / 5 | 8 / 10 |
| Non-tumor lesion (normal/CH/LC) | 2 / 18 / 9 | 0 / 6 / 1 | 2 / 12 / 8 |
| ALT | 44.0 ± 30.0 | 41.1 ± 21.0 | 46.2 ± 33.2 |
| Platelets | 15.7 ± 5.8 | 14.7 ± 1.9 | 16.0 ± 5.6 |
| Child (A/B/C) | 20 / 3 / 6 | 7 / 0 / 0 | 13 / 3 / 6 |
| Stage (I/II/III/IV) | 2 / 16 / 10 / 1 | 0 / 6 / 1 / 0 | 2 / 10 / 9 / 1 |
| HCC recurrence (within/over 2 years) | 15 / 14 | 7 / 0 *1 | 8 / 14 |
| Tumor size (cm) | 4.8 ± 2.6 | 5.5 ± 2.4 | 4.6 ± 2.3 |
| Macroscopic classification (simple/surr/multi/invasive) | 6 / 13 / 6 / 4 | 1 / 5 / 0 / 1 | 5 / 8 / 6 / 3 |
| Capsule formation (+/-) | 20 / 9 | 7 / 0 *2 | 13 / 9 |
| Histology(moderate/poor) | 10 / 19 | 3 / 4 | 7 / 15 |
| AFP | 1337 ± 4402 | 766 ± 579 | 1637 ± 5161 |
| Vessel invasion (Vv +/-) | 1 / 28 | 0 / 7 | 1 / 21 |
| Vessel invasion (Vp +/-) | 9 / 20 | 5 / 2 *3 | 4 / 18 |