

Online Submissions: http://www.wjgnet.com/esps/ wjg@wjgnet.com doi:10.3748/wjg.v18.i48.7384 World J Gastroenterol 2012 Decmeber 28; 18(48): 7384-7393 ISSN 1007-9327 (print) ISSN 2219-2840 (online) © 2012 Baishideng. All rights reserved.

META-ANALYSIS

# Effectiveness of 5-flurouracil-based neoadjuvant chemotherapy in locally-advanced gastric/gastroesophageal cancer: A meta-analysis

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Received: July 14, 2012 Revised: October 29, 2012 Accepted: November 6, 2012

Published online: December 28, 2012

# Abstract

**AIM:** To investigate the effectiveness of 5-flurouracilbased neoadjuvant chemotherapy (NAC) for gastroesophageal and gastric cancer by meta-analysis.

**METHODS:** MEDLINE and manual searches were performed to identify all published randomized controlled trials (RCTs) investigating the efficacy of the flurouracilbased NAC for gastroesophageal and gastric cancer, and RCTs of NAC for advanced gastroesophageal and gastric cancer vs no therapy before surgery. Studies that included patients with metastases at enrollment were excluded. Primary endpoint was the odds ratio (OR) for improving overall survival rate of patients with gastroesophageal and gastric cancer. Secondary endpoints were the OR of efficiency for down-staging tumor and increasing R0 resection in patients with gastroesophageal and gastric cancer. Safety analyses were also performed. The OR was the principal measurement of effect, which was calculated as the treatment group (NAC plus surgery) *vs* control group (surgery alone) and was presented as a point estimate with 95% confidence intervals (CI). All calculations and statistical tests were performed using RevMan 5.1 software.

**RESULTS:** Seven RCTs were included for the analysis. A total of 1249 patients with advanced gastroesophageal and gastric cancer enrolled in the seven trials were divided into treatment group (n = 620) and control group (n = 629). The quality scores of the RCTs were assessed according to the method of Jadad. The RCT quality scores ranged from 2 to 7 (5-point scale), with a mean of 3.75. The median follow-up time in these studies was over 3 years. The meta-analysis showed that NAC improved the overall survival rate (OR 1.40, 95%CI 1.11-1.76; P = 0.005), which was statistically significant. The 3-year progression-free survival rate was significantly higher in treatment group than in control group (37.7% vs 27.3%) (OR 1.62, 95%CI 1.21-2.15; P = 0.001). The tumor down-stage rate was higher in treatment group than in control group (55.76% *vs* 41.38%) (OR 1.77, 95%CI 1.27-2.49; *P* = 0.0009) and the R0 resection rate of the gastroesophageal and gastric cancer was higher in treatment group than in control group (75.11% vs 68.56%) (OR 1.38, 95%CI 1.03-1.85; P = 0.03), with significant differences. No obvious safety concerns about mortality and complications were raised in these trials. There were no statistically significant differences in perioperative mortality (5.08% vs 4.86%) (OR 1.05, 95%CI 0.57-1.94; P = 0.87 fixed-effect model) and in the complication rate between the two groups (13.25% vs 9.66%) (OR 1.40, 95%CI 0.91-2.14; P = 0.12 fixed-effect model). Trials showed that patients from Western countries favored NAC compared with those from Asian countries (OR 1.40, 95%CI 1.07-1.83). Monotherapy was inferior to



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multiple chemotherapy (OR 1.40, 95%CI 1.07-1.83). Intravenous administration of NAC was more advantageous than oral route (OR 1.41, 95%CI 1.09-1.81).

**CONCLUSION:** Flurouracil-based NAC can safely improve overall survival rate of patients with gastroesophageal/gastric cancer. Additionally, NAC can down the tumor stage and improve R0 resection.

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Key words: Gastroesophageal cancer; Gastric cancer; Neoadjuvant chemotherapy; Meta-analysis

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Ge L, Wang HJ, Yin D, Lei C, Zhu JF, Cai XH, Zhang GQ. Effectiveness of 5-flurouracil-based neoadjuvant chemotherapy in locally-advanced gastric/gastroesophageal cancer: A meta-analysis. *World J Gastroenterol* 2012; 18(48): 7384-7393 Available from: URL: http://www.wjgnet.com/1007-9327/full/v18/ i48/7384.htm DOI: http://dx.doi.org/10.3748/wjg.v18.i48.7384

## INTRODUCTION

Gastric and esophageal cancers are among the leading causes of cancer-related death worldwide<sup>[1,2]</sup>. In spite of a declining incidence of the distal stomach cancer in the Western countries over the past decades, the incidence of adenocarcinoma of the lower esophagus and the gastroesophageal junction has dramatically increased in the world<sup>[3]</sup>. Early-stage gastric and gastroesophageal cancers are curable with surgical treatment alone, with a 5-year overall survival rate of 90%. However, the majority of gastric and gastroesophageal cancer patients are diagnosed with advanced diseases (stages II or IV)<sup>[4]</sup>. The advanced gastric and gastroesophageal cancer without distant metastasis is still a potentially curable disease, but the prognosis is poorer than the early-stage diseases. Treatment of advanced gastroesophageal cancer is still a challenge for gastrointestinal surgeons. Localized tumors, limited to the submucosa, can be best treated surgically, with a long-term survival of 70%-95%, but the prognosis of locally advanced tumors is poor due to a high unresectability rate at presentation, and a much higher relapse rate after radical surgery<sup>[5,6]</sup>, thus demanding further studies regarding neoadjuvant treatment.

Theoretically, the administration of the neoadjuvant chemotherapy (NAC) appears to have several potential benefits for gastroesophageal and gastric cancers: to reduce the tumor volume, to improve the R0 resection rate, to act on micrometastases and to evaluate tumor chemosensitivity to cytotoxic medications. Randomized trials and meta-analyses have demonstrated a benefit with neoadjuvant or perioperative chemotherapy in gastric and gastroesophageal cancers. However, the optimal approach in individual patients is not clear and remains controversial<sup>[7,8]</sup>. The aim of the current meta-analysis under such circumstances was to evaluate the effectiveness of NAC in treatment of gastric and gastroesophageal cancers and explore the optimal strategy for chemotherapy delivery.

# MATERIALS AND METHODS

## Data collection and selection

MEDLINE and manual searches were carried out to identify all published RCTs that compared the flurouracilbased NAC plus surgery with surgery alone for advanced gastric and gastroesophageal cancers. The search was done on PudMed using three sets of terms: "esophagogastric junction/gastroesophageal/gastric"; "carcinoma/cancer"; and "neoadjuvant chemotherapy/preoperative chemotherapy". A limit was set on the randomized controlled trials (RCTs) and the terms were set to title/abstract.

## Inclusion criteria

The following inclusion criteria were used: (1) RCTs that compared the flurouracil-based NAC plus surgery with no treatment before surgery for gastric and gastroesophageal cancers; (2) blindness of the trial was not required; (3) patients with pathologically diagnosed esophagogastric junction or gastric adenocarcinoma, without prior treatment before entering the trial, but with a history of potentially curative surgery; and (4) studies which were considered updated.

## Exclusion criteria

(1) Studies on preoperative radiotherapy or immunotherapy; and (2) studies with the control group receiving chemotherapy were excluded.

The data of each RCT were collected by two reviewers (Zhang GQ and Wang HJ) independently. The results were consistent.

## Data checking and assessment

Methodological quality of trials was evaluated using the modified Jadad quality scores<sup>[9]</sup>, which include secure method of randomization, allocation concealment, double-blinding, and information on withdrawals, and losses to follow-up. Based on these criteria, the studies were divided into high-quality group (score  $\geq 3$ ) and low-quality group (score  $\leq 2$ ). Two reviewers independently assessed the eligibility of each trial.

## Data extraction

The following data were extracted from each study and recorded using a predesigned form: authors, year of publication, patient population, country of investigators, sample size (total, eligible, and per arm), chemotherapy regimen, cycles of chemotherapy, follow-up period, curative effect (survival rate, rate of macroscopic radical resection and cancer stage at pathological examination), and adverse events. Two reviewers did the extraction independently.



No.	Authors and year of publication	Country	ountry Patients (n		Treatment	group	Control group	
			Treatment	Control	Pre-op	Post-op	Pre-op	Post-op
1	Schuhmacher et al <sup>[15]</sup> , 2010	Germany	72	72	5-FU + DDP	None	None	None
2	Boige <i>et al</i> <sup>[11]</sup> , 2011	France	113	111	FP	FP	None	None
3	Cunningham et al <sup>[12]</sup> , 2006	United Kingdom	250	253	ECF	ECF	None	None
4	Hartgrink et al <sup>[13]</sup> , 2004	Holland	27	29	FAMTX		None	None
5	Zhang <i>et al</i> <sup>[17]</sup> , 2004	China	37	54	IV (no details)		None	None
6	Kobayashi <i>et al</i> <sup>[14]</sup> , 2000	Japan	91	80	5-FU (oral)	CT	None	None
7	Wang et al <sup>[16]</sup> , 2000	China	30	30	5-FU (oral)		None	None

5-FU: 5-fluorouracil; DDP: Cisplatin; FP: 5-FU/cisplatin; ECF: Epirubicin/cyclophosphamide/5-FU; FAMTX: 5-FU/adriamycin/methotrexate; CT: Chemotherapy; IV: Intravenous; Pre-op: Preoperative; Post-op: Postoperative.

## Table 2 Origin of the included studies and Jadad score

No.	Authors	Titles	Jadad score
1	Schuhmacher et al <sup>[15]</sup>	Neoadjuvant chemotherapy compared with surgery alone for locally advanced cancer of the stomach and cardia:	4
		European Organization for Research and Treatment of Cancer randomized trial 40954	
2	Boige et al <sup>[11]</sup>	Perioperative chemotherapy compared with surgery alone for resectable gastroesophageal adenocarcinoma: an	4
		FNCLCC and FFCD multicenter phase III trial	
3	Cunningham et al <sup>[12]</sup>	Perioperative chemotherapy versus surgery alone for resectable gastroesophageal cancer	7
4	Hartgrink et al <sup>[13]</sup>	Neoadjuvant chemotherapy for operable gastric cancer: long-term results of the Dutch randomized FAMTX trial	5
5	Zhang et al <sup>[17]</sup>	Clinical significance of preoperative regional intra-arterial infusion chemotherapy for advanced gastric cancer	2
6	Kobayashi et al <sup>[14]</sup>	Long-term outcome of preoperative chemotherapy with 5'-deoxy-5-fluorouridine (5'-DFUR) for gastric cancer	3
7	Wang et al <sup>[16]</sup>	A favorable impact of preoperative FPLC chemotherapy on patients with gastric cardia cancer	3

FAMTX: 5-FU/adriamycin/methotrexate; FPLC: Fluorouracili polyphase liposome composita pro orale; FNCLCC: Fe'de' ration Nationale des Centres de Lutte Contrele Cancer; FFCD: Fe'de' ration Francophonede Cance' rologie Digestive Collaborative Groups.

#### Meta-analysis protocol

Data were obtained directly from included articles or calculated by percentage in each article. The meta-analysis was performed using Review Manager 5.1 software (provided by Cochrane Collaboration). Outcomes assessed by this meta-analysis included the overall survival, three-year progression-free survival rate, tumor down-staging rate, R0 resection rate, safety analysis and subgroup analysis. Overall survival was defined as the time between the treatment randomization and the date of the last followup or of the patient's death. Patients who were lost to follow-up were considered as dead. Locoregional recurrence was measured either from the date of treatment randomization to the occurrence of the event or to the date of last follow-up. Heterogeneity between the trials was assessed to determine which model would be used in the meta-analysis. A sensitivity analysis was performed by changing the meta-analysis model. An odds ratio (OR) was the principal measurement of effect. It was calculated as the treatment group *vs* the control group.

### Statistical analysis

All statistical analysis were performed spontaneously using Review Manager 5.1 software<sup>[10]</sup>. Heterogeneity between the trials was assessed using Chi-square test. The OR was presented with a 95%CI.  $I^2$  statistics was used for the degree of heterogeneity evaluation, and P < 0.05 was considered statistically significant.

## RESULTS

### Eligible trials

Seven RCTs were identified, and the quality scores of the RCTs were assessed according to the method of Jadad. The details are shown in Table 1. The RCT quality scores ranged from 2 to 7 (5-point scale), with a mean of 3.75 (Tables 2 and 3).

#### **Overall survival rates**

There was no significant heterogeneity between the trials (P = 0.52), and the fixed effects model was used. The data in the seven studies<sup>[11-17]</sup> were available for the analysis of overall survival. There were 620 patients in the treatment group and 629 patients in the control group in this metaanalysis. The median follow-up time was over three years. The OR, expressed as treatment group vs control group, was 1.40 (95%CI 1.11-1.76; P = 0.005). The difference of the overall survival between the treatment group and the control group was statistically significant. The overall survival was increased by 7.96% in the treatment group compared with the control group (Figure 1A). The number needed to treat (NNT) was 12. A sensitivity analysis was performed by changing the effect model into the random effect model. The results showed that the confidence interval of the odds ratio did not lie across the non-effect line, and the difference of the overall survival was statistically significant between the treatment group



Table 3 Quality assessment of	the included trials				
Authors and year of publication	Randomization	Allocation concealment	Blinding	Withdrawal and dropout	Jadad score
Schuhmacher <i>et al</i> <sup>[15]</sup> , 2010	Without details	Without details	Without details	Well reported	4
Boige <i>et al</i> <sup>[11]</sup> , 2011	Without details	Without details	Without details	Well reported	4
Cunningham et al <sup>[12]</sup> , 2006	Well reported	Envelope	Double-blind	Well reported	7
Hartgrink et al <sup>[13]</sup> , 2004	Well reported	Envelope	No	Well reported	5
Zhang et al <sup>[17]</sup> , 2004	Without details	None	No	Well reported	2
Kobayashi <i>et al</i> <sup>[14]</sup> , 2000	Well reported	Envelope	No	Well reported	3
Wang et al <sup>[16]</sup> , 2000	Without details	Without details	No	Well reported	3

Α	A Treatme		Con	trol		Odds ratio	Odds ratio
Study or subgroup	Events Total		Events	Total	Weight	M-H, fixed, 95%CI	M-H, fixed, 95%CI
Boige <i>et al</i> <sup>[11]</sup>	42	113	26	111	13.7%	1.93 [1.08, 3.46]	
Cunningham <i>et al</i> <sup>[12]</sup>	101	250	83	253	40.7%	1.39 [0.96, 2.00]	+ <b>B</b> -
Hartgrink <i>et al</i> <sup>[13]</sup>	6	27	10	29	6.2%	0.54 [0.17, 1.78]	<b>_</b>
Kobayashi <i>et al</i> <sup>[14]</sup>	57	91	49	80	16.1%	1.06 [0.57, 1.97]	_ <b>_</b>
Schuhmacher et al <sup>[15]</sup>	40	72	35	72	12.9%	1.32 [0.69, 2.55]	- <b>-</b>
Wang <i>et al</i> <sup>[16]</sup>	12	30	7	30	3.5%	2.19 [0.72, 6.70]	<b>—</b> —
Zhang <i>et al</i> <sup>[14]</sup>	19	37	21	54	6.9%	1.66 [0.71, 3.86]	<b>—</b>
Total (95%CI)		620		629	100.0%	1.40 [1.11, 1.76]	•
Total events	277		231			- · -	
Heterogeneity: $\chi^2 = 5.2$	1, df = 6 ( <i>P</i> =	0.52), $I^2 =$	0%			0.01	0.1 1 10 100
Test for overall effect: $Z = 2.81$ ( $P = 0.005$ )					F	avors control Favors treatment	

В	Treatment		Con	trol		Odds ratio	Odds ratio
Study or subgroup	Events	Total	Events	Total	Weight	M-H, random, 95%CI	M-H, random, 95%CI
Boige <i>et al</i> <sup>[11]</sup>	42	113	26	111	16.1%	1.93 [1.08, 3.46]	
Cunningham <i>et al</i> <sup>[12]</sup>	101	250	83	253	41.1%	1.39 [0.96, 2.00]	+=-
Hartgrink <i>et al</i> <sup>[13]</sup>	6	27	10	29	3.9%	0.54 [0.17, 1.78]	<b>-</b>
Kobayashi <i>et al</i> <sup>[14]</sup>	57	91	49	80	14.2%	1.06 [0.57, 1.97]	<b>_</b>
Schuhmacher et al <sup>[15]</sup>	40	72	35	72	12.7%	1.32 [0.69, 2.55]	<b>_</b>
Wang <i>et al</i> <sup>[16]</sup>	12	30	7	30	4.4%	2.19 [0.72, 6.70]	
Zhang <i>et al<sup>[17]</sup></i>	19	37	21	54	7.6%	1.66 [0.71, 3.86]	<b>+</b> •
Total (95%CI)		620		629	100.0%	1.40 [1.11, 1.76]	•
Total events	277		231			1	
Heterogeneity: $Tau^2 = 0$	$0.00; \chi^2 = 5.21$	l, df = 6 ( <i>P</i> =	$= 0.52), I^2 = 0$	)%		0.01	0.1 1 10 100
Test for overall effect: Z	' = 2.80 ( <i>P</i> = 0	0.005)					Favors control Favors treatment

Figure 1 Effect of neoadjuvant chemotherapy on overall survival rate. A: Overall survival rate (fixed effects model); B Overall survival rate (random effects model).

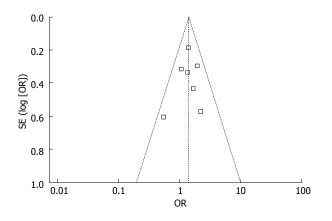


Figure 2 Publication bias in the included studies. Funnel plot analysis of potential publication bias. OR: Odds ratio.

and the control group when the random effect model was used. The fixed effect model and the random effect model were used respectively to evaluate the sensitivity of the results of the analysis (Figures 1B and 2).

## Three-year progression-free survival rate

Three studies<sup>[11,12,15]</sup> compared the 3-year progression-free survival (PFS) rates between the two groups. The 3-year PFS rate was higher in treatment group than in control group (37.7% *vs* 27.3%), (OR 1.62, 95%CI 1.21, 2.15; P = 0.001, fixed-effect model) and NNT was 10 (Figure 3A and B).

# Tumor down-staging rate

Three studies<sup>[12,13,15]</sup> describing the pathological staging of gastroesophageal and gastric cancers after resection (269 in treatment group and 290 in control group) were included in the analysis. The rate of pT1-2 was higher in treatment group than in control group (55.76% *vs* 41.37%) (OR 1.77, 95%CI 1.27, 2.49; P = 0.0009, fixedeffect model) and the NNT was 7 (Figure 4A and B).

## R-0 resection rate

The resection rate of gastroesophageal and gastric cancers was reported in four trials<sup>[11,12,13,15]</sup>. Since no obvious heterogeneity was observed in these studies (P = 0.31,  $I^2$ 



Α	Treatment Control		itrol		Odds ratio	Odds ratio		
Study or subgroup	Events	Total	Events	Total	Weight	M-H, fixed, 95%CI	M-H, fixed, 95%CI	
Boige <i>et al</i> <sup>[11]</sup>	45	113	28	111	23.2%	1.96 [1.11, 3.47]	<b>_</b> _	
Cunningham <i>et al</i> <sup>[12]</sup>	87	250	63	253	55.6%	1.61 [1.09, 2.37]		
Schuhmacher <i>et al</i> <sup>[15]</sup>	32	72	28	72	21.2%	1.26 [0.65, 2.44]		
Total (95%CI)		435		436	100.0%	1.62 [1.21, 2.15]	•	
Total events	164		119			L		
Heterogeneity: $\chi^2 = 0.99$	9, df = 2 ( <i>P</i> =	0.61), $I^2 =$	0%			0.01	0.1 1 10	100
Test for overall effect: Z	= 3.27 ( <i>P</i> = 0	0.001)					Favors control Favors trea	tment
В	Treat	ment	Cont	trol		Odds ratio	Odds ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, random, 95%CI	M-H, random, 95%CI	
Boige <i>et al</i> <sup>[11]</sup>	45	113	28	111	25.5%	1.96 [1.11, 3.47]		
Cunningham <i>et al</i> <sup>[12]</sup>	87	250	63	253	55.7%	1.61 [1.09, 2.37]		
Schuhmacher <i>et al</i> <sup>[15]</sup>	32	72	28	72	18.8%	1.26 [0.65, 2.44]		
Total (95%CI)		435		436	100.0%	1.62 [1.21, 2.16]	•	
Total events	164		119					1
Heterogeneity: $Tau^2 = 0$	$.00; \chi^2 = 0.99$	ə, df = 2 ( <i>P</i> =	$= 0.61), I^2 = 0$	0%		0.01	0.1 1 10	100
Test for overall effect: Z	Test for overall effect: $Z = 3.27 (P = 0.001)$						Favors control Favors trea	tment

Figure 3 Effect of neoadjuvant chemotherapy in progression free survival rate. A: Progression free survival rate (fixed effects model); B Progression free survival rate (random effects model).

Α	Treatment		Con	trol		Odds ratio	Odds ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, fixed, 95%CI	M-H, fixed, 95%CI	
Cunningham et al <sup>[12]</sup>	89	172	71	193	63.9%	1.84 [1.21, 2.80]		
Hartgrink <i>et al</i> <sup>[13]</sup>	15	27	15	29	12.7%	1.17 [0.41, 3.34]		
Schuhmacher <i>et al</i> <sup>[15]</sup>	46	70	34	68	23.4%	1.92 [0.97, 3.80]		
Total (95%CI)		269		290	100.0%	1.77 [1.27, 2.49]	•	
Total events	150		120				· · · · · · · · · · · · · · · · · · ·	
Heterogeneity: $\chi^2 = 0.69$	9, df = 2 ( <i>P</i> =	$(0.71), I^2 = 0$	0%			0.01	0.1 1 10	100
Test for overall effect: $Z = 3.33$ ( $P = 0.0009$ )							Favors control Favors treatm	nent
В	Treatr	ment	Cont	rol		Odds ratio	Odds ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, random, 95%CI	M-H, random, 95%CI	
Cunningham <i>et al</i> <sup>[12]</sup>	89	172	71	193	65.3%	1.84 [1.21, 2.80]		
Hartgrink <i>et al</i> <sup>[13]</sup>	15	27	15	29	10.3%	1.17 [0.41, 3.34]		
Schuhmacher et al <sup>[15]</sup>	46	70	34	68	24.4%	1.92 [0.97, 3.80]		
Total (95%CI)		269		290	100.0%	1.77 [1.27, 2.49]	•	
Total events	150		120			1		
Heterogeneity: $Tau^2 = 0$ .	.00; $\chi^2 = 0.69$	, df = 2 ( <i>P</i> =	$= 0.71), I^2 = 0$	)%		0.01	0.1 1 10	100
Test for overall effect: $Z = 3.32$ ( $P = 0.0009$ )						0.01	Favors control Favors treatm	
Study or subgroupCunningham et $a/^{12}$ Hartgrink et $a/^{13}$ Schuhmacher et $a/^{15}$ Total (95%CI)Total eventsHeterogeneity: Tau <sup>2</sup> = 0.	Events 89 15 46 150 .00; $\chi^2 = 0.69$	Total 172 27 70 269 9, df = 2 ( <i>P</i> =	Events 71 15 34 120	Total 193 29 68 290	65.3% 10.3% 24.4%	M-H, random, 95%CI 1.84 [1.21, 2.80] 1.17 [0.41, 3.34] 1.92 [0.97, 3.80]	M-H, random, 95%CI	 100 nent

Figure 4 Effect of neoadjuvant chemotherapy in tumor down-staging. A: Tumor down-staging rate (fixed effects model); B Tumor down-staging rate (random effects model).

= 17%), the fixed-effect model was used. The R0 resection rate of the gastroesophageal and gastric cancers was higher in treatment group than in control group (OR 1.38, 95%CI 1.03-1.85, P = 0.03, fixed-effect model) and the NNT was 15 (Figure 5A and B).

## Safety analysis

Safety analysis included both chemotherapy-induced adverse effects (grade 3/4, defined according to the Common Toxicity Criteria of the National Cancer Institute, version 2.0) and postoperative complication and mortality. Two studies reported<sup>[11,12]</sup> grade 3/4 adverse effects of NAC, including gastrointestinal side effect in 18.1 % (60/332) and leukopenia in 9.9% (33/332). Three stud-

ies<sup>[11,12,15]</sup> reported perioperative mortality with no statistically significant difference (P = 0.87) between the two groups (5.08% vs 4.86%), (OR 1.05, 95%CI 0.57-1.94, fixed-effect model) (Figures 6 and 7).

## Subgroup analysis

Factors that might influence the results in the two groups were studied (Figure 8). When the overall survival rate was set as the end point, gastroesophageal and gastric cancer patients were benefited more from perioperative chemotherapy than from NAC alone (OR 1.40, 95%CI 1.11-1.76, NNT = 12). Trials showed that patients from Western countries favored NAC compared with those from Asian countries (OR 1.40, 95%CI 1.07-1.83).



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Α	Treat	ment	Control			Odds ratio	Odds ratio
Study or subgroup	ip Events Total		Events	vents Total	Weight	M-H, fixed, 95%CI	M-H, fixed, 95%CI
Boige <i>et al</i> <sup>[11]</sup>	95	113	81	111	16.9%	1.95 [1.02, 3.76]	
Cunningham <i>et al</i> <sup>[12]</sup>	169	244	166	250	65.3%	1.14 [0.78, 1.66]	
Hartgrink <i>et al</i> <sup>[13]</sup>	18	27	19	29	7.9%	1.05 [0.35, 3.19]	
Schuhmacher et al <sup>[15]</sup>	59	70	48	68	9.9%	2.23 [0.98, 5.12]	
Total (95%CI)		454		458	100.0%	1.38 [1.03, 1.85]	◆
Total events	341		314				
Heterogeneity: $\chi^2 = 3.5$	9, df = 3 ( <i>P</i> =	$0.31), I^2 =$	17%			0.01	0.1 1 10 100
Test for overall effect: 2	' = 2.16 ( <i>P</i> = 0	0.03)					Favors control Favors treatment
В	Treat	ment	Cont	rol		Odds ratio	Odds ratio

D	neau	nent	COIL	101		Ouus Talio	Ouusia	001	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, random, 95%CI	M-H, random	n, 95%CI	
Boige <i>et al</i> <sup>[11]</sup>	95	113	81	111	23.3%	1.95 [1.02, 3.76]		_	
Cunningham <i>et al</i> <sup>[12]</sup>	169	244	166	250	52.0%	1.14 [0.78, 1.66]	-#		
Hartgrink <i>et al</i> <sup>[13]</sup>	18	27	19	29	9.2%	1.05 [0.35, 3.19]		-	
Schuhmacher <i>et al</i> <sup>[15]</sup>	59	70	48	68	15.6%	2.23 [0.98, 5.12]			
Total (95%CI)		454		458	100.0%	1.42 [1.01, 2.02]	•		
Total events	341		314						
Heterogeneity: $Tau^2 = 0$	$0.02; \chi^2 = 3.59$	9, df = 3 ( <i>P</i> =	$= 0.31), I^2 =$	17%		0.01	0.1 1	10	100
Test for overall effect: Z	' = 2.00 ( <i>P</i> =	0.05)						avors treat	

Figure 5 Effect of neoadjuvant chemotherapy in tumor R-0 resection. A: R-0 resection rate (fixed effects model); B: R-0 resection rate (random effects model).

Α	Treatr	ment	Con	trol		Odds ratio	Odds ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, fixed, 95%CI	M-H, fixed, 95%CI	
Boige <i>et al</i> <sup>[11]</sup>	5	113	5	111	24.3%	0.98 [0.28, 3.49]		
Cunningham <i>et al</i> <sup>[12]</sup>	14	250	15	253	70.8%	0.94 [0.44, 1.99]		
Schuhmacher et al <sup>[15]</sup>	3	70	1	68	4.9%	3.00 [0.30, 29.58]		-
Total (95%CI)		433		432	100.0%	1.05 [0.57, 1.94]	•	
Total events	22		21					
Heterogeneity: $\chi^2 = 0.90$	), df = 2 ( <i>P</i> =	0.64), $I^2 =$	0%			0.01	0.1 1 10	100
Test for overall effect: $Z = 0.16 (P = 0.87)$							Favors control Favors treat	ment
В	Treatr	ment	Cont	rol		Odds ratio	Odds ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, random, 95%CI	M-H, random, 95%CI	
Boige <i>et al</i> <sup>[11]</sup>	5	113	5	111	24.0%	0.98 [0.28, 3.49]		
Cunningham <i>et al</i> <sup>[12]</sup>	14	250	15	253	68.6%	0.94 [0.44, 1.99]		
Schuhmacher <i>et al</i> <sup>[15]</sup>	3	70	1	68	7.4%	3.00 [0.30, 29.58]		_
Total (95%CI)		433		432	100.0%	1.04 [0.56, 1.93]	•	
Total events	22		21				, T ,	
Heterogeneity: $Tau^2 = 0$ .	.00; $\chi^2 = 0.90$	), df = 2 ( <i>P</i> =	$= 0.64), I^2 = 0$	0%		0.01	0.1 1 10	100
Test for overall effect: Z	= 0.11 ( <i>P</i> = 0	0.91)					Favors control Favors treat	

Figure 6 Effect of neoadjuvant chemotherapy in perioperative mortality. A: Perioperative mortality rate (fixed effects model); B: Perioperative mortality rate (random effects model).

Monotherapy was inferior to multiple chemotherapy (OR 1.40, 95%CI 1.07-1.83). Intravenous administration of NAC was more advantageous than oral route (OR 1.41, 95%CI 1.09-1.81) (Figure 7).

# DISCUSSION

Gastric cancer remains to be an important health issue worldwide. Over the past two decades, the incidence of distal gastric cancer has been decreased, but the incidence of proximal and esophagogastric junction cancers has been increased significantly<sup>[18]</sup>. The treatment of the gastroesophageal and gastric cancer is dependent on the TMN staging of the tumor. The advanced gastroesopha geal and gastric cancers (stage III-IVB) without evidence of distant metastasis are potentially curable, but these tumors usually present with a more advanced stage and are associated with a worse prognosis<sup>[19]</sup>, and a combination therapy, including surgery, chemotherapy and radiotherapy, is often needed. Chemotherapy is an adjuvant treatment modality in the form of adjuvant chemotherapy, NAC and concomitant chemoradiotherapy<sup>[20]</sup>. NAC has several advantages: (1) it is well tolerated; (2) it can better control the micrometastasis<sup>[21]</sup>; and (3) it might downstage the tumor to the greatest extent and increase the probability of R0 resection so as to facilitate the surgery<sup>[22,23]</sup>, thus improving the survival rate of the patients with gastroesophageal and gastric cancer. However, it might delay

Α	Treatr	nent	Cont	trol		Odds ratio	Odds ratio
Study or subgroup	Events	Total	Events	Total	Weight	M-H, fixed, 95%CI	M-H, fixed, 95%CI
Boige <i>et al</i> <sup>[11]</sup>	28	109	21	110	43.0%	1.47 [0.77, 2.78]	
Cunningham <i>et al</i> <sup>[12]</sup>	10	229	11	244	28.2%	0.97 [0.40, 2.32]	<b>_</b>
Schuhmacher et al <sup>[15]</sup>	19	70	11	68	22.5%	1.93 [0.84, 4.44]	<b>-</b>
Zhang <i>et al</i> <sup>[17]</sup>	2	37	3	54	6.4%	0.97 [0.15, 6.12]	
Total (95%CI)		445		476	100.0%	1.40 [0.91, 2.14]	•
Total events	59		46			1	
Heterogeneity: $\chi^2 = 1.43$	3, df = 3 ( <i>P</i> =	$0.70), I^2 = 0$	0%			0.01	0.1 1 10 100
Test for overall effect: Z	= 1.55 (P = 0	).12)					Favors control Favors treatment

В	Treatr	nent	Cont	trol		Odds ratio	Od	ds ratio	
Study or subgroup	Events	Total	Events	Total	Weight	M-H, random, 95%CI	M-H, ran	dom, 95%CI	
Boige <i>et al</i> <sup>[11]</sup>	28	109	21	110	44.5%	1.47 [0.77, 2.78]	-		
Cunningham <i>et al</i> <sup>[12]</sup>	10	229	11	244	23.8%	0.97 [0.40, 2.32]			
Schuhmacher et al <sup>[15]</sup>	19	27	11	68	26.3%	1.93 [0.84, 4.44]	-		
Zhang <i>et al</i> <sup>[17]</sup>	2	70	3	54	5.4%	0.97 [0.15, 6.12]			
Total (95%CI)		445		476	100.0%	1.40 [0.91, 2.14]		•	
Total events	59		46			L	1	1	
Heterogeneity: $Tau^2 = 0$	.00; $\chi^2 = 1.43$	8, df = 3 ( <i>P</i> =	$= 0.70), I^2 = 0$	0%		0.01	0.1 1	10	100
Test for overall effect: Z	= 1.53 (P = 0)	0.13)					Favors control	Favors treat	ment

Figure 7 Effect of neoadjuvant chemotherapy in postoperative complications. A: Postoperative complications rate (fixed effects model); B: Postoperative complications rate (random effects model).

	Treatment		Control		Odds ratio	Odds ratio	
Study or subgroup	Events	Total	Events	Total	M-H, fixed, 95%CI	M-H, fixed, 95%CI	
3.1.1 Area							
Asian	88	158	77	164	1.42 [0.92, 2.20]	++	
Western	189	462	154	465	1.40 [1.07, 1.83]	Ŧ	
3.1.2 Regimen							
Monotherapy	69	121	56	110	1.28 [0.76, 2.15]	- <b>H</b>	
Multipletherapy	189	462	154	465	1.40 [1.07, 1.83]	Ŧ	
3.1.3 Intervention							
IV	208	499	175	519	1.41 [1.09, 1.81]	+	
Oral	69	121	56	110	1.28 [0.76, 2.15]	-+	
3.1.4 Perio chemothera	py whether or	not					
NAC alone	77	166	73	185	1.33 [0.87, 2.03]	-+	
Perio chemotherapy	200	454	158	444	1.43 [1.09, 1.86]	+	
						0.01 0.1 1 10 100	

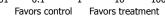


Figure 8 Subgroup analysis showing different overall survival rates in patients with advanced gastric cancer.

the curative treatment if the tumor does not respond to the NAC, which is also costly and may be detrimental to the patients. Theoretically, NAC can increase the survival rate and improve the quality of life of the patients. However, the meta-analysis by  $\text{Him}^{[8]}$  failed to show the benefit of NAC in patient survival. Li 's study<sup>[7]</sup> demonstrated a minor but significant benefit in patient survival. The result coincided with our studies, which shows that 5-flurouracil-based NAC has a benefit on the overall survival of gastroesophageal and gastric cancer patients. Our meta-analysis demonstrated the feasibility of NAC for locally gastroesophageal and gastric cancer patients. NAC could downstage the tumor (NNT = 7) and increase the R0 rate of gastric cancer (NNT = 15). To examine the role of NAC alone in improving the overall survival rate of gastroesophageal and gastric cancer patients who did not receive postadjuvant chemotherapy, data from four trials<sup>[13,15-17]</sup> were further analyzed, showing that NAC had no effect on the overall survival rate of gastroesophageal and gastric cancer patients (OR 1.34, 95%CI 0.87-2.06). These may contribute to the factors that the included studies had a very small sample size with different followup time, different cycles of treatment as well as different tumor locations, which could be too underpowered to demonstrate any positive results. Alternatively, this might be attributed to the fact that currently used preoperative chemotherapeutic agents may not be effective enough to eradicate micrometastases. No clear conclusion could be reached as yet about the effect of NAC alone on the overall survival rate of gastroesophageal and gastric cancer patients. Fortunately, the perio chemotherapy shows some effect in the overall survival rate of gastroesophageal and gastric cancer patients (OR 1.43, 95%CI 1.09-1.86). It has been found that 5-flurouracil-based NAC benefits the overall survival of gastroesophageal and gastric cancer patients.

The regimen of the NAC might affect the outcome of the treatment. Therefore, the regimen factors concerning NAC for gastroesophageal and gastric cancer should be taken into account. Several regimens have been used in the NAC of gastroesophageal and gastric cancer. Our current meta-analysis showed that flurouracil-based combination regimen and intravenous route of NAC had a high efficiency for gastroesophageal and gastric cancer patients. The effective response rate will help down-stage tumors to the greatest extent and increase the probability of R0 resection, thus improving the survival rate of the patients.

Subgroup analysis also showed that the outcome of NAC for gastroesophageal and gastric cancer was better in trials from Western countries than in those from Asian countries and in multi-agent regimens as well. The increasingly higher incidence of the gastroesophageal cancer in Western countries compared with Asian countries<sup>[12]</sup> and the different constituent of tumor stage may influence the final results.

Another major concern in our meta-analysis is the efficiency and safety of NAC in the studies included. Mortality directly links with treatment failure, which mainly comprises locoregional recurrence, secondary primary malignancy, and distant metastasis. In this metaanalysis, the outcomes of 3-year PFS were analyzed. The difference of 3-year PFS was statistically significant between the treatment group and the control group, which suggests that NAC with a 5-flurouracil-based regimen is effective in the locoregional control of gastroesophageal and gastric cancer when a locoregional treatment is administered. Our meta-analysis showed that gastric cancer patients could well tolerate NAC. Grade 3/4 gastrointestinal and leukopenia adverse events of NAC occurred in 32% (112/343) of gastroesophageal and gastric cancer patients. The rate of complications in the treatment group was not obviously higher than in the control group, indicating that NAC is a safe modality for gastric cancer (OR 1.05, 95%CI 0.75-1.48). The efficiency and the safety were assessed in treatment group, but disease progression during NAC is another potential concern in patients with a loss of opportunity for surgery. In this meta-analysis, three trials<sup>[11,12,15]</sup> showed a disease progression rate of 10.6% (46/435). The R-0 resection rate was higher in treatment group than in control group (OR 1.57, 95%CI 1.21-2.02, NNT = 11), indicating that disease progression after NAC is not a major concern for its resection. The results were consistent with the study showing that lack of response to NAC may delay curative surgery, and chemotherapy-induced toxicity may lead to increased surgical complications<sup>[24,25]</sup>

In addition, funnel plot observation did not indicate obvious publication bias in the two subgroups (Figure 2). The sensitivity analysis showed similar results by excluding the trials with Jadad score less than 2. In this metaanalysis, the primary endpoint about the overall survival rate with a fixed model OR of 1.40 (95%CI 1.11-1.76) *vs* a random model OR of 1.40 (95%CI 1.11-1.76) (Figure 1A, B), indicated that the trial quality was good, which was not influenced by the factors, such as selection bias and other trials.

NAC has been proven effective against some cancers, such as breast cancer<sup>[26]</sup>, head and neck squamous cell carcinoma<sup>[27]</sup>. However, it is not recommended as a standard regimen for gastroesophageal and gastric cancer, primarily because of the significantly different strategies used around the world. However, adjuvant chemoradiotherapy is a standard treatment in the USA, perioperative chemotherapy is the first choice in Europe, and surgery and adjuvant chemotherapy are recommended in Japan, where D<sub>2</sub> surgery is effective and safe<sup>[28-30]</sup>. Some studies have demonstrated that NAC and D2 surgery can effectively improve the overall survival<sup>[31]</sup>, whereas a recent study showed no benefits<sup>[32]</sup>. Whether NAC benefits D<sub>2</sub> dissection lacks strong evidence. Fortunately, our metaanalysis provided the up-to-date evidence for the positive effect of NAC in gastroesophageal and gastric cancers, but further studies are required to determine its best regimen and response-based neoadjuvant concept.

In conclusion, our study provides information on the efficacy of NAC with 5-flurouracil-based regimen in gastroesophageal and gastric cancer patients. This regimen was regarded as the most effective one before the emergence of Taxanes. The incorporation of Taxanes into the 5-FU/cisplatin (FP) regimen makes up the Taxol/5-FU/ cisplatin (TPF) regimen, which is a promising treatment strategy for gastroesophageal and gastric cancers<sup>[33]</sup>. A number of trials have been registered to examine the role of NAC in treatment of advanced gastric cancer, such as S-1 plus cisplatin or S-1 and cisplatin plus Taxanes<sup>[34,35]</sup>. However, its effectiveness is yet to be confirmed by the meta-analysis. With all these clinical and scientific efforts, these treatment strategies will definitely continue to further improve the outcome of gastroesophageal and gastric cancer patients.

# COMMENTS

## Background

Although the prevalence of distal gastric cancer has declined, the world-wide incidence of gastroesophageal junctional adenocarcinoma is increasing. For patients who present with gastric and gastroesophageal cancer, surgery remains the cornerstone of treatment, which can potentially improve the long-term survival. However, the prognosis of the patients remains poor. Chemotherapy has been proven to be effective for advanced gastric cancer. Neoadjuvant chemotherapy (NAC) plays a role in improving the prognosis of the patients with advanced gastric cancer and gastroesophageal junctional adenocarcinoma, but its value remains controversial because of lack of well-powered trials.

#### **Research frontiers**

Meta-analysis was used to evaluate the effectiveness of NAC for advanced gastric/gastroesophageal cancer in this study.

## Innovations and breakthroughs

The meta-analysis provided the up-to-date evidence for the positive effect of NAC in locally advanced gastric and gastroesophageal cancer. NAC im-



proved the R0 resection rate (95%CI: 1.03-1.85), tumor down-staging (95%CI: 1.27-2.49) and survival rate (95%CI: 1.11-1.76) for the 1249 patients enrolled in seven trials. Surgery was safe after preoperative 5-flurouracil-based chemo-therapy while no obvious morality and complication concerns were raised in these trials. These findings suggest that NAC can improve the survival rate of patients with advanced gastric and gastroesophageal cancer.

#### Applications

Based on the studies on the efficacy and feasibility of the preoperative chemotherapy with 5-flurouracil-based regimen and with the increasing acceptance of the concept of NAC, additional studies on new regimens and well-designed powerful trials are highly encouraged in patients with locally advanced gastric and gastroesophageal cancer. More experiments are expected to focus on individualized treatment under the guidance of molecular marker for the NAC.

#### Terminology

D<sub>2</sub> surgery: the extent of lymphadenectomy (D<sub>13</sub>), in which complete dissection of up to the second group nodes was defined as D<sub>2</sub> according to the rules of the Japanese Research Society for Gastric Cancer; TNM classification of malignant tumors: "pT" indicates the pathological stage after surgery and "cTNM" indicates the clinical pretreatment tumor stage; Taxanes: they represent one of the more effective targets in current cancer therapy, which block cell cycle progression through centrosomal impairment, induction of abnormal spindles and suppression of spindle microtubule dynamics. Paclitaxel (Taxol) is the prototype of the taxane family of anti-tumor drugs, which has been approved for treatment of metastatic gastric cancer; Number needed to treat: It is often used to describe how many patients would need to be treated to prevent one event. It is determined from the absolute difference between one treatment and another.

#### Peer review

This is an excellent analysis. The authors submit a meta-analysis of the available randomized controlled trials investigating the utility of neoadjuvant therapy for gastric and gastroesophageal cancer. Overall, the paper is very strong, the data appropriately analyzed and the conclusions reasonable. The supposition the neoadjuvant therapy for gastroesophageal cancers remaining controversial is not entirely the case. Most centers across the world have embraced this approach based on the strong data supporting efficacy.

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S- Editor Wen LL L- Editor A E- Editor Lu YJ

