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# Haphazard reporting of deaths in clinical trials: a review of cases of ClinicalTrials.gov records and matched publications-a cross-sectional study

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# ABSTRACT

**Context:** A participant death is a serious event in a clinical trial and needs to be unambiguously and publicly reported.

**Objective:** To examine (1) how often and how numbers of deaths are reported in ClinicalTrials.gov records; (2) how often total deaths can be determined per arm within a ClinicalTrials.gov results record and its corresponding publication and (3) whether counts may be discordant.

**Design:** Registry-based study of clinical trial results reporting.

**Setting:** ClinicalTrials.gov results database searched in July 2011 and matched PubMed publications.

**Selection criteria:** A random sample of ClinicalTrials. gov results records. Detailed review of records with a single corresponding publication.

**Main outcome measure:** ClinicalTrials.gov records reporting number of deaths under participant flow, primary or secondary outcome or serious adverse events. Consistency in reporting of number of deaths between ClinicalTrials.gov records and corresponding publications.

Results: In 500 randomly selected ClinicalTrials.gov records, only 123 records (25%) reported a number for deaths. Reporting of deaths across data modules for participant flow, primary or secondary outcomes and serious adverse events was variable. In a sample of 27 pairs of ClinicalTrials.gov records with number of deaths and corresponding publications, total deaths per arm could only be determined in 56% (15/27 pairs) but were discordant in 19% (5/27). In 27 pairs of ClinicalTrials.gov records without any information on number of deaths, 48% (13/27) were discordant since the publications reported absence of deaths in 33% (9/27) and positive death numbers in 15% (4/27). **Conclusions:** Deaths are variably reported in ClinicalTrials.gov records. A reliable total number of deaths per arm cannot always be determined with certainty or can be discordant with number reported in corresponding trial publications. This highlights a need for unambiguous and complete reporting of the number of deaths in trial registries and publications.

# **ARTICLE SUMMARY**

#### **Article focus**

 We hypothesised that the lack of clear expectations for reporting all deaths in clinical trials give rise to discrepancies in the number of deaths reported across reports of a trial.

#### Key message

There is a lack of clarity, consistency and agreement in reporting of deaths in clinical trials which highlights the need for unambiguous templates to standardise reporting of total number of deaths per arm in ClinicalTrials.gov records and more explicit reporting guidelines for peerreviewed publications.

#### Strengths and limitations of this study

- Our findings indicate a need for explicit expectations for reporting of all deaths.
- We suggest amendments to reporting formats such as: number of participants who started per arm, total number of deaths from any cause per arm and the time point of last ascertainment to prompt study investigators to sum up all deaths across participant loss, primary or secondary outcomes and serious adverse events.
- We examined only a small number of matched cases which may not be generalisable. Nevertheless, even these small samples illustrate ambiguity within records and inconsistencies across reports of the same trial.
- We used only data available in the publicly available reports and only counted actual number of deaths and not alternate information on death, such as percents or survival analyses, as exact back calculations are not always possible.
- We followed operational rules to determine total deaths per arm within a report. These operational rules were not overly stringent and more rigid expectations would have resulted in fewer reports with the data amenable for detailed analysis.

#### **INTRODUCTION**

The death of a clinical trial subject is a serious event that needs to be publicly disclosed. Incomplete reporting of deaths may overemphasise health benefits when benefits and harms of medical interventions are summarised.<sup>1 2</sup> For unambiguous reporting, all deaths have to be reported for each trial arm and the absence of deaths must be explicitly stated if none were known to have occurred.

Formal reporting expectations for public disclosure of deaths in clinical trials are complex. During a trial, the USA Food and Drug Administration (FDA) expects a sponsor of an investigational new drug to submit annual reports that include a list of subjects who died during participation in the investigation, with the cause of death for each subject.<sup>3</sup> This means all deaths must be reported to the FDA, regardless of cause.

Sponsors of investigational new drugs also need to promptly report to the FDA and trial investigators serious unexpected events if they are suspected adverse reactions, meaning that there is a 'reasonable possibility' that the drug caused it.<sup>4 5</sup> Further, the FDA regulations specify that the sponsor report 'an aggregate analysis of specific events observed in a clinical trial ... that indicates those events occur more frequently in the drug treatment group than in a concurrent or historical control group'<sup>6</sup> suggesting that the events may be caused by the drug.<sup>5</sup>

After trial completion, trial registries such as ClinicalTrials.gov provide web-based public records of trial results of federally and privately funded trials.<sup>7</sup> Results reporting in ClinicalTrials.gov is mandated by the USA FDA Amendments Act which requires the reporting of summary results for certain studies within 1 year of completing data collection for the prespecified primary outcome.<sup>7–9</sup> These are phase II-IV interventional studies of FDA approved drugs, biological products and devices with at last one US site ongoing after 2007.<sup>7-9</sup> Based on this Act, the results data bank of the ClinicalTrials.gov registry shall include 'a table of anticipated and unanticipated serious adverse events grouped by organ system with number and frequency in each arms of the trial'.<sup>10</sup> The ClinicalTrials.gov data element definitions define adverse events as 'unfavorable changes in health ..., that occur in trial participants during the clinical trial or within a specified period following the trial' and under serious adverse events include 'adverse events that result in death'.<sup>11</sup> This reporting of deaths as a serious adverse event is currently the only requirement for reporting of deaths in ClinicalTrials.gov and requires a judgment about the possibility of a causal association. However, causality assessment for a non-specific event such as death may be a challenge.<sup>12</sup>

The peer-reviewed publication of clinical trials is guided by CONSORT.<sup>13</sup> The main reporting CONSORT guideline does not specify a need to report all deaths; however, the extension for reporting of adverse events states that 'Authors should always report deaths in each study group during a trial, regardless of whether death is an end point and regardless of whether attribution to a specific cause is possible'.<sup>14</sup>

We hypothesised that the complex reporting expectations for death give rise to discordance in deaths documented across reports of a trial. We first examined how number of deaths from any cause was reported in ClinicalTrials.gov records. We then attempted to determine the total deaths per arm in a ClinicalTrials.gov results record and in the corresponding publication. Finally, we conducted a detailed review of cases with discrepancies in death numbers to identify possible explanations.

# **METHODS**

The ClinicalTrials.gov team provided us with a database of results records indexed in ClinicalTrials.gov (search date July 12, 2011). The database contained all records of phase II, III or IV interventional trials with results entered between 9 September 2009 and 14 June 2011. In 500 randomly selected records, we examined the record for reporting of any number of deaths. This entailed review of three of the four scientific data modules, that is, participant flow, primary and secondary outcomes and serious adverse events, but not baseline characteristics. Online supplementary appendix 2 shows screenshots for the three pertinent modules from a sample ClinicalTrials.gov record. We considered deaths only when a zero or a positive number for death was reported in any module, that is, we did not derive death numbers from information on deaths reported as percentages, rates, risks or survival curves. In the 123 records that reported some number of death, we examined in which module deaths were reported. Deaths from serious adverse events would presumably be a reason for not completing a trial and qualify to be listed as such in the participant flow module. We examined how many records reported number of deaths only in the serious adverse events module without reporting any deaths as a reason for discontinuation.

Among the 500 records, we also identified studies with an outcome measure description that implied ascertainment of death, including overall survival, time to mortality, all cause deaths, disease-specific deaths, composite outcomes including death and serious adverse events including deaths. In this subset, we examined how often actual numbers of deaths were reported as part of the primary or secondary outcome module when the outcome suggested that deaths were collected.

We then compared death reporting between ClinicalTrials.gov results records and corresponding publications. To select a sample of pairs, we used 2 criteria (1) ClinicalTrials.gov records had to provide only a single PubMed Identifier matching a publication describing trial results to avoid the need for reconciliation across several publications and (2) publications had to be electronically accessible through our library. Based on these two criteria, we retrieved 27 publications matching the ClinicalTrials.gov records that reported death numbers. We sampled another 27 pairs of publications and ClinicalTrials.gov records where the record did not report death numbers.

For each record or publication, we attempted to determine the total deaths per arm and the numbers randomised or analysed per arm based on the data available in the record and publication, without contacting authors. This required assumptions when reconciling number of deaths across the three pertinent modules in the ClinicalTrials.gov record. For the publications, we searched the sections of the article corresponding to the modules. We used the following operational rules for decision-making:

- ► If a report did not provide any direct information on number of deaths, no counts were implied.
- ▶ If a number of deaths was reported in only one module in the ClinicalTrials.gov record or the corresponding sections in the publication, that is, either in participant flow, primary or secondary outcome or adverse events, this was determined to be the total number of deaths.
- Otherwise, as a default, the highest unambiguous number of deaths in one module was taken as the total number of deaths.

Online supplementary appendix 3 shows an example of a record where the total number of deaths could not be determined with certainty based on these rules. When the number of deaths could be determined for both the ClinicalTrials.gov record and the corresponding publication following the rules, we compared the numbers between the record and the publication. A pair was discordant either when the total number of deaths was not the same, or when the ClinicalTrials.gov record did not include any information on death numbers, yet the publication mentioned a presence or absence of deaths. Discordant cases were reviewed in more detail. We extracted the denominators for number of deaths from information on number started, randomised or analysed. We further captured information on duration of follow-up and looked for possible reasons for differences in the number of deaths.

#### RESULTS

# Reporting of crude number of deaths in ClinicalTrials.gov results records

In July 2011, there were 1981 records with results in ClinicalTrials.gov and 500 records were randomly chosen for further analysis (see online supplementary appendix figure 1). These included 123 records (25%) which reported a number of deaths in at least one module. Deaths were variably reported across the three modules of participant flow, primary or secondary outcome and serious adverse events (figure 1). Sixty-four per cent of the records reported death



Figure 1 Reporting of number of deaths by data module in 123 ClinicalTrials.gov records.

numbers only in one of the modules, 32% in two modules and 4% in all of them. Approximately one-fifth (27/123) of the records reported number of deaths only in the module for the serious adverse events, that is, there were no deaths reported in the participant flow as a reason for not completing the trial. One-fifth (24/123) reported deaths in both of these modules.

Out of the 500 records, we identified 97 with a primary or secondary outcome measure definition that implied ascertainment of deaths. Of the 97, there were 32 (33%) that reported a crude number of deaths in the primary or secondary outcome module, with or without a result for death in another metric for death, such as percentage, rate and risk estimate. The 65 records that did not report crude number of deaths in the primary or secondary outcome module nonetheless still reported number of deaths under participant flow or serious adverse events.

# Reporting of information on death, determination of total number of deaths per arm and congruency in matched pairs

We examined congruence of reporting of number of deaths across pairs of ClinicalTrials.gov records and corresponding trial publications. Figure 2 tabulates whether there was any information on number of deaths in a trial report, and if so, whether total number of deaths could be determined per arm following simple rules, and finally whether the total numbers per arm were concordant or discordant across pairs. We examined 27 pairs where the ClinicalTrials.gov record contained some information on number of deaths and 27 pairs where the ClinicalTrial.gov record did not contain any information on death numbers.

Of the 27 pairs with number of deaths reported in the ClinicalTrials.gov record, there were 15 (55%) in which the total number of deaths per arm could be determined in both reports (figure 2A). The number of deaths were concordant between the ClinicalTrials.gov record and the publication in 10 pairs (37%), but discordant in five (19%). In the remaining 12 (44%), concordance could not be assessed because the total number of deaths per arm could not be determined

Figure 2 Consistency of death in matched pairs in (A) those with number of deaths in ClinicalTrials. gov and (B) those without any information on death numbers in ClinicalTrials.gov.



unambiguously for the record and the publication. The five discordant pairs are shown in detail in table 1.

In the 27 pairs where the ClinicalTrials.gov record did not contain any information on death numbers, 14 (52%) pairs were concordant regarding the absence of information on deaths, that is, the trial publications also did not contain any death numbers (figure 2B). However, 13 (48%) publications contained information on number of deaths. In nine studies (33%), the published study affirmatively reported 'no deaths' and in four studies, the published report mentioned positive number of deaths (figure 2B). These four cases are shown in table 2. For example in Case 9, the ClinicalTrials.gov record did not contain any information on number of deaths; but the publication reported one death under serious adverse events (table 2).

#### Review of cases with discordant counts

Tables 1 and 2 show the detailed review of the cases with discordant counts. For each case, the crude number of deaths for each module or reporting location for the ClinicalTrials.gov record and the corresponding publication are shown, as well as the total number of deaths per arm that was determined following our operational rules. The summary contains comments and interpretation of the discrepancies.

In several cases, information on duration of follow-up or the time point of last assessment was not exact or

Population	Was death a specified outcome* Define	Reporting module or location	ClinicalTrials.go	ov record	Publication			
			Deaths/Randomised		Deaths/Randomised			
			Arm 1	Arm 2	Arm 1	Arm 2		
Case 1								
Lung cancer	Yes Survival is a secondary		Follow up: While on study drug+30 d		Follow up: From random assignment			
	outcome	come		after last dose (estimated 4 mo)		until first day of progression or until death		
		Flow	-/52	-/51	4/52	2/51		
		Outcome	-/52	-/51	_	-		
		SAE	1/52	0/51	1/52	2/51		
		Total	>1/52	>0/51	>4/52	>2/51		
Summary	Both CT.gov record [NCT00	085839] and the publication	[PMID18281658] r	reported hazards ratios	s for survival and	d mean survival in		
	record and report. In addition discrepant between record a study.	n the publication reported de nd publication; however, ne	eaths in the flow dia ither is likely to rep	agram, while the recor resent the total numbe	d did not. The to er of deaths that	tal number of deaths is occurred during the		
Case 2								
Multiple myeloma	No		Follow up: Up to 18 mo		Follow up: Enrolled 2/06-12/06, analysis through 8/2007			
		Flow	1/53	1/43	1/53	1/43		
		Outcome	-/53	-/41	-	-		
		SAE	-/53	-/42	4/53	1/42		
		Total	1/53	1/43	4/53	1/43		
Summary	Both CT.gov record [NCT002 of deaths is discrepant between	259740] and publication [PN een record and publication,	/ID19714603] repo however, since the	rted 1 death per arm i publication also repo	n the participant rted 5 deaths un	flow. The total number der SAE.		
Case 3	V 0 · · · · · ·							
Refractory prostate cancer	Yes Survival is the primary		Follow up: Analy	zed through 9/2009	Follow up: An	alyzed through 9/2009		
	outcome	Flow	-/377	-/378	-/3//	-/3/8		
		Outcome	-/3//	-/3/8	279/377	234/378		
		SAE	0/3/1 sudden	1/3/1 sudden	275/371	227/371		
			death	death	070/077	004/070		
2			>0/377	>1/3/1	279/377	234/378		
Summary	The CT.gov record [NCT004 per arm for this outcome. Th and also a large number of c per protocol analyses. The C that the total number of deat	17079] reported hazards ra e publication [PMID208889 deaths under SAE. The nun CT.gov record reported only hs in the study was higher.	tios for survival as 92] reported a large nerators and denom one death under S The total number o	well as survival in mole e number of deaths pen ninators differed slight AE; although based o of deaths is discrepant	nths, but not the er arms for the ou ly based on inter n the survival an between record	total number of deaths utcome of survival (as) ntion to treat analyses or alysis, it appeared likely and report.		
						Continue		

Population		Reporting module or location	ClinicalTrials.go	ov record	Publication	
	Was death a specified outcome* Define		<b>Deaths/Random</b>	ised	Deaths/Randomised	
			Arm 1	Arm 2	Arm 1	Arm 2
Case 4						
Chronic Obstructive	Yes Death is a secondary		Follow up: 52 wk		Follow up: 52 wk	
Pulmonary Disease	outcome	Flow	-/772	-/796	-/772	-/796
		Outcome	-/25	-/25	25/772	25/796
		SAE	1/778 sudden	3/790 sudden	-/778	-/790
			death; 0/778	death; 2/790		
			death	death		
		Total	25/772	25/796	25/772	25/796
Summary	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2	(97115) reported 25 per arm , the CT.gov record reports ( n [PMID19716960] does not or the outcome of death, the trials of similar design with t	as number analyz deaths under SAE report any. Assum total number of de	ed in the outcome ma using two different de ning that the deaths re aths is consistent act output only the	eath definitions ('s ported under SA oss record and p	sudden death' and E in the record are publication.
Summary	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co	(97115) reported 25 per arm , the CT.gov record reports on [PMID19716960] does not or the outcome of death, the trials of similar design with to ompared.	as number analyz deaths under SAE report any. Assum total number of de two separate NCT	ed in the outcome ma using two different de ning that the deaths re aths is consistent act number, but only the	eath definitions ('s ported under SA oss record and p results correspor	sudden death' and E in the record are publication. nding to the trial in the
Summary Dase 5	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co	(97115) reported 25 per arm , the CT.gov record reports on n [PMID19716960] does not or the outcome of death, the trials of similar design with to ompared.	as number analyz deaths under SAE report any. Assum total number of de two separate NCT	ed in the outcome ma using two different de ning that the deaths re aths is consistent acr number, but only the	eath definitions ('s ported under SA oss record and p results correspor	sudden death' and E in the record are publication. nding to the trial in the
Summary Case 5 Prostate cancer	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary	(97115) reported 25 per arm , the CT.gov record reports on n [PMID19716960] does not or the outcome of death, the trials of similar design with to ompared.	as number analyz deaths under SAE report any. Assum total number of de two separate NCT Follow up: From	ed in the outcome ma using two different de ning that the deaths re aths is consistent aci number, but only the start of therapy up to	Follow up: Du	ute number analyzed sudden death' and the in the record are publication. Inding to the trial in the uration of therapy+30 o
Summary Case 5 Prostate cancer	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were con Yes Death is a secondary outcome	(97115) reported 25 per arm , the CT.gov record reports on n [PMID19716960] does not or the outcome of death, the trials of similar design with t ompared.	as number analyz deaths under SAE treport any. Assum total number of de two separate NCT Follow up: From 30 d after last do	ed in the outcome ma using two different de ning that the deaths re aths is consistent acr number, but only the start of therapy up to ise	Follow up: Du	ute number analyzed sudden death' and the in the record are publication. Inding to the trial in the uration of therapy+30 o
Summary Case 5 Prostate cancer	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary outcome	(97115) reported 25 per arm the CT.gov record reports on PMID19716960] does not or the outcome of death, the trials of similar design with to mpared.	as number analyz deaths under SAE treport any. Assum total number of de two separate NCT Follow up: From 30 d after last do -/48	ed in the outcome ma using two different de ning that the deaths re aths is consistent acr number, but only the start of therapy up to use -/47	Follow up: Du	ute number analyzed sudden death' and NE in the record are publication. Inding to the trial in the uration of therapy+30 o -/47
Summary Case 5 Prostate cancer	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary outcome	(97115) reported 25 per arm the CT.gov record reports on PMID19716960] does not or the outcome of death, the trials of similar design with to mpared. Flow Outcome	Follow up: From 30 d after last dc 4 report any. Assum 4 total number of de 5 two separate NCT 4 Follow up: From 30 d after last dc -/48 2/48	ed in the outcome ma using two different de ning that the deaths re aths is consistent acr number, but only the start of therapy up to se -/47 2/47	Follow up: Du	uter fidinger analyzed sudden death' and NE in the record are publication. Inding to the trial in the uration of therapy+30 of -/47 -/47
Summary Case 5 Prostate cancer	The CT.gov record [NCT002 as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary outcome	Flow SAE	as number analyz deaths under SAE treport any. Assum total number of de two separate NCT Follow up: From 30 d after last do -/48 2/48 -/95	ed in the outcome mu using two different de ing that the deaths re aths is consistent acr number, but only the start of therapy up to se -/47 2/47	Follow up: Du Follow up: Du Follow up: Du	uter number analyzed sudden death' and E in the record are publication. nding to the trial in the uration of therapy+30 o -/47 -/47
Summary Case 5 Prostate cancer	as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary outcome	Flow Outcome SAE Total	as number analyz deaths under SAE treport any. Assum total number of de two separate NCT Follow up: From 30 d after last do -/48 2/48 -/95 2/48	ed in the outcome mu using two different de ing that the deaths re aths is consistent acr number, but only the start of therapy up to se -/47 2/47 2/47	Follow up: Du 2/47	uter fidinger analyzed sudden death' and E in the record are publication. nding to the trial in the uration of therapy+30 of -/47 -/47 2/47
Summary Case 5 Prostate cancer Summary	The CT.gov record [NCT002 as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary outcome	Flow Outcome SAE Total 885580] reported results for 2	as number analyz deaths under SAE treport any. Assum total number of de two separate NCT Follow up: From 30 d after last do -/48 2/48 -/95 2/48 2 arms. The public	ed in the outcome mu using two different de ing that the deaths re aths is consistent acr number, but only the start of therapy up to se -/47 2/47 2/47 2/47 ation presents only re	Follow up: Du Follow up: Du Follow up: Du Z/47	uter number analyzed sudden death' and E in the record are publication. nding to the trial in the uration of therapy+30 of -/47 -/47 2/47 The CT.gov report sho
Summary Case 5 Prostate cancer Summary	The CT.gov record [NCT002 as the number died. Further, 'death'), while the publication included in those reported for The publication describes 2 index CT.gov record were co Yes Death is a secondary outcome The CT.gov record [NCT003 2 deaths in the outcome mo	Flow Outcome SAE Total 885580] reported results for 2 dule, but none under SAE	as number analyz deaths under SAE treport any. Assum total number of de two separate NCT Follow up: From 30 d after last do -/48 2/48 -/95 2/48 2 arms. The publica The publication [PM	ed in the outcome ma using two different de ing that the deaths re aths is consistent acr number, but only the start of therapy up to se -/47 2/47 2/47 2/47 ation presents only re /ID19920114] shows	Follow up: Du Solite and definitions ('s ported under SA oss record and p results correspor Follow up: Du - 2/47 - sults for Arm 2. 7 2 deaths under S	uration of therapy+30 of -/47 -/47 The CT.gov report sho SAE. The number of

Abbreviations: CT.gov, ClinicalTrials.gov; D/C, discontinuation; NCT, National Clinical Trial (number); SAE, serious adverse events; – (dash), not reported.

Population	Was death a specified outcome* Define	Reporting module or location	Clinica	ITrials.go	Publication			
			Deaths/Randomised				Deaths/Randomised	
			Arm 1			Arm 2	Arm 1	Arm 2
Case 6								
Influenza vaccine in elderly	No		Follow up: 6 mo				Follow up: 6 mo	
, ,		Flow	-/857	-/848	-/870	-/1262	-/2575	-/1262
		Outcome	_	-	-	-	-	_
		SAE	-/855	-/848	-/870	-/1260	16/2573	7/1260
		Total		-/2575		-/1262	16/2575	7/1262
Summary	The CT.gov record [NCT00391053] did not a under SAE for 2 arms, collapsing arms 1-3 i	report deaths counts acro nto one.	oss 4 arms	s. The pub	lication [PI	MID1950815	9] described 2	3 deaths
Case 7								
Amyotrophic lateral sclerosis	No		Follow	up: 9 mo			Follow up:	10 mo
		Flow	-/75			-/75	3/75	5/75
		Outcome	-/75			-/75	-	-
		SAE	-/75			-/75	3/75	5/75
		Total	-/75			-/75	3/75	5/75
Summary	The CT.gov record [NCT00243932] did not r flow as well as under SAE, which are presur	report death counts. The nably the same.	publicatio	n [PMID19	9743457] c	lescribes 8 c	leaths under p	articipant
Case 8								
Diabetes Mellitus Type 2	No Follow up: 2			llow up: 26 wk			Follow up: 26 wk	
		Flow	-/239			-/241	-/239	-/241
		Outcome	-			-	-	-
		SAE	-/231			-/238	0/231	1/238
		Total	-/239			-/241	0/239	1/241
Summary	The CT.gov record [NCT00469092] did not i 'treatment emergent death'. It also reported	report death counts. The 2 deaths during the run-i	publicatio n period tl	n [PMID19 hat were n	9821654] c ot included	lescribes on d in the parti	e death under cipant flow.	SAE as a
Case 9								
Metastatic penile cancer	No (in record); Y (in publication) Overall survival was a reported outcome, unclear whether primary or secondary		Follow up: 'Timeframe 9 y and 6 mo'				Follow up: Duration of enrollment 4/2000 through 9/2008 (max FU up to 7 y 5 mo)	
		Flow	-/30		-/30			
		Outcome	-/30			20/30		
		SAE			-/30			_
	Total -/30						20	0/30
Summary	The CT.gov record [NCT00512096] did not include death counts even though "overall survival" was a pre-specified outcome. The publication [PMID20625118] reported 20 deaths for this outcome.							

Table 2 Cases without any information on death numbers in Clinical Trials.gov record but reports of number of deaths in the corresponding publication

CT.gov, ClinicalTrials.gov; D/C, discontinuation; FU, follow up; NCT, National Clinical Trial (number); SAE, serious adverse events; – (dash), not reported.

varied across the reports. Comparison of the number of deaths required reconciliation across reports with discordant numbers of arms (Cases 5 and 6) or discordant number of studies (Case 4). For example, in Case 5, the ClinicalTrials.gov record included two arms treated with different drug doses, while the publication reported results only for one of the arms. The number of deaths for this single arm was consistent across the ClinicalTrials. gov record and the publication. In the other cases with the same number of arms, the inference or certainty about the number of deaths within each arm differed. In addition to discordant counts, problems were lack of provision of crude death numbers even when death was an outcome of interest (Cases 1 and 3), imprecision in data entry (Case 4), reporting of deaths under serious adverse events without specification as to whether they were counted as part of the death outcome (Case 4) or the participant flow (Case 7). In most cases, the publication included a slightly higher crude number of deaths. Large discrepancies were noted in cases where the record did not report counts for an outcome that included death, while the report did (Cases 3 and 9).

#### DISCUSSION

Our study highlights a failure of consistent and clear reporting of number of deaths in clinical trials. Only 25% of ClinicalTrials.gov results records provided some number of deaths, with great variation and overlap in the reporting across the three data modules for participant flow, primary or secondary outcomes or serious adverse events. While we expected records reporting death as a serious adverse event to also list death as a reason for discontinuation from the trial in participant flow, a fifth of the records with death numbers reported deaths only under serious adverse events. Among ClinicalTrials.gov records with a definition for a primary or secondary outcome that implies ascertainment of death, only a third provided crude number of deaths in the data module for the primary or secondary outcome. This heterogeneous reporting and the uncertainty of whether deaths are reported in a redundant or exclusive manner across data modules, poses problems for reconciling deaths within a trial report.

Total number of deaths per arm could not always be determined unambiguously in the ClinicalTrials.gov results records or the corresponding publication. In the small sample where total deaths could be determined in both reports for the same trial, we identified examples where the number of deaths was discordant, highlighting lack of coherence and completeness. There were no clear patterns to explain the discrepancies. Finding a slightly higher crude number of deaths in publications than in ClinicalTrial.gov records suggests that number of deaths in the ClinicalTrials.gov records are not complete.

Our findings of haphazard reporting of deaths in clinical trials indicate a need for explicit expectations in reporting of all deaths regardless of whether they are considered to be a serious adverse event or not. We suggest that reporting formats for aggregate clinical trial results need to be amended to provide the following information: number of individuals who started per arm, number of deaths from any cause per arm and the time point of last ascertainment. This should prompt study investigators to sum up all deaths across participant flow, primary or secondary outcomes and serious adverse events. Information on mean duration of follow-up is also needed to allow calculation of rates. Given their prominent role supported by the legal regulations, clinical trials registries can spearhead uniform and consistent reporting of important trial outcomes, such as deaths. Similarly editors and sponsors must educate trialists to better meet the need for uniform reporting of all deaths.13 15

Our study has several limitations. We examined only a small number of matched cases which may not be generalisable. Nevertheless, even these small samples illustrate ambiguity within records and inconsistencies across reports of the same trial. Also, we used only data available in these reports to determine the total number of deaths per arm. It is possible that individual patient data available to the trial investigators would allow more studies to provide unambiguous number of deaths. However, this information is not publicly available and clinicians and policy makers rely on publicly accessible trial results reported in ClinicalTrials.gov records and in journal publications. Further, we only gave credit to number of deaths and not to alternate information on death, such as per cents or survival analyses, as exact back calculations are not always possible. Finally, we followed operational rules to determine total deaths per arm within a report. These operational rules were not overly stringent and more rigid expectations would have resulted in fewer reports with the data amenable for detailed analysis.

Our findings have to be viewed in context. Only 22% of studies report their results in ClinicalTrials.gov within 1 year of completion<sup>16</sup> and fewer than half of studies funded by the National Institutes of Health publish their results in a Medline indexed journal within 30 months of trial completion.<sup>17</sup> Thus, our matched pairs are drawn from a minority of trials that have been compliant with both expectations: publication of results in ClinicalTrials.gov and publication in a peer-reviewed journal.

Full reporting of all deaths enables more accurate assessment of risks and benefits associated with treatments. Assessment of patient safety relies on capturing signals, even when they are non-specific.<sup>18</sup> <sup>19</sup> Small differences in numbers of death may bias results and distort estimates across studies. From an ethical perspective, it is desirable that trials ascertain and report all deaths regardless of whether they appear to be related to study conduct or intervention, are unforeseen or non-specific. Even with clear instructions and prompts for trials to report deaths, however, there may be remaining uncertainty depending on the rigour of ascertainment

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or surveillance and the selection of trial outcomes. Further, crude numbers are not the only format for reporting deaths in a trial. Time to event reporting may be more meaningful, but may introduce uncertainty about how censoring and deaths are handled. While both approaches to presenting information on deaths may be necessary and complementary, our study suggests that some improvement could be made with simple means of standardised reporting formats.

In summary, our study shows lack of clarity, consistency and agreement in reporting of deaths in clinical trials. This highlights the need for unambiguous templates to standardise reporting of total number of deaths per arm in ClinicalTrials.gov records and more stringent reporting guidelines for peer-reviewed publications.

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