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# **Elevated Ratio of Arachidonic acid to Long-Chain Omega-3 Fatty Acids Predicts Depression Development Following Interferonalpha Treatment: Relationship with Interleukin-6**

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# **Abstract**

Cross-sectional studies have found that an elevated ratio of arachidonic acid to omega-3 fatty acid is associated with depression, and controlled intervention studies have found that decreasing this ratio through administration of omega-3 fatty acids can alleviate depressive symptoms. Additionally, arachidonic acid and omega-3 fatty acids have opposing effects on inflammatory signaling. Exogenous administration of the inflammatory cytokine interferon-alpha (IFN-α) can trigger a depressive episode in a subset of vulnerable people, though associated risk factors remain poorly understood. Using a within-subject prospective design of 138 subjects, we examined whether baseline long-chain omega-3 (docosahexaenoic acid – DHA; eicosapentaenoic acid – EPA) and omega-6 (arachidonic acid – AA; di-homo-gamma-linolenic acid – DGLA) fatty acid status was associated with depression vulnerability in hepatitis C patients treated with IFN-α. Based on the literature, we had specific a priori interest in the AA/EPA+DHA ratio. Lower baseline DHA predicted depression incidence  $(p=0.04)$ , as did elevated DGLA  $(p=0.02)$  and an elevated  $AA/EPA+DHA$  ratio ( $p=0.007$ ). The  $AA/EPA+DHA$  ratio predicted depression even when controlling for other critical variables such as sleep quality and race. A higher AA/EPA +DHA ratio was positively associated with both increasing Montgomery-Asperg Depression Rating Scores over time (F=4.0; p<0.05) as well as interleukin-6 levels (F=107.4; p<0.05) but not C-reactive protein. Importantly, omega-3 and omega-6 fatty acid status was not associated with sustained viral response to IFN-α treatment. These prospective data support the role of fatty acid status in depression vulnerability and indicate a potential role for omega-3 fatty acids in the prevention of inflammation-induced depression.

### **Keywords**

Omega-3 fatty acids; Inflammation; Arachidonic acid; Cytokine; Interleukin-6; C-reactive protein; Major depressive disorder

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# **INTRODUCTION**

Emerging evidence suggests that elevated systemic inflammation may contribute to the pathoetiology of major depressive disorder (MDD) (Dowlati et al., 2010; Howren et al., 2009; Raison et al., 2006; Zorrilla et al., 2001). Although there is accumulating evidence that a subset of MDD cases could be induced by inflammatory cytokines (Lotrich, 2012), most people are resilient to elevated inflammatory activity and do not develop MDD. For example, exogenous administration of inflammatory cytokines such as interferon-alpha (IFN-α) can trigger depression, but only in a subset (~30%) of patients (Capuron et al., 2002; Capuron and Miller, 2004; Lotrich et al., 2007; Musselman et al., 2001). While vulnerability factors for depression remain poorly understood, recent evidence has implicated interleukin-6 (Prather et al., 2009), poor sleep (Franzen et al., 2009), serotonin (Bull et al., 2008; Lotrich et al., 2009), and glucocorticoid resistance (Raison et al., 2008). Developing a better understanding of risk and resilience factors associated with inflammation-induced depression may provide novel targets for improving resilience.

Polyunsaturated fatty acids (PUFAs) play a critical influence in the regulation of inflammatory signaling and potentially vulnerability to MDD. The long-chain omega-6 fatty acid arachidonic acid (AA; 20:4n-6) is a substrate for the synthesis of prostacyclins, thromboxanes, and prostaglandins such as  $PGE_2$ .  $PGE_2$  stimulates the synthesis of inflammatory cytokines (Portanova et al., 1998; Wang et al., 2010); and in turn prostaglandins may also be important in mediating the effect of peripheral inflammation on brain function. For example, inhibition of cyclooxygenase-2 (COX-2), the rate-limiting enzyme in the conversion of AA to  $PGE_2$ , can attenuate lipopolysaccharide (LPS)-induced increases in extra-cellular hippocampal serotonin (Linthorst et al., 1996). Moreover, adjunctive treatment with celecoxib, a selective cyclooxygenase-2 (COX-2) inhibitor, was found to augment the therapeutic efficacy of fluoxetine in MDD patients (Akhondzadeh et al., 2009). In contrast, dietary dihomo-γ-linolenic acid (DGLA, 20:3n-6), an n-6 fatty acid precursor of AA, can be converted via  $COX-2$  to  $PGE<sub>1</sub>$  which has anti-inflammatory properties. Moreover, the long-chain omega-3 fatty acids eicosapentaenoic acid (EPA;  $20:5n-3$ ) and docosahexaenoic acid (DHA;  $22:6n-3$ ), and their COX and LOX metabolites (E- and D-series resolvins) have anti-inflammatory and inflammation resolving properties (Calder, 2008; Hong et al., 2003). Therefore, the balance between these different omega-3 and omega-6 fatty acids play a critical role in regulating inflammatory homeostasis.

Prior cross-sectional studies have repeatedly observed deficits in long-chain omega-3 fatty acids (EPA and/or DHA) but not AA in patients with MDD, as summarized in a recent meta-analysis (Lin et al., 2010). Accordingly, the AA/EPA+DHA ratio is elevated in MDD patients and may be positively associated with depression symptom severity across a variety of studies (Adams et al., 1996; Conklin et al., 2007; Frasur-Smith et al., 2004; Maes et al., 1996; Tiemeier et al., 2003). Independent meta-analyses of controlled intervention trials have found that chronic dietary EPA+DHA supplementation, resulting in a reduction in the AA/EPA+DHA ratio, is associated with significant reductions in depression symptom severity in MDD patients (Freeman et al., 2006; Lin and Su, 2007). Prior prospective studies have found that low erythrocyte DHA levels are associated with depression during IFN-α treatment (Su et al., 2010) as well as future suicidal attempts in medication-free MDD patients (Sublette et al., 2006). Importantly, rodent studies have found that dietary-induced deficiencies in omega-3 fatty acids, and elevations in the AA/EPA+DHA ratio, result in increased inflammatory cytokine production (Kozak et al., 1997; Mingam et al., 2008; Song et al., 2003) and associated changes in central serotonin turnover (Kodas et al., 2004; McNamara et al., 2010a). Moreover, omega-3 fatty acid deficiency has been found to upregulate omega-6 fatty acid biosynthesis (Hofacer et al., 2011; Igarashi et al., 2007) as well as the expression of COX-2 in rat brain (Rao et al., 2007); and supplementation with

omega-3 fatty acids can reverse some of the inflammatory and behavioral effects of IL-1 in rodent models (Song et al., 2004).

In view of this evidence for a preliminary link between lower omega-3 fatty acid status and increased vulnerability to inflammation and depression, the present study prospectively investigated whether polyunsaturated fatty acid status was associated with an increased risk for developing depression in response to IFN-α treatment. We additionally examined whether the fatty acid profiles were associated with markers of inflammatory status, interleukin-6 (IL-6) and C-reactive protein (CRP), and whether fatty acid status influenced the ability of IFN-α to successfully resolve hepatitis C infection. Based on extant evidence reviewed above, our primary hypothesis was that low EPA and DHA levels at baseline, and specifically a higher AA/EPA+DHA ratio, would be associated with increased risk for developing depression during IFN-α treatment.

# **METHODS**

#### **Participants and depression assessment**

138 adult subjects (between ages 18-80) were examined for plasma fatty acids levels prior to IFN-α therapy. Subjects had to be recommended by a hepatologist for treatment of HCV with IFN-α. Exclusion criteria were active mood, anxiety, psychotic, or drug/alcohol use disorders within 6 months prior to starting IFN-α treatment – using the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID-I); known neurological disease; or taking corticosteroids, antidepressants, anticonvulsants, and/or antipsychotics (although they could be taking as-needed sleeping medications). An overlapping subset of these subjects were previously examined regarding the relationship between IL-6 and depression (Prather et al., 2009). The study was approved by the University of Pittsburgh Institutional Review Board.

Of these 138 subjects, 99 eventually started weekly injections of pegylated (PEG) IFN-α2 (PEG-IFN- $\alpha$ 2a: 135 μg/week or PEG-IFN- $\alpha$ 2b: 120 or 150 μg/week) augmented with oral ribavirin. Prior to initiating IFN-α therapy, and monthly for four months after therapy was initiated, depression was assessed using both subjective and objective measures including the Montgomery-Asberg Depression Rating Scale (MADRS) (Montgomery and Asberg, 1979) and Beck Depression Inventory-II (BDI) as previously described (Franzen et al., 2009; Lotrich et al., 2009; Lotrich et al., 2007). Sleep quality was measured monthly using the Pittsburgh Sleep Quality Index (PSQI) (Buysse et al., 1989). Criteria for Major Depression (MDD; via an abbreviated SCID-I) were assessed at baseline, if BDI>15, within 48 hours of any request by either the treating heptalogist or subject, or minimally every two months. Participants who developed MDD during the course of treatment -- or where concerns about lethality arose -- were typically started on an antidepressant, though some did discontinue IFN-α treatment. Within sixteen weeks of IFN-α therapy, forty-one subjects required some type of psychiatric intervention for severe mood problem (such as suicidal ideation and/or MDD).

#### **Phospholipid fatty acid extraction and gas chromatography**

Plasma from whole blood was obtained from all subjects between 10AM and 4PM prior to initiating treatment for hepatitis C (HCV), and stored at -80°C until analysis. Folch reagent (2 mL Chloroform/Methanol 2:1) was added to 0.3ml of plasma to extract the lipid layer, dried under nitrogen, and reconstituted with chloroform (100 uL). The lipid extract was then transferred to a reversed-phase packed SPE column (Alltech, Nicholasville, KY) and washed with chloroform (10mL), to remove triglycerides, and then acetone (10mL) to remove the cholesteryl esters. Phospholipids were then eluted with methanol (20mL), and the combined methanol fractions evaporated. The sample was methylated using NaOH/MeOH (0.5 mL)

and the derivatization was completed with  $BF_3/MeOH$  followed by heating for 15 minutes at 85°C. To ensure total fatty acid methyl ester (FAME) extraction, NaCl (0.3 mL) was used before extraction with hexane. Sodium sulfate was added to the hexane layer to remove water, and the organic phase decanted and evaporated using nitrogen. Samples were then reconstituted with hexane (0.5 mL) and analyzed.

FAME's were analyzed using an HP 6890/5973 gas chromatograph/mass selective detector (Agilent Technologies, Santa Clara, CA). The column used to separate FAME's was an Agilent DB-FFAP  $15m \times 0.1$  mm with 0.1 um of film thickness. Helium was used as carrier gas at a flow rate of 17.6 ml/min and a constant pressure of 53.8 psi. The initial temperature was set at 160°C and increased after injection of 1 ul of sample to 240°C at a rate of 15°C per minute. Once the temperature of 240°C was reached, it was maintained for 6 minutes for a total run time of 14.33 minutes. The transfer line was maintained at 280°C and the filament at 70Ev for EI. The data were evaluated using a TIC for compound identification and SCAN mode to measure relative percent of each fatty acid. Fatty acid identification was based on retention times of authenticated fatty acid methyl ester standards (GLC 473B) and controls (GLC 462 and GLC 463) to ensure reproducibility (NuCheck Prep, Elysian, MN). Data are expressed as weight percent of total fatty acid pool (mg fatty acid/100 mg fatty acids). Our primary measures of interest were the two long-chain omega-3 fatty acids, DHA  $(22:6n-3)$  and EPA  $(20:5n-3)$ , the two long-chain omega-6fatty acids AA  $(20:4n-6)$  and DGLA (20:3*n*-6), and the ratio of AA to DHA+EPA.

### **IL-6 and CRP levels**

IL-6 and CRP levels in serum samples, were determined using a high-sensitivity quantitative enzyme immunoassay (Diaclone, Besancon, France) as previously described (Prather et al., 2009). Samples were added to microplates coated with either IL-6 or CRP monoclonal antibodies, washed, biotinylated antibodies were added, and then samples were incubated with Streptavidin-bound horse radish peroxidase (HRP). All samples were measured in duplicate (450nm), and the average intra-assay and inter-assay coefficients of variation were below 5% and 10%, respectively. For the mixed-effect analyses, IL-6 levels were normalized using square-root transformation. Because serum was only obtained between 10AM and 4PM, we observed in prior studies that there was no relationship between IL-6 levels and time drawn (Prather et al., 2009).

#### **Statistical Analyses**

All statistics employed SPSS 18.0. For multivariate exams of depression incidence, Cox regression analyses were used. We used Kaplan-Meier with Mantel-Cox log rank comparisons to assess the incidence of categorical depression over time - using baseline measures of fatty acids that were dichotomized using the median value. Repeated-measure mixed-effect analyses, robust to randomly missing data, were used to compare changes over time. For these models, we first examined repeated covariance structures, selecting analyses which provided the smallest -2Log Likelihood (and typically the smallest AIC and BIC as well). Results are reported as mean +/- standard deviation, and in graphs are presented as mean +/- standard error of the mean.

# **RESULTS**

The study sample (Table 1) was about 66% male and 83% European-American, primarily middle-aged, with low MADRS scores, but with some fatigue and poor sleep. This population on average had notably low omega-3 fatty acid levels (<1%), though fairly typical AA percentages (Table 1). Both IL-6 and CRP levels are indicated untransformed in this table. We used the cumulative illness rating scale-geriatric (CIRS-G) to quantify

medical co-morbidity. All subjects have a minimum total score of 2, which is the minimum score for someone with HCV needing IFN-α. Subjects typically had less than two other comorbid illnesses for a total CIRS-G score of about 4 (Table 1). For 41%, the only medical diagnosis was HCV. About 17% were also being treated for hypertension, 8% for diabetes, 3% for asthma, and 2% for hypercholesterolemia. About 56% smoked or chewed tobacco. Routine dietary assessments were not performed.

As noted in Table 2, both gender and age were associated with DHA fatty acids profiles (and ratios including DHA), but not the other fatty acids. For example, females had slightly lower AA/EPA+DHA ratios (18.7  $+/- 11.8$ ) than males (22.9  $+/- 16.9$ ). Racial self-identification was also an important variable. European-Americans had higher AA/EPA+DHA ratio (23.1 +/- 17.0) compared with African-Americans (14.6 +/- 7.0). Interestingly, weight was associated with none of the polyunsaturated fat percentages. We did not routinely document height, which would have allowed for calculation of BMI. In this cohort -- with ongoing liver inflammation because of chronic HCV infection, but specifically screened to not have active MDD -- none of the baseline fatty acids were correlated with baseline MADRS, BDI, PSQI, history of depression, or CRP levels (data not shown). However, AA was positively correlated with baseline IL-6 (square-root normalized)  $(R=0.211 p=0.024)$  and the AA/EPA +DHA ratio was positively correlated with baseline PSQI (R=0.25; p=0.013).

In subsequent Cox Regression analyses to examine the incidence of depression during IFN– α treatment, age, race, and gender were therefore included as covariates. Lower baseline DHA predicted incidence of depression  $(B=1.3 +/- 0.6; p=0.04)$ , as did elevated DGLA  $(B=0.53 +/-0.23; p=0.02)$ , the ratio of DGLA+AA/DHA+EPA (B=0.013 +/- 0.006; p=0.04), and the specific hypothesis of an elevated AA/EPA+DHA ratio (B=1.22 +/- 0.45; P=0.007). However, EPA (B= -0.76 +/- 3.7; p=0.47), AA (B=0.12 +/- 0.08; p=0.14), and the AA/EPA ratio (B=0.002 +/- 0.002; p=0.35) were not significant predictors of depression. In these analyses, the only demographic covariate that continued to be significant was race. Of note however, the relationships between fatty acids and depression were similar for both people of European and African ancestry. For example, higher AA/EPA+DHA ratios were similarly associated with subsequent depression in both racial groups (Figure 1), although the sample size of African-Americans was too small to examine them separately.

Forward step-wise Cox regression was next employed, individually adding each of the fatty acid variables and covariates (including race and PSQI) to the model. Ultimately, in this forward step-wise model, only three of the factors continued to be significantly predictive of subsequent depression: PSQI (B = 0.12 +/- 0.04; p=0.006), race (B=1.5 +/- 0.5; p=0.006) and the AA/EPA+DHA ratio (B = 01.09 +/- 0.44; p=0.013). Likewise, employing a backward model -- in which all variables were each initially included in the model and then individually subtracted in a step-wise fashion -- PSQI ( $B = 0.13 +/0.04$ ; p=0.003), race  $(B=1.9 + (-0.6; p=0.002)$  and the AA/EPA+DHA ratio  $(B = 01.15 + (-0.43; p=0.007)$  again continued to be the only three variables remaining that were predictive of depression. Thus, the AA/EPA+DHA ratio was the most informative summary of fatty acid status – and could predict subsequent depression in conjunction with both race and PSQI.

To help to justify the use of a median split in subsequent analyses, the role of the AA/EPA +DHA ratio in depression incidence was further examined using a median split in a Kaplan Meier survival analyses (Figure 2). Consistent with the results of the Cox analyses, individuals in the top median for AA/EPA+DHA ratios had a greater rate of developing depression (Mantel-Cox log rank  $X^2 = 5.4$ ; p=0.02). Of note, these findings were again similar in both African-Americans and European-Americans.

The median split of AA/EPA+DHA values was therefore next used in a mixed-effect repeated-measure analysis of MADRS depression scores over time (Figure 3). This fatty acid ratio predicted MADRS scores ( $F_{67,1,1}=4.0$ ; p=0.049) as well as square-root normalized IL-6 levels ( $F_{65.5}$ = 107.4; p=0.02) (Figure 4). However, the ratio AA/EPA +DHA did not have any consistent relationship with either BDI (p>0.2) or CRP (p>0.2). We also examined whether there was any relationship between fatty acid levels and subsequent sustained viral response to treatment (SVR). SVR was defined as undetectable hepatitis C for at least 3 months following the end of IFN-α treatment. As seen in Table 3, there were no fatty acid differences associated with subsequent SVR.

# **Discussion**

These results provide prospective evidence that an elevated AA/EPA+DHA ratio is associated with increased vulnerability to developing depression in response to exposure to systemic inflammation. Additionally, the AA/EPA+DHA ratio was correlated with baseline sleep quality, and we have previously observed that poor sleep is a strong risk factor for depression during IFN-α therapy (Franzen et al., 2009; Prather et al., 2009). Notably therefore, the AA/EPA+DHA ratio continued to predict depression incidence even when including sleep in the model – suggesting that both are independent indicators of depression vulnerability. These results confirm and extend the findings of Su et al (Su et al., 2010), who found lower erythrocyte DHA levels were associated with depression during IFN-α treatment in 63 in Chinese subjects, and are consistent with accumulating evidence for the importance of omega-6/omega-3 ratios in chronic inflammatory diseases, including cardiovascular disease and MDD (Simopoulos, 2008).

Strengths of the study include the prospective assessment of depression development, the use of a standardized interview for diagnosis, and the confirmation of increased depression symptoms with an objective rating scale. Because fatty acid levels can be associated with race (Lemaitre et al., 2011; Sekikawa et al.; Sergeant et al., 2012), gender (Decsi and Kennedy, 2011) and age, another critical strength is that we also controlled for these potentially confounding variables. For enzymes in the fatty acid pathway, there are important genetic differences among those with Asian, African, and European ancestry (Lemaitre et al., 2011). A limitation of our study is that we did not have plasma or erythrocytes available from subjects after IFN-α was initiated, and cannot make any inferences regarding potential changes in fatty acid levels during therapy. Also, this is a unique population in several ways. That is, despite having active chronic HCV and despite over one-third having a past history of MDD, none of these subjects were depressed at the onset of IFN-α therapy. Low baseline depression and very low baseline omega-3 levels could be a potential explanation for our not finding any correlation between depression symptoms and fatty acid levels at baseline.

Omega-3 fatty acid supplements can affect cytokine synthesis (Vedin et al., 2008), and we have previously noted that elevated IL-6, and further ongoing increases in IL-6 during INFα therapy, are predictive of increasing depression during IFN-α exposure (Prather et al., 2009). In the present study, we find that the AA/EPA+DHA ratio is associated with increased systemic IL-6 throughout therapy. This finding is also consistent with the observation that dietary-induced omega-3 fatty acid deficiency, and associated increase in the AA/EPA+DHA ratio, is associated with greater LPS-induced elevations in IL-6 in rodents (Mingam et al., 2008). Additionally, omega-3 fatty acids can modulate inflammation-induced transcription of TNF-α by inhibition of NF-kB (Novak et al., 2003), and we have previously found that genetic polymorphisms in TNF-α are associated with worsening psychiatric symptoms during IFN-α (Lotrich et al., 2010). Together, these and prior findings suggest that a higher AA/EPA+DHA ratio is associated with greater immune-

inflammatory reactivity in response to a pro-inflammatory challenge, and that this greater reactivity is associated with increased vulnerability to developing depressive symptoms. In view of prior cross-sectional evidence that patients with MDD also exhibit a greater AA/ EPA+DHA ratio (Adams et al., 1996; Conklin et al., 2007; Frasur-Smith et al., 2004; Maes et al., 1996; McNamara et al., 2010b; Tiemeier et al., 2003), this putative pathogenic mechanism may also be relevant to endogenous depression.

While the present prospective evidence is consistent with the hypothesis that an elevated AA/EPA+DHA ratio increases vulnerability to developing depression in response to exposure to systemic inflammation, definitive evaluation of this pathogenic mechanism will require evidence that decreasing the AA/EPA+DHA ratio is protective against the development of depression during IFN-α exposure. Prior controlled intervention trials have found that chronic dietary EPA+DHA supplementation, resulting in a reduction in the AA/ EPA+DHA ratio, is associated with significant reductions in depression symptom severity in patients currently experiencing MDD (Freeman et al., 2006; Lin and Su, 2007). However, it is not currently known whether reducing the AA/EPA+DHA ratio can prevent the onset of depression (i.e., primary prevention). Related to this, although we found an association between baseline DGLA levels and depression symptoms, the role of DGLA is not clear. Adipose levels of DGLA correlate with depression symptoms in adolescents [Mamalakis et al., 2006]. But omega-3 supplementation could actually increase levels of DGLA [Cleland et al., 1990], possibly mitigating their benefit. Conversely, the PGE1 product of DGLA may be anti-inflammatory. Nonetheless, the present data suggest that this population (those receiving weekly injections of IFN-α) who exhibit extremely low EPA+DHA levels may be ideally suited to prospectively evaluate whether omega-3 supplementation can reduce depression risk. Moreover, omega-3 supplementation may even be protective against HCVinduced hepatosteatosis (Liu et al., 2010), and we demonstrate that omega-3 fatty acid status does not influence antiviral response. Thus this may be a safe approach to prevent depression in this population.

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# **Highlights**

Elevated omega-6 fatty acids and lower omega-3 fatty acids were found to increase the risk for depression in people being treated with interferon-alpha.



#### **Figure 1.**

The ratio of AA/EPA+DHA at baseline is associated with subsequent major depression (MDD) development, regardless of race (F=4.0 p<0.05). Although African-Americans have lower AA/DHA+EPA ratios (F=11.0; p<0.001), there is no interaction between race and MDD on DHA levels (p=0.13).



#### **Figure 2.**

The ratio of AA to EPA and DHA at baseline (using a median split to define high and low) is associated with subsequent depression development after subjects initiate IFN-α therapy.

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# **Figure 3.**

Montgomery-Asperg Depression Rating Scale scores (MADRS) increase during IFN-α treatment more in subjects with above median AA/EPA+DHA ratio.



#### **Figure 4.**



#### **Table 1**

Subjects characteristics at baseline, prior to starting IFN-α, including Montgomery-Asperg Depression Rating Scale (MADRS), Beck Depression Inventory (BDI), Cumulative Illness Rating Scale- Geriatric (CIRS-G), interleukin- 6 (IL-6), C-reactiv protein (CRP), and the fatty acids docosahexaenoic acid (DHA), eicosapentaenoic acid (EPA), di-homo-gamma-linolenic acid (DGLA), and arachidonic acid (AA).



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### **Table 2**

Linear correlations of demographics with baseline fatty acid profiles: omega-6 fatty acids, di-homo-gammalinolineic acid (DGLA) and arachidonic acid (AA); and omega-3 fatty acids, eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA). For race, European ancestry = 1 and African ancestry = 2. For Gender, male = 1 and female  $= 2$ .



#### **Table 3**

There are no differences in baseline fatty acid levels in people who have a sustained viral response (SVR), successfully clearing the hepatitis C viral infection after IFN-α treatment.

