

Chronic Obstructive Pulmonary Disease: Lobe-based Visual Assessment of Volumetric CT by Using Standard Images—Comparison with Quantitative CT and Pulmonary Function Test in the COPDGene Study¹

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Purposes:

To provide a new detailed visual assessment scheme of computed tomography (CT) for chronic obstructive pulmonary disease (COPD) by using standard reference images and to compare this visual assessment method with quantitative CT and several physiologic parameters.

Materials and Methods:

This research was approved by the institutional review board of each institution. CT images of 200 participants in the COPDGene study were evaluated. Four thoracic radiologists performed independent, lobar analysis of volumetric CT images for type (centrilobular, panlobular, and mixed) and extent (on a six-point scale) of emphysema, the presence of bronchiectasis, airway wall thickening, and tracheal abnormalities. Standard images for each finding, generated by two radiologists, were used for reference. The extent of emphysema, airway wall thickening, and luminal area were quantified at the lobar level by using commercial software. Spearman rank test and simple and multiple regression analyses were performed to compare the results of visual assessment with physiologic and quantitative parameters.

Results:

The type of emphysema, determined by four readers, showed good agreement ($\kappa = 0.63$). The extent of the emphysema in each lobe showed good agreement (mean weighted $\kappa = 0.70$) and correlated with findings at quantitative CT ($r = 0.75$), forced expiratory volume in 1 second (FEV_1) ($r = -0.68$), FEV_1 /forced vital capacity (FVC) ratio ($r = -0.74$) ($P < .001$). Agreement for airway wall thickening was fair (mean $\kappa = 0.41$), and the number of lobes with thickened bronchial walls correlated with FEV_1 ($r = -0.60$) and FEV_1 /FVC ratio ($r = -0.60$) ($P < .001$).

Conclusion:

Visual assessment of emphysema and airways disease in individuals with COPD can provide reproducible, physiologically substantial information that may complement that provided by quantitative CT assessment.

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Chronic obstructive pulmonary disease (COPD) is a preventable and treatable condition with substantial

Advances in Knowledge

- The extent of emphysema, which was visually assessed on a six-point scale, showed good observer agreement (mean weighted $\kappa = 0.70$) and correlated relatively well with findings at quantitative CT (Spearman correlation test, $r = 0.75$; $P < .001$), forced expiratory volume in 1 second (FEV_1) ($r = -0.68$; $P < .001$), FEV_1 /forced vital capacity (FVC) ratio ($r = -0.74$; $P < .001$), and Global Initiative for Obstructive Lung Disease (GOLD) stage ($r = 0.67$; $P < .001$).
- The number of lobes with thickened bronchial walls at visual evaluation correlated moderately with GOLD stage ($r = 0.58$), FEV_1 ($r = -0.60$), and FEV_1 /FVC ratio ($r = -0.60$) (all $P < .001$).
- The presence of centrilobular nodules correlated weakly with the number of pack-years ($r = -0.18$; $P = .013$), and centrilobular nodules were not more common in current smokers.
- Tracheal abnormality was detected in 19.5% of the patients, and patients with a tracheal abnormality showed more lobes with airway wall thickening, more severe emphysema, and higher GOLD stage (t test, all $P < .05$).
- Emphysema indexes in patients with normal and airway-dominant phenotypes were significantly lower than those in patients with emphysema-dominant and mixed chronic obstructive pulmonary disease (COPD) phenotypes ($P < .001$); the mean numbers of lobes with bronchial wall thickening in patients with normal and emphysema-dominant phenotypes were significantly lower than those in patients with airway-dominant and mixed COPD phenotypes ($P < .001$).

extrapulmonary effects that may contribute to the severity of disease in individual patients. The characteristic airflow limitation is progressive, not fully reversible, and associated with an abnormal inflammatory response of the lung to noxious particles or gases (1). With the development of volumetric computed tomography (CT), researchers in numerous studies have assessed the objective quantification of the extent and severity of pulmonary emphysema and measurements of airway dimensions (2–9). There has also been increased interest in the use of CT to identify subphenotypes of COPD to facilitate physiologic management (treatment including watchful waiting), surgical strategy, selection for drug trials, and genetic analysis (10–12). Quantitative CT in COPD is a more reliable objective method than subjective visual grading but has several limitations. For example, measurements of CT attenuation are not standardized among different CT vendors and models (13–16). In addition, existing quantitative methods fail to provide detailed analysis of the type of emphysema and patterns of morphologic abnormality of large and small airways. On the other hand, visual assessment of COPD is relatively simple, cheap, and independent of machine and reconstruction algorithms and correlates with physiologic assessment (17–20). It also provides more information on type of emphysema and small-airway abnormality. Limitations of interreader and intrareader variation may be overcome, at least in part, by using standard reference images. Therefore, the purposes of our study were to provide a newer detailed visual assessment scheme of CT for COPD by using standard reference images and to

Implication for Patient Care

- Lobe-based visual assessment of volumetric computed tomography in chronic obstructive pulmonary disease with standard reference images may be used to evaluate the severity of emphysema and bronchial wall thickening and can depict and help describe many accompanying changes in the small and large airways and lung parenchyma.

compare this visual assessment method with quantitative CT and several physiologic parameters.

Materials and Methods

Siemens provided financial support for image analysis but had no access to the data.

Clinical Subjects

Subjects for this study were selected from participants in the COPD Gene Study, which recruited 10000 cigarette smokers with and without COPD from 17 institutions (21). Non-Hispanic whites or African Americans aged 45–80 years with a minimum smoking history of 10 pack-years were included in the study. Exclusion criteria included pregnancy, history of fibrotic lung disease or diffuse bronchiectasis, previous surgical excision of at least one lung lobe (or a lung volume reduction procedure), active cancer for which the participant was undergoing treatment, known lung cancer, metal in the chest, recent exacerbation of COPD treated with newly prescribed or increased doses of antibiotics or steroids, recent eye surgery, myocardial infarction, other

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Abbreviations:

COPD = chronic obstructive pulmonary disease

FEV_1 = forced expiratory volume in 1 second

FVC = forced vital capacity

GOLD = Global Initiative for Obstructive Lung Disease

Author contributions:

Guarantors of integrity of entire study, S.S.K., J.B.S.; study concepts/study design or data acquisition or data analysis/interpretation, all authors; manuscript drafting or manuscript revision for important intellectual content, all authors; approval of final version of submitted manuscript, all authors; literature research, S.S.K., J.B.S., D.V.N., J.D.C., D.A.L.; clinical studies, S.S.K., J.B.S., H.Y.L., D.V.N., J.D.C., J.D.S., D.A.L.; statistical analysis, S.S.K., J.B.S., A.V.F.; and manuscript editing, S.S.K., J.B.S., H.Y.L., J.D.C., D.A.L.

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Conflicts of interest are listed at the end of this article.

cardiac hospitalization, recent chest or abdominal surgery, inability to use albuterol, multiple self-described racial categories, and first- or second-degree relative already enrolled in the study.

The data coordinating center of the COPDGene study randomly selected 200 participants from the COPDGene cohort for imaging review. Forty participants each from the following categories were selected: (a) smokers without evidence for COPD, (b) smokers with Global Initiative for Obstructive Lung Disease (GOLD) stage 1 COPD, (c) smokers with GOLD stage 2 COPD, (d) smokers with GOLD stage 3 COPD, and (e) smokers with GOLD stage 4 COPD. Each GOLD stage subgroup was sex matched (25 men and 15 women per subgroup). The mean ages were 64.6 years \pm 8.4 (standard deviation) for the 200 participants overall, 63.6 years \pm 8.5 for 125 men, and 66.3 years \pm 8.2 for 75 women. The institutional review boards from each hospital approved the analyses of the clinical and imaging data. Individual, informed written consent was obtained from all subjects.

CT Examination, Acquisition, and Data Analysis

Volumetric CT scans were obtained for all subjects with COPD by using various 16- and 64-detector row CT scanners (21,22). CT scans were obtained (a) during deep inspiratory breath hold at a standard CT dose and (b) at the end of normal expiration (functional residual capacity) at reduced CT dose in a craniocaudal direction of the supine position. Before the CT examination, each patient was carefully instructed on how to breathe. The typical CT variables were as follows: submillimeter collimation (0.6–0.75 mm) and submillimeter reconstruction (thickness, 0.625–0.9 mm; interval, 0.45–0.625 mm) with both standard and high-frequency protocols, 120 kVp, 200 mAs for inspiration examination, and 50 mAs for expiration examination (21,22). No patient received intravenous contrast medium. The scanned CT data were stored in Digital Imaging and Communications in Medicine format, which

is the international standard for interconnecting medical imaging devices on standard networks.

Generation of Standard Reference Images

CT images with a high-frequency reconstruction algorithm were used. Two experienced chest radiologists (D.A.L. and J.B.S., with 25 and 12 years of experience, respectively) reviewed CT images to generate standard image sets. They included CT images that showed 5%, 25%, 50%, and 75% involvement for the extent of centrilobular emphysema through the upper, middle, and lower lung zones in the whole lung; 50% and 75% involvement by panlobular emphysema through the upper, middle, and lower lung zones in the whole lung; paraseptal emphysema; bronchial wall thickening; bronchiectasis; bronchial wall irregularity; centrilobular nodules; tracheal abnormality (saber-sheath trachea or outpouchings); mosaic attenuation; expiratory air trapping; and tracheobronchomalacia (Appendixes E1–E6 [online]).

Bronchial wall thickening was defined by the ratio of the inner diameter to the outer diameter of the lumen of less than 0.8 and extensive involvement. Bronchiectasis was considered present according to the visibility of a bronchus in the outer one-third of the lung or a diameter of the inner lumen greater than that of the accompanying pulmonary artery; the finding was regarded as positive if more than 50% of a segment was affected. Centrilobular nodules were considered present if more than 50% of a segment was affected; ground-glass opacity nodules were regarded as a positive finding. Tracheal abnormality was defined as the presence of tracheal deformity showing saber-sheath trachea or outpouchings. Saber-sheath deformity was a positive finding when coronal narrowing and sagittal widening of the intrathoracic tracheal diameter resulted in a tracheal index of less than 0.6 (23). Tracheal outpouching was defined as a focal herniation of mucosa through the tracheal wall (24). Mosaic attenuation pattern was defined as more than 25% of the lobe showing a patchwork of regions of

differing attenuation at inspiratory CT, excluding areas of emphysema (25). Expiratory air trapping was defined at side-by-side comparison of inspiratory and expiratory CT images as the presence of parenchymal area with a less than normal increase in attenuation and lack of volume reduction, involving more than 25% of the lobe, excluding areas of emphysema (25). Tracheobronchomalacia was defined as a more than 50% collapse of the trachea and main bronchi at end-expiratory CT. The reviewers selected images in consensus.

Lobe-based Quantitative Visual Assessment of CT Images

Four radiologists performed visual assessment: Reader 1 (J.B.S) was a chest radiologist with 12 years of experience and was involved in the generation of the standard images. Reader 2 (H.Y.L) and reader 3 (S.S.K) were also chest radiologists with 5 and 8 years of experience, respectively. Reader 4 (D.V.N) was a 3rd-year resident in radiology. Image data sets were presented to each reader by using software (Aquarius-NET; TeraRecon, San Mateo, Calif). Images were not grouped according to GOLD stage for reading but were presented in random order to the readers. The high-frequency algorithm and the whole CT image data were used for visual analysis. Expiratory volumetric CT data were assessed after evaluation of inspiratory volumetric CT data. There was no time limitation for the lobe-based quantitative visual assessment per reader, and time needed to complete the readings was not recorded. The CT images were visually inspected by using a window width of 1500 HU and a window level of -700 HU.

For the lobe-based visual assessment of emphysema, readers were asked to determine the type of emphysema as centrilobular, panlobular, or mixed. The extent of emphysema in each lobe was also assessed by using a six-point scale system: 0%, 1%–5%, 6%–25%, 26%–50%, 51%–75%, and greater than 75%. The lingula was considered a different lobe, resulting in six lobes for each case. The presence of airway changes, including bronchial

wall thickening, bronchiectasis, and bronchial wall irregularity, was also determined in each lobe. The presence of centrilobular nodules, saber-sheath trachea, tracheal outpouching, paraseptal emphysema, and mosaic attenuation pattern at inspiration CT was also assessed. The presence of expiratory air trapping and tracheobronchomalacia was assessed by comparison of inspiration and expiration CT images. The readers were blinded to any clinical and functional information. Finally, the readers were asked to categorize the imaging features of each participant into one of the following predominant phenotypes: normal, emphysema dominant, airway dominant, and mixed phenotype.

CT Measurements

Whole volumetric CT images for quantitative analysis were reconstructed by using a standard algorithm. With use of commercial software (Pulmonary Workstation, Vida Diagnostics, Coralville, Iowa), the extent of emphysema, airway wall thickening, luminal area, and wall area were quantitatively measured at the lobar level (26–29). The software automatically calculated the emphysema index, which is defined as the percentage of lung voxels less than –950 HU at inspiratory CT. Quantitative assessment of airway dimensions was performed in the fourth (segmental), fifth, and sixth (subsegmental) generations of the following bronchial pathways: RB1 (apical segment of the right upper lobe), RB4 (lateral segment of the right middle lobe), RB10 (posterobasal segment of the right lower lobe), LB1 (apicoposterior segment of the left upper lobe), LB4 (superior segment of the lingula), and LB10 (posterobasal segment of the left lower lobe). In each segmental pathway, airway dimensions, including wall area, luminal area, and wall area percentage, were measured. The wall area, or WA, percentage, or WA%, was defined as follows: $WA\% = WA/(WA + LA) \times 100$, where LA is luminal area (30). The mean value of the measured dimensions of the fourth, fifth, and sixth generations of bronchi in a given segmental pathway was used

for statistical analysis. All CT measurements were processed by research analysts who were blinded to the results of pulmonary function tests and visual assessments.

Pulmonary Function Test

Spirometry was conducted as recommended by the American Thoracic Society, and all spirometry data were collected by using a portable spirometer (EasyOne Spirometer; NDD Medical Technologies, Andover, Mass) (21,31). The following values were evaluated: forced expiratory volume in 1 second (FEV_1) and the FEV_1 /forced vital capacity (FVC) ratio. All spirometric values were expressed as percentage of predicted values. Pulmonary function tests were performed on average within 1.8 days of volumetric CT (median, 0 day), and 85% of the tests were performed on the same day.

Statistical Analysis

All statistical analyses were performed with software (SPSS, version 12.1.1; SPSS, Chicago, Ill). The Cohen κ statistic was used to measure the interreader agreement of the visual assessment (emphysema, airway dimension, centrilobular nodules, tracheal abnormality, tracheomalacia, and COPD phenotypes). Linearly weighted κ statistics were used to measure the interreader agreement of the emphysema extent. To obtain an overall κ value among the four readers, we used the Light κ , calculated as the mean of six combinations of pairwise κ values among four readers.

The Spearman rank test was used to assess the correlation of the visual data (averaged over four readers) and quantitative emphysema index for the extent of emphysema based on each lobe. For the left upper lobe, we obtained the mean of the extent of visual emphysema for the upper division of the left upper lobe and the lingula; we then assessed the correlation with quantitative emphysema index in the left lower lobe. The visual extent of emphysema for the whole lung was calculated by adding the six-point scale scores in six lobes. Correlation between the visual extent

of emphysema and each physiologic parameter was determined with the Spearman correlation analysis. We also assessed the correlation between the emphysema index at quantitative CT and each physiologic parameter. Significant differences in airway dimensions at lobe-based visual assessment were evaluated with independent *t* tests for quantitative measurement with CT. If at least one of the readers determined that a lobe had an airway abnormality, the result was regarded as positive. The Spearman correlation analysis was used to evaluate the relationship between the number of lobes with bronchial wall thickening and physiologic parameters.

We conducted Pearson and Spearman correlation analyses to evaluate the correlation between the wall area percentage and physiologic parameters. To assess the correlation between spirometric measures and visual and quantitative CT parameters, simple and multiple regression analyses were used. The simple linear regression analyses were used to examine the effect sizes of a single visual or quantitative CT parameter, and the multiple linear regression analyses were used to examine the effect sizes of all visual and quantitative CT parameters combined. The outcomes of interest were FEV_1 and FEV_1 /FVC ratio. Assessment of the correlation between the presence of centrilobular nodules and pack-years was performed by using Spearman correlation analysis. We used the Student *t* test to assess the difference in the number of lobes with airway wall thickening; emphysema index; and physiologic parameters according to the presence or absence of tracheal abnormality, paraseptal emphysema, mosaic attenuation pattern, expiratory air trapping, and tracheobronchomalacia. One-way analysis of variance was performed to determine any differences in the emphysema index and the number of lobes with visual bronchial wall thickening among the four different phenotypes. Final phenotype assignments were made with the agreement of at least three independent readers. If more than two independent readers disagreed, phenotypes were determined through a subsequent

case review and adjudication by one of the readers (S.S.K). A *P* value of less than .05 was considered to indicate a significant difference.

Results

Emphysema

For visual assessment, the interreader agreement on the type of emphysema was good ($\kappa = 0.63$) and the extent of emphysema in each lobe showed good agreement among readers (mean weighted $\kappa = 0.70$) (Table 1). The Spearman correlation coefficients for the relationships between the mean extent of emphysema in each lobe at visual assessment and lobe-based emphysema index at quantitative CT measurements were 0.77 (right upper lobe), 0.52 (right middle lobe), 0.71 (right lower lobe), 0.71 (left upper lobe), and 0.68 (left lower lobe) (all *P* < .001). The correlation coefficient for the visual extent of emphysema in the whole lung showed good correlation with emphysema index (*r* = 0.75, *P* < .001). The Spearman rank test showed that the extent of emphysema at visual assessment correlated relatively well with each physiologic parameter; the correlation coefficient ranged between 0.67 (for GOLD stage) and -0.74 (for FEV₁/FVC ratio). These correlations were similar to the correlations between emphysema index at quantitative CT measurement and each physiologic parameter (*P* < .001) (Table 2). After adjustment for other visual and quantitative analyses, visual assessment of extent of emphysema and emphysema index (quantitative CT) were independently and significantly associated with FEV₁ and FEV₁/FVC ratio in multiple regression analyses (Table 3).

Airway Dimensions

At visual assessment for airway dimensions, the mean interreader agreement for wall thickening and bronchiectasis was fair ($\kappa = 0.41$ and 0.32, respectively) (Table 1). The mean measured wall area percentage in lobes that had visually normal bronchial walls was significantly smaller than that in patients

Table 1

Mean Interreader Agreement for Visual Assessment of Emphysema and Airway Dimensions

Location	Emphysema*		Airway Dimensions [†]	
	Type	Extent	Wall Thickening [‡]	Bronchiectasis [§]
Right upper lobe	...	0.70	0.34	0.27
Right middle lobe	...	0.66	0.42	0.28
Right lower lobe	...	0.74	0.43	0.36
Upper division of left upper lobe	...	0.68	0.42	0.32
Lingula	...	0.65	0.42	0.28
Left lower lobe	...	0.74	0.44	0.38
Overall	0.63	0.70	0.41	0.32

* Mean κ coefficient for interreader agreement for the type and extent of emphysema at visual assessment.
[†] Mean κ coefficient for interreader agreement for wall thickening and bronchiectasis at visual assessment.
[‡] Diameter ratio of inner to outer lumen of less than 0.8 and extensive involvement.
[§] Visibility of bronchus in the outer third of the lung or diameter of inner lumen greater than that of the accompanying pulmonary artery and more than 50% of a segment affected.
^{||} Comprises anterior and apicoposterior segments.

Table 2

Correlation between Physiologic Parameters and Image-based Disease Severity

Visual and Quantitative CT Measures	Physiologic Parameters		
	FEV ₁	FEV ₁ /FVC Ratio	GOLD Stage
Visual assessment of extent of emphysema*	-0.68	-0.74	0.67
Emphysema index (quantitative CT) [†]	-0.62	-0.70	0.62
No. of lobes with airway wall thickening, visual assessment [‡]	-0.60	-0.60	0.58
Mean wall area percentage of fourth generation of bronchi [§]	-0.538	-0.458	0.537
Mean wall area percentage of fifth generation of bronchi [§]	-0.640	-0.586	0.636
Mean wall area percentage of sixth generation of bronchi [§]	-0.551	-0.495	0.544

Note.—Image-based severity includes visual and computerized measures of emphysema extent and airway wall thickening.
* Spearman correlation coefficient for the correlation between the extent of emphysema at visual assessment and each physiologic parameter (all *P* < .001).
[†] Pearson and Spearman correlation coefficients for the correlation between emphysema index at quantitative CT and each physiologic parameter (all *P* < .001).
[‡] Spearman correlation coefficient for the correlation between the number of lobes with wall thickening and each physiologic parameter (all *P* < .001).
[§] Pearson and Spearman correlation coefficients for the correlation between the wall area percentage and physiologic parameters (all *P* < .001).

with bronchial wall thickening at visual assessment (*t* test, all *P* < .01) (Table 4). The measured bronchial luminal area in each lobe with visually normal bronchial lumens was significantly smaller than that in patients with visually determined bronchial dilation (*t* test, all *P* < .01 except lingula lobe) (Table 4). On the Spearman rank test, the number of lobes with bronchial wall thickening at visual assessment

showed moderate correlation with each physiologic parameter; the correlation coefficients ranged between 0.58 (for GOLD stage, *P* < .001) and -0.60 (for FEV₁ and FEV₁/FVC ratio, *P* < .001) (Table 2). The correlation coefficients between the wall area percentage and each physiologic parameter ranged between -0.458 (for FEV₁/FVC, *P* < .001) at the fourth generation of segmental bronchi and -0.640 (for FEV₁,

Table 3
Results of Simple and Multiple Regression Analyses for Visual and Quantitative Measurement Contributions to Physiologic Parameters

Visual and Quantitative CT Measures	Simple Regression			Multiple Regression*		
	β Value†	R ² Value	P Value	β Value†	P Value	P Value
Visual assessment of emphysema extent	-2.9675 (-3.4205, -2.5145)	0.4546	<.0001	-1.3823 (-2.0259, -0.7387)	<.0001	<.0001
Emphysema index at quantitative CT	-1.486 (-1.7691, -1.2029)	0.3479	<.0001	-0.4726 (-0.8215, -0.1238)	<.0001	.0082
Visual assessment of no. of lobes with airway wall thickening	-8.8742 (-10.5363, -7.2120)	0.3557	<.0001	-2.9299 (-4.4011, -1.4587)	<.0001	.0001
Mean wall area						
As percentage of fourth generation of bronchi	-4.7965 (-5.8330, -3.7600)	0.2925	<.0001	-0.1004 (-1.6343, 1.4335)	<.0001	.8974
As percentage of fifth generation of bronchi	-6.7828 (-7.9180, -5.6477)	0.4105	<.0001	3.3816 (-5.4776, -1.2856)	<.0001	.0017
As percentage of sixth generation of bronchi	-7.2323 (-8.7576, -5.7070)	0.3039	<.0001	0.8607 (-2.5861, 0.8648)	<.0001	.3264
B: Evaluation for FEV₁/FVC Ratio						
Visual and Quantitative Measures	Simple Regression			Multiple Regression*		
	β Value†	R ² Value	P Value	β Value†	P Value	P Value
Visual assessment of emphysema extent	-0.0185 (-0.0209, -0.0162)	0.5456	<.0001	-0.0091 (-0.0125, -0.0056)	<.0001	<.0001
Emphysema index at quantitative CT	-0.0095 (-0.0110, 0.0080)	0.4357	<.0001	-0.0033 (-0.0052, -0.0014)	<.0001	.0007
Visual assessment of no. of lobes with airway wall thickening	-0.0504 (-0.0599, -0.0409)	0.3523	<.0001	-0.0180 (-0.0259, -0.0102)	<.0001	<.0001
Mean wall area						
As percentage of fourth generation of bronchi	-0.0241 (-0.0303, 0.0179)	0.2257	<.0001	0.0032 (-0.0051, 0.0114)	<.0001	.4488
As percentage of fifth generation of bronchi	-0.0358 (-0.0426, 0.0290)	0.3494	<.0001	-0.0203 (-0.0315, -0.0091)	<.0001	.0005
As percentage of sixth generation of bronchi	-0.0372 (-0.0462, -0.0281)	0.2453	<.0001	-0.0018 (-0.0110, 0.0075)	<.0001	.7057

* Adjusted R² for multiple regression analysis for FEV₁ was 0.6832, and that for FEV₁/FVC ratio was 0.7205.

† Numbers in parentheses are 95% confidence intervals.

$P < .001$) at the fifth generation (Table 2). After adjustment for other visual and quantitative analyses, the number of lobes with visually identified airway wall thickening and quantitative measurement of wall area percentage in fifth-generation bronchi were independently and significantly associated with FEV₁ and FEV₁/FVC ratio in multiple regression analyses (Table 3).

Other COPD-related Findings

The mean interreader agreement for the presence of centrilobular nodules was fair ($\kappa = 0.25$). The presence of centrilobular nodules correlated weakly with the number of pack-years ($r = -0.18, P = .013$), and centrilobular nodules were not more common in current smokers. Tracheal abnormality was detected in 19.5% of the participants, and a saber-sheath or outpouching appearance of tracheal abnormality ($\kappa = 0.48$) and tracheomalacia ($\kappa = 0.46$) showed moderate interreader agreement. Participants with a tracheal abnormality showed more lobes with airway wall thickening, more severe emphysema, and higher GOLD stage (t test, all $P < .05$). Physiologic parameters did not differ significantly between participants with paraseptal emphysema, mosaic attenuation pattern, expiratory air trapping, and tracheobronchomalacia and those without.

Comparison of Emphysema Index and Wall Thickening among the Different Phenotypes

At visual assessment of COPD phenotypes, the interreader agreement for four different phenotypes was moderate ($\kappa = 0.47$). Among the four groups, emphysema indexes in patients with normal and airway-dominant phenotypes were significantly lower than those in patients with emphysema-dominant and mixed COPD phenotypes (one-way analysis of variance, $P < .001$ (Figure)). The mean number of lobes with bronchial wall thickening in patients with normal and emphysema-dominant phenotypes was significantly lower than that in patients with airway-dominant and mixed COPD phenotypes (one-way analysis of variance, $P < .001$) (Fig 1).

Table 4

Difference in Quantitative Airway Measurement Result according to Visual Assessment Result**A: Wall Area Percentage for Fourth, Fifth, and Sixth Generations***

Location per Airway Dimension	Patients with Bronchial Wall Thickening		Patients without Bronchial Wall Thickening		P Value
	Result	No. of Segments Measured	Result	No. of Segments Measured	
Right upper lobe, RB1	63.1 ± 3.4	165	61.0 ± 3.9	35	<.01
Right middle lobe, RB4	64.1 ± 3.3	140	61.6 ± 3.2	60	<.001
Right lower lobe, RB10	62.9 ± 3.4	167	60.6 ± 3.1	33	<.001
Left upper lobe, LB1	65.4 ± 3.0	122	64.2 ± 2.7	78	<.01
Lingula, LB4	64.0 ± 3.8	130	61.7 ± 3.4	70	<.01
Left lower lobe, LB10	62.5 ± 3.6	150	60.4 ± 3.1	50	<.001

B: Luminal Area for Fourth, Fifth, and Sixth Generations†

Location per Airway Dimension	Patients with Visual Bronchial Dilatation		Patients without Visual Bronchial Dilatation		P Value
	Result	No. of Segments Measured	Result	No. of Segments Measured	
Right upper lobe, RB1	18.0 ± 9.7	36	13.5 ± 5.8	164	<.001
Right middle lobe, RB4	12.3 ± 6.6	63	10.2 ± 3.4	137	<.01
Right lower lobe, RB10	16.4 ± 5.4	68	13.9 ± 4.5	132	<.01
Left upper lobe, LB1	13.5 ± 3.9	33	10.1 ± 3.3	167	<.001
Lingula, LB4	11.6 ± 4.1	36	10.3 ± 4.1	164	.08
Left lower lobe, LB10	18.5 ± 7.3	57	15.3 ± 4.6	143	<.001

Note.—The *P* values were determined with the *t* test. LB1 = apicoposterior segment of the left upper lobe; LB4 = superior segment of the lingula; LB10 = posterobasal segment of the left lower lobe; RB1 = apical segment of the right upper lobe; RB4 = lateral segment of the right middle lobe; RB10 = posterobasal segment of the right lower lobe.

* The mean wall area percentages are expressed as means ± standard deviations, as percentages.

† The mean luminal areas are expressed as means ± standard deviations, in square millimeters.

Discussion

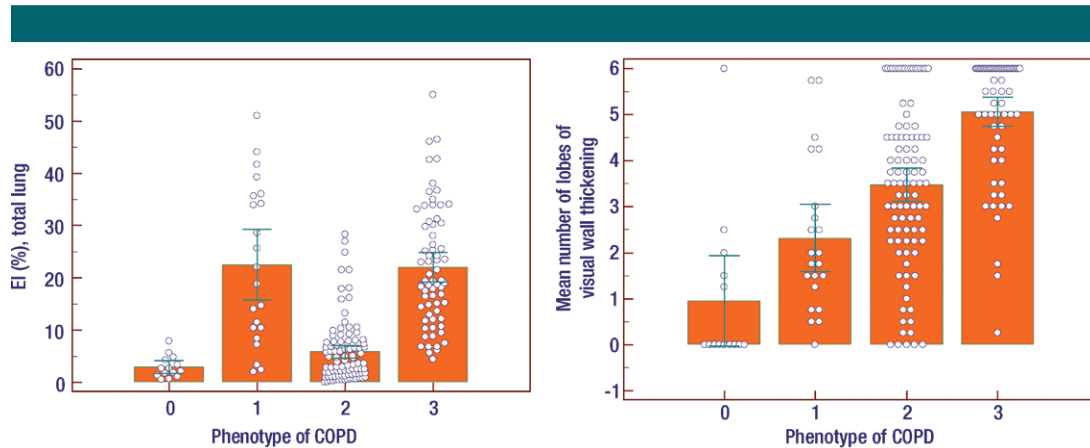
In this study, we demonstrated that with use of standard reference images, findings from lobe-based visual assessment of volumetric CT in COPD not only correlated well with quantitative CT results and physiologic parameters but also provided additional direct information in regard to the type of emphysema and current morphologic abnormality in small and large airways.

In COPD, quantitative assessment of airflow limitation caused by a mixture of small-airway diseases and parenchymal destruction has been an important issue. Even though spirometry is essential for diagnosis and provides a useful description of the severity of pathologic changes in COPD, the FEV₁ and FEV₁/FVC ratio alone usually do not reflect the range of pathophysiologic abnormalities in COPD, a heterogeneous condition (32–34). Lung densitometry with CT has yielded valuable data for measurement of the extent of emphysema

(34–38). In this study, emphysema index determined from quantitative CT measurements at the lobar level also showed moderate to good correlation with each physiologic parameter (Table 2). However, in addition to the many sources of error and variability in the quantitative assessments performed by using CT, emphysema index cannot be used to characterize features of emphysema, such as size of emphysematous lesions and subtype of emphysema (eg, centrilobular, panlobular), which may be important in the management (treatment and watchful waiting) of disease (2,39–43).

On the other hand, visual assessment of COPD can be used to evaluate the severity of emphysema and bronchial wall thickening. It also has an advantage for depicting and describing many accompanying pathologic changes in the small and large airways, as well as the parenchyma (17–20,44–48). Furthermore, COPD is not simply a homogeneous, irreversible airflow

limitation. The relatively independent contributions of these pathologic changes toward airflow limitation manifest as clinical features that differ among individual patients. Therein lies the value of using visual assessment in addition to quantitative analysis (49,50). However, the main disadvantage of visual assessment has been the relatively low interreader agreement (51,52). Therefore, standard reference images were used to apply to lobe-based visual assessment of COPD, even though we did not test the agreement of reference images with written definitions of visual findings for this study. Reference images may have contributed to the relatively high interreader agreement in this study (mean weighted κ = 0.70) compared with agreement in previous studies by Bankier et al (52) (weighted κ range, 0.43–0.58) and Cavigli et al (51) (κ = 0.20). Our study also demonstrated good correlation not only between lobe-based visual extent of emphysema and lobe-based emphysema



a.

b.

Results of analysis of variance among the phenotypes of COPD represented as 0, normal; 1, emphysema dominant; 2, airway dominant; 3, mixed pattern of emphysema and airway dominant. **(a)** Emphysema index (*EI*) in total lung. Among the four phenotype groups, emphysema indexes in patients with normal and airway-dominant phenotype were significantly lower than those in patients with emphysema-dominant and mixed COPD phenotype ($P < .001$). **(b)** Mean number of lobes with visual bronchial wall thickening. Among the four groups, mean numbers of lobes in patients with normal and emphysema-dominant phenotype were significantly lower than those in patients with airway-dominant and mixed COPD phenotype ($P < .001$). Error bars represent means and standard deviations.

index from quantitative CT but also between the lobe-based visual extent of emphysema and the physiologic measurements. Furthermore, correlation values between visual assessment and physiologic measurements were similar to those obtained with emphysema index (Table 2).

In a previous study, visual assessment of bronchial wall thickening was highly subjective and poorly reproducible (53). Similarly, in our study, the interreader agreement for the visual assessment of airway dimensions was only fair, despite the use of standard reference images. However, the presence of bronchial wall thickening or bronchiectasis seen at lobe-based visual assessment was associated with significant differences in the quantitative airway dimensions. Researchers in previous studies showed a significant but moderate degree of negative correlation between airway wall thickening and pulmonary function test results (5–7). Similarly, in the present study, the number of lobes with bronchial wall thickening at visual assessment showed moderate correlation with physiologic parameters. Interestingly, these correlations were slightly stronger than correlations between wall area percentage

and physiologic parameters at the fourth to sixth generations of segmental bronchus, although the comparison condition is not the same (Table 2). We speculate that visual assessment for the extent of airway involvement (the number of lobes with bronchial wall thickening) may be more sensitive to airway abnormality than existing quantitative severity (wall area percentage) measures. This may be because quantitative measures are difficult to normalize for patient-related parameters, such as height, sex, and depth of inspiration. Quantitative CT extent of emphysema and airway parameters has been shown to be associated with the frequency of COPD exacerbation (22). Because quantitative CT measurement is not yet routinely available at the workstation, we speculate that standardized visual assessment of emphysema and airway abnormality may provide clinically relevant information on the likelihood of exacerbation and physiologic impairment.

Another advantage of lobe-based visual assessment of CT in COPD is that it permits characterization of predominant CT phenotype. The overall visual CT phenotypes of COPD and the presence of tracheal abnormality were significantly related to physiologic

impairment; however, there is no currently accepted set of COPD phenotypes that is based on quantitative CT. Classification of COPD on the basis of the GOLD stage may not reflect phenotypic heterogeneity, and even in the same disease state the extent of emphysema varies widely between the emphysema and the nonemphysema phenotypes (54,55). Indeed, the relatively weak correlation between visual CT findings and GOLD stage found in our study is probably owing to the known wide variation in severity of emphysema across GOLD stages (55). It has been proposed that image-based diagnosis of COPD phenotype would be very useful in predicting the effect of treatment or determining the appropriate disease management strategy (56–60). Our study results suggested that visual assessment of CT may help differentiate emphysema- and airway-dominant COPD phenotypes, with moderate interreader agreement, associated with differences in quantitative indexes of emphysema and airway wall thickening. We anticipate that use of visual phenotypic characterization with a larger data set will improve understanding of the clinical and prognostic importance of these phenotypes. In addition, visual

characterization of patterns of emphysema may permit better elucidation of underlying disease pathogenesis and may even lead to identification of new genetic markers, analogous to the identification of α_1 -antitrypsin deficiency in patients with basal predominant panlobular emphysema.

Our study had some limitations. We did not perform a power analysis to determine an appropriate sample size for visual assessment; however, we believed that 200 participants provided an appropriate sample for analysis. The standard reference images for COPD were created by two experts and may require further verification. In particular, airway wall thickening has many subjective aspects, and generation of appropriate standard images for assessment of airway wall thickening may require additional verification by other experts before they can be widely used in clinical practice.

We conclude that visual assessment of emphysema and airways disease in individuals with COPD can provide reproducible, physiologically significant information that may complement results of quantitative CT assessment.

With use of standard reference images, lobe-based visual assessment of volumetric CT in COPD not only correlated well with quantitative CT findings and physiologic parameters but also provided additional direct information on the type of emphysema and current morphologic abnormality in small and large airways.

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