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## Regulatory properties of the intestinal microbiome effecting the development and treatment of diabetes

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### Abstract

**Purpose of review**—The microbiome continues to demonstrate an important role in immune and metabolic programming. This review will focus on the mechanistic implications of recent findings for diabetes pathogenesis and treatment.

**Recent findings**—Multiple techniques are developing to specify the microbiome. At the same time, new insights have emerged into local interactions of microbial products with human development. New findings demonstrate that key bacteria and their products result in the programming of diabetes-modulating Th17 and regulatory T lymphocytes within and outside the intestine. The role of the bacterial metagenome in programming human metabolism has also revealed new insights. In turn, these findings suggest a framework in which the microbiome may be modified to change the course of diabetes.

**Summary**—The microbiome is a key regulator of metabolism and immunity. Specific bacteria and their secreted products are now known to program Th17 and regulatory T-cell development, which may change the course of diabetes. Bacterial genomics are demonstrating important, modifiable roles of bacterial gene products in metabolism. Further understanding of this symbiotic relationship will provide new avenues for intervention in diabetes.

### Keywords

diabetes; immunity; metabolism; microbiome; T regulatory cells; Th17

## INTRODUCTION

Type 1 diabetes (T1D) develops from the complex interplay of genetic and environmental factors in a stochastic manner. How the environment modifies disease risk and progression has been a long-term subject of investigation as environmental modification represents an

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### Conflicts of interest

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attractive approach to change the course of this disease. These investigations have suggested environmental programming begins at birth as early-life exposures modulate T1D outcomes [1,2]. With regard to these early-life events, the pioneering and well regarded BABYDIAB study group recently reported, for instance, that birth by cesarean section appeared to increase the risk for T1D by shortening the duration between the first detection of anti- $\beta$  cell-specific autoantibodies and diabetes onset [3]. In the immediate postpartum period, breastfeeding has also been ascribed a protective function, although whether through constituents of the breast milk or avoidance of formula remains a matter of debate. The recently reported early results from the nutritional Trial to Reduce Insulin-dependent diabetes in the Genetically at-Risk (TRIGR), in which infants at genetic risk were randomized to casein hydrolysate formula and/or breastfeeding versus cow's milk formula, also support the role of early exposures as it indicated that hypoallergenic nutrition reduces the development of  $\beta$ -cell autoimmunity within the first 10 years of life [4].

The classic concept of the role of the environment in diabetes is as a trigger that initiates autoimmunity. When viewed through the immunologic lens, a triggering event is expected to lead directly to activation of autoreactive lymphocytes and production of autoantibodies. In this context, it is difficult to explain how a trigger early in life requires decade or more before autoimmunity becomes evident, even when autoimmunity is defined as autoantibody production prior to evident  $\beta$ -cell destruction. One possibility is that environmental signals early in life shape the developing immune system over time. One critical early signal with potential for longstanding effects on immune homeostasis is the development of the (intestinal) microbiome. On the basis of emerging evidence of the role of the microbiome in immune development and homeostasis, alterations in the microbiome may be a new mechanism by which the risks from early environmental insults are propagated over an individual's lifetime.

In this review, we will examine new insights into the development of the intestinal microbiome, its role in programming immune regulation, and the emerging definitions of diabetes-promoting and diabetes-protecting microbiota. We will also briefly consider what is known on the role of the microbiome in metabolism and development of type 2 diabetes (T2D) and present opportunities for future interventions that will derive from these insights.

## UNCOVERING THE ROLE OF THE MICROBIOME IN HEALTH AND DISEASE

The intestinal microbiome most likely influences the risk of diabetes either through the specific types of bacteria that are present and their effect on cellular and immune development or through expression of bacterial gene products that shape metabolism or produce novel epitopes from ingested food. Defining the role of the microbiome remains more complex than defining the human genome as bacteria outnumber human cells 10 : 1 and their collective genome outsizes the human genome by a factor of 100 [5]. Large scale, collaborative research efforts, exploiting novel molecular, and genetic technologies, have been launched, including the Human Microbiome Project of the National Institutes of Health and comparable European Union sponsored initiatives [5].

Defining human microbiome–disease interactions is dependent on our ability to characterize microbes and their functions by culture-independent techniques, a process that presents unique challenges at each stage, as outlined in Table 1. Pyrosequencing, as exemplified by the 454 platform and related technologies, offers the advantage of long sequence reads that lead to accurate classification of bacterial taxonomy. However, these techniques may be limited in the number of sequences generated and may thus incompletely specify the microbiome. Additionally, replicates from the same sample may not generate the same bacterial taxa. Currently, very few microbiome studies perform replicates. Including

publications from 2009 (total of 441 articles) in five major microbial ecology journals, replicate samples were employed in only 30% of studies [6]. Alternatively to pyrosequencing, Illumina sequencing-by-synthesis approaches generate a significantly larger number of reads; however, the short-read length often limits bacterial taxonomic classification, although it is being applied and refined for use in this field. Ultimately, techniques that combine adequate read length with massive numbers of unique sequences may be needed to adequately specify the microbiome.

Identifying bacteria from target genes other than 16S rRNA may allow bacterial identification from shorter products, which would facilitate Illumina-type approaches. Chaperonin-60 (cpn60) universal target amplicons represents one such target that may provide short lengths (549–567 base pairs), greater taxonomic resolution, and relatively uniform distribution of variability across the entire length of the target. A comparison of the 16S rRNA versus *cpn60* target for analysis of vaginal microbial communities demonstrated a greater number of operational taxonomic units identified by the cpn60 target, suggesting improved species resolution with this approach [7].

Beyond specifying an individual's bacterial classes, identification of the metatranscriptome specifies the function of these bacterial communities via isolation of actively transcribed genes. In a recent study utilizing this approach in 10 individuals, species varied between individuals, although tremendous functional overlap existed, especially with regard to the presence of microorganisms responsible for fermentation of polysaccharides and dietary fiber [8]. Thus, different bacterial types may have the same local function. Combination of the representation of bacterial species diversity with their function is becoming a new way to define the host–microbiome interaction-denoted enterotypes [9]. The presence of a limited number of human enterotypes defined to date suggests the possibility of stable 'nodes' for host–microbiome symbiosis. Whether diabetes risk can be stratified according to enterotypes remains to be determined.

In addition to choosing the optimal technique, the field is also challenged by determining the optimal intestinal location for study. Fecal samples are most readily available, but studies comparing fecal and tissue samples from the same person detect significant variability between the two sources, questioning the accuracy of fecal samples as surrogates for the intestinal tissue microbiome [10,11]. Because T1D may be programmed by events in the mesenteric and pancreatic lymph nodes close to the small intestine, intestinal tissue biopsy samples may be a more appropriate source for diabetes-related microbiome analyses.

## THE MICROBIOME IN IMMUNE SYSTEM DEVELOPMENT, AUTOIMMUNITY, AND TYPE 1 DIABETES

The integrated development of the gut and pancreas and the role of the gut as the body's largest immune organ make the mucosal immune system a logical site for diabetes initiating and preventing interactions. The role of the microbiome as a determinant of T1D has long been supported by the widely held belief that germ-free, diabetes-prone nonobese diabetic (NOD) mice have a higher incidence of T1D than the specific-pathogen free (SPF) colony counterparts. At least two studies now indicate that this is not strictly true and that diabetes in the germ-free setting may not exceed maximal rates in SPF colonies, although penetrance may be more consistently high [12,13]. Acceleration in germ-free environments suggested that the predominant role of bacteria is to protect against T1D. Indeed, several bacterial strains have been associated with protection from diabetes in both murine and rat diabetes models, including protective roles for *Bacillus cereus* and *Lactobacillus johnsonii* [12,14]. However, in more complex settings, it is highly likely that bacteria play both diabetes promoting and protective roles.

In mice, specific members of the microbiota named segmented filamentous bacteria (SFB), a nonculturable *Clostridia*-related species, can induce a functional and balanced intestinal host response [15]. Nevertheless, the autoimmunity-promoting role of this single bacterium has also been the most well characterized of the constituents of the microbiome. In the NOD-derived arthritogenic model, the K/BxN mouse, colonization with SFB is sufficient to lead to the arthritogenic phenotype by producing Th17 cells that support arthritogenic antibody production through germinal center reaction [16].

Although these SFB appear to promote Th17-dependent arthritis development, a recent study indicates that the presence of detectable SFB colonization is correlated with reduced diabetes incidence in an otherwise diverse microbial community [17]. Although insulinitis was not attenuated, progression to diabetes was limited, at least in female mice. Whether other microbial species influence initiation of insulinitis and why sex differences exist in this phenomenon remain important areas for future research. SFB are important inducers of interleukin (IL) 17-producing immune cells residing within the gut. The role of these cells in T1D is unresolved but the development of Th17 cells may limit the development of more diabetogenic Th1 cells. Other studies have indicated that the development of Th17 cells promoted by SFB is antigen independent, which questions how these induced cells could specifically protect pancreatic islets while still permitting or fostering other types of autoimmunity [18]. However, there may also be a role for antigen specificity and non-SFB bacteria in the development of an important subset of ROR $\gamma$ t<sup>+</sup> cells, double positive Foxp3<sup>+</sup>ROR $\gamma$ t<sup>+</sup> cells [18]. These cells express both Th17 and Foxp3<sup>+</sup> regulatory T-cell (Treg) determining transcription factors, and it has been suggested that some Treg may partially express effector cell programs in order to successfully protect the microbe-exposed host [19]. Overall, the complex interaction of the microbiota and antigen-specific T cells may in part explain how different autoimmune disorders cosegregate in the same kindred. For example, SFB may induce arthritogenic and encephalitogenic Th17 cells that protect from diabetes, whereas introduction of additional bacterial species may produce additional regulatory cells that further modify the course of these diseases.

Commensals are clearly important in the induction of adequate numbers of immune regulatory cells and, in at least one instance, a mechanism has emerged. The important human commensal, *Bacteroides fragilis*, directs the development of Treg in the intestine. Treg are critical for immune homeostasis and functional defects in these cells have been found in the pancreatic-draining lymph nodes of patients with T1D [20]. A specific immunomodulatory molecule of *B. fragilis*, polysaccharide A (PSA), mediates the conversion of CD4<sup>+</sup> T cells to IL-10-producing Treg and actively suppresses Th17-cell development in the intestinal tract [21]. Interestingly, unlike pathogens that trigger inflammatory responses through Toll-like receptors (TLR) to clear infections, colonization by *B. fragilis* is promoted by mucosal tolerance induction through TLR2 activation of Treg [22]. In addition, microbiota from the *Firmicutes* phylum such as murine *Clostridium* species and human *Lactobacillus* strains are now known to induce Treg in the large intestine as well as in other organs and promote systemic immune homeostasis [23,24]. It is important to note that Treg induction by microbiota is regulated differently in the small and large intestine. For example, whereas probiotic components have successfully been implemented in the promotion of Treg in the large intestine, commensal bacterial DNA in the small intestine can suppress Treg development through TLR9-mediated activation of lamina propria dendritic cells [25].

The role of TLR's in the microbiome-immune system interaction fulfills the expectation of a role for pattern recognition receptors in this process. However, despite a clear role for TLR2/9 in Treg-inducing pathways, deficiency in multiple individual TLRs has not been found to modify T1D. Nonetheless, deficiency of MyD88, the common TLR adaptor

protein, prevents diabetes through a microbiome-dependent process [26]. Other recent studies have indicated that MyD88 deficiency leads to loss of the protective space normally present between the intestinal epithelium and the bulk of fecal bacteria. This process is dependent on production of the protein RegIII $\gamma$ , a C-type lectin antibacterial against Gram-positive bacteria [27■]. Thus, RegIII $\gamma$  deficiency leads to increased numbers of Gram-positive bacteria including SFB, which may explain the protective role of MyD88 deficiency in NOD mice although SFB were not detected in the initial report of MyD88<sup>-/-</sup> NOD mice. How the role of this process, along with bacteria/epithelium interactions that may elucidate the mechanisms behind concepts such as the role of the ‘leaky’ epithelium in diabetes risk, remain for further investigation [28]. An additional critical implication of the study by Wen *et al.* [26] is that a gene knockout that was expected to alter diabetes by disrupting innate immunity directly exerted its diabetes-protective effect through the microbiome. Whether other models targeting immune function in NOD mice prevent diabetes by common microbial alterations is an urgent area in need of reconciliation with our understanding of immune defects in T1D.

Although the mechanisms of autoimmunity protection and promotion by bacterial colonization are emerging in animal models of diabetes, translation of these findings to prediction and prevention of human disease awaits further investigation. To date, a single study has reported microbiome analysis in children from Finland’s Diabetes Prediction and Prevention study in which children are defined as high risk for diabetes based on Human Leukocyte Antigen (HLA)-DQ genotype. Children in the study by Giongo *et al.* [29■] were followed for seroconversion to more than two autoantibodies as the definition of autoimmunity, and all developed T1D. Those children who seroconverted showed loss of bacterial diversity over time with single species dominating in the *Bacteroidetes* and *Firmicutes* phyla. Whether this loss of diversity plays a specific pathologic role or is a correlate of other changes in the microbiome requires further investigation. Defining the molecular pathways that protect or promote diabetes is an outstanding opportunity for advancing disease prevention and is the subject of ongoing international T1D trials.

## INTERACTION BETWEEN MICROBIOME, METABOLISM, AND TYPE 2 DIABETES

Although the microbiome can modulate immune system development, it also plays an important role in the interaction with ingested nutrients. It is an old fact that microbial constituents of the gut break down numerous energy substrates. However, ingested bacteria are now known to induce significant changes in several metabolic pathways, most prominently those related to carbohydrate metabolism [30■]. Thus, the microbiome may program the whole body metabolism. A recent gut microbiome metagenomics analysis showed a higher proportion of butyrate-producing and mucin-degrading bacteria in controls compared with patients with T1D [31■]. Disruptions in metabolism may lead to chronic inflammation and both drive autoimmunity (T1D) and produce metabolic disease states including T2D [32].

Attempts to elucidate the interrelationships between dietary intake, intestinal microbial flora, and host metabolism, a field of study often referred to as metabolomic profiling, is a compelling new area of investigation. Randomization of lean and obese individuals to either a 2400 or 3400 k calorie per day diet for a 3-day period, with simultaneous serial stool monitoring and 16S rRNA pyrosequencing, demonstrated rapid changes in the two major gut phyla. Specifically, a 20% increase in *Firmicutes* and a reciprocal decrease in *Bacteroidetes* corresponded with an increased energy harvest of approximately 150 k calorie in lean individuals. Overfeeding of lean individuals also correlated with a greater fractional decrease in stool energy loss [33■].

Compositional changes in the microbiota of individuals with T2D have also been observed, including reductions in the amount of *Firmicutes*, when compared with a control group of nondiabetic adults. Furthermore, the ratios of *Bacteroidetes*-to- *Firmicutes* correlated positively with plasma glucose concentration, although not with BMI [34]. Obese individuals have an altered gut microbiota when compared with lean controls that is characterized by a reduced number of *Bacteroidetes* [35], although not all studies have demonstrated this [36], and some studies in mice have suggested that microbiota perturbations associated with obesity are age dependent [37]. In both T2D and obesity, these alterations on an organism level likely correspond to modifications in host energy metabolism.

The mechanism of interaction between diet and gut flora may now be understood as microbial modifications of nutrient absorption that occur with alterations in dietary substrates and that process may be driven by the presence of polysaccharide utilization loci (PUL) contained within the genome of the main intestinal bacterial taxa. These PULs, which encode the machinery responsible for degradation and utilization of extracellular polysaccharides, have been best studied in the *Bacteroidetes* phylum. The exact gene content of this locus differs within the *Bacteroidetes* phylum, which phenotypically translates into bacteria with varied abilities to metabolize fructose-based dietary polysaccharides [38]. Those that can effectively utilize fructans are ultimately able to ‘outcompete’ other bacterial community members with further proliferation. Individual differences in glucose metabolism may in fact be a product of these species-specific PULs and the functional role they have in polysaccharide breakdown.

## CONCLUSION

Emerging data on the intestinal microbiome reveals important symbiotic relationships that program human metabolism and immune system development. Human genetics and development also shape the microbiome resulting in a stable interaction in the healthy individual. The first studies on humans with diabetes suggest that this relationship may be perturbed during diabetes progression. An overall model for a series of immune–microbiome interactions in the context of diabetes is presented in Fig. 1.

Many questions remain in order to translate this new information to clinical impact for persons with diabetes. With respect to immune system development, it is not yet clear how the same bacterial species may promote some autoimmune diseases, like arthritis, while protecting from others, like diabetes. We also are not yet able to specifically modulate the microbiome. Recent studies suggest we can foster outgrowth or suppression of certain bacteria. Additionally, seemingly radical approaches such as fecal transplant are also gaining attention with some reported success in treating recurrent *Clostridium difficile*. We expect that defining the microbiome and its function will reveal multiple new opportunities to intervene in the progression of diabetes while simultaneously refining our understanding of immune and metabolic development in this new context. Although study of the microbiome presently remains specialized, further technologic progress is also likely to make microbiome specification a common and required aspect of future diabetes studies.

## Acknowledgments

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- of special interest
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Additional references related to this topic can also be found in the Current World Literature section in this issue (p. 142).

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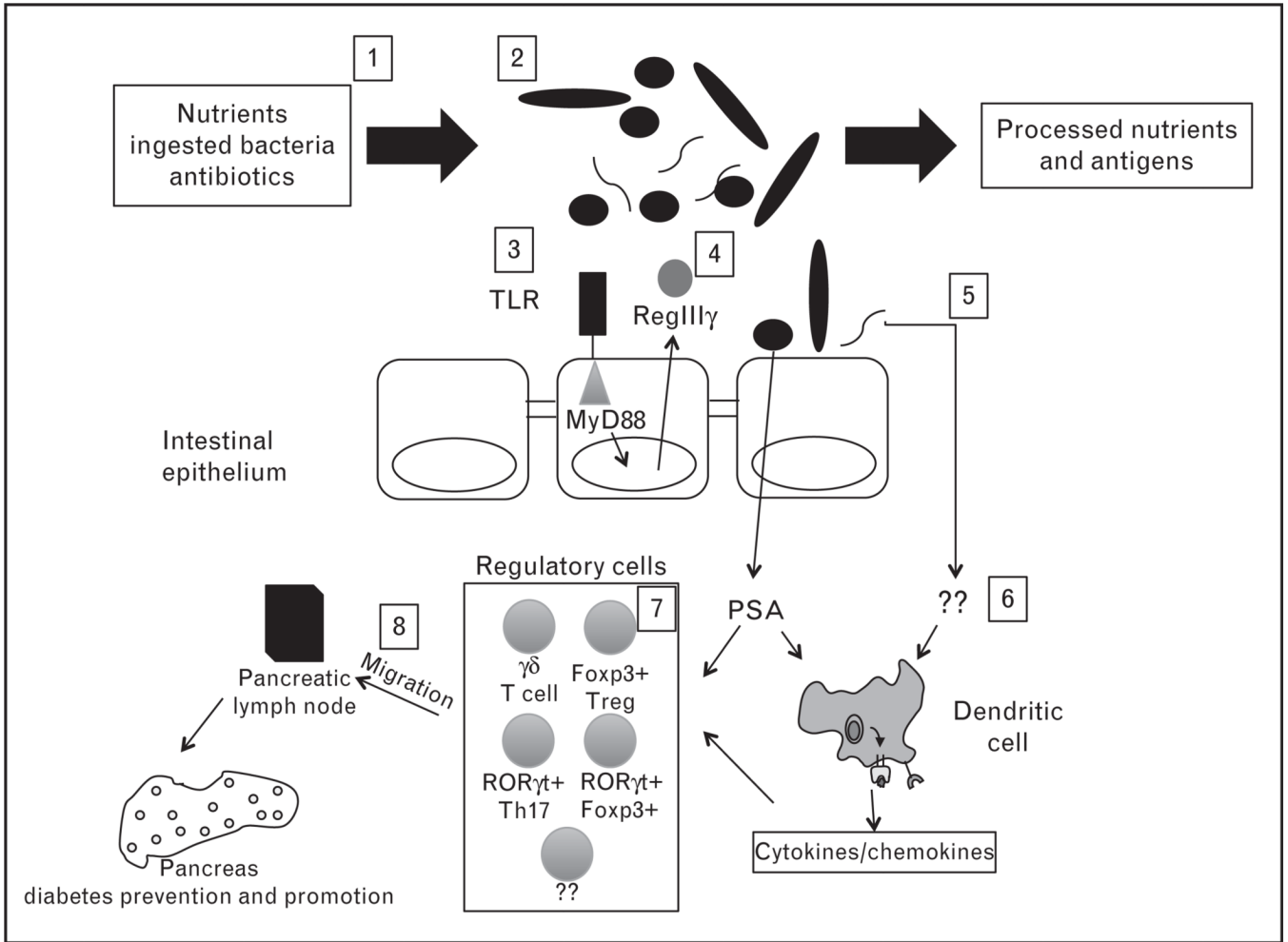


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**KEY POINTS**

- The microbiome impacts human metabolism and immune system development.
- Microbes within the gut and interacting with the intestinal epithelium can induce specific T-cell subsets including Th17 and Treg lineages.
- The microbiome adapts its genome (the human metagenome) to ingested nutrients, and thereby modulates human metabolism and energy intake.
- Understanding type 1 and type 2 diabetes pathogenesis will require understanding the role of the microbiome.

**FIGURE 1.**

The microbiome is programmed by numerous environmental factors. 1, selected nutrients and ingested agents determine which bacteria are most likely to thrive. Nutrient availability also influences bacterial gene expression, which may modify overall human metabolism; 2, the diversity of the gut microbiome challenges the immune system to adapt and develop; 3, this interaction is mediated in part by Toll-like receptors (TLRs) including TLR2/9 and their common signaling adaptor MyD88. 4, MyD88 normally induces RegIII $\gamma$ , a protein which limits Gram-positive bacterial association with the epithelium; 5, this protection may break down because of inflammation or epithelial injury; 6, accumulation of regulatory T cell (Treg) is regulated differently in the small and large intestine and operates either through direct effects of the microbiota on regulatory cells or indirectly through intermediates such as dendritic cells. 7, overall these factors induce a diversity of cells within the lamina propria. Some, such as CD4<sup>+</sup>Foxp3<sup>+</sup> Treg, ROR $\gamma$ t<sup>+</sup>Th17, or newly described ROR $\gamma$ t<sup>+</sup>Foxp3<sup>+</sup> double positive cells, may have diabetes preventing potential; and 8, autoimmune diabetes is initiated in the pancreatic lymph node so these cells induced in the lamina propria likely migrate by an unknown mechanism to the pancreas and pancreatic node in which they exert their protective functions. Conversely, activated diabetogenic cells may migrate to the intestine where they could be regulated. How the interaction of bacteria with locally induced specialized lymphocytes leads to diabetes modulation remains for future mechanistic dissection.

**Table 1**

## Considerations in microbiome study design

Process	Question for investigator	Considerations
Sample collection	Will fecal samples be an adequate surrogate for the bacterial populations of interest?	Most studies use fecal samples, although there may be important differences between adherent mucosal bacterial communities and microorganisms detected in feces.
	How many samples are representative of an individual's microbiome?	
DNA amplification	What gene target should be employed (i.e., 16S rRNA vs cpn60 UT)?	A resource for investigators considering cpn60 or other chaperonin proteins for their microbiome studies is a database organized by several Canadian research organizations available at <a href="http://www.cpnDB.ca/cpnDB/home.php">www.cpnDB.ca/cpnDB/home.php</a> .
	Which primer set within the gene should I select?	Nine variable regions exist within the 16S gene that can be selected. Commonly used targets include V2, V4, and V6.
High-throughput sequencing	What read length and sampling depth do I need to address the goal of my project?	Illumina (paired-end 101 bp reads) and 454 pyrosequencing (>400 bp reads) show relative consistency with each other. However, some studies suggest the majority of Illumina reads cannot currently be assigned a genus due to shorter lengths and higher error rates above 60 bp.
	What sequencing platform should I select (454 pyrosequencing versus Illumina)?	Study-to-study data comparisons are only possible when the same sequencing platforms are used.
Data analysis	How should I filter out my low-quality reads?	Phylogenetic analysis employs a phylogenetic tree to connect sequences and is useful when asking how much evolutionary history is unique to a particular sample. Taxon-based analysis looks at how many different 'species' are in a sample and treats all assigned species as if they were phylogenetically equivalent.
	Should I perform taxon-based or phylogenetic analyses?	Multiple programs for filtering and analysis are available. The database and algorithms must be considered for each.
	How will I define OTU?	

Analysis of the microbiome requires acquisition of samples, amplification of a target gene with both adequate conserved regions across all bacteria and variable regions for taxonomic classification, sequencing of the amplified target gene, and quality control of sequence data with assignment of sequences to specific bacteria. Potential pitfalls and considerations at each stage are presented. bp, base pairs; cpn, chaperonin; OTU, operational taxonomic unit; UT, universal target.