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## cerebral Markers of the Serotonergic System in Rat Models of Obesity and After Roux-en-Y Gastric Bypass

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### Abstract

Food intake and body weight are regulated by a complex system of neural and hormonal signals, of which the anorexigenic neurotransmitter serotonin (5-hydroxytryptamine or 5-HT) is central. In this study, rat models of obesity and weight loss intervention were compared with regard to several 5-HT markers. Using receptor autoradiography, brain regional-densities of the serotonin transporter (SERT) and the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptors were measured in (i) selectively bred polygenic diet-induced obese (pgDIO) rats, (ii) outbred DIO rats, and (iii) Roux-en-Y gastric bypass (RYGB)-operated rats. pgDIO rats had higher 5-HT<sub>4</sub> and 5-HT<sub>2A</sub> receptor binding and lower SERT binding when compared to polygenic diet-resistant (pgDR) rats. The most pronounced difference between pgDIO and pgDR rats was observed in the nucleus accumbens shell (NAcS), a brain region regulating reward aspects of feeding. No differences were found in the 5-HT markers between DIO rats, chow-fed control rats, and DIO rats experiencing a weight loss. The 5-HT markers were also similar in RYGB and sham-operated rats except for a downregulation of 5-HT<sub>2A</sub> receptors in the NAcS. The higher receptor and lower SERT binding in pgDIO as compared to pgDR rats corresponds to what is reported in overweight humans and suggests that the dysfunctions of the 5-HT system associated with overeating or propensity to become overweight are polygenically determined. Our results support that the obesity-prone rat model has high translational value and suggests that susceptibility to develop obesity is associated with changed 5-HT tone in the brain that may also regulate hedonic aspects of feeding.

### INTRODUCTION

Appetite and body weight are regulated by a complex system of peripheral and central signals acting on the homeostatic and hedonic systems of the brain to trigger hunger or satiety. Perturbations in any of these systems can lead to obesity and associated diseases

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including type 2 diabetes mellitus and cardiovascular diseases. Gastrointestinal hormones, neuropeptides, and neurotransmitter systems are involved in homeostatic regulation of energy balance. However, there are also hedonic aspects of feeding behavior, such as reward; those studies have largely focused on the opioid and dopaminergic systems.

Serotonin (5-hydroxytryptamine or 5-HT) suppresses food intake and especially the 5-HT<sub>2C</sub> and 5-HT<sub>1B</sub> receptors have been implicated in appetite regulation (1). Other 5-HT receptors, including the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptors, are, however, also involved in feeding. 5-HT<sub>2A</sub> receptor agonism suppresses feeding (2,3), and 5-HT<sub>2A</sub> receptor activation in the hypothalamus has also been shown to inhibit neuropeptide Y-induced feeding (4). In outbred diet-induced obese (DIO) rats (5), as well as in mice modeling the dietary-genetic aspect of obesity (6), 5-HT<sub>2A</sub> receptor upregulation takes place. The 5-HT<sub>4</sub> receptors have also been implicated in appetite and body weight regulation. Injection of the 5-HT<sub>4</sub> receptor agonist BIMU8 into the nucleus accumbens (NAc) decreases food intake in fed and food-deprived mice and administration of the 5-HT<sub>4</sub> receptor antagonist RS39604 into the NAc increases food intake in fed, but not in food-deprived mice (7). Moreover, 5-HT<sub>4</sub> receptor knockout mice exhibit reduced response to stress- or 3,4- Methylendioxyamphetamine (MDMA)-induced anorexia as compared to wild-type mice (7,8), and 5-HT<sub>4</sub> receptor antagonism using RS39604 in the NAc reduces MDMA-induced food intake suppression (9).

In humans, we have previously reported a positive correlation between BMI and 5-HT<sub>2A</sub> receptor binding (10), 5-HT<sub>4</sub> receptor binding (11) and an inverse correlation between BMI and serotonin transporter (SERT) binding (12) as measured by *in vivo* imaging with positron emission tomography. These associations were detected across multiple brain regions and are suggested to represent a compensatory mechanism in response to low 5-HT activity in the obese state, as the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding are upregulated in response to low extracellular 5-HT levels (13–16).

Different animal models are used to study aspects of ingestive behavior and obesity, including the outbred DIO rodents. In DIO rodents, dietary manipulations such as feeding high fat (HF) or cafeteria diet induce obesity (17). Whereas these models may be useful for elucidating certain aspects of obesity, they do not mirror human obesity that is caused by a combination of hereditary, environmental, and behavioral factors. In some outbred Sprague-Dawley rats, ~50% of the cohort becomes obese (obesity-prone) when exposed to a high energy (HE; 31% fat, 25% sucrose) diet, whereas the other half exhibit no significant weight gain (diet-resistant) as compared to chowfed controls (18). This has led to the selective rat breeding for polygenic high (pgDIO) and low (pgDR) weight gainers (19). The pgDIO rats share a number of traits with human obese subjects, including polygenic inheritance, susceptibility to glucose intolerance, insulin resistance and hyperleptinemia, increased carcass adiposity and, once established, persistence of obesity (19,20).

While different treatment schemes for obesity currently are used, bariatric surgery is the only treatment of obesity resulting in a substantial and sustained weight loss with a proven mortality benefit (21). The Roux-en-Y gastric bypass (RYGB) is one of the most common and effective of these procedures. RYGB-induced weight loss is caused by decreased food intake, increased energy expenditure, and altered endocrine signaling via the gut-brain axis (22). However, changes in brain circuitry and neurochemistry after RYGB surgery are rather unexplored.

The aim of this study was to perform a comparative analysis of three individual animal models of obesity and weight loss intervention with regard to brain regional SERT, 5-HT<sub>2A</sub>, and 5-HT<sub>4</sub> receptor binding in order to determine the extent of 5-HT dysfunction in these

animal models. In neuroimaging studies, we have recently demonstrated changes in cerebral 5-HT markers in relation to BMI (10–12) and therefore, the translational value of the animal models was also assessed.

## METHODS AND PROCEDURES

### Animals

**Outbred DIO rats**—Five-week-old male Sprague-Dawley rats (~150 g;  $n = 24$ ) (Taconic, Ry, Denmark) were housed in pairs at 24–26 °C under a 12-h light/dark cycle (lights off at 15:00) and placed on an ad libitum HF diet (diet no. D12492; Research Diets, New Brunswick, NJ; containing 5.24 kcal/g with 20% of the energy as protein, 60% as fat, and 20% as carbohydrate) for 10 weeks (23). After 10 weeks, the 24 DIO rats were randomized into two groups: one was continued on HF diet and one was switched to chow (diet no. 1324; Altromin GmbH, Lage, Germany; 2.85 kcal/g with 26.7% of the energy as protein, 12.6% as fat, and 60.7% as carbohydrate). Together with an age-matched group ( $n = 12$ ) that had previously been fed chow, they were assessed by real-time food intake monitoring for 34 days. For real-time food intake analysis, rats were rehoused and transferred with their partnering rat to fully automated food intake monitoring cages (HM-2; MBRose ApS, Faaborg, Denmark), with standard equipment settings as previously described (23). Briefly, the feeding behavior of each animal was constantly monitored during the habituation period (at least 5 days) to assure that the food intake of each animal returned to pre-rehousing level. On the day of rehousing, the rats were subcutaneously injected with a microchip (no. 402575; eVet, Haderslev, Denmark) allowing the HM-2 food intake monitoring system to simultaneously identify and in real-time mode track feeding behavior of the individual animal throughout the entire experiment. Body weight was determined every second day during the experiment. Body weight and micro-structural food intake analyses were performed using a data reporting software (HMView; MBRose, Faaborg, Denmark). All animal procedures were approved by the Danish Council for Animal Ethics (J. No. 2007/561-1343).

**Polygenic diet-induced obese and diet-resistant rats**—Selectively bred male pgDIO ( $n = 16$ ) and pgDR ( $n = 16$ ) Sprague-Dawley rats from the in-house colonies in the New Jersey research laboratory of one of the authors (B.L.) were used. Rats were weaned at 21 days of age and placed ad libitum on a chow diet (no. 5008; Ralston Purina, St. Louis, Mo; 3.30 kcal/g with 23.4% of the energy as protein, 4.5% as fat, and 72.1% as carbohydrate). At 28 days of age, the rats were randomized into two groups per genotype ( $n = 8$  per subgroup): one was continued on chow and one was switched to an ad libitum HE diet (Research Diets no. C11024F, New Brunswick, NJ; 4.47 kcal/g with 21% of the energy content as protein, 31% as fat, and 48% as carbohydrate). Food intake and body weight were monitored every 3–4 days for 60 days. All rats were singly housed at 23–24 °C on a 12-h light/dark cycle (lights off at 18:00). These studies were in compliance with the Animal Care Committee of the East Orange Veterans Affairs Medical Center and the “Guiding Principles for Research Involving Animals and Human beings” of the American Physiological Society.

**RYGB-operated rats**—Twenty-six male Wistar rats were acclimatized for one week before being operated at a body weight of  $469 \pm 3$  g. The rats were housed individually under a 12-h light/dark cycle (lights off at 19:00) and at a temperature of 19–23 °C. The rats had ad libitum access to chow (Rat and Mouse No.1 Maintenance; Special Diets Services, Essex, UK; 3.52 kcal/g with 17.49% of the energy as protein, 7.42% as fat, and 75.09% as carbohydrate). The rats were randomized to gastric bypass surgery or sham surgery. The gastric bypass procedure was as previously described (22). Briefly, the jejunum was divided 15 cm distal to the pylorus creating a biliopancreatic limb. The cecum was identified and the

ileum followed proximally creating a common channel of ~25 cm. At the proximal end of the common channel, a 7-mm-side-to-side jejuno-jejunostomy was made between the biliopancreatic limb and the common channel. The stomach was transected close to the gastroesophageal junction with vagal sparring leaving the gastric pouch with no more than 3 mm of gastric mucosa. The gastric pouch was anastomosed end-to-side to the alimentary tract. Sham-operated animals had a 7 mm gastrotomy on the anterior wall of the stomach and a 7 mm jejunotomy performed with subsequent closure. All operations were performed by the same surgeon. Body weight and food intake were measured daily over the postoperative period of 60 days. Three RYGB and one sham-operated rat died postoperation, corresponding to the mortality rate associated with this type of operation (24). These rats were part of another project where the effect of fasting was examined. Thus, half the rats were fasted overnight prior to termination. Animal procedures were approved by the Home Office, UK (J. No. PL 70-6669).

### Autoradiography

Upon completion of the behavioral experiments, brains were collected from all rats included in the study. All brains were removed rapidly after decapitation and frozen in powdered dry ice and stored at  $-80^{\circ}\text{C}$ . The brains were sectioned on a CM3050S cryostat (Leica, Ballerup, Denmark) in 12- $\mu\text{m}$ -thick coronal sections at  $-20^{\circ}\text{C}$ . The sections were thaw-mounted on Superfrost Plus glass slides (Thermo Scientific, Braunschweig, Germany) and allowed to dry at room temperature (RT) before being stored at  $-80^{\circ}\text{C}$  until further processed. Sections were collected from three brain levels where the following regions were analyzed (i) bregma 1.60 mm: motor cortex, caudate-putamen, and NAc shell (NAcS), (ii) bregma  $-3.14$  mm: hippocampus and hypothalamus, and (iii) bregma  $-7.8$  mm: the dorsal raphe nucleus (25). Total binding and nonspecific binding (NSB) assessment was carried out on slides with representative adjacent brain sections. Sections were thawed for 1 h at RT prior to preincubation. All brain sections were processed together. The autoradiographic protocols were as follows:

5-HT<sub>2A</sub> receptor binding was measured with the radioligand [<sup>3</sup>H]MDL100907, kindly provided by Professor Christer Halldin, Karolinska Institute, Stockholm, Sweden. Sections were preincubated for 15 min in 50 mmol/l Tris-HCl buffer containing 0.01% ascorbic acid (pH 7.4) at RT. Following preincubation, sections were incubated for 1 h in 50 mmol/l Tris-HCl containing 0.01% ascorbic acid and 2 nmol/l [<sup>3</sup>H]MDL100907 (4 times K<sub>d</sub>, data not shown) at RT. NSB was determined in the presence of 10  $\mu\text{mol/l}$  ketanserin tartrate (Tocris Biosciences, Bristol, UK). Following incubation, slides were washed in ice-cold 50 mmol/l Tris-HCl (pH 7.4) for 2  $\times$  5 min followed by a 20-s dip in ice-cold distilled water. Slides were dried for 1 h before being fixed in a paraformaldehyde vapor desiccator jar overnight at 4  $^{\circ}\text{C}$ . Hereafter, slides were exposed to a pre-erased BAS TR2040 TR-IP (Science Imaging Scandinavia AB, Nacka, Sweden) for 7 days at 4  $^{\circ}\text{C}$  along with two sets of high and low activity [<sup>3</sup>H]microscales (GE Healthcare, Hillerød, Denmark). The TR-IP was scanned with a BAS-2500IPR (Fujifilm Europe GmbH, Düsseldorf, Germany).

5-HT<sub>4</sub> receptor binding was investigated with [<sup>3</sup>H]SB207145 (kindly donated by GlaxoSmithKline, Brentford, UK) as previously described (13). Briefly, sections were preincubated in 50 mmol/l Tris-HCl, containing 0.01% ascorbic acid and 10  $\mu\text{mol/l}$  pargyline (pH 7.4) for 15 min at RT. After preincubation, sections were incubated for 1 h at RT in assay buffer with 1 nmol/l [<sup>3</sup>H]SB207145 added (1–7 times K<sub>d</sub>, (26)). Preincubation and incubation buffer for NSB contained 10  $\mu\text{mol/l}$  RS39604 (Tocris). Slides were washed for 2  $\times$  20 s in ice-cold 50 mmol/l Tris-HCl (pH 7.4) followed by a 20-s dip in ice-cold distilled water. The remaining protocol was as described above except for a longer exposure time of 14 days.

SERT binding was assessed with (S)-[*N*-methyl-<sup>3</sup>H]citalopram (kindly donated by H. Lundbeck A/S, Copenhagen, Denmark) as previously described (27). Briefly, slides were preincubated for 20 min at RT in 50 mmol/l Tris-HCl, 120 mmol/l NaCl, and 5 mmol/l KCl (pH 7.4). Slides were then incubated for 1 h at RT in buffer with 2 nmol/l (S)-[*N*-methyl-<sup>3</sup>H]citalopram (2–3 times  $k_d$ , (28)). NSB was determined in the presence of 10  $\mu$ mol/l paroxetine HCl (donated by GlaxoSmithKline). Slides were washed for 3  $\times$  2 min in ice-cold assay buffer followed by a 10-s dip in ice-cold distilled water. The remaining protocol was as described for 5-HT<sub>2A</sub> receptor autoradiography.

### Image analysis

The digital images obtained from scanning the imaging plates were analyzed using ImageJ (Image processing and analysis in Java, <http://rsb.info.nih.gov/ij/> version 1.42). The receptor binding was quantified as densitometric measurements of the autoradiograms and the co-exposed [<sup>3</sup>H]microscale standards were used to calibrate pixel density to radioactivity expressed as nCi/mg tissue equivalents, which was subsequently converted to radioligand binding expressed as fmol/mg tissue equivalents using the decay-corrected specific activity of the ligand. SB was calculated by subtracting NSB from total binding. Regions of interest were free-hand drawn except in the NAcS where a line drawing of the respective section level from a digital version of the rat brain in stereotaxic coordinates (25) was overlaid a representative autoradiogram in Adobe Illustrator version CS5 (Adobe, San Jose, CA). The atlas-overlaid autoradiogram was then opened in imageJ and regions of interest's drawn as previously described (13). Slide processing and analyses of autoradiograms were blinded to the investigator.

### Statistics

All statistical analyses were done with Prism version 5.02 (GraphPad software, San Diego, CA) with the exception of three-way ANOVAs, which were carried out in SYSTAT version 13 (Systat Software, Chicago, IL).

Food intake and body weight data from the DIO rat experiment were analyzed using a one-way ANOVA, and subgroups were tested against each other using Tukey post hoc tests. Autoradiography data from DIO rats were tested using two-way ANOVA (experimental group  $\times$  brain region). Thereafter, Bonferroni-corrected *t*-tests were conducted to test for differences between subgroups.

Food intake and body weight data for pgDIO and pgDR rats were tested using a two-way ANOVA (genotype  $\times$  diet) with subsequent Bonferroni-corrected *t*-tests to test for differences between subgroups. A three-way ANOVA was used to analyze autoradiography data for pgDIO and pgDR rats (genotype  $\times$  diet  $\times$  brain region). The effects of genotype and region on receptor and SERT binding were tested with a two-way ANOVA with subsequent Bonferroni-corrected *t*-tests for detection of subgroup differences; only subgroups sharing genotype or diet were compared. Thus, pgDR chow was not tested against pgDIO HE, and pgDR HE was not tested against pgDIO chow.

Two-tailed student's *t*-tests were used to analyze food intake and body weight data from the RYGB and sham-operated rats. Autoradiography data were tested with two-way ANOVA (operation type  $\times$  brain region) with subsequent Bonferroni-corrected *t*-tests for subgroup differences. *P* values  $<$  0.05 were considered significant. Data are expressed as mean  $\pm$  s.e.m. unless otherwise stated.



## RESULTS

### Food intake and body weight analyses

**Outbred DIO rats**—During the period of food intake monitoring, the body weight of DIO HF rats increased over the entire period, while DIO rats switched from HF diet to chow lost weight over the first 10 days without any further changes in body weight throughout the remainder of the experiment (Figure 1a). Chow-fed control rats progressively gained weight according to the normal weight gain curve for rats at this age (Figure 1a). Chow-fed control rats exhibited the highest cumulative body weight gain over the monitoring period. However, DIO HF and DIO chow-fed rats had undergone 10 weeks of HF diet exposure and were thus heavier prior to the feeding regulation period and also showed a significantly higher body weight at termination of the experiment (Table 1). The three groups of rats significantly differed in their cumulative food intakes in parallel with their changes in their body weight (Figure 1b and Table 1).

**Polygenic DIO and diet-resistant rats**—Prior to the introduction of the HE diet, pgDIO and pgDR rats had similar body weights (pgDR:  $100 \pm 2$  g, pgDIO:  $104 \pm 2$  g). However, during the period where food intake was monitored, pgDIO rats gained more weight than pgDR rats. No apparent body weight differences were observed within genotype groups during the first 25 days of body weight monitoring. Thereafter, small body weight differences were observed between pgDIO chow and pgDIO HE and between pgDR chow and pgDR HE (Figure 1c). Both genotype and diet had significant main effects on body weight at termination and body weight gain. Post hoc analyses indicated significant differences between the pgDIO and pgDR genotype groups. However, no differences were present within the genotype groups irrespective of diet (Table 2).

Genotype, but not diet, had significant main effect on food intake of pgDIO and pgDR rats (Table 2). pgDIO rats consumed more calories than pgDR rats on both diets (Figure 1d). Post hoc analyses indicated that significant differences in cumulative food intake only were present between genotype groups and not within genotype groups (Table 2). Both diet and genotype affected the fat pad/body weight ratio, and pgDIO rats on chow and HE diet differed significantly in this parameter (Table 2). Thus, although genotype was the determinant of body weight and food intake, intake of the HE diet had its main effect in pgDIO rats, which became fatter than all other groups.

**RYGB rats**—RYGB rats lost  $72 \pm 11$  g during the 60-day post-operation period. Weight loss was mainly seen in the first 10 days postoperation hereafter their weight stabilized (Figure 1e). Sham-operated control rats continued to gain weight postoperation (Figure 1e). Cumulative food intake increased in a linear fashion for both groups. However, RYGB rats consumed significantly less calories than sham-operated control rats (Figure 1f and Table 3). At termination, RYGB rats weighed an average of 169 g less (31%,  $P < 0.001$ ) than sham-operated control rats.

### Autoradiography

**Outbred DIO rats**—Experimental group had no main effect on 5-HT<sub>2A</sub> receptor binding ( $P = 0.32$ ), 5-HT<sub>4</sub> receptor binding ( $P = 0.79$ ), or SERT binding ( $P = 0.058$ ) in the DIO HF, DIO chow, and chow-fed control rats. Likewise, no differences between groups were found when testing within brain regions (Figure 2). Thus, no overall or region-specific variations were found in the outbred DIO rats.

**Polygenic DIO and diet-resistant rats**—For pgDIO and pgDR rats, a main effect of genotype was found on 5-HT<sub>2A</sub> receptor binding ( $P = 0.018$ ) and 5-HT<sub>4</sub> receptor binding ( $P$

< 0.001) but not SERT binding ( $P=0.93$ ). Diet had no effect on SERT binding ( $P=0.93$ ), 5-HT<sub>2A</sub> receptor binding ( $P=0.36$ ), or 5-HT<sub>4</sub> receptor binding ( $P=0.69$ ). Therefore, the data obtained from the pgDR rats on chow and HE diet were pooled, and the data obtained from pgDIO rats on chow and HE diet were pooled. Post hoc analyses showed that pgDIO rats had higher 5-HT<sub>2A</sub> receptor binding in the NAcS ( $P<0.01$ ) (Figure 3a), higher 5-HT<sub>4</sub> receptor binding in the NAcS ( $P<0.001$ ) and in the caudate-putamen ( $P<0.05$ ) (Figure 3b) and lower SERT binding in the dorsal raphe nucleus ( $P<0.05$ ) (Figure 3c) as compared to pgDR rats. Accordingly, genotype was the main determinant of receptor binding levels while diet did not affect receptor and SERT binding.

**RYGB rats**—RYGB and sham-operated rats undergoing 12-h fasting before termination were pooled with rats that were not fasted under the assumption that receptor and SERT binding were independent of fed state (29). Surgery had a main effect on 5-HT<sub>2A</sub> receptor binding ( $P=0.007$ ), but not on 5-HT<sub>4</sub> receptor ( $P=0.11$ ) or SERT binding ( $P=0.052$ ). Post hoc analyses indicated a downregulation in 5-HT<sub>2A</sub> receptor binding in RYGB rats in the NAcS ( $P<0.001$ ), whereas all other brain regions examined displayed no change in 5-HT<sub>2A</sub> receptor binding (Figure 4a). No regional differences in 5-HT<sub>4</sub> receptor binding (Figure 4b) or SERT binding (Figure 4b) were observed. Thus, the RYGB procedure affected only 5-HT<sub>2A</sub> receptors in the NAcS.

## DISCUSSION

We here present the first comparative study on cerebral 5-HT markers across different rat models of obesity and body weight loss. Irrespective of dietary intake, pgDIO rats exhibited increased 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding, and lower SERT binding as compared to pgDR rats. Thus, it was not unexpected that no differences in these markers were found between outbred DIO rats fed either chow or HF diet. Neither did the 5-HT markers in these rats differ from chow-fed controls. On the other hand, RYGB and subsequent weight loss were associated with reduced 5-HT<sub>2A</sub> receptor binding selectively in the NAcS. However, there were no effects on either 5-HT<sub>4</sub> or SERT binding in any brain region. These results indicate that a genetic predisposition to obesity is associated with upregulation of 5-HT receptors and downregulation of SERT across several brain areas, whereas obesity induced by HF diet is not a determinant of brain 5-HT markers. On the contrary, RYGB and/or its associated weight loss selectively downregulates only specific 5-HT markers in select brain regions.

The results obtained from the pgDIO and pgDR rats are in line with recent neuroimaging studies in obese humans especially for the 5-HT<sub>4</sub> receptor. Accordingly, obese individuals show an increase in cerebral 5-HT<sub>2A</sub> receptor binding (10), a decrease in cerebral SERT binding (12), and an increase in cerebral 5-HT<sub>4</sub> receptor binding (11).

The upregulation of the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding in the NAcS in pgDIO rats could suggest a role of these receptors in regulating reward aspects of feeding behavior, which is also supported by other studies. Local application of the 5-HT<sub>4</sub> receptor agonist BIMU8 in the NAc reduces the drive to eat in both fed and food-deprived mice. In contrast, 5-HT<sub>4</sub> receptor antagonism in the NAc using RS39604 induces hyperphagia in fed but not food-deprived mice (7). In addition, intra-accumbal application of the 5-HT<sub>2A/2C</sub> receptor antagonist ketanserin stimulates food intake in rats (30). Thus, although the association between the 5-HT system and feeding regulation previously has focused largely on homeostatic regulation in the hypothalamus (31), there is evidence for a role of these receptors in regulating reward aspects of feeding behavior, which results from the present study supports.

From the current data, we cannot, however, infer whether the modulation of SERT and 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding in pgDIO rats compared with pgDR rats is a result of direct or indirect effects. Receptor and SERT levels could be altered primarily due to genetic factors or they could be secondary reflecting a decrease in 5-HT turnover (5-hydroxyindoleacetic acid (5-HIAA)/5-HT). Previous studies have indicated decreased 5-HT activity in the obese state, e.g., obese women have decreased levels of the 5-HT metabolite 5-HIAA in their cerebrospinal fluid (32), and chow-fed DIO-susceptible rats have disturbances in their diurnal 5-HT turnover as well as decreased 5-HT activity in response to fasting when compared to chow-fed diet-resistant rats (33). Further, the possibility that the increased 5-HT<sub>4</sub> and 5-HT<sub>2A</sub> receptor binding in pgDIO rats compared with pgDR rats could be mediated through low extracellular 5-HT levels is supported by the anorexigenic response to stimulated 5-HT<sub>4</sub> and 5-HT<sub>2A</sub> receptor activity. Accordingly, 5-HT<sub>4</sub> receptor activation suppresses basal food intake and mediates stress- and MDMA-induced anorexia in the NAc (7–9) and systemic administration of the 5-HT<sub>2A</sub> receptor agonists 2,5-dimethoxy-4-iodoamphetamine and TCB-2 ((4-bromo-3,6-dimethoxybenzocyclobuten-1-yl)methylamine hydrobromide) reduces food intake (2,3). Thus, if receptor changes were primary and causally related to high body weight gain one would rather have expected lower 5-HT<sub>4</sub> and 5-HT<sub>2A</sub> receptor binding in pgDIO rats compared with pgDR rats.

Several observations suggest that altered 5-HT turnover can modulate SERT and 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor levels. First, it has been suggested that extracellular brain 5-HT levels are inversely correlated to 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor levels, as 5-HT<sub>4</sub> receptor binding is downregulated in response to chronic paroxetine treatment, which increases the extracellular 5-HT levels (13). In reverse fashion, 5-HT<sub>4</sub> receptor binding is upregulated in response to 5-HT depletion (16). Likewise, 5-HT depletion causes an upregulation of the 5-HT<sub>2A</sub> receptor binding (13,14), while chronic citalopram or paroxetine treatment decreases 5-HT<sub>2A</sub> receptor binding (13,15). Thus, low extracellular 5-HT levels are believed to trigger a compensatory upregulation of 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding. One could argue that a more global upregulation of the 5-HT<sub>4</sub> and 5-HT<sub>2A</sub> receptor binding would be expected, if low 5-HT levels were the underlying cause. However, the significant main effect of genotype (which is suggested to decrease 5-HT levels) on 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding and lack of interaction between genotype and brain region indicate that genotype affected receptor binding in a similar manner in all brain regions, although only high-binding regions reached statistical significance after Bonferroni corrections for multiple comparisons. Further, other studies examining 5-HT<sub>4</sub> and 5-HT<sub>2A</sub> receptor binding after 5-HT depletion indicate that 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptors respond differentially to 5-HT depletion depending on brain regions (13,14). Importantly, 5-HT<sub>4</sub> receptors in the NAc showed the largest degree of upregulation in a rat study where 5-HT neurons in the raphe nuclei were lesioned (16), and the NAcS was the only region where a significant 5-HT<sub>4</sub> receptor upregulation was found after *p*-chlorophenylalanine-induced 5-HT depletion in pigs (34).

Decreased 5-HT turnover has also been associated with reduced SERT binding. For example, *p*-chlorophenylalanine treatment causes a downregulation in SERT binding (35,36) and 5-HT depletion caused by a high dose of dexfenfluramine likewise decreases SERT binding, although this could be due to neurotoxic effects causing a decrease in 5-HT fibers rather than a decrease in SERT protein per fiber (36).

Rather than being secondary to the suggested decreased 5-HT turnover, SERT binding could be reduced primarily due to genetic factors. The decrease in dorsal raphe SERT binding in pgDIO rats might reflect that pgDIO rats primarily have fewer 5-HT fibers than pgDR rats, which could explain the hypothesized decreased 5-HT output in obesity. However, decreased SERT binding in other brain regions such as the NAcS would be expected to



accompany reduced SERT binding in the principal 5-HT nuclei in the brain stem, since the receptor binding data indicate that the NAcS had the most pronounced 5-HT receptor changes. Alternatively, the decrease in dorsal raphe SERT binding could reflect a primary decrease in SERT protein per terminal in this region. Low SERT levels in the dorsal raphe nucleus is anticipated to increase the extracellular 5-HT availability in this region, which in turn is expected to negatively feedback onto the activity of the 5-HT neurons through the inhibitory 5-HT<sub>1A</sub> autoreceptor. This could cause the suggested low 5-HT activity in the projection fields of the forebrain areas. Thus, we suggest that the upregulation of the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding in pgDIO rats compared with pgDR rats is caused by a genetically inherited decrease in 5-HT activity in forebrain areas, which may be caused by a primary reduction in dorsal raphe SERT levels. However, it must be considered that the decrease in dorsal raphe SERT binding in pgDIO rats was modest and therefore other factors are likely to contribute to the suggested low 5-HT activity in forebrain areas.

While RYGB rats had lower 5-HT<sub>2A</sub> receptor binding in the NAcS as compared to sham-operated rats, no differences in 5-HT<sub>4</sub> receptor and SERT binding were found. The downregulation of 5-HT<sub>2A</sub> receptors in the NAcS could either be a response to altered 5-HT activity or a specific response of this receptor in this region to the RYGB procedure. Whether the 5-HT turnover is affected by the RYGB procedure is currently unexplored, but if the 5-HT turnover is reduced in the obese state as hypothesized, body weight loss after RYGB could lead to normalization of 5-HT function. Nevertheless, if changes in 5-HT tonus were responsible for the downregulation of the 5-HT<sub>2A</sub> receptor in the NAcS, then more widespread alterations in the 5-HT system would be anticipated, thus making it presently uncertain whether RYGB surgery procedures have a robust effect on central 5-HT neurotransmission. The observation that the RYGB rats exhibited a substantial body weight loss postsurgery without showing robust changes in 5-HT markers support that even a robust weight loss *per se* does not trigger significant changes in the 5-HT system. However, the basis for this interpretation is limited since the RYGB rats in the present study were not made DIO prior to surgery. Optimally, RYGB surgery should be performed in pgDIO rats to examine if any of the observed obesity-associated changes in the 5-HT system are reversible with surgery-induced weight loss. It should also be considered that the downregulation of the 5-HT<sub>2A</sub> receptor binding in the NAcS in RYGB rats could potentially be a direct effect of the RYGB surgical procedure. Although of indirect evidence only, a previous study showed increased 5-HT<sub>1B</sub> receptor staining in hypothalamic areas in obese rats post-RYGB surgery, as compared to both sham-operated and pair-fed controls (37). Interestingly, DIO rats also have increased 5-HT<sub>1B</sub> receptor binding in the hypothalamus compared to lean controls (5). Thus, obesity-associated upregulation of 5-HT<sub>1B</sub> receptors seem to increase further after RYGB surgery, which point to a possible direct effect of the RYGB procedure on the 5-HT system independent of body weight. Hence, we cannot rule out that the RYGB procedure could potentially also directly affect other 5-HT receptor systems, including accumbal 5-HT<sub>2A</sub> receptors. A lowered preference for palatable foods after RYGB surgery has been reported in both humans (38) and rats (39,40). This suggests that RYGB-induced changes influence hedonic and rewards circuits in the brain and this could implicate the 5-HT system, including 5-HT<sub>2A</sub> receptor function. Finally, it must be considered that the increase in 5-HT<sub>2A</sub> receptors in the NAcS in animals that are rapidly gaining weight (pgDIO), and a decrease in animals that are rapidly losing weight (RYGB) with no change in animals that are stably obese (DIO) could also be interpreted differently: changes in NAcS 5-HT<sub>2A</sub> receptor binding may reflect changes in body weight rather than genetics in the pgDIO rats and a response to the RYGB procedure in RYGB rats. However, the exact mechanism behind the observed changes in 5-HT<sub>2A</sub> receptor binding remains to be determined.

In conclusion, the upregulation of the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding and the downregulation of SERT binding in pgDIO compared with pgDR rats reflect similar findings in obese humans. The upregulation of the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding in pgDIO rats suggests an adaptive mechanism counteracting the distorted energy balance in obesity. It is speculated that this effect could be driven by an underlying genetically inherited reduction in the 5-HT activity in pgDIO rats. The importance of the genetic component is also supported by results from the DIO and RYGB outbred rat models, as DIO or surgery-induced weight loss did not generally alter the 5-HT system. The upregulation of the 5-HT<sub>2A</sub> and 5-HT<sub>4</sub> receptor binding in pgDIO rats in the NAcS suggests a role of these receptors in regulating reward and hedonic aspects of food intake and implies that central 5-HT perturbations contributing to obesity involve reward circuits.

## Acknowledgments

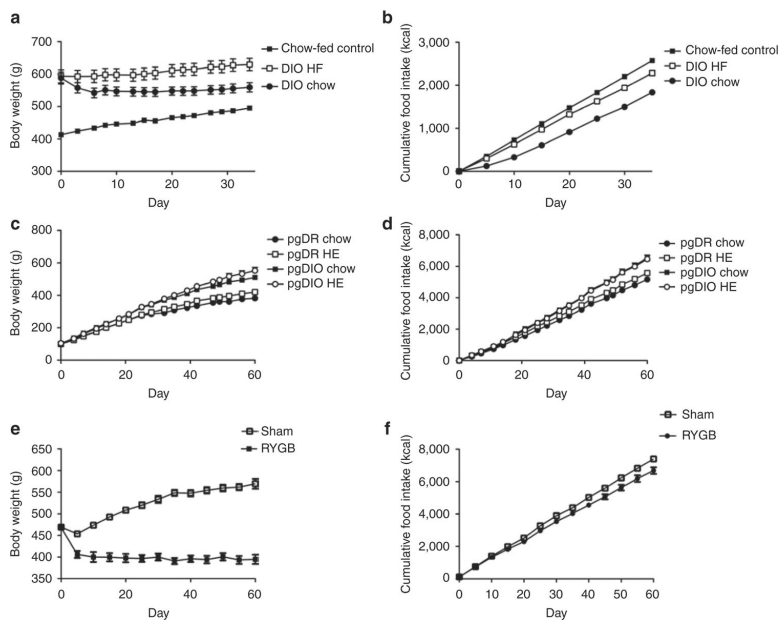
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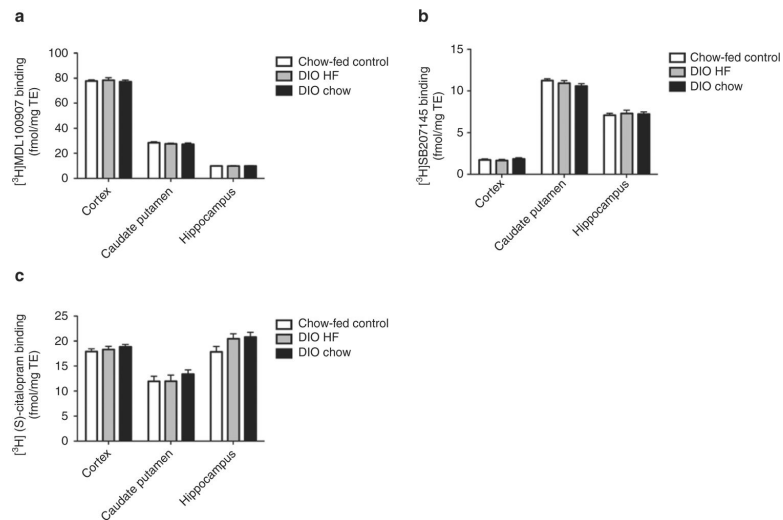
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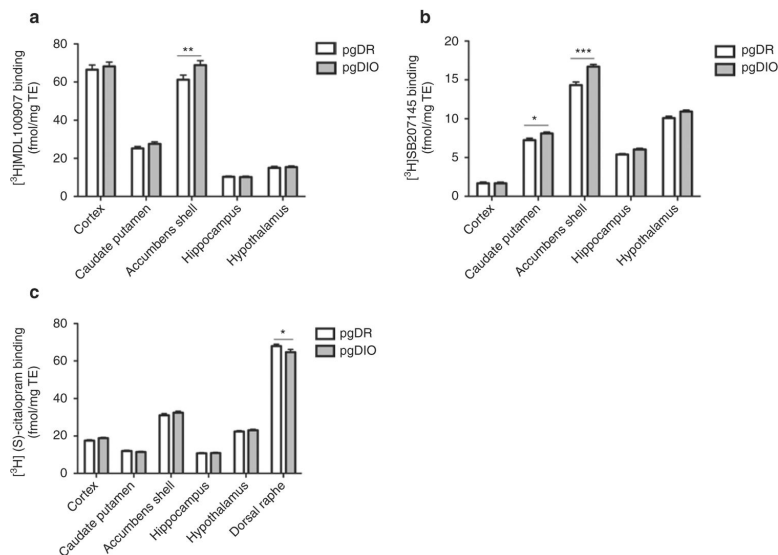


**Figure 1.** Body weight and cumulative food intake for (a,b) outbred DIO rats ( $n = 12$  per group), (c,d) pgDIO and pgDR rats ( $n = 8$  per group), and (e,f) RYGB rats (RYGB:  $n = 10$ , sham-operated:  $n = 12$  per group). DIO, diet-induced obese; HE, high energy; HF, high fat; pgDIO, polygenic diet-induced obese; pgDR, polygenic diet-resistant; RYGB, Roux-en-Y gastric bypass.

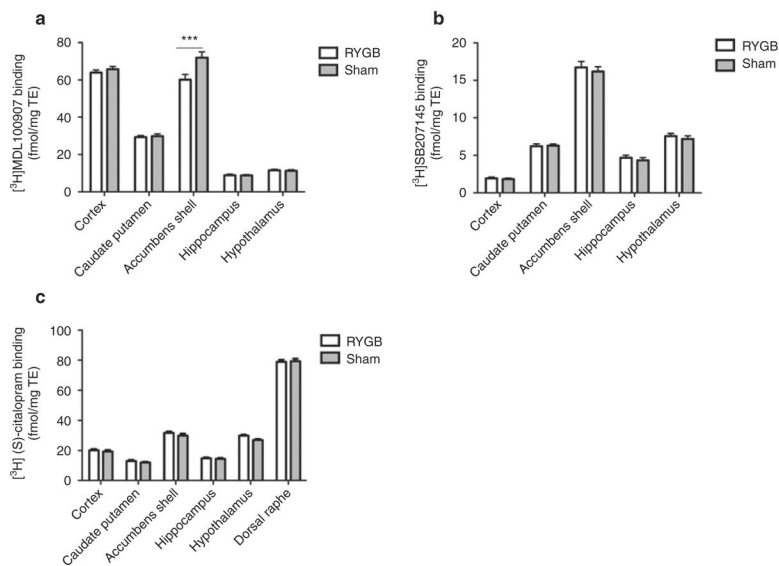




**Figure 2.** Brain regional 5-HT receptor and transporter binding in outbred diet-induced obese rats. **(a)** 5-HT<sub>2A</sub> receptor-specific binding, **(b)** 5-HT<sub>4</sub> receptor-specific binding, and **(c)** SERT-specific binding in chow-fed control, DIO HF, and DIO chow rats. Data tested with two-way ANOVA with Bonferroni-corrected post-tests.  $n = 11-12$  per group. DIO, diet-induced obese; HF, high fat; SERT, serotonin transporter.



**Figure 3.** Brain regional 5-HT receptor and transporter binding in selectively bred polygenic diet-induced obese and diet-resistant rats. **(a)** 5-HT<sub>2A</sub> receptor-specific binding, **(b)** 5-HT<sub>4</sub> receptor-specific binding, and **(c)** SERT-specific binding for pgDIO and pgDR rats. Data tested with three-way ANOVA and subsequent two-way ANOVA with Bonferroni-corrected post-tests, \* $P < 0.05$ , \*\* $P < 0.01$ , and \*\*\* $P < 0.001$ . For two-way ANOVA analyses and Bonferroni-corrected post-tests, pgDR chow and pgDR HE rats were pooled and pgDIO chow and pgDIO HE rats were pooled.  $n = 14-16$  per group. HE, high energy; pgDIO, polygenic diet-induced obese; pgDR, polygenic diet-resistant; SERT, serotonin transporter.



**Figure 4.** Brain regional 5-HT receptor and transporter binding in a rat model of Roux-en-Y gastric bypass. **(a)** 5-HT<sub>2A</sub> receptor-specific binding, **(b)** 5-HT<sub>4</sub> receptor-specific binding, and **(c)** SERT-specific binding in RYGB and sham-operated rats. Fasted and nonfasted animals were pooled. Data was tested with two-way ANOVA with Bonferroni-corrected post-tests, \*\*\* $P < 0.001$ . RYGB:  $n = 9-10$ , sham-operated:  $n = 10-12$  per group. RYGB, Roux-en-Y gastric bypass; SERT, serotonin transporter.

**Table 1***In vivo* data for DIO rats, DIO rats after weight loss, and chow-fed control rats

	Chow-fed control	DIO HF	DIO chow	One-way ANOVA <i>P</i> value
Average daily body weight gain (g)	2.4 ± 0.087 <sup>***,###</sup>	1.1 ± 0.079 <sup>\$\$\$</sup>	-0.85 ± 0.18	<i>P</i> < 0.0001
Body weight at termination (g)	495.2 ± 4.0 <sup>***,###</sup>	629.5 ± 18.9 <sup>\$\$\$</sup>	559.1 ± 14.5	<i>P</i> < 0.0001
Average daily food intake (kcal)	73.8 ± 1.1 <sup>***,###</sup>	66.3 ± 1.9 <sup>\$\$\$</sup>	52.3 ± 1.5	<i>P</i> < 0.0001

Data analyzed using one-way ANOVA with Tukey post-test. *n* = 12 per group.

DIO, diet-induced obese; HF, high fat.

<sup>\*\*</sup> *P* < 0.01.<sup>\*\*\*</sup> *P* < 0.001 between chow-fed control and DIO HF.<sup>##</sup> *P* < 0.01.<sup>###</sup> *P* < 0.001 between chow-fed control and DIO chow.<sup>\$\$</sup> *P* < 0.01<sup>\$\$\$</sup> *P* < 0.001 between DIO HF and DIO chow.

**Table 2***In vivo* data for pgDIO and pgDR rats

	pgDR chow	pgDR HE	pgDIO chow	pgDIO HE	Interaction	Genotype	Diet
Average daily body weight gain (g)	4.7 ± 0.14 <sup>***</sup>	5.3 ± 0.14 <sup>###</sup>	6.8 ± 0.16	7.5 ± 0.32	<i>P</i> = 0.90	<i>P</i> < 0.0001	<i>P</i> = 0.004
Body weight at termination (g)	382.3 ± 10.1 <sup>***</sup>	420.3 ± 9.7 <sup>###</sup>	510.1 ± 11.4	552.4 ± 19.7	<i>P</i> = 0.87	<i>P</i> < 0.0001	<i>P</i> = 0.005
Average daily food intake (kcal)	86.1 ± 2.3 <sup>***</sup>	92.8 ± 2.7 <sup>##</sup>	109.0 ± 2.9	107.7 ± 4.1	<i>P</i> = 0.20	<i>P</i> < 0.0001	<i>P</i> = 0.37
Fat pad weight/body weight (%)	3.95 ± 0.3 <sup>*</sup>	4.8 ± 0.6 <sup>###</sup>	6.6 ± 0.7 <sup>§§§</sup>	10.5 ± 0.8	<i>P</i> = 0.02	<i>P</i> < 0.0001	<i>P</i> = 0.0009

Data tested with two-way ANOVA with Bonferroni-corrected post-tests, *n* = 8 per group.

HE, high energy; pgDIO, polygenic diet-induced obese; pgDR, polygenic diet-resistant.

<sup>\*</sup> *P* < 0.05.<sup>\*\*\*</sup> *P* < 0.001 between pgDR chow and pgDIO chow.<sup>##</sup> *P* < 0.01.<sup>###</sup> *P* < 0.001 between pgDR HE and pgDIO HE.<sup>§§§</sup> *P* < 0.001 between pgDIO chow and pgDIO HE.



**Table 3***In vivo* data for RYGB and sham-operated rats

	<b>RYGB rats</b>	<b>Sham-operated rats</b>
Average daily body weight gain (g)	-1.3 ± 0.20 <sup>***</sup>	1.7 ± 0.094
Body weight at termination (g)	395.2 ± 9 <sup>***</sup>	564.6 ± 7.8
Average daily food intake (kcal)	97.9 ± 3.0 <sup>**</sup>	108.5 ± 1.8

Data tested with student's *t*-tests. RYGB: *n* = 10, Sham-operated: *n* = 12 per group.

RYGB, Roux-en-Y gastric bypass.

<sup>\*\*</sup>  
*P* < 0.01.

<sup>\*\*\*</sup>  
*P* < 0.001.