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Oxidative Stress in Critically Ill Children with Sepsis

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Abstract

Sepsis is one of the leading causes of death in critically ill patients in the intensive care unit. Sepsis accounts for significant morbidity and mortality in critically ill children as well. The pathophysiology of sepsis is characterized by a complex systemic inflammatory response, endothelial dysfunction, and alterations in the coagulation system, which lead to perturbations in the delivery of oxygen and metabolic substrates to the tissues, end-organ dysfunction, and ultimately death. Oxidative stress plays a crucial role as both a promoter and mediator of the systemic inflammatory response, suggesting potential targets for the treatment of critically ill children with the sepsis syndrome. Herein, we will provide a brief review of the role of oxidative and nitrosative stress in the pathophysiology of sepsis.

Introduction

Sepsis is a leading cause of morbidity and mortality in children and accounts for nearly 4,500 deaths and close to \$2 billion per year in healthcare expenditures in the United States alone [1]. The pathophysiology of sepsis is characterized by a complex systemic inflammatory response, endothelial dysfunction, and alterations in the coagulation system, which lead to perturbations in the delivery of oxygen and metabolic substrates to the tissues, end-organ dysfunction, and ultimately death. Importantly, therapy directed at restoring oxygen and substrate delivery to the tissues has been shown to improve outcome [2]. Oxidative stress plays a crucial role as both a promoter and mediator of the systemic inflammatory response, suggesting potential targets for the treatment of critically ill children with the sepsis syndrome [3–7]. Herein, we will provide a brief review of the role of oxidative and nitrosative stress in the pathophysiology of sepsis.

The Oxygen Paradox

Oxygen is the most abundant element in the earth's crust, and cellular function is critically dependent upon oxygen, as evidenced by the relative complexity of the three major organ systems that have evolved to transport oxygen from the surrounding environment to the cells – namely, the cardiovascular, respiratory, and hematopoeitic systems. Cells do not have the means to store oxygen and are therefore dependent upon a continuous supply that closely matches the changing metabolic needs that are necessary for normal metabolism and cellular function. The terms *hypoxia* and *hypoxemia* are frequently (and probably incorrectnly) used interchangeably. A more purist approach would be to define hypoxia as a lower than normal partial pressure of oxygen $(PO₂)$ in the tissues. *Hypoxemia* is then defined as a lower than

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normal partial pressure of oxygen in the blood (PaO₂). There are a few well-known types of hypoxia: (i) Hypoxemic hypoxia (due to lower than normal PaO₂); (ii) Anemic hypoxia (due to decreased oxygen carrying capacity of the blood, due to either a low red blood cell count or the presence of abnormal hemoglobin); (iii) Circulatory hypoxia (also known as stagnant hypoxia, due to lower than normal cardiac output); and (iv) Histotoxic hypoxia (due to cyanide poisoning or "poisoning" due to sepsis – see discussion on cytopathic hypoxia below). Note that if the supply of oxygen is not aligned with the normal metabolic requirements of the tissues, for whatever reason, tissue hypoxia will ensue, eventually resulting in cellular injury and/or death. Ironically, oxygen is inherently toxic to these same cells that are so critically dependent upon a steady supply of oxygen for normal cellular function – the so-called *oxygen paradox* $[8-11]$. Exposure to hyperoxia *in vivo* results in direct damage to the lung through the increased production of free radicals and indirect damage from the accumulation of pro-inflammatory mediators, frequently resulting in death within 24–48 hours of exposure in rodent models [12–14]. Physiologic studies conducted in healthy volunteers breathing 100% oxygen confirmed the toxic effects of oxygen as early as the 1940's [15].

The toxicity of oxygen rests in its unique atomic structure. Each oxygen atom contains one unpaired electron in its outer orbit. Elemental oxygen is therefore considered a free radical (Table 1). However, oxygen exists in the atmosphere as O_2 , and hence contains two unpaired electrons with parallel spins. During normal cellular respiration, oxygen is reduced to $H₂O$ through the sequential addition of 4 electrons at the inner membrane of the mitochondria, thereby generating the necessary chemical energy to generate 36 molecules of adenosine triphosphate (ATP). Nearly 98% of oxygen is converted to water in this manner. The remaining 2% of oxygen undergoes partial and incomplete reduction due to the leakage of electrons across the respiratory chain, resulting in the formation of intermediate reactive oxygen species (ROS) –namely, superoxide $(O_2^{\bullet-})$, hydrogen peroxide (H₂O₂), and the hydroxyl radical (OH^{*}).

Generation of Reactive Oxygen Species (ROS)

Under normal physiologic conditions, the principal source of ROS is the incomplete reduction of O_2 as a normal byproduct of aerobic respiration. One or two electron reduction of oxygen forms two ROS, superoxide anion $(O_2^{\bullet -})$ and hydrogen peroxide (H_2O_2) , respectively, which can then be converted into more reactive species, such as the hydroxyl radical. The superoxide anion is formed after the addition of one electron to oxygen and is generally the least reactive ROS. It is rapidly inactivated by the anti-oxidant enzyme, superoxide dismutase (SOD), which reduces it to hydrogen peroxide (Eq. 1).

$$
2O_2^- + 2H^+ \rightarrow H_2O_2 + O_2
$$
 Eq. 1

Hydrogen peroxide is much less reactive and is converted enzymatically to water by catalase (Eq. 2) and glutathione peroxidase (which requires glutathione, GSH and produces glutathione disulfide, GSSG and water) (Eq. 3).

 $2H_2O_2 \rightarrow O_2+H_2O$ Eq. 2

$$
H_2O_2+2\text{ GSH} \rightarrow \text{GSSG}+2\text{ H}_2O \quad \text{Eq. 3}
$$

In the presence of transition metals (e.g. copper, iron), the Haber-Weiss reaction generates the highly reactive hydroxyl radical (OH•) and the hydroxyl anion (OH−) from hydrogen

peroxide and the superoxide anion. The first step of this reaction involves reduction of the transition metal (reduction of ferric iron to ferrous iron is shown) (Eq. 4). The second step of this reaction is known as the Fenton reaction (Eq. 5).

$$
\text{Fe}^{3+} + \text{O}_2 \rightarrow \text{Fe}^{2+} + \text{O}_2 \quad \text{Eq. 4}
$$
\n
$$
\text{Fe}^{2+} + \text{H}_2\text{O}_2 \rightarrow \text{Fe}^{3+} + \text{OH}^+ + \text{OH}^- \quad \text{Eq. 5}
$$

The net reaction is shown in Eq. 6.

$$
\mathrm{O_2}^- + \mathrm{H_2O_2} \rightarrow \mathrm{OH}^+ + \mathrm{OH}^- + \mathrm{O_2} \quad \mathrm{Eq. 6}
$$

The hydroxyl radical in turn causes damage to proteins, lipids, and nucleic acids. Hydroxyl radicals oxidizes amino acids such as lysine, serine, arginine, and proline to inactivate cellular proteins. Hydroxyl radical removes hydrogen from membrane lipids, generating a lipid radical that can then react with oxygen to generate a peroxy radical. The peroxy radical in turn removes another hydrogen from the next membrane lipid to initiate a chain reaction known as lipid peroxidation. Finally, hydroxyl radical causes base modification, strand breaks, and cross-linking in nucleic acids.

Other major sources of ROS under normal conditions include the respiratory burst during phagocytosis (largely due to the effects of a multi-component enzyme complex, NADPH oxidase) (Eq. 7) and arachidonic acid metabolism [16].

NADPH+H⁺+2 O₂
$$
\rightarrow
$$
 NADP⁺+2H⁺+2 O₂⁻ Eq. 7

The NADPH required for this reaction is generated through the hexose monophosphate shunt (HMP shunt) – an alternative biochemical pathway starting from glucose-6-phosphate which primarily generates NADPH for reductive synthesis and ribose sugars for nucleic acid synthesis. The respiratory burst is a major source of ROS, especially during stress (e.g. sepsis, shock, and ischemia-reperfusion injury).

Other enzyme systems also generate significant ROS species. For example, the xanthine oxidoreductase (XOR) enzyme system is a key enzyme in purine catabolism and catalyzes the oxidation of xanthine and hypoxanthine to uric acid. XOR is primarily localized to the vascular endothelium and smooth muscle cells and exists in two interconvertible forms xanthine dehydrogenase (XDH) and xanthine oxidase (XO). XDH is irreversibly converted to XOR via proteolysis or reversibly by oxidation of cysteine residues to form disulfide bridges. XDH uses NAD^+ as the electron acceptor, while XO uses O_2 as the electron acceptor (generating superoxide anion in the process) (Figure 1). During tissue ischemia, XDH is converted to the oxidase form by a protease activated by increased calcium flux. At the same time, ATP is degraded to hypoxanthine, which accumulates in the ischemic tissue. During reperfusion, with the presence of large quantities of molecular oxygen and high concentrations of hypoxanthine, XO generates a burst of superoxide. Recently, the XOR enzyme system has been shown to catalyze the reduction of nitrates and nitrites to nitrites and NO^{*}, respectively, thus also contributing to NO^{*} generation during ischemia-reperfusion injury [17–18].

Anti-oxidant Defense Mechanisms

The evolution of highly efficient mechanisms of energy production via aerobic respiration coincided in parallel with the evolution of a complex network of anti-oxidant defense mechanisms necessary to combat the generation of ROS as a byproduct of this same energyproducing process. Generally, under normal physiologic conditions, the balance between ROS production and elimination is maintained by the components of anti-oxidant defense. Some of the most important components of this anti-oxidant defense include the anti-oxidant enzymes discussed above that rapidly eliminate toxic ROS intermediates generated during normal metabolism. These enzymes include superoxide dismutase (SOD), catalase, and glutathione peroxidase. Three major forms of SOD are recognized – copper-zinc SOD (Cu, Zn-SOD), largely confined to the cytosol; manganese SOD (Mn-SOD), largely confined to the mitochondria; and extracellular SOD, largely confined to the extracellular matrix. As mentioned above, SOD generates hydrogen peroxide, which is subsequently converted to water by the enzymes, catalase and glutathione peroxidase. Additional enzymes important for anti-oxidant defense include the thioredoxin system (Figure 2), which reduces oxidized cysteine groups on proteins and scavenges H_2O_2 , glutathione reductase (Eq. 8), glutathione-S-transferase (important in detoxification and drug metabolism), and heme oxygenase (Eq. 9).

$GSSG+NADPH+H^+ \rightarrow 2 GSH+NADP^+$ Eq. 8

Heme+O₂ \rightarrow Biliverdin+Fe²⁺+CO Eq. 9

Heme oxygenase (HO) exists as an inducible isoform (HO-1), as well as two constitutive isoforms (HO-2 and -3). A broad spectrum of cell stressors, including LPS, phorbol esters, sodium arsenite, hydrogen peroxide, ultraviolet radiation, hyperoxia, heavy metals, and heat shock can induce HO-1 expression, which has led to its classification as a heat shock protein (Hsp32) [19]. Heme oxygenase generates biliverdin, which is converted to bilirubin (itself, a potent endogenous anti-oxidant) via bilverdin reductase. Carbon monoxide (CO) has recently gained interest as unique cell signaling molecule with anti-inflammatory and antiapoptotic effects [20].

Superoxide and hydrogen peroxide are converted to the highly reactive hydroxyl radical in the presence of transition metals (e.g., iron, copper) via the Haber-Weiss and Fenton reactions. Several plasma proteins, including transferrin, ferritin, lactoferrin, and ceruloplasmin bind these transition metals and prevent this reaction. Haptoglobin binds free hemoglobin (which is pro-oxidant by virtue of the fact that it may be degraded to free iron and has been shown to directly stimulate lipid peroxidation). Albumin, one of the most abundant proteins in plasma, is an effective scavenger of free radicals. Finally, small molecules such as bilirubin (generated by heme oxygenase, above), uric acid, ubiquinone (coenzyme Q), metallothionein, and glutathione also contribute to the plasma anti-oxidant pool.

Several micronutrients are effective anti-oxidants. As alluded to above, polyunsaturated lipids in the cell membrane are particularly vulnerable to oxidative stress via lipid peroxidation. Vitamin E $(a$ -tocopherol) is a lipid-soluble anti-oxidant that can interrupt the chain reaction that leads to lipid peroxidation to form the stable α-tocopheryl radical, which is recycled by either vitamin C (ascorbic acid) or by ubiquinone (coenzyme Q). β-carotene and other carotenoids (e.g. lycopene) are important dietary anti-oxidants. β-carotene is a precursor for vitamin A, another important anti-oxidant. Both vitamin A and C are cofactors for several enzymes and appear to directly scavenge free radicals. Finally, several minerals

are important co-factors for the anti-oxidant enzymes discussed above, including selenium (glutathione peroxidase), copper (SOD, catalase), zinc (SOD), and manganese (SOD).

Generation of Reactive Nitrogen Species (RNS)

Reactive species can be nitrogen-centered as well. The primary source of reactive nitrogen species (RNS) in most biological systems is the free radical, nitric oxide (NO^{*}). NO^{*} plays a critical role in a number of normal physiologic processes, including neurotransmission, regulation of vascular tone, and host defense. NO^{*} in mammalian tissues is synthesized by three distinct isoforms of the enzyme, nitric oxide synthase (NOS), which catalyzes the conversion of L-arginine to L-citrulline and NO^{*}. A fourth isoform, mitochondrial NOS (mtNOS) has also been described [21–23]. NOS1 (also known as neuronal NOS, nNOS) and NOS3 (also known as endothelial NOS, eNOS) are constitutively expressed and are activated by reversible binding of Ca^{2+}/c almodulin following elevations in intracellular $Ca²⁺$. NOS1 is found primarily in the kidney, muscle, myocardium, and pancreas and plays an important role as a neurotransmitter. NOS3 is largely confined to the vascular endothelium and plays a major role in the regional regulation of vascular tone and blood flow. Consistent with their role in cell signaling and regulation of vascular tone, the constitutive isoforms produce NO• in nanomolar concentrations for a very short half-life.

In contrast, NOS2 (also known as inducible NOS, iNOS) is expressed in vascular smooth muscle, immune cells, erythrocytes, kidney, pancreas, liver and lung and produces longer lasting NO[•] in micromolar concentrations. NOS2 gene expression is highly inducible in response to a variety of pro-inflammatory stimuli, including lipopolysaccharide (LPS), tumor necrosis factor (TNF)-α, and interleukin (IL)-1β. Excessive NO[•] production by NOS2 is thought to play a major role in the pathophysiology of septic shock [24]. The source of this excessive NO[•] production appears to be parenchymal cells, and not leukocytes as previously believed [25-26]. While several pre-clinical studies of NO[•] inhibition have shown promise [27], a recent phase III multi-center, randomized, placebo-controlled trial of the NOS inhibitor 546C88 in critically ill patients with septic shock was stopped prematurely due to an increase in mortality in patients who were randomized to the treatment group [28]. As expected, there was a significant increase in blood pressure, a significant decrease in vasopressor requirements, and a significant increase in resolution of shock in the patients randomized to the treatment group. Therefore, it appears that NO^{*} has dual effects – both protective and deleterious – in patients with septic shock [24].

As alluded to earlier, NO[•] has a relatively short half-life and rapidly degrades to nitrite (NO₂⁻) and nitrate (NO₃⁻) in vivo. For this reason, NO[•] is difficult to measure and hence NO_2^- and NO_3^- (often abbreviated as NO_x) are used as surrogates of NO[•] production in most clinical studies [29–33]. Recent studies suggest, however, that nitrite, in particular, may serve as an important storage pool for NO. Nitrite is reduced back to NO^{\cdot} (in part, by XOR) under conditions of hypoxia or acidosis, causing local vasodilation to increase local tissue oxygen delivery [34].

The reaction of NO[•] with the superoxide anion (O_2^-) is perhaps more relevant to the present discussion, as peroxynitrite (ONOO−) is a highly reactive RNS which directly damages cellular proteins, membrane lipids, and nucleic acids (Eq. 10) [35–36].

$$
NO + O_2^- \rightarrow ONOO^-
$$
 Eq. 10

Most of the adverse effects of RNS appear to be mediated by the peroxynitrite radical and are discussed further below.

Oxidative and Nitrosative Stress in Sepsis

Oxidative stress is results from an imbalance between ROS/RNS generation and the body's anti-oxidant defenses, due to (i) increased generation of ROS/RNS; (ii) decreased synthesis or consumption of the body's natural antioxidants; or (iii) a combination of both processes. Oxidative stress is quantified in vivo via a number of methods (Table 2) [37–39]. Alternatively, levels of anti-oxidants (e.g., α-tocopherol, β-carotene, selenium, ascorbic acid) or the activities of relevant anti-oxidant enzymes (e.g. superoxide dismutase, catalase, glutathione) may be measured. Unfortunately, very few studies have measured biomarkers of oxidative stress in critically ill children with sepsis (Table 3) [40]. However, absence of evidence does not necessarily mean absence of effect! The multitude of pre-clinical studies and studies in critically ill adults strongly implicate oxidative stress in the pathophysiology of sepsis [4].

Importantly, both ROS and RNS contribute to the host inflammatory response either via direct cellular toxicity or through the activation of pro-inflammatory gene expression pathways (Figure 3). ROS and RNS have direct effects (via oxidation or nitration reactions, respectively) on the structure and function of many enzymes, proteins, lipids, and DNA. These effects disrupt the function of the machinery of the cell – proteins! Critical enzymes, transcription factors, and scaffolding proteins are rendered non-functional through these modifications. As discussed previously, lipid peroxidation results in disruption of the cell membrane as well as the membranes of cellular organelles, and causes the release of highly cytotoxic products, including malondialdehyde. The release of these contents, which may include endogenous danger signals such as heat shock proteins or HMGB-1 may further activate the inflammatory response in surrounding cells [41–42].

ROS interaction with nucleic acids results in the production of markers of oxidative stress, such as 8-hydroxydeoxyguanosine and 8-nitroguanine. In addition, ROS induces single strand breaks in the DNA, which subsequently activates a putative nuclear repair enzyme, known as poly (ADP-ribose) polymerase-1 (PARP-1). PARP-1 (also called PARS, poly (ADP-ribose) synthetase) is a nuclear enzyme activated by single strand breaks and nicks in DNA and can therefore be induced by a diverse range of environmental stimuli, including ROS and RNS. PARP-1 is composed of three functional domains – an N-terminal DNA binding domain that binds to single strand DNA breaks, a central automodification domain, and a C-terminal catalytic domain. Once activated, PARP-1 binds to the break in DNA, activating the C-terminal catalytic domain which then cleaves NAD+ into nicotinamide and ADP-ribose. PARP-1 then covalently attaches ADP-ribose groups to other proteins (including the automodification domain on PARP-1 itself), culminating in the extension of a nucleic acid-like polymer, poly (ADP)-ribose. Unfortunately, PARP-1 also initiates an energy consuming cycle, which rapidly depletes the intracellular NAD⁺ and ATP pools, slowing the rate of cellular respiration to the point where the cell more or less commits suicide [43]. This *cell suicide* phenomenon has been suggested to form the basis for a condition known as *cytopathic hypoxia* that characterizes sepsis and multiple organ dysfunction syndrome (MODS) [44].

ROS and RNS species also appear to cause indirect tissue damage via activation of proinflammatory transcription pathways that regulate pro-inflammatory gene expression. These reactive species appear to cause changes in critical thiol groups or aminoacid residues of mitogen-activated protein kinases and their regulatory phosphatases, which are important components of an extensive network of interconnected signal transduction pathways. Mitogen-activated protein kinases mediate the transduction of extracellular signals from the receptor levels to the nuclear transcription factors, such as AP-1 and NF-κB. These kinases activate each other by sequential steps of phosphorylation; whereas their inactivation is

mediated by phosphatases through dephosphorylation. NF-κB appears to be a master switch, or control point, for the expression of a large number of proinflammatory genes (Table 4).

 $NF-\kappa B$ and $AP-1$ appear to be regulated by the redox status inside the cell. Interestingly, intracellular redox status appears to have opposing roles on these two pluripotent transcription factors. In general, pro-oxidant factors activate NF-κB and attenuate AP-1, while anti-oxidant factors activate AP-1 and attenuate NF-κB [45]. A large body of indirect and direct evidence links the NF-κB pathway to the dysregulated inflammation that is characteristic of septic shock [46–49]. Based upon these studies, the NF-κB pathway would appear to be a logical therapeutic target for the treatment of critically ill patients with septic shock, perhaps through modification of redox status [48].

Novel Therapeutic Agents Directed against Oxidative Stress – A Rational Approach?

Several novel therapeutic agents directed towards the oxidative stress response have shown promising results in pre-clinical models of sepsis. Unfortunately, there have been relatively few successes in the clinical setting [4, 39, 50–51] and the experience with these agents in the pediatric population is quite limited [52]. This particular problem is not new. Promising therapies in pre-clinical models of sepsis have universally failed to live up to initial expectations in subsequent clinical trials [53]. In fact, to date, there have been only two positive clinical trials in critically ill adults with sepsis – early goal-directed therapy (EGDT) [2] and activated protein C (drotrecogin alfa, Xigris®, Eli Lilly and Co, Indianapolis, IN) [54]. Regardless, this kind of management approach seems both reasonable and feasible [55], though further studies, particularly in critically ill children, are required.

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Figure 1. Purine catabolism by the xanthine oxidoreductase (XOR) enzyme system.

Regulation of Redox Status in the Cell by the Thioredoxin (TRX) System

Figure 3. The toxic effects of ROS/RNS

Definition of terms.

Free radicals

Any atom or molecule containing one or more unpaired electrons in the outermost orbit or electron shell. By convention, the unpaired electron is represented as a bold superscripted dot (e.g. O2•−).

Redox

Any chemical reaction in which an atom has its oxidation state changed (short-hand notation for reduction-oxidation reaction)

Oxidation state

A measure of the degree of oxidation of an atom in any substance, defined as the charge an atom might be expected to have if all bonds to atoms of different elements were 100% ionic

Example: Ferrous iron (Fe-II) has an oxidation state of +2, while ferric iron (Fe-III) has an oxidation state of +3

Oxidation

The loss of electrons in a chemical reaction (i.e. an increase in the oxidation state)

Reduction

The gain of electrons in a chemical reaction (i.e. a decrease in the oxidation state)

Reducing agent

The atom or molecule in a redox reaction that reduces another species (and in so doing, becomes oxidized); the electron donor in a redox reaction

Oxidizing agent

The atom or molecule in a redox reaction that oxidizes another species (and in so doing, becomes reduced); the electron acceptor in a redox reaction

Reactive oxygen species

Molecules or atoms formed by the reduction of oxygen

Oxidative stress

An imbalance or disequilibrium between pro-oxidant and anti-oxidant activity

Relevant biomarkers of oxidative stress

* Thiobarbituric acid-reacting substances

Oxidative stress in critically ill children with sepsis.

Note: SOD (superoxide dismutase), GPx (Glutathione peroxidase), MDA (malondialdehyde), UA (uric acid), Alb (albumin), XO (xanthine oxidase), GSSG/GSH (ratio between oxidized and reduced glutathione), Cat (catalase), TBARS (thiobarbituric acid-reacting substances), vit C (Vitamin C)

* Samples obtained from serum or plasma

Samples obtained from urine

Genes regulated by the transcription factor, NF-κB

Cytokines and Chemokines

 Tumor necrosis factor-α Interleukins-1, -2, -3, -6, -8, and –12 RANTES Eotaxin Gro-α, -β, and -γ

 Macrophage inhibitory protein 1alpha (MIP-1α) Macrophage chemotactic protein 1 (MCP-1)

Cell Adhesion Molecules

Intracellular adhesion molecule 1 (ICAM-1)

Vascular cell adhesion molecule 1 (VCAM-1)

E-selectin **Growth Factors**

Granulocyte-macrophage colony-stimulating factor (GM-CSF)

Granulocyte colony-stimulating factor (G-CSF)

Macrophage colony-stimulating factor (M-CSF)

Miscellaneous

Inducible Nitric oxide synthase

C reactive protein (CRP)

5-lipoxygenase

Inducible cyclo-oxygenase 2