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Adjuvant therapy with agonistic antibodies to CD134 (OX40) increases local control following surgical or radiation therapy of cancer in mice

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Abstract

Tumor recurrence from residual local or micro-metastatic disease remains a problem in cancer therapy. In patients with soft-tissue sarcoma and patients with inoperable non-small cell lung cancer, local recurrence is common and significant mortality is caused by the subsequent emergence of metastatic disease. Thus, while the aim of the primary therapy is curative, the outcome may be improved by additional targeting of residual microscopic disease. We demonstrate in a murine model that surgical removal of a large primary sarcoma results in local recurrence in approximately 50% of animals. Depletion of CD8 T cells results in local recurrence in 100% of animals, indicating that these cells are involved in control of residual disease. We further demonstrate that systemic adjuvant administration of aOX40 at surgery eliminates local recurrences. In this model, aOX40 acts to directly enhance tumor antigen-specific CD8 T cell proliferation in the lymph node draining the surgical site, and results in increased tumor antigenspecific cytotoxicity in vivo. These results are also corroborated in a murine model of hypofractionated radiation therapy of lung cancer. Administration of aOX40 in combination with radiation significantly extended survival compared to either agent alone, and resulted in a significant proportion of long-term tumor free survivors. We conclude that aOX40 increases tumor antigen-specific CD8 T cell cytotoxic activity resulting in improved endogenous immune control of residual microscopic disease, and we propose that adjuvant aOX40 administration may be a valuable addition to surgical and radiation therapy for cancer.

Keywords

CD134; Costimulation; Surgery; Radiation; CD8

Financial Disclosure

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Dr. Weinberg has patents covering the use of OX40 agonists in cancer. All other authors have declared there are no financial conflicts of interest in regards to this work.

Introduction

It is becoming increasingly clear that suppression of host adaptive immune responses is a required element in the development of aggressively growing tumors to overcome their antigenicity 1-3. Surgery is an effective primary therapy in the majority of cancers, resulting in local control and increased duration of survival. In view of the immune suppressive effect of tumors on adaptive immune responses it has been proposed that surgical removal of the primary tumor has a net positive effect on anti-tumor immunity ⁴. Radiation therapy also provides local therapy to eliminate the primary tumor, though in this case the interaction between radiation and the endogenous immune response is likely mixed. In the case of both surgical therapy and radiation therapy, pre-existing microscopic disease beyond the primary tumor can develop into clinically relevant secondary tumors that represent a significant source of cancer-associated mortality. The ability to further enhance tumor-specific adaptive immune responses at the time of the initial procedure may be valuable for control of residual microscopic disease, allowing immune cells to additionally control local or distant tumor deposits ⁵.

In patients with soft-tissue sarcoma, despite significant efforts to remove all cancer cells at the time of the operation, microscopic disease has been demonstrated to infiltrate normal tissue at surprising distances from the primary tumor mass ⁶. Soft-tissue sarcomas have a 10–20% local recurrence rate when treated with surgical resection alone ⁷,⁸ and the presence of detectable cancer cells in the tumor margin is a prognostic factor for local recurrence ⁹. This residual microscopic disease is an excellent target for immunotherapy, since the relatively small number of cancer cells could allow effector-to-target ratios that are never achievable in large tumors. Enhancing the adaptive immune response at the time of the operation may permit clearance of the microscopic disease before it develops into more established disease.

Radiation therapy is a primary therapy for patients with inoperable localized non-small cell lung carcinoma. However, there is a high rate of local failure, and while it increases median survival, the therapy is often not curative ¹⁰. Standard radiation fractionation provides a daily dose on the order of 1.8-2Gy, to a final dose of 60–70Gy. By contrast, Stereotactic Body Radiation Therapy (SBRT) is a relatively novel technique in radiation therapy of lung carcinomas, delivering the total dose in 5 or fewer treatments of radiation (hypofractionation). Response rates in clinical trials suggest SBRT could be an important therapeutic advance ¹¹. This approach may have significant relevance to the endogenous immune response, since lymphocytes are sensitive to even low radiation treatment may limit the effectiveness of the immune system by constantly removing tumor antigen-specific T cells at the target site. Thus, although standard fractionation has been shown to generate endogenous anti-tumor immune responses ¹³, SBRT hypofractionation may be a more optimal partner for immunotherapy.

Agonistic antibodies to OX40 (α OX40) are an effective adjuvant for both CD4 and CD8 activation. Provision of α OX40 immediately following antigen priming enhances T cell expansion and effector function, and the number of long-term memory CD4 and CD8 T cells 14 -17. Therapy with α OX40 closely following tumor challenge significantly enhances survival in a wide variety of animal tumor models 18 , 19 . In contrast, once the tumor has established beyond 9–10 days, we observe an inhibitory tumor environment, with significant numbers of T regulatory cells along with inhibitory macrophages that express arginase and TGF β^{20} . Treatment with α OX40 at this time provides tumor growth delay, enhanced infiltration of CD8 T cells and reduced suppression by tumor-infiltrating macrophages 20 . Nevertheless, despite growth delay, α OX40 treatment at this stage results in only a few

In this manuscript we present a model of sarcoma treatment where surgical removal of 10-14 day established tumors results in 50% local tumor recurrence. We demonstrate that the adaptive immune response is necessary for removal of residual disease. Critically, adjuvant $\alpha OX40$ delivered at the time of the operation eliminates local recurrence in 100% of mice. To address the mechanism by which $\alpha OX40$ therapy controls residual disease, we identify a temporal window of tumor antigen-specific T cell priming following surgery, and establish that administration of $\alpha OX40$ in this window enhances the tumor antigen-specific response following the surgical procedure, and enhances tumor-specific cytotoxicity *in vivo*. We demonstrate the broad therapeutic applicability of adjuvant $\alpha OX40$ using a model of SBRT for lung cancer. These data set the stage for the use of $\alpha OX40$ as an adjuvant therapy with conventional treatment of primary tumors.

Materials and Methods

Animals, cell lines and in vivo antibodies

6–8 week old C57BL/6 mice were obtained from Charles River Laboratories (Wilmington, MA) for use in these experiments. OT1 mice, with CD8 T cells specific for the SIINFEKL epitope of ovalbumin, have previously been described ²¹. OX40^{-/-} C57BL/6 mice ²² were kindly provided by Dr. N Killeen, University of California San Francisco, CA. OX40^{-/-} OT1 mice were kindly provided by Dr. M Croft, La Jolla Institute for Allergy and Immunology, CA. These experiments used the MCA205 (H12) sarcoma cell line and the MCA205ova cell line as previously described ²⁰, and the Lewis Lung carcinoma (3LL). Control Ig antibody was purchased from Sigma (St Louis, MO) while the rat anti-OX40 antibody (OX86) and CD8-depleting antibody were produced in the laboratory from hybridomas and affinity purified over protein G columns. All animal protocols were approved by the Institution's IACUC.

Surgical removal of tumors

Tumors were established subcutanteously (s.c.) on the right flank of 8–10 week old mice and removed at approximately day 10–14 when they reached an average size of 7–10mm in diameter. Mice were anesthetized by Isoflourane inhalation, and a 1cm incision was made immediately above the tumor. The encapsulated tumor was removed from the s.c. site by excising the surrounding fibrotic material with care taken to avoid cutting into the tumor mass. All animals were macroscopically tumor-free following surgery by visual inspection: however, we did not remove an additional margin beyond the primary tumor mass and associated fibrous material. Gel containing 20% bupicaine was administered to the surgical site, the wound closed with Reflex clips (Kent Scientific Corporation, Torrington, CT) and coated with antibiotic gel. Clips were removed 10 days following surgery.

Cytotoxic T Lymphocyte (CTL) assay

To measure the effect of α OX40 therapy on endogenous T cell cytotoxicity towards the MCA205 tumor, we established MCA205 tumors and treated with 250µg α OX40 or control Ig on day 3 and day 7 following tumor challenge. At day 12 following tumor challenge the tumor-draining lymph nodes were harvested and cells activated in vitro with 5µg/ml anti-CD3 for 2 days, followed by 60 U/ml IL-2 for a further 3 days. These cells were diluted to achieve a range of effector target ratios and combined in triplicate with ^[51]Cr-labeled MCA205 or 3LL tumor cells for 4 hours. Maximal release was calculated by adding 1% triton X100 and minimum release using media alone. Minimum release did not exceed 3% of maximal release. Free radiolabel in cell supernatants was measured using a MicroBeta

Wallac scintillation counter (PerkinElmer, Waltham, MA) and percent specific cytotoxicity calculated using the formula: (Experimental – Minimum)/(Maximum – Minimum)×100. Experiments were performed with 3 mice per treatment group.

Adoptive transfer

For antigen-specific cell tracking studies using MCA205ova, mice were tolerized to ovalbumin by intravenous (i.v.) injection of 500µg ovalbumin (Sigma) 7 days and 2 days prior to MCA205ova challenge ²⁰. For adoptive transfer of naïve OT1 cells, spleen and lymph nodes were harvested from Thy1.1⁺ OT1 mice and the percentage of CD8 T cells was calculated by FACS analysis. Where appropriate, OT1 splenocytes were washed into PBS, then labeled for 15 minutes in 1µl 5mM CFSE (Invitrogen)/5×10⁷ cells, then washed 1× in media and 4× in PBS prior to adoptive transfer. 1×10⁶ CD8⁺ (OT1) cells were transferred i.v. following surgical removal of the tumor. In some experiments, adoptive transfer was delayed for 3 days following the operation.

FACS antibodies and staining

Phenotyping of tumor and lymph node cells was performed using the following antibodies: CD8 PETxRD (Invitrogen); CD69 PE, H2K^b FITC, IFN γ APC, TNFa PECy5, CD62L APCCy7 and CD25 APC (each Ebiosciences, San Diego, CA); Unlabelled Thy1.1 was conjugated to PacificOrange in the laboratory using a PO-conjugation kit (Invitrogen). For intracellular cytokine staining, 1×10⁶ cells were stimulated with 1µg/ml SIINFEKL for 6 hours at 37°C in the presence of Golgiplug (BD Biosciences). Cells were surface stained, then fixation and permeablization for intracellular staining was performed using a BD intracellular cytokine staining kit and anti-cytokine antibodies. Stained cells were analyzed on a BD LSRII.

In vivo cytotoxicity assay

For *in vivo* cytotoxicity assays, 1×10^{6} unlabelled OT1 were adoptively transferred at the time of surgery, along with 250µg i.p. aOX40 or control Ig. Four or six days later naïve splenocytes were divided into two groups and pulsed with 1µM SIINFEKL peptide for 1 hour *in vitro* (target), or left untreated (internal control). These populations were then washed and labeled for 15 minutes in 1µl 5mM CFSE/5×10⁷ cells (target CFSE^{hi}) or 0.1µl 5mM CFSE/5×10⁷ cells (internal control CFSE^{lo}) then washed 1× in media and 4× in PBS. The populations were counted and combined at a 1:1 ratio, then adoptively transferred i.v. to the 4 or 6 day post-operation mice, or naïve control mice. The draining lymph nodes of the surgery site were collected 4 hours later, and the proportion of CFSE^{hi}/CFSE^{lo} cells used to calculate specific cytotoxicity using the formula: 100 – ((percentage of CFSE^{hi} in treated mice/percentage of CFSE^{lo} in naive mice) × 100).

Radiation therapy of tumors

Tumors were established s.c. in the right leg and allowed to established for 5–7 days before initiation of treatment. Three 20Gy treatment fractions were given over 10 days using Varian linear accelerator 6MV photons incorporating a half beam block to minimize dose to the torso. Tumor growth was determined by measurement of leg thickness, and animals were euthanized when leg thickness exceeded 15mm. Analysis of tumor infiltrating cells was performed as previously described ²⁰. Briefly, the tumor was dissected into ~2 mm fragments followed by agitation in 1 mg/mL collagenase (Invitrogen, Carlsbad, CA), 100 μ g/mL hyaluronidase (Sigma), and 20mg/mL DNase (Sigma) in PBS for 1 to 2 hr at room temperature. The digest was filtered through 100 μ m nylon mesh to remove macroscopic

debris, and the final cell preparation was separated by layering over Ficoll. Viable cells were counted and stained for flow cytometry.

Results

We developed a surgical model for treatment of large, established MCA205 sarcoma, such that surgical excision of the tumor resulted in local recurrence in approximately 50% of animals (Figure 1a). The recurrent tumors developed within the region of the primary tumor, and grew rapidly once detectable. Those mice remaining tumor-free following surgery did not develop tumors upon rechallenge with the parental tumor on the opposite flank (Table 1), indicating that they have developed immunity to the tumor. Thus, we hypothesized that the endogenous tumor antigen-specific immune response was a deciding factor in determining whether the tumor recurred. To test this hypothesis, we depleted CD8 T cells one day before surgery, and maintained depletion with weekly injections of the depleting antibody. Strikingly, all animals depleted of CD8 cells showed local recurrence following surgical removal of the primary tumor (Figure 1a). These data suggest that despite removal of macroscopic tumor all animals retain microscopic tumor deposits that have the potential to recur and are variably controlled by tumor antigen-specific CD8 T cells. Those animals that mount a sufficiently functional CD8 T cell response clear the residual tumor and maintain long-term tumor immunity.

To test whether boosting the T cell response could improve the outcome of surgery, we surgically removed the MCA205 sarcoma when it reached 7–10mm, mice received a single dose of α OX40 or control antibody immediately following surgery, and were followed for local tumor recurrence. Again, in control treated groups, tumors recurred in approximately half of the animals. By contrast, anti-OX40 therapy completely eliminated local recurrence following surgery (Figure 1b), and these mice were protected from rechallenge with parental tumor (Table 1), demonstrating that long-term tumor-specific immune protection had been established. To determine whether OX40 therapy caused an increase in tumor antigenspecific cytotoxicity, we determined CTL activity in tumor draining lymph node cells at day 12 following tumor challenge. Our data shows that an endogenous tumor-specific response is not detectable by CTL assay. However, OX40 therapy generated a measureable cytotoxic T cell response that was tumor-specific (Figure 1c). These data demonstrate that boosting tumor antigen-specific immunity through adjuvant delivery of α OX40 reduces local recurrence.

Tumor antigen has been shown to constantly drain to the tumor draining lymph nodes ²³ implying that surgical resection would consequently remove the large majority of tumor antigen. Antigen is a required component in priming adaptive immune responses and OX40 is only expressed on T cells for a narrow temporal window following T cell activation. The majority of early studies focused on OX40 expression and function on CD4 T cells, ²⁴–²⁷: however, OX40 therapy also has powerful direct effects on the proliferation, effector function, and long-term survival of CD8 T cells ¹⁴, ¹⁵, ²⁰, ²⁸–³⁰. Therefore, we investigated the consequences of surgical removal of the tumor on antigen priming in vivo. We established MCA205 expressing the model antigen ovalbumin (MCA205ova) ²⁰ in C57BL/6 mice and these tumors were surgically removed at 10-14 days. Mice were injected with CFSE-labeled naïve OT1 T cells at the time of surgery (Figure 2a) or 3 days following surgery (Figure 2b), and draining lymph nodes and non-draining lymph nodes were harvested 4 days following transfer. The majority of antigen-specific T cell expansion occurred in the draining lymph node (p < 0.05 compared to non-draining lymph node), and significantly fewer CD8⁺Thy1.1⁺ OT1 T cells were present in the draining lymph node if adoptive transfer was delayed for 3 days following surgery (p<0.05) (Figure 2c). This difference is most likely a result of decreased proliferation of the tumor antigen-specific

OT1 T cells as significantly less dilution of the CFSE signal was observed if cells were transferred 3 days post-surgery (Figure 2d). These data suggest that there may be a narrow temporal window following the operation for successful introduction of immune adjuvant therapies that target CD8 T cells. The dynamics of this temporal window may be different for endogenous antigens since these are recognized by T cells that likely have lower affinity for antigen than OT1 cells. It has been demonstrated that the activation threshold for T cells of lower affinity is more dependent on antigen load and the presence of co-stimulatory molecules compared to T cells with higher affinity. In this case the temporal window to expand endogenous immune responses following the operation may be shorter and more dependent on co-stimulation.

We hypothesized that adjuvant aOX40 therapy may reduce local recurrence by enhancing T cell activation and differentiation into tumor antigen-specific cytotoxic T cells. To test whether treatment with the T cell adjuvant aOX40 enhanced the tumor antigen-specific CD8 T cell response, we established subcutaneous MCA205ova tumors and on surgical removal of the primary tumor, mice received adoptive transfer of CFSE-labeled naïve OT1 cells along with a OX40 or control Ig. The tumor-draining and non-draining lymph nodes were harvested over a time course following surgery, adoptive transfer and antibody treatment. aOX40 therapy increased the proportion of OT1 cells in the tumor-draining lymph node, but not the non-draining lymph node 5 days following surgery (Figure 3a). However, 7 days following surgery this difference was not apparent. Based on previous studies ²⁰ we hypothesize that these cells may have entered the peripheral tissues, perhaps including the site. Phenotypic analysis of the OT1 cells in draining lymph node of the negative control animals with surgically removed parental MCA205 tumor (no ovalbumin expression) showed no CFSE dilution in the CD8⁺Thy1.1⁺ OT1 population and a naïve CD62L^{hi} phenotype (Figure 3b). In contrast, we saw proliferation in the tumor antigenspecific T cells in the draining lymph node of surgically removed MCA205ova, and this proliferation was increased with a OX40 treatment (Figure 3b). Interestingly, we also saw evidence of increased differentiation of the OT1 cells, with decreased expression of CD62L in the CFSE^{lo} population after a OX40 administration, a phenotype that is associated with effector differentiation and improved peripheral trafficking ³¹. These data support the hypothesis that administration of aOX40 enhances a tumor-antigen specific immune response mediated by CD8-T cells to control tumor recurrence.

To determine whether α OX40 therapy enhanced tumor antigen-specific cytotoxicity, we performed cytotoxicity assays in mice following surgical removal of the tumor (Figure 3ci). MCA2050va tumors were surgically removed and mice received adoptive transfer of OT1 along with a OX40 or control Ig. Four or six days following surgery, mice were injected with CFSEhi SIINFEKL-loaded target splenocytes and CFSElo control splenocytes. Four hours following transfer of the target cell populations, the tumor-draining lymph node was harvested and analyzed for the proportions of CFSE^{hi} and CFSE^{lo} cells. We saw tumor antigen-specific cytotoxicity following surgery (Figure 3cii), and this cytotoxicity was significantly increased in animals treated with a OX40 compared to those treated with control antibody (Figure 3ciii). These data support a model where aOX40 therapy delivered at the time of surgery enhances CD8 tumor antigen-specific cytotoxicity thereby enhancing the local control of tumor recurrence. To determine whether OX40 therapy acted directly on the tumor-specific CD8 T cells, or via host CD4 T helper or T regulatory cell populations, we established tumors in $OX40^{-/-}$ mice or used adoptive transfer of $OX40^{-/-}$ OT1 cells. The in vivo expansion of OT1 T cells was lost if the OT1 T cells were not able to express OX40 (Figure 3d). These data indicate that OX40 therapy directly targets the tumor antigenspecific T cells and enhances their expansion.

To understand the mechanisms by which tumors recur in the presence of tumor antigenspecific cells, we established MCA2050va for 12 days then surgically resected the tumor. At the time of the operation, mice received adoptive transfer of naïve Thy1.1⁺ OT1 T cells i.v. and 250µg control Ig or aOX40 i.p and were followed for local tumor recurrence. The OT1 transfer with tumor expression of ovalbumin resulted in tumor recurrence in only one of 5 mice receiving control treatment (and no recurrences in mice receiving OX40 therapy). We harvested this tumor and performed flow cytometry on the tumor-infiltrating cells. We demonstrated a small population of Thy1.1⁺ OT1 T cells in the blood and non-draining lymph node, with perhaps a slight enrichment in the tumor draining lymph node (Figure 4a). Importantly, we saw a large infiltrate of Thy1.1⁺ OT1 T cells in the tumor. In vitro restimulation of draining lymph node or tumor-infiltrating cells with SIINFEKL peptide demonstrated the majority of the small number of draining lymph node OT1 cells produced IFN γ in response to peptide stimulation, while the tumor infiltrating OT1 T cells we refractive to stimulation (Figure 4b). Based on these data we conclude that tumor recurrence occurred due to tumor-induced T cell suppression despite the heavy infiltration of tumorspecific T cells. To exclude the possibility that this tumor recurred due to loss of antigen presentation or ovalbumin expression, we generated primary cell cultures of tumor cells from the recurrent tumor. The recurrent tumors showed no loss of MHC class I expression compared to the parental MCA205ova culture (Figure 4c). In addition, the recurrent tumors retained their ability to activate OT1 cells (Figure 4d). Our experiments suggest that the failure to control tumor recurrence in the absence of OX40 therapy is not due to tumor antigen loss variants or loss of antigen presenting capacity, but due to tumor-induced suppression of T cell function.

Like surgery, radiation is an effective local therapy that may benefit from the addition of adjuvant immunotherapy to target residual microscopic disease. We developed a mouse model of SBRT using the lung cancer cell line 3LL established in the leg to permit high dose focal radiation while avoiding radiosensitive organs. Tumors were irradiated 5–7 days following implantation, and analyzed for infiltrating T cells 2 or 7 days later (Figure 5a). As expected, 2 days following radiation CD8 T cells significantly decreased at the tumor site, which lies within the radiation field (Figure 5ai). However, CD8 T cells were not significantly altered in the tumor-draining lymph node that remains outside the radiation field. Seven days following radiation, CD8 T cells have returned to the irradiated tumor, and those T cells at the post-irradiation tumor site and tumor-draining lymph node significantly increased expression of CD25 compared to non-irradiated controls (Figure 5ai). These data suggest that while radiation initially locally depletes CD8 T cells, the consequence is increased proportions of activated T cells at the tumor site.

To determine the importance of activated CD8 T cells in the efficacy of radiation therapy, we depleted CD8 T cells prior to radiation therapy of 3LL tumor-bearing mice (Figure 5bi). Treatment with 3×20 Gy caused a significant increase in median survival (21 days *vs.* 47 days p<0.001) (Figure 5bii and iii). CD8 depletion significantly decreased median survival caused by radiation (33 days p<0.001), though the survival benefit of radiation in the absence of CD8 T cells remained significantly better than no treatment (p<0.005). These data demonstrate that while radiation is an effective therapy, an adaptive T cell response mediated by CD8 T cells plays an important role in the success of radiation therapy. Nevertheless, it is relevant to note that in this model, radiation did not result in durable tumor cures. In view of these data, we investigated whether boosting the T cell response could improve the effectiveness of radiation therapy as demonstrated in the surgical model. Mice bearing 5–7 day 3LL tumors were treated with 3×20Gy focal radiation, and additionally treated with a single dose of α OX40 or control antibody one day following the first radiation dose (Figure 5ci). Consistent with the previous experiment, radiation significantly enhanced median survival compared to control-treated animals (24 vs. 41 days

p<0.001) (Figure 5cii and iii). Treatment with α OX40 as a single agent also significantly enhanced median survival (31 days p<0.001), and the combination of radiation and α OX40 significantly increased survival compared to either agent alone (*vs.* α OX40 alone p<0.05, *vs.* radiation alone p<0.005). Importantly, the combination treatment resulted in a significant proportion of mice remaining tumor free, suggesting that tumor cells not killed by radiation were removed via immune mechanisms. In support of this hypothesis, mice tumor-free at 70 days were resistant to rechallenge with parental tumor on the opposite flank (data not shown). These data demonstrate that α OX40 therapy synergizes with radiation therapy to target residual disease and may represent a powerful strategy to augment existing primary therapies of cancer.

Discussion

The experiments reported here show that following resection of large, established MCA205 sarcoma we observed a high rate of local recurrence. Depletion of CD8 T cells substantially increased the local recurrence rate, indicating that the adaptive immune response played a role in the efficacy of the surgical therapy. Importantly, administration of aOX40 at surgery prevented local recurrence of the sarcoma. We characterized a temporal window following surgical removal of the tumor in which tumor antigen-specific CD8 T cells can be primed in the draining lymph node of the surgical site. Administration of agonistic antibodies to OX40 in this temporal window increased CD8 proliferation and effector differentiation in the draining lymph node of the surgical site and is dependent on direct ligation of OX40 on CD8 T cells. Moreover, we demonstrated increased tumor antigen-specific cytotoxicity in vivo with aOX40 therapy at surgery. We correlate tumor recurrence with suppression of tumor antigen-specific T cells in the tumor environment. To investigate the broad applicability of adjuvant aOX40 therapy, we developed a murine model of SBRT of lung cancer. While radiation initially depleted CD8 T cells, the returning CD8 T cell population was significantly more activated. We demonstrated that this CD8 T cell response was necessary for the full efficacy of radiation therapy, and that boosting the T cell response with adjuvant aOX40 therapy significantly enhanced tumor-free survival. These data strongly support the hypothesis that the adaptive immune system plays a role in the control of residual microscopic disease following surgical resection and radiation therapy of the primary tumor, and that adjuvant aOX40 antibodies are a powerful therapy to increase the local control rate following primary local therapies.

In the field of tumor immunotherapy, classical studies in chemically induced sarcomas were critical in identifying the role of tumor antigens, adaptive immune protection following surgery, and the emergence of immune suppression in established tumors ^{32_34}. Though the wide variation in sarcomas will likely preclude development of antigen-directed immunotherapy approaches, it may be possible to enhance the endogenous immune response in patients to reduce the rate of recurrence. This relatively high local recurrence rate in sarcoma treated with surgery alone relates to the lack of distinct tumor margin, such that microscopic disease can be detected at large distances from the primary tumor mass ⁶. For this reason, multiple clinical trials have tested neoadjuvant or adjuvant chemotherapy and/or radiation therapy in patients with soft-tissue sarcoma ³⁵. These additional cytotoxic therapies may have implications for tumor antigen specific immune responses, therefore careful consideration should be given to the combination of immunotherapy in these circumstances. Nevertheless, we demonstrate here that adjuvant a OX40 therapy shows significant synergy with both surgery and radiation, and adjuvant aOX40 therapy has also been shown to synergize with chemotherapy in a murine melanoma model ³⁶. Thus, preclinical data demonstrates that with careful timing, adjuvant a OX40 therapy may be an important addition to conventional therapies for cancer.

While surgery creates a valuable temporal window where antigen is present in the draining lymph node and the suppressive effects of the tumor have been removed, there may be further confounding issues. TGF β is a critical suppressive cytokine in the tumor environment ³⁷, and the wound healing response that develops following surgery is directed by TGF β secretion ³⁸. This cytokine has been widely demonstrated to inhibit effector CD8 T cell activation, differentiation and effector function ³⁷. Thus, the consequence of wound healing in the post-surgical region may significantly limit CD8 function. While TGF β blockade may not be feasible since it may interfere with wound healing ³⁸, strategies to diminish CD8 sensitivity to TGF β significantly increase effector functions against tumors ³⁹. In addition, residual microscopic disease may develop it's own suppressive environment, potentially limiting the efficacy of adaptive immune responses. Future experiments will address the role of the immune environment of metastatic disease and the consequences of wound healing on the efficacy of adaptive immune responses following surgery.

Similar caveats should be considered when combining radiation with immunotherapy. Although CD8 T cell responses play an important role in the outcome of radiation therapy in immune competent animal models ⁴⁰, fractionated radiation is locally immunosuppressive ⁴¹. Radiation of the lung is known to initiate a process that can result in radiation pneumonitis. While the initial phase may be caused by inflammatory cytokines ⁴², the later phases of pneumonitis leading to fibrosis and restricted lung function involve TGF β ⁴³–⁴⁵. Accentuating the immune component of therapy may have unanticipated consequences on radiation toxicity, and the dominance of TGF β in the repairing lung may limit effective long-term tumor immune surveillance. Future experiments will investigate the interaction between radiation therapy to the tumor site and the endogenous immune response to cancer.

The experiments described in this manuscript have focused on the use of agonistic antibodies to OX40 as an immune adjuvant. OX40 is an excellent target as an adjuvant for a number of reasons. Firstly, OX40 is inducibly expressed on recently antigen-activated T cells ¹⁴–¹⁷, therefore aOX40 therapy specifically targets only those T cells in the process of responding to antigen. Secondly, OX40 is constitutively expressed on T regulatory cells, and ligation of OX40 on T regulatory cells has been shown to decrease the suppressive function of these cells in vitro 46. This effect may be transitory, since in vivo suppression of T regulatory cells has been described within 6 hours of aOX40 treatment ⁴⁷, but not 48 hours following aOX40 treatment ²⁰. In these experiments we have shown a dependence on direct OX40 ligation on CD8 T cells to increase tumor specific cytotoxicity in vivo. This data is consistent with published data showing that CD8 T cells express OX40 and directly respond to OX40 ligation ¹⁴, ¹⁵, ²⁰, ²⁸–³⁰. Nevertheless, a transitory suppression of T regulatory cell function may be sufficient to increase the responsiveness of tumor-specific CD4 and CD8 T cells to antigen stimuli. Finally, the availability of OX40L appears to be limiting in vivo. OX40L is readily detectible in vivo in a number of highly inflammatory autoimmune scenarios ^{48_50}, but deficient in tumors. The inflammatory environment of the tumor lacks the strong adjuvants that can induce OX40L expression ⁵¹, providing a rationale for aOX40 therapy in tumor-bearing individuals. While ligation of OX40 can transiently suppress T regulatory cells ⁵² and reduce their accumulation in the tumor ²⁰, it can also drive proliferation of regulatory T cells if the appropriate inflammatory milieu is present ⁵³. Recent data demonstrates that OX40 therapy is also an effective adjuvant immediately following systemic chemotherapy ³⁶. We propose that there is a strong rationale for administration of OX40 therapy immediately post cytoreductive therapies to promote strong T helper and cytotoxic T cell differentiation while minimizing the suppressive differentiation pressures provided by the tumor.

The aOX40 reagent is one of a new class of immunotherapeutic adjuvant antibodies, which target receptors such as CD134 and CD137 to provide positive signals and CD152 (CTLA4)

and CD279 (PD1) to block negative signals to activated T cells. We show here for the first time that aOX40 therapy is an effective adjuvant to surgical therapy and in agreement with Yokouchi *et al* demonstrate that aOX40 therapy is an effective adjuvant to radiation therapy ⁵⁴. Radiation has also been shown to synergize with agonist antibodies specific for CD137 ⁵⁵, and with adenoviral delivery of the TNFR family member LIGHT (Homologous to lymphotoxin, exhibits inducible expression, competes with herpes virus glycoprotein D for HVEM on T cells) ⁴⁰. aCTLA-4 synergized with surgical therapy in a prostate cancer model to enhance clearance of residual microscopic disease ⁵⁶ and radiation therapy combined with aCTLA4 in a breast cancer model extended survival ⁵⁷. Importantly, in these models aCTLA4 treatment reduced the number of metastases. These data suggest that antibodies targeting T cell regulatory receptors have significant potential as adjuvant therapies in combination with existing primary therapies such as surgery and radiation and may have a particular role in the control of residual microscopic disease.

In summary, antibodies to OX40 are known to specifically target T cells that have recently been triggered by antigen 14 - 17 , may cause a transitory reduction in immune suppression 20 , 46 , and recapitulate an element of an inflammatory state that is more akin to tissue autoimmunity than the standard suppressive tumor environment 48 - 50 . The consequence is a synergy between α OX40 and primary therapy that can eliminate local tumor recurrence, with a potential to improve overall outcome. Residual microscopic disease following primary local therapy remains a problem in delivering curative treatment. We hypothesize that primary treatment of the tumor provides an excellent opportunity to exploit the immune system to target residual microscopic disease. For this reason it is critical to study the immunological consequences of primary therapies, and to harness the immune response in combination with surgery and radiation to enhance their therapeutic efficacy. Agonistic antibodies to OX40 are in a Phase I Clinical Trial at our institution, and the preclinical experiments described in this manuscript suggest translation of α OX40 as an adjuvant therapy to reduce local recurrence rates.

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Figure 1. Role of CD8 T cells in local recurrence following sarcoma surgery and influence of aOX40 therapy on local recurrence

a) MCA205 tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed when they reached 7–10 mm in diameter. One day prior to the operation, mice began receiving weekly injections of 200µg of control (\bigcirc) or CD8-depleting (\bigcirc) antibody and followed for local tumor recurrence. b) MCA205 tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed when they reached 7–10 mm in diameter. At the time of the operation mice received a single injection of 250µg of control (\bigcirc) or α OX40 (\bigcirc) antibody and followed for local tumor recurrence. c) C57BL/6 mice bearing MCA205 tumors were treated with 250µg aOX40 or control Ig on day 3 and day 7 following tumor challenge. Draining lymph node cells were harvested on day 12 and activated in vitro. These cells were used in a ^[51]Cr release cytotoxicity against 3LL (squares). The graph shows the mean cytotoxicity at a range of effector: target ratios calculated from 3 individual mice per group treated with control Ig (empty shapes) or α OX40 (filled shapes).

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Figure 2. Loss of tumor antigen-specific priming following sarcoma surgery

MCA2050va tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed when they reached 7–10mm in diameter. a) At the time of the operation or b) three days following the operation, mice received adoptive transfer of 1×10^6 naïve CFSE labeled OT1 T cells i.v.. Four days following adoptive transfer, the tumor-draining lymph node (DLN) and a non-draining lymph node (NDLN) were harvested and FACS analyzed for the proportion of OT1 T cells. b) Representative FACS plots identifying CD8⁺Thy1.1⁺ OT1 T cells or CFSE dilution and CD25 expression in gated CD8⁺Thy1.1⁺ OT1 T cells. c) Graphs showing percentage of CD8 T cells that are Thy1.1⁺ OT1 cells in DLN or NDLN 4 days following adoptive transfer. d) Graphs showing Mean Fluorescence Intensity (MFI) of CFSE Thy1.1⁺ OT1 cells in DLN or NDLN 4 days following adoptive transfer. Each symbol represents one animal. Key: NS - not significant; * - p<0.05; ** - p<0.01; *** - p<0.001.



Figure 3. Influence of aOX40 therapy on tumor antigen-specific T cell proliferation and tumor antigen-specific cytotoxicity following sarcoma surgery

a) MCA2050va tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed when they reached 7–10mm in diameter. At the time of the operation mice received adoptive transfer of 1×10^6 naïve CFSE-labeled Thy1.1⁺ OT1 T cells i.v. and 250µg control Ig or aOX40 i.p., and the tumor draining lymph node (DLN) and a non-draining lymph node (NDLN) were harvested at various times following surgery. b) Graphs show the time course of the percentage of CD8⁺Thy1.1⁺ OT1 cells in the draining and non-draining lymph nodes following surgery. c) Representative dot plots 5 days following surgery, gating on CD8⁺Thy1.1⁺ cells and showing CFSE dilution versus CD62L expression in the lymph node draining the surgical site of parental MCA205, MCA2050va treated with control Ig, or MCA2050va treated with aOX40. d)i) MCA2050va tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed when they reached 7–10mm in diameter. At the time of the operation, mice received adoptive transfer of 1×10^6 naïve OT1 T cells i.v. and 250µg control Ig or aOX40 i.p.. Four or six days later mice received adoptive transfer of 5×10^6 CFSE^{hi} SIINFEKL-pulsed splenocytes and CFSE^{lo} control splenocytes in a 1:1 ratio. Naïve control mice also received adoptive transfer of target cells

to determine background levels of cytotoxicity. Four hours later, draining lymph nodes were harvested and FACS analyzed for the proportion of CFSE^{hi} to CFSE^{lo} cells. ii) Representative histograms showing the proportions of CFSE^{lo} and CFSE^{hi} targets in draining lymph nodes day 4 following surgery, and day 6 following surgery. iii) Graph showing *in vivo* antigen-specific cytotoxicity calculated as described in the materials and methods. d) MCA205ova tumors were established s.c. in the flank of wild-type or OX40^{-/-} C57BL/6 mice and were surgically removed when they reached 7–10mm in diameter. At the time of the operation mice received adoptive transfer of 1×10⁶ naïve wild-type or OX40^{-/-} Thy1.1⁺ OT1 T cells i.v.. Four days following adoptive transfer, the DLN was harvested and FACS analyzed for the proportion of OT1 T cells. Graphs showing percentage of CD8 T cells that are Thy1.1⁺ OT1 cells in DLN 4 days following adoptive transfer. Key: * - p<0.05



Figure 4. Tumor recurrence involves loss of tumor-specific T cell function in the tumor MCA205H12ova tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed at 12 days. At surgery, mice received adoptive transfer of 1×10^6 naïve CFSE-labeled Thy1.1+ OT1 T cells i.v. and 250µg control Ig or aOX40 i.p and followed for local tumor recurrence. In 1 (of 5) control mice the tumor recurred locally. The tumor, blood, draining lymph node (DLN) and non-draining lymph node (NDLN) were harvested and analyzed for the percentage of CD8⁺Thy1.1⁺ OT1 cells in each site. b) Tumor and DLN cells were stimulated with 1µg/ml SIINFEKL for 6 hours in the presence of secretion inhibitors, and analyzed for cytokine production by intracellular cytokine staining. c) Primary cell cultures of the recurrent tumor were established and tested for expression of

H2Kb by flow cytometry. d) Naïve OT1 T cells were co-cultured for 48 hours with MCA205, MCA205 pulsed with SIINFEKL peptide, parental MCA205ova or the primary culture of recurrent MCA205ova. Antigen-specific recognition of presented ovalbumin by OT1 was determined by expression of the activation markers CD69 and CD25.



Figure 5. Role of CD8 T cells in local recurrence following radiation and influence of aOX40 therapy on local recurrence

a) 3LL tumors were established s.c. in the leg of C57BL/6 mice and treated at 5–7 days with 20Gy focal radiation. Tumors and the tumor draining lymph node were harvested i) 2 days or ii) 7 days following treatment. Graphs show the proportion of lymph node or tumor-infiltrating cells that are CD8⁺, or the proportion of CD8⁺ cells that express CD25. b) 3LL tumors were established for 5–7 days and treated with 3×20Gy focal radiation over 10 days. One day prior to the first dose of radiation mice began weekly treatment with CD8-depleting or control antibody. Graph shows ii) mean leg thickness or iii) survival of tumor-bearing mice. c) 3LL tumors were established for 5–7 days and treated with 3x20Gy focal radiation over 10 days. One day after the first dose of radiation mice received a single dose of α OX40 or control antibody. Graph shows ii) mean leg thickness or iii) survival of tumor-bearing mice. Key: NS - not significant; * - p<0.05; ** - p<0.01; *** - p<0.001.

Table 1

Protection against rechallenge in long-term survivors following surgery

MCA205 tumors were established s.c. in the flank of C57BL/6 mice and were surgically removed when they reached 7–10 mm in diameter. At the operation mice received a single injection of

 $250\mu g$ of control or α OX40 antibody and followed for local tumor recurrence. Mice remaining tumor-free at 60 days were following surgery were rechallenged with MCA205 on the opposite flank and monitored for recurrence.

Treatment	Local recurrence	Tumors on rechallenge
Control Ig	7/12	0/6
aOX40	0/9	0/9