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The interaction of disrupted Type II Neuregulin 1 and chronic adolescent stress on adult anxiety and fear related behaviors

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Abstract

The incidence of anxiety, mood, substance abuse disorders and schizophrenia increases during adolescence. Epidemiological evidence confirms that exposure to stress during sensitive periods of development can create vulnerabilities that put genetically predisposed individuals at increased risk for psychiatric disorders. Neuregulin 1 (NRG1) is a frequently identified schizophrenia susceptibility gene that has also been associated with the psychotic features of bipolar disorder. Previously, we established that Type II NRG1 is expressed in the hypothalamic-pituitary-adrenal (HPA) axis neurocircuitry. We also found, using a line of Nrg1 hypomorphic rats ($Nrg1^{Tn}$), that genetic disruption of Type II NRG1 results in altered HPA axis function and environmental reactivity. The present studies used the Nrg1^{Tn} rats to test whether Type II NRG1 gene disruption and chronic stress exposure during adolescence interact to alter adult anxiety- and fear-related behaviors. Male and female Nrg1^{Tn} and wild type rats were exposed to chronic variable stress (CVS) during mid-adolescence and then tested for anxiety-like behavior, cued fear conditioning and basal corticosterone secretion in adulthood. The disruption of Type II NRG1 alone significantly impacts rat anxiety-related behavior by reversing normal sex-related differences and impairs the ability to acquire cued fear conditioning. Sex-specific interactions between genotype and adolescent stress also were identified such that CVS-treated wild type females exhibited a slight reduction in anxiety-like behavior and basal corticosterone, while CVS-treated Nrg1^{Tn} females exhibited a significant increase in cued fear extinction. These studies confirm the importance of Type II NRG1 in anxiety and fear behaviors and point to adolescence as a time when stressful experiences can shape adult behavior and HPA axis function.

The hypothalamic-pituitary-adrenal (HPA) axis facilitates adaptation to environmental challenges and the adrenal glucocorticoid (GC) hormones (corticosterone in the rat, cortisol in humans) are the principal mediators of this adaptive response. When stress is repeatedly encountered, the chronic elevation of GCs can result in dysregulation of the HPA axis and altered limbic structure and function (McLaughlin et al., 2009). The brain regions most vulnerable to chronic stress are the hippocampus, amygdala and prefrontal cortex (McEwen,

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2007). Interestingly, in both humans and rodents, these regions also show significant maturation during adolescence (Giedd, 2004, 2008; Huttenlocher, 1979; Koshibu et al., 2004; Markham et al., 2007; Romeo and Sisk, 2001; Spear, 2000; Zehr et al., 2006)

Adolescence is a critically important period for the development of normal adult behaviors and disturbance of adolescent development contributes to the etiology of several psychiatric disorders (Andersen and Teicher, 2008; Ernst et al., 2009; Paus et al., 2008; Walker et al., 2008; Walker, 2002). Many aspects of normal brain function mature during adolescence including neurotransmitter activity and receptor expression, synapse development and pruning, and myelination (Andersen et al., 2000; Lee et al., 2003; Markham et al., 2007; Teicher et al., 1995). The neural pathways involved in coordinating stress responses also continue development during adolescence (Andersen, 2003; Casey et al., 2008; Spear, 2000) and there may also be modified HPA axis responses to acute and chronic stress during this time (Romeo and Sisk, 2001; Romeo, et al., 2006).

Chronic stress exposure during adolescence can produce long-lasting behavioral and neuroendocrine effects, depending on the timing of exposure, the sex of the animal and the type of stressors used (McCormick et al., 2010; Romeo, 2010). Genetic vulnerabilities may be an additional factor that interacts with adolescent stress exposure to alter adult outcomes relevant to psychiatric disorders. Disruptions of particular genes may create a vulnerability to adolescent stress and ultimately alter the developmental trajectory of the adolescent brain. Since the risk for many psychiatric disorders (i.e. anxiety and mood disorders, schizophrenia and substance abuse) increases during adolescence (Andersen, 2003; Costello et al., 2003; Dahl, 2004; Hankin et al., 1998; Patton and Viner, 2007; Paus et al., 2008; Spear, 2000; Walker, 2002), it is critical to assess interactions between genetic susceptibility and stress exposure during this period of maturation and potential vulnerability.

The specific susceptibility genes that mediate stress interactions within psychiatric populations have not been clearly identified. One candidate may be the *Neuregulin 1* (*NRG1*) gene, which has been repeatedly associated with schizophrenia and bipolar disorder (Harrison and Law, 2006; Prata et al., 2009; Stefansson et al., 2002; Stefansson et al., 2003; Greenwood et al., 2011; Greenwood et al., 2012). In humans and rats, the *NRG1* gene is highly complex and can be alternatively spliced into six types (I-VI) of proteins, based on N-terminal structural and functional differences (Falls, 2003a; Esper et al., 2006; Liu et al., 2011). NRG1 signaling via its preferential tyrosine kinase receptor, ErbB4, plays many roles in neural development, including radial neuron migration, axon guidance, myelination, oligodendrocyte development and synapse formation (Falls, 2003a; Mei and Xiong, 2008). In addition, NRG1 is important for adult neural function, including the regulation of the serotonergic system, NMDA, GABA_A and α 7 nicotinic receptors, modulation of long-term potentiation, transcriptional regulation and hormonal control of puberty (Falls, 2003a; Prevot et al., 2003; Harrison and Law, 2006; Dean et al., 2008).

While gene-environment interactions account for much of the pathology associated with psychiatric disorders (Aguilera et al., 2009; Bayer et al., 1999; Caspi et al., 2003; Howes et al., 2004; Jaaro-Peled et al., 2009; Keri et al., 2009; Mittal et al., 2008; van Os et al., 2008) these interactions have not been extensively investigated during adolescence. However, there are reported gene-environment interactions between *NRG1* and stress in adult humans. In patients with schizophrenia, a single nucleotide polymorphism in the 5' region of *NRG1* interacts with psychosocial stress to affect reactivity to expressed emotion (Keri et al., 2009). *NRG1* genotype also interacts with job strain to increase risk of heart disease (Hintsanen et al., 2007). Studies in genetically modified animals also demonstrate gene by environment interactions. For example, in heterozygous *Nrg1* transmembrane (TM) domain knock out (KO) mice, environmental enrichment during adulthood increases exploratory

behavior, while adolescent stress exposure appears to have the opposite effect on adult exploratory behavior (Karl et al., 2007; Desbonnet et al., 2012). These studies suggest that disruption of *Nrg1* may interact with chronic adolescent stress on other behaviors relevant to psychiatric disorders.

Previously, we confirmed that a specific isoform of NRG1, Type II, is expressed in the neurocircuitry involved in regulating the HPA axis response to stressors, including the hypothalamic paraventricular nucleus, which integrates and controls the neuroendocrine response to stress (Taylor et al., 2011a). Type II NRG1 is an important isoform to investigate as it is encoded by exons in the 5' region of the Nrg1 gene that contains many of the risk haplotype associations with schizophrenia and bipolar disorder (Harrison and Law, 2006; Prata et al., 2009; Stefansson et al., 2003; Stefansson et al., 2002; Greenwood et al., 2011; Greenwood et al., 2012). Using a rat model of disrupted Type II NRG1, i.e. the hypomorphic $Nrg1^{Tn}$ rats, we found that male $Nrg1^{Tn}$ rats have significantly higher basal corticosterone (CORT) levels, while female $Nrg1^{Tn}$ rats show enhanced suppression of CORT secretion after recovery from acute restraint stress (Taylor et al., 2011a, 2011b). In addition, sex-specific changes in glucocorticoid (GR) and mineralocorticoid (MR) receptor expression were found, leading to a disrupted MR/GR balance in the hippocampus of males and amygdala of females (Taylor et al., 2011a, 2011b). These findings implicate Type II NRG1 in stress regulation. Behaviorally, we found that male $Nrg1^{Tn}$ rats may be more sensitive to changes in their environment, while female rats are less impacted by mildly stressful aspects in their environment (Taylor et al., 2011a, 2011b).

Based on our previous findings that Type II NRG1 plays a sex-specific role in stress regulation and behavioral responses to the environment in adult rats, we sought to test the hypothesis that chronic stress during adolescence interacts with disruption of Type II NRG1 to produce sex-specific behavioral changes in adult anxiety-like behavior, fear conditioning and basal HPA axis activity. In non-stressed animals, we predicted that male *Nrg1^{Tn}* rats would show increased anxiety-like behavior, enhanced acquisition and impaired extinction of conditioned fear and increases basal CORT, while female *Nrg1^{Tn}* rats would exhibit decreased anxiety, reduced acquisition and enhanced extinction of fear conditioning and wild type-like basal CORT. The interaction between disrupted Type II NRG1 and adolescent chronic variable stress was predicted to potentiate these genotype specific alterations in the behavioral measures.

2. Experimental Procedures

2.1 Animals

Male and random cycling female Fischer 344 wild-type (WT) and homozygous *Nrg1^{Tn}* rats were used in the present studies. This model was developed and obtained from the PhysGen Program in Genomic Applications (http://pga.mcw.edu/) at the Medical College of Wisconsin and has been previously described (Lu et al., 2007; Taylor et al., 2011a, 2011b). The *Nrg1^{Tn}* rats have reduced brain expression of both Type II NRG1 mRNA and protein (Taylor et al., 2011a). All animals were housed in same-sex cages of 2–3 rats in a temperature- and light-controlled (lights on 06.00 h to 20.00 h) facility. Water and chow (Harlan Teklad, Frederick, MD) were available *ad libitum*. All procedures conform to the guidelines for animal research established by the National Institutes of Health, and were approved by the University of Maryland School of Medicine Institutional Animal Care and Use Committee.

2.2 Adolescent Chronic Variable Stress

Adolescent rats were divided into 8 groups: male WT control (CON), female WT CON, male WT chronic variable stress (CVS), female WT CVS, male *Nrg1*^{Tn} CON, female

Nrg1^{Tn} CON, male *Nrg1*^{Tn} CVS and female *Nrg1*^{Tn} CVS (n=6–7/group). Animals were exposed to CVS during mid-adolescence (McCormick and Mathews, 2007; Tirelli et al., 2003). Beginning at 37 days of age and continuing through 44 days of age, male and female WT and *Nrg1*^{Tn} rats in the CVS groups were exposed to a variable stress paradigm (Koenig et al., 2005; Lee et al., 2007; Markham et al., 2010) which precludes HPA axis habituation (Bhatnagar and Dallman, 1998; Girotti et al., 2006; Kinnunen et al., 2003; Solomon et al., 2009). Briefly, the stressors used in this paradigm were: (1) restraint in a well-ventilated cylindrical plexiglas restrainer (Harvard Bioscience, Boston, MA, USA) for 1 h, (2) exposure to a cold environment (4 C) for 6 h, (3) food deprivation for 48 h, (4) housing animals in a cage with water in the bottom for 90 min, (5) 15 min of swim stress, and (6) overcrowded housing conditions overnight. Two to three stressors were administered per day in a randomized order (Table 1; Kinnunen et al., 2003; Koenig et al., 2005; Lee et al., 2007; Markham et al., 2010). Animals in both the CON and CVS groups were weighed at 37 and 44 days of age. Following CVS treatment, animals were left undisturbed in their cages until behavioral testing in adulthood (see experimental timeline - Fig. 1).

2.3 Behavioral and Neuroendocrine Measurements

2.3.1 Elevated Plus maze—All groups were tested for anxiety-like behavior in an elevated plus maze (EPM) between 83 and 88 days of age (Fig. 1). Animals were not handled prior to EPM testing. On the morning of testing, all animals were moved to the behavioral testing room and allowed to acclimate for 30 min. Animals of each treatment group and sex were balanced over the testing period to account for time of testing. EPM testing was performed according to standard protocols (Lapiz-Bluhm, et al., 2008; Walf and Frye, 2007). The maze (Stoelting, Inc., Wood Dale, IL) consisted of four arms, each of which was 50 cm \times 10 cm. Two opposing arms had 40 cm high walls and the other two were open (without walls). The maze was elevated 50 cm above the floor. To begin the 5 min testing session, each rat was placed onto the center of the maze facing the same open arm. ANY-maze tracking software (Stoelting, Inc) was used to record and analyze the total amount of time spent in the open and closed arms. Time spent in arms was recorded when 75% of the animal's body was in an arm. An open arm to total arms time ratio (OTR; time in open arms/(time in open + closed arms)) was calculated to account for any differences in locomotor activity and was used for analysis of anxiety-like behavior (Lapiz-Bluhm et al., 2008).

2.3.2 Cued Fear Conditioning-Ten days after EPM testing (Fig. 1), cued fear conditioning was conducted according to our published protocol (Markham et al., 2010). An automated fear conditioning system (Coulbourn Instruments, Whitehall, PA, USA) running under the guidance of FreezeFrame software (Coulbourn Instruments) was used to evaluate fear conditioning behavior. Behavioral testing took place in 25.4 cm \times 25.4 cm \times 19.05 cm chambers contained in sound-attenuated cubicles equipped with a speaker for delivering tones, a ventilation fan for background noise (continuously present throughout the experiment at 60 dB), a house light (continuously present throughout the experiment), and a removable stainless steel grid floor for equally distributed delivery of a mild footshock, controlled via a shocker-scrambler unit automated by the FreezeFrame software (Coulbourn Instruments). The percent time spent freezing was monitored by a videotracking system driven by the FreezeFrame software. Freezing was defined as complete movement cessation, with the exception of respiration. The software automatically determined freezing; however, as indicated by the manufacturer, the software may erroneously score immobility if movement is in the vertical direction. Because animals occasionally jump in response to the footshock, an experimenter (who was blind to the animal's condition) viewed each video and, if the software had erroneously scored freezing, the operator manually adjusted the threshold to exclude this event. Footshock grids were calibrated before each conditioning

session using a digital meter to ensure that the current administered was consistent across animals. Animals received no habituation to the testing chamber prior to behavioral testing; they were moved to the testing room 30 min prior to testing, and were returned to the vivarium at the conclusion of testing each day.

On the morning of the first day, animals underwent fear conditioning, during which time an auditory stimulus was paired with a mild footshock. Following a 60 s acclimation period upon introduction to the chamber, the animal was presented with a tone (90 dB, lasting 10 s) that co-terminated with a footshock (1.0 mA, lasting 1 s). Freezing behavior was measured during the tone presentation. In total, four tone-shock pairings were presented, with each pairing separated by an inter-trial interval that lasted between 60 and 90 s. After the final pairing, the animal remained in the chamber for 60 s and was then returned to its home cage. The entire trial including acclimation lasted 6.5 min. The chamber walls and floor grid were cleaned with 70% ethanol and the drip pan beneath the floor grid was washed with detergent and cleaned with 70% ethanol between each animal.

2.3.3 Cued Fear Extinction—Beginning 24 h after fear conditioning, each animal was returned to the chamber to evaluate fear-related memory and extinction. Multiple contextual features of the chamber were altered (relative to conditioning trials), namely: (1) an opaque plexiglas insert was laid over the grid floor, (2) the chamber walls and floor were cleaned with orange-scented cleaner (instead of ethanol) between animals, (3) the chamber cue light was left on for the entire trial, providing more ambient light in the chamber, (4) the door to the sound-proof chamber was left cracked open, (5) animals remained in an adjoining room (instead of the actual testing room) during periods of group testing and were transported between home cage and testing chamber using an empty holding cage, and (6) the testing chamber was switched on a per-animal basis between conditioning trials and cue extinction trials. Therefore, multiple tactile, olfactory, visual, and other contextual cues were altered between conditioning trials and cue extinction trials. During each 8-min cue extinction trial, no footshocks were delivered and freezing behavior in response to presentation of the tone alone was measured; these trials are an opportunity for the animal to learn that the tone no longer predicts a shock. In total, the tone (90 dB, lasting 10 s) was presented five times per cue extinction trial, separated by an inter-trial interval that lasted between 60 and 90 s. After the final tone presentation, the animal remained in the chamber for 60 s and was then returned to its home cage. There were two sets of extinction trials, occurring 24 h and 96 h (4 days) after the initial conditioning training session.

2.3.4 Footshock Sensitivity—Sensitivity to footshock was assessed in a separate group of adult rats (WT male, n=4; WT female, n=5; $Nrg1^{Tn}$ male, n=5; $Nrg1^{Tn}$ female, n=5). Rats were placed in the fear conditioning chamber, with the house light and fan and presented with uncued footshocks (0.5s) of increasing amplitudes beginning with 0.06 mA. Amperage was manually increased by 0.06 mA until 0.6 mA, after which it was increased by 0.1 mA, and the intervals between shocks ranged from 15 to 25 s. Amperage was increased until a vocalization response was consistently determined. Rat's received between 12 and 15 shocks. The experimenter recording vocalization responses was blind to the rats' genotype.

2.3.5 Corticosterone Determination—Four days after cued fear conditioning (Fig. 1), the animals were euthanized between 1000 and 1200 h by rapid decapitation and trunk blood was collected into tubes containing 10% EDTA. Plasma was isolated by centrifugation and was stored at -80°C until use. Plasma CORT concentrations were determined by radioimmunoassay according to protocols provided by the manufacturer (MP Biomedicals, Orangeburg, NY). Samples were run in duplicate. Average intra-assay variation was 12%. Samples that fell below the limits of the standard curve were excluded (i.e. less than 5 ng/ml), resulting in the removal of two female WT CON samples.

2.4 Statistical Methods

Statistical analyses were conducted using SPSS statistical software (version 12.0). Analysis of variance (ANOVA) was used to analyze percent weight gained, behavioral measurements and CORT concentrations. Post hoc tests and planned comparisons, conducted using l-matrix contrast statements in SPSS, were used to follow up any significant main effects or interactions. Statistical outliers were identified with the Grubbs test, resulting in the removal of one WT CON male and one WT CVS female from the EPM analysis.

3. Results

3.1 Percent Weight Gained

No weight differences were detected in 37-day old rats assigned to the CON and CVS groups (mean starting weights: males: 110.8 ± 1.5 g; females: 97.4 ± 1.4 g). A 2×2×2 ANOVA (Genotype (WT vs. *Nrg1^{Tn}*) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS)) was conducted on percent weight gained during stress exposure (37–44 days). This analysis confirmed an effect of adolescent CVS exposure (F_{1,46}=390.43, p<0.001; Fig. 2) such that CON animals gained more weight than CVS animals. In addition an effect of genotype was found (F_{1,46}=16.26, p<0.001; Fig. 2) such that *Nrg1^{Tn}* rats gained more weight than WT rats. Finally, an effect of sex was also found (F_{1,46}=77.51, p<0.001; Fig. 2) indicating that males gained more weight than females

3.2 Elevated Plus Maze

A 2×2×2 ANOVA (Genotype (WT vs. $Nrg1^{Tn}$) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS)) was conducted on the OTR for time. This analysis revealed a significant three-way interaction between genotype, adolescent stress exposure and sex (F_{1.44}=6.66, p<0.02). Post hoc analysis was used to follow up this three-way interaction (panels A & B of Fig. 3 are the same data presented differently to show group differences more clearly). Genotype differences in same-sex and same-adolescent stress groups were examined first. In CON groups not exposed to adolescent CVS, female Nrg1^{Tn} rats spent significantly more time in the open arms than female WT rats (p<0.01; Fig. 3A), indicating that female Nrg1^{Tn} rats showed less anxiety-like behavior than WT female rats. In contrast, CON male Nrg1^{Tn} rats not exposed to CVS spent slightly less time in the open arms than CON WT rats but the difference was not statistically different (p<0.09; Fig. 3A). Genotype differences found in CON animals were eliminated by adolescent CVS exposure. To examine adolescent stress effects, same-sex and same-genotype groups were analyzed. In females, CVS WT rats spent slightly more time in the open arms than CON WT rats (p<0.08; Fig. 3A), indicating that adolescent CVS exposure resulted in slightly reduced anxiety-like behavior in adult WT females. In males, the opposite pattern appeared, though the effect was not significant, with CVS WT males spending less time in the open arms than CON WT males, indicating that adolescent CVS may have increased anxiety-like behavior in adult WT males. Female *Nrg1^{Tn}* rats were resistant to adolescent CVS.

Finally, sex differences in same-adolescent stress, same-genotype groups were examined. In both WT and $Nrg1^{Tn}$ CON rats, a sex difference was detected. In CON WT animals, males spent more time in the open arms than females (p<0.04; Fig. 3B), whereas in CON $Nrg1^{Tn}$ animals, males spent less time in the open arms than females (p<0.05; Fig. 3B). Adolescent CVS exposure eliminated adult sex differences in both genotypes. No interaction between genotype, sex and adolescent stress was identified in the OTR for entries, time mobile or distance traveled (data not shown).

3.3 Cued Fear Conditioning

To compare overall average freezing to tones across days, a $2 \times 2 \times 2 \times 3$ repeated measures ANOVA (Genotype (WT vs. $Nrg1^{Tn}$) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS) × Day (1–3)) was used with day included as the repeated measure. A main effect of day was found (F_{2,92} = 41.5, p<0.001) such that average freezing increased from conditioning to the 24 h extinction trials and then decreased again at the 96 h extinction trials (Fig. 4A–C). In addition, between-subjects effects of genotype (F_{1,46} = 5.4, p<0.03) and sex (F_{1,46} = 4.9, p<0.04) were also identified, with $Nrg1^{Tn}$ animals exhibiting less overall freezing to tone than WT animals (Fig. 4A–C), and female animals exhibiting less exposure was identified.

3.3.1 Conditioning—A 2×2×2×4 repeated measures ANOVA (Genotype (WT vs. $NrgI^{Tn}$) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS) × Tone (1–4)) was used to examine freezing to tone on conditioning trials, with tone included as the repeated measure. This analysis revealed a main effect of tone (F_{3,138} = 56.65, p<0.001) such that freezing increased with each subsequent tone presentation after the first tone shock pair (Fig. 4A). Note that freezing to the first tone was not expected, as the shock is presented after the tone and therefore the animal would not associate the first tone with an aversive stimulus. Tone did not interact with any other factors. In addition, a between-subjects effect of genotype was detected (F_{1,46} = 4.12, p<0.05) such that WT animals froze more to tone presentation than $NrgI^{Tn}$ animals (Fig. 4A).

3.3.2 Twenty-four Hour Memory—A 2×2×2×5 repeated measures ANOVA (Genotype (WT vs. $Nrg1^{Tn}$) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS) × Tone (1–5)) was used to examine freezing to tone on 24 h memory trials, with tone included as the repeated measure. This analysis also revealed an effect of tone (F_{4,184} = 10.85, p<0.001) such that freezing initially increased and then decreased as the tone no longer cued for a subsequent shock, demonstrating within session extinction (Fig. 4B). No effect of any other factor was identified at this time point.

3.3.3 Ninety-six Hour Extinction—A 2×2×2×5 repeated measures ANOVA (Genotype (WT vs. $Nrg1^{Tn}$) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS) × Tone (1– 5)) was used to examine freezing to tone on 96 h extinction trials, with tone included as the repeated measure. This analysis revealed a less robust effect of tone ($F_{4,184} = 2.44$, p<0.05) such that freezing tended to decrease over the session (Fig. 4C). Interestingly, at this time point, between-subjects effects of genotype ($F_{1.46} = 6.8$, p<0.02) and sex ($F_{1.46} = 6.4$, p<0.02) were identified. These effects mirrored the same effects in the overall analysis, with Nrg1^{Tn} animals exhibiting less overall freezing to tones than WT animals (Fig. 4C)., and female animals exhibiting less overall freezing to tone than male animals (not shown). Furthermore, a trend for genotype and sex to interact with treatment was also identified $(F_{1.46} = 2.96, p 0.093; Fig. 5)$. Planned comparisons revealed that exposure to CVS during adolescence resulted in a genotype difference among adult females such that Nrg1^{Tn} CVS females exhibited less freezing than both Nrg1^{Tn} CON females (p<0.01; Fig. 5) and WT CVS females (p<0.03; Fig. 5). In addition, while no sex difference was identified in WT CVS males and females, Nrg1^{Tn} CVS males and females were significantly different, with *Nrg1^{Tn}* CVS females exhibiting less freezing than *Nrg1^{Tn}* CVS males (p<0.01; Fig. 5).

3.3.4 Footshock Sensitivity—Footshock sensitivity was determined with a 2×2 ANOVA (Genotype (WT vs. $Nrg1^{Tn}$) × Sex (male vs. female). This analysis revealed no significant effect of genotype ($F_{1,15} = 0.79$, p<0.4), indicating that WT and $Nrg1^{Tn}$ rats have similar pain thresholds for footshock (WT: 0.55 ± 0.05 mA vs. $Nrg1^{Tn}$: 0.51 ± 0.03 mA). A

trend for an effect of sex was also found ($F_{1,15} = 4.06$, p<0.062), suggesting that males tended to have a higher threshold than females (data not shown).

3.4 Corticosterone

Differences in adult basal CORT secretion were determined with a $2\times2\times2$ ANOVA (Genotype (WT vs. *Nrg1^{Tn}*) × Sex (male vs. female) × Adolescent Stress (CON vs. CVS)) with time of sacrifice (early, mid, late morning) included as a blocking variable. A significant main effect of sex was found ($F_{1,43}$ = 9.96, p<0.01; Fig. 6) with females exhibiting higher CORT levels than males. Due to the tendency for CVS WT females to show decreased anxiety-like behavior, planned comparisons were used to examine adolescent stress effects in WT female rats. This analysis revealed a trend for CVS WT females to have lower adult basal CORT compared to CON WT females (p<0.06; Fig. 6).

4. Discussion

In previous work (Taylor et al., 2011a, 2011b) we began to characterize the behavioral and neuroendocrine phenotype of rats with decreased expression of Type II NRG1. In the present report we further describe the role of Type II NRG1 in rat behavior and identify novel environment-induced behavioral changes in these unique animals. The disruption of Type II NRG1 alone significantly impacts rat anxiety-related behavior and reverses normal sex-related differences. In addition, regardless of sex or adolescent CVS exposure, Nrg1¹ⁿ rats demonstrated a reduced ability to acquire cued fear conditioning and to respond to the presentation of the tone cue during extinction trials when compared to WT animals without inducing major changes in HPA axis activity. Moreover, adolescent CVS exposure interacts with Nrg1 genotype in a sex-specific way. We predicted that genotype-induced alterations would be potentiated by exposure to adolescent CVS. Surprisingly, we found that adolescent CVS exposure eliminated sex-specific anxiety-related behaviors regardless of genotype, while this developmental insult enhanced cued-fear extinction only in $Nrg1^{Tn}$ female rats, representing a true gene by environment interaction. This is consistent with the reduction in sensitivity to environmental changes and novelty we previously identified (Taylor et al., 2011b). Nrg1^{Tn} males were not significantly affected by adolescent CVS exposure on any measure. Interestingly, only WT females showed a subtle effect of adolescent CVS on anxiety-like behavior, such that open arm time was increased. The present study is also among the first to examine the behavioral effects of chronic adolescent stress in Fischer 344 rats.

4.1 Adult Anxiety

Exposure to CVS during mid-adolescence resulted in a significant attenuation of percent weight gained in all groups. This is consistent with the well-documented effects of exposure to CVS to reduce body weight in both adolescent and adult rodents (Isgor et al., 2004; Jankord et al., 2010; Solomon et al., 2009). In adulthood, all experimental groups underwent behavioral testing on the EPM. In control groups, disruption of *Nrg1* resulted in a trend toward increased anxiety-like behavior in males and significantly decreased anxiety-like behavior in females compared to WT animals. These genotype differences resulted in opposite sex differences, with CON WT females exhibiting greater anxiety-like behavior than CON WT males, which is consistent with the findings of others in different assays of anxiety-like behavior in Fischer 344 rats (Skripuletz et al., 2010). On the other hand, in CON *Nrg1^{Tn}* animals, males demonstrated significantly greater anxiety-like behavior than females. The sex-specific effect of genotype in *Nrg1^{Tn}* rats is interesting in that it reverses the sex-differences in anxiety-like behavior found in the WT rats, potentially inducing female rats to be more resilient to what would normally be an anxiety-provoking environment. These findings in the EPM confirm and extend our earlier findings in *Nrg1^{Tn}*

rats using simple habituation behavior as an indication of anxiety (Taylor et al., 2011a, 2011b).

Studies in mouse models of disrupted *Nrg1* have also examined anxiety-like behavior with conflicting results. One study with heterozygous *Nrg1* TM domain KO mice found males to have increased anxiety-like behavior on open arm time in the EPM (Boucher et al., 2007) while others reported no effect of deleting the TM domain on the EPM in either male or female mice (O'Tuathaigh et al., 2008). In heterozygous *Nrg1* EGF domain KO mice, no difference was detected between WT and KO mice on the EPM (Duffy et al., 2008), while female mice over-expressing Type I NRG1 tended to show a mild increase in anxiety-like behavior on the EPM (Deakin et al., 2009). Thus anxiety-related phenotypes appear to be both varied and specific to the type and location of genetic disruption in the *Nrg1* gene, as well as the sex of the animal.

In WT female rats, adolescent CVS resulted in a slight reduction in anxiety-like behavior, while no effect was found in the WT males. Others have found that chronic stress during mid-adolescence leads to either increased (McCormick, et al., 2008) or decreased anxietylike behavior in adulthood (Wilken et al., 2012). These discrepant findings may be due to differences in chronic stress paradigms as social stress lead to increased anxiety-like behavior, while intermittent physical stressors lead to decreased anxiety-like behavior on the EPM (McCormick et al., 2008; Wilken et al., 2012). Anxiety-like behavior in female Nrg1^{Tn} rats was completely unchanged by adolescent CVS. Consistent with our previous findings, disruption of Nrg1 in female rats may make them less susceptible to the apparent reprogramming of anxiety-like behavior by chronic stress during adolescence due to decreased environmental reactivity (Taylor et al., 2011b). On the other hand, male Nrg1^{Tn} rats exhibited a slight but non-significant decrease in anxiety-like behavior following adolescent CVS. This was an unanticipated pattern given our previous findings that male *Nrg1^{Tn}* rats demonstrate enhanced reactivity to environmental changes. However, Desbonnet and colleagues (2012) recently investigated the effect of adolescent social defeat stress on adult behaviors in male Nrg1 TM domain KO mice and observed a small but significant anxiolytic effect of adolescent social defeat on novelty-induced exploration in these KO mice. Together these data indicate a subtle gene by environment interaction between Nrg1 and adolescent stress.

4.2 Adult Cued Fear Conditioning

In cued fear conditioning, rodents learn to associate a neutral stimulus (tone) with an aversive event (shock). The resulting behavior (freezing) to the tone is measured and used as an indication that the rodent associates the tone with the shock. $Nrg1^{Tn}$ rats, regardless of sex or adolescent stress exposure, displayed a reduced ability to learn the association between the tone and shock. The development of conditioned fear is known to be dependent on normal amygdala (AMG) function (LeDoux, 2003). Interestingly, we previously found that female, but not male, Nrg1^{Tn} rats have a subtle disruption to the MR/GR ratio in the AMG (Taylor et al., 2011a, 2011b). Importantly, the possibility that Nrg1^{Tn} rats have an altered pain threshold to footshock was ruled out, and we previously confirmed normal auditory function in the Nrg1^{Tn} rats (Taylor et al., 2011b). In heterozygous Nrg1 EGF and TM domain KO mice, impaired short-term contextual fear memory was found, though cuedconditioning was either not examined or not impaired (Ehrlichman et al., 2009; Duffy et al., 2010). Of potential relevance to the impaired association learning demonstrated here, we have found that male Nrg1^{Tn} rats do not exhibit impairments on the Morris water maze (a hippocampal dependent spatial memory task) but do show working and reference memory impairments in the Can Test (a more prefrontal cortex and dorsal striatum dependent discrimination memory task) suggesting that the neurocircuitry underlying learning and memory is disrupted by the Nrg1 mutation (A.R. Taylor, unpublished observations).

All experimental groups regardless of genotype or stress exposure, demonstrated a memory for the tone-shock association, indicated by increased freezing at the 24 h extinction session relative to the conditioning session. During the 96 h extinction session, female rats demonstrated less freezing than males, and Nrg1^{Tn} rats demonstrated less freezing behavior than WT rats. Interestingly, at this time point, CVS-treated female Nrg1^{Tn} rats displayed significantly less freezing to the tone than female Nrg1Th CON rats, female WT CVS rats and male Nrg1Th CVS rats, suggesting significantly greater extinction of the cue-induced fear response. Extinction of cue-conditioned fear memory is PFC-mediated (Morgan and LeDoux, 1995; Quirk et al., 2000; Milad and Quirk, 2002). Whether the increased extinction in female $Nrg1^{Tn}$ CVS rats is due to enhanced PFC-mediated extinction or to a disruption of AMG-mediated acquisition of fear conditioning remains to be determined. To date, only one other study has examined the effects of adolescent stress on adult fear conditioning. In this study, no effect of exposure to predator odor during early adolescence was found on fear conditioning or retrained fear conditioning, though males did demonstrate impaired extinction (Toledo-Rodriguez and Sandi, 2007). Interestingly, open arm time in the EPM and enhanced fear extinction may be suggestive of a generalized reduction in anxiety which has also been reported in animals with deficiencies in the NRG1 receptor, i.e. ErbB4 (Shamir et al., 2012). Together these studies point to the importance of NRG1/ErbB4 in anxiety-related behavioral phenotypes (Shamir et al., 2012).

4.3 Adult Basal Corticosterone

Previously, in naïve animals, we reported that male, but not female, $Nrg1^{Tn}$ rats exhibit elevated basal CORT levels. However, we did not find a similar change in basal CORT levels in this study. This difference is likely due to the repeated testing that all animals experienced in the studies reported herein. In the present studies, regardless of genotype or adolescent stress exposure, female rats exhibited increased basal CORT secretion compared to male rats. This sex difference has been reported in a variety of rat strains, including Fischer 344 rats (Chisari et al., 1995). In addition, in WT females, adolescent CVS exposure resulted in a trend toward lower basal CORT secretion compared to CON females. No effect of adolescent CVS was identified in Nrg1^{Tn} rats. The evidence for long lasting effects of adolescent stress on adult HPA axis function is mixed. One study showed a decrease in afternoon CORT concentrations in adult mice (Sterlemann et al., 2008). However, most report no effect on basal or stress-induced CORT and ACTH secretion, though some studies find increased basal and stress-induced CORT secretion in adulthood (Isgor et al., 2004; McCormick et al., 2008; McCormick et al., 2010; Toledo-Rodriguez and Sandi, 2007). Importantly, most of these studies were conducted in only male rats (McCormick et al., 2010).

5. General Comments and Conclusions

There are several potential limitations with our study. The first potential limitation is related to the timing of the stress exposure administration. It was recently reported that stressors administered in early adolescence (e.g. days 22–33) lead to a broad range of outcomes in adulthood (Wilken et al., 2012). The rather small impact of adolescent stress reported in this study maybe due to the later adolescent period during which we applied our stress manipulation. An additional limitation regarding the $Nrg1^{Tn}$ rat preparation is the use of the Fischer 344 rats as the background strain. Fischer 344 rats are known to have enhanced HPA axis responses to stressors compared to several other rat strains (Dhabhar et al., 1993; Dhabhar et al., 1995; Glowa et al., 1992). It is possible that the enhanced response to stressors in Fischer 344 rats may mask or preclude some effects of the disruption of Type II NRG1. Use of a different strain background could be more informative regarding the effects of an interaction between disrupted Type II NRG1 and chronic adolescent stress on anxiety-like behavior, fear extinction and basal HPA axis activity in adulthood. Finally, it should be

noted that the present study used random cycling female rats. It is possible that the fluctuating levels of estradiol and progesterone during the estrous cycle could have impacted the behavioral and physiological outcomes presented here. Estrous cyclicity was not monitored because of potential confounding effects on some behavioral outcomes, particularly the EPM (S.B. Taylor, unpublished observations). Interestingly, we previously reported that disruption of Type II NRG1 did not alter estrous cyclicity, the time of pubertal onset or other measures of reproductive function (Taylor et al., 2011b).

In this study, we show that disruption of Type II NRG1 resulted in the reversal of sex differences in anxiety-like behavior and the emergence of adolescent CVS-induced sex differences in the extinction of conditioned fear memory. Our earlier studies revealed that disruption of Type II NRG1 leads to sex-specific changes in response to an acute stressor and sex-specific alterations in GR and MR receptor expression in males and females (Taylor et al., 2011a, 2011b). Together, these findings indicate that there may be a complex interaction between gonadal and adrenal hormones and brain NRG1 function. Unfortunately, little is known about the sex-specific expression and function of NRG1 in the brain. However, it is known that various isoforms of NRG1, including Type II, show increased expression in the anterior pituitary at the beginning of estrus in female rats (Zhao and Ren, 2011a). In addition, NRG1 expression in spinal cord astrocytes is significantly increased only in the presence of circulating progesterone in female rats following spinal cord injury (Lacroix-Fralish, 2008). Finally, evidence suggests that expression of the NRG1 receptors, including ErbB4, is up-regulated by the synthetic glucocorticoid, dexamethasone, in epithelial cells (Dammann et al., 2006). Together these studies provide evidence to support NRG1-gonadal/adrenal hormone interactions in the brain, but further studies are needed to systematically examine how steroid hormones interact with NRG1 in the brain.

In conclusion, the present studies confirm the importance of Type II NRG1 in sex-specific rat anxiety-like behavior and cued fear acquisition. Furthermore, we present evidence to suggest that adolescent stress exposure has rather minor effects and does not appear to potentiate the effects of disrupted Type II NRG1. If anything, disruption of Type II NRG1 seems to confer a resistance or resiliency in the face of adolescent stress. The present studies also suggest that the effects of chronic adolescent stress are more prominent in female rats than males in the Fischer 344 strain. Subtle indications of anxiolytic effects of adolescent CVS were identified in WT females, while we observed a more significant gene by environment interaction in $Nrg1^{Tn}$ females in the extinction of fear-related memories.

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Research Highlights

- Normal sex differences in anxiety behavior are reversed in $Nrg1^{Tn}$ rats.
- Adolescent stress exposure ameliorates sex differences in anxiety behavior.
- Disruption of Type II NRG1 disrupts fear learning and extinction.
- A gene by stress by sex interaction was revealed in extinction of fear memory.



Figure 1. Experimental timeline

The timeline depicts the age in days (d) of the experimental subjects at each manipulation and behavioral/physiological measurement. CVS: chronic variable stress, EPM: elevated plus maze, CFC: cued fear conditioning.

Taylor et al.



Figure 2. Percent weight gained

CVS exposure from 37-44 days of age resulted in a significant decrease in percent weight gained over the adolescent stress period (***p<0.001) compared to CON groups. Bars represent mean \pm SEM.

Taylor et al.



Figure 3. Elevated plus maze

The open to total ratio (OTR) for time (time in open arms/(time in open + closed arms)) is presented, a higher OTR is indicative of decreased anxiety-like behavior. A) In CON groups, female $Nrg1^{Tn}$ rats spent significantly more time in the open arms than female WT rats (**p<0.01) while CON male $Nrg1^{Tn}$ rats tended to spend less time in the open arms than CON WT rats (#p<0.09). A slight effect of adolescent CVS was found such that WT CVS-treated females tended to spend more time in the open arms than WT CON females (*p<0.08). Female $Nrg1^{Tn}$ rats were resistant to adolescent CVS. B) In both WT and $Nrg1^{Tn}$ CON rats, a sex difference was detected. In CON WT animals, males spent more time in the open arms than females (*p<0.04), whereas in CON $Nrg1^{Tn}$ animals, males spent less time in the open arms than females (*p<0.05). CVS exposure eliminated sex differences in both genotypes. Bars represent mean OTR ± SEM.

Taylor et al.

Page 21



Figure 4. Cued fear conditioning, memory and extinction across all trials Percent freezing in male and female WT and $Nrg1^{Tn}$ rats are shown in this figure. Freezing to tone increased during the conditioning trials and from conditioning to the 24 h memory trials. Freezing then decreased during the 96 h extinction trials. In addition, $Nrg1^{Tn}$ animals exhibited less overall freezing to tones than WT animals (*p<0.05) during A) conditioning and C) 96 h extinction (*p<0.02). No genotype differences were present at the B) 24h fear memory test. Symbols represent mean percent freezing ± SEM at each individual tone presentation.



Figure 5. Fear extinction during the 96h extinction trials

Exposure to CVS during adolescence resulted in significant less freezing in the $Nrg1^{Tn}$ females compared to both $Nrg1^{Tn}$ CON females (**p<0.01) and WT CVS females (*p<0.03) during the 96h extinction trials. In addition, while no sex difference was identified in WT CVS males and females, $Nrg1^{Tn}$ CVS males and females were significantly different, with $Nrg1^{Tn}$ CVS females exhibiting less freezing than $Nrg1^{Tn}$ CVS males (**p<0.01). Bars represent mean percent freezing ± SEM.

Taylor et al.



Figure 6. Corticosterone

Female rats exhibited greater basal corticosterone secretion than male rats (**p<0.01), regardless of genotype or adolescent stress. Adolescent exposure to CVS led to a slight reduction in adult basal corticosterone in WT females (#p<0.06). Bars represent mean corticosterone concentrations (ng/ml) ± SEM.

Table 1

Chronic Variable Stress

	Time of Day		
Age (days)	AM (~8–9)	Mid-day (~12-1)	PM (~4-5)
37	Swim – 15 min	Restraint – 60 min	Swim – 15 min
38	Restraint – 60 min	Swim – 15 min	Restraint – 60 min
39	Cold Exposure – 6 h (9 AM–3 PM)		Food Deprivation – 48 h
40	Water in cage – 90 min	Restraint – 60 min	Swim – 15 min
41	Water in cage – 90 min	Social Stress – overnight (beg. ~3 pm)	
42	Restraint – 60 min	Swim – 15 min	Restraint – 60 min
43	Swim – 15 min	Restraint – 60 min	Swim – 15 min
44	Cold Exposure – 6 h (9 AM–3 PM)		

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