

Variation and constraint in *Hox* gene evolution

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Despite enormous body plan variation, genes regulating embryonic development are highly conserved. Here, we probe the mechanisms that predispose ancient regulatory genes to reutilization and diversification rather than evolutionary loss. The *Hox* gene *fushi tarazu* (*ftz*) arose as a homeotic gene but functions as a pair-rule segmentation gene in *Drosophila*. *ftz* shows extensive variation in expression and protein coding regions but has managed to elude loss from arthropod genomes. We asked what properties prevent this loss by testing the importance of different protein motifs and partners in the developing CNS, where *ftz* expression is conserved. *Drosophila* Ftz proteins with mutated protein motifs were expressed under the control of a neurogenic-specific *ftz* cis-regulatory element (CRE) in a *ftz* mutant background rescued for segmentation defects. Ftz CNS function did not require the variable motifs that mediate differential cofactor interactions involved in homeosis or segmentation, which vary in arthropods. Rather, CNS function did require the shared DNA-binding homeodomain, which plays less of a role in Ftz segmentation activity. The Antennapedia homeodomain substituted for Ftz homeodomain function in the *Drosophila* CNS, but full-length Antennapedia did not rescue CNS defects. These results suggest that a core CNS function retains *ftz* in arthropod genomes. Acquisition of a neurogenic CRE led to *ftz* expression in unique CNS cells, differentiating its role from neighboring *Hox* genes, rendering it nonredundant. The inherent flexibility of modular CREs and protein domains allows for stepwise acquisition of new functions, explaining broad retention of regulatory genes during animal evolution.

molecular evolution | *fushi tarazu* | protein evolution

The sets of genes regulating embryonic development are highly conserved throughout the animal kingdom despite the enormous diversity of body plans they control (1). How heterogeneity in body patterning is achieved through the action of these conserved regulatory genes is still largely unknown. Much of our current understanding rests on the observation that genes diversify through changes in cis-regulatory elements (CREs), whereas the transcription factors they encode are thought to be constrained, presumably because changes in protein coding regions of key developmental regulators would be highly detrimental (2, 3). This notion of static regulatory proteins is challenged by the finding that several *Hox* genes have acquired new biological roles during evolution (4–8). These genes were able to take on new roles because of redundancy, yet their ability to change function raises additional questions. Why were these genes not simply lost due to redundancy? Did these genes take on new required functions in a single step, with the new function imposing positive selection? Did changes occur stepwise, with retention at intermediate stages due to drift?

The *fushi tarazu* (*ftz*) gene arose as a duplication of an *Antennapedia* (*Antp*)-like *Hox* gene, presumably early in protostome lineages (9, 10). Overlap in expression and function with neighboring *Hox* genes *Antp* and/or *Sex-combs reduced* (*Scr*) allowed diversification: *ftz* neofunctionalized to take on a role in segmentation in higher insects, whereas *Antp* and *Scr* retained ancestral functions in determining segment identity (4, 5, 10–12). The segmentation function of *ftz*, studied in depth in *Drosophila*, required a change in expression pattern from a single *Hox*-like domain to seven stripes in the primordia of alternate body

segments (10, 13, 14). Ftz segmentation function also requires an LXXLL motif that mediates interaction with an obligate cofactor, the orphan nuclear receptor Ftz-F1 (15–20). Interestingly, ectopic expression of a Ftz protein lacking its homeodomain caused an anti-*ftz* phenotype, and this protein was able to rescue segmentation defects in *ftz* mutants (21, 22), suggesting relaxed selection on the Ftz homeodomain for its role in segmentation. In contrast, the LXXLL motif was strictly required for Ftz segmentation function (18–20).

Tracking changes within an established arthropod phylogeny revealed unexpected lability in *ftz* expression and Ftz protein domains (4): *Hox*-like expression is retained in several myriapods and chelicerates but is virtually lost in a crustacean (Fig. 1A). Striped expression was reported in the basal insect *Thermobia* (23) and in several holometabolous insects (13, 24, 25). *ftz* likely lost striped expression in at least one lineage, represented by extant grasshoppers, where *ftz* is expressed in the growth zone but not in stripes (26). In addition to these changes in expression, Ftz stably acquired an LXXLL motif at the base of holometabolous insects, suggesting these Ftz proteins could interact with Ftz-F1. Interestingly, an ancestral YPWM motif that mediates interaction of Hox proteins with cofactor Extradenticle (Exd) (27) independently degenerated at least six times in arthropods.

Despite these dynamic changes in sequence and expression, it is striking that the *ftz* gene is retained in all arthropod genomes examined to date. Here we show that extensive functional variation in *ftz* in arthropods is balanced by constraints of a core function in the developing central nervous system (CNS). The LXXLL segmentation motif and degenerate homeotic motif (FNWS) in *Drosophila* Ftz are dispensable for CNS function, but a homeodomain is required for activation of Eve expression in RP2 neurons in the CNS. Interestingly, the *Antp* homeodomain can substitute for the Ftz homeodomain in this core function, suggesting that acquisition of a neurogenic *ftz* CRE led to *ftz* expression in a unique group of cells, differentiating its role from neighboring *Hox* genes. However, even here, changes in protein sequence contribute to functional specificity, as full-length *Antp* cannot substitute for Ftz. Here we provide strong evidence to support the hypothesis that constraint on one protein domain for one tissue-specific function has led to long-term retention of a gene during evolution while enabling extensive diversification in other protein domains that play critical roles in other tissues. Together, these results suggest that evolutionary diversification in gene function can occur through differential selection for individual subfunctions of a single protein, which play different roles in different cell types.

Results

***ftz* CNS Expression Is Conserved over 550 My of Arthropod Evolution.**

Given the diversity in *ftz* expression and protein motifs, it is surprising that the gene is retained in all arthropod genomes

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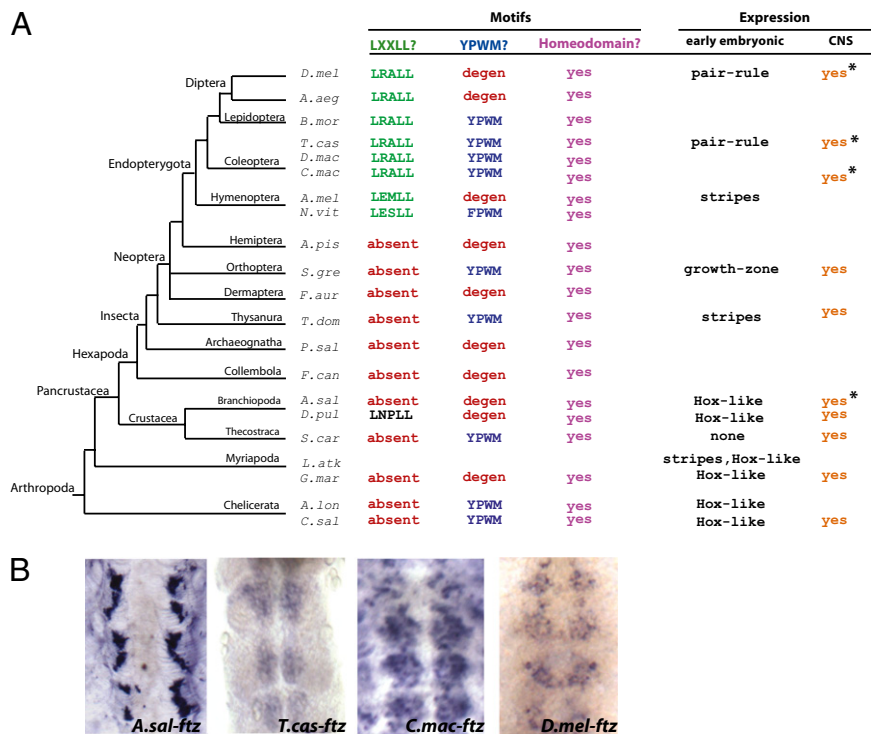


Fig. 1. *ftz* is expressed in the developing CNS throughout arthropods, despite diversity in Ftz cofactor interaction motifs and early expression patterns. (A) An arthropod phylogeny showing the presence/absence of functional Ftz motifs and expression patterns during embryogenesis. The LXXLL motif (green) is required for pair-rule function in *Drosophila* and mediates interaction with the Ftz cofactor Ftz-F1. LXXLL was stably acquired at the base of Endopterygota. The YPWM mediates interaction with the homeotic cofactor Exd. This motif is present in Ftz in some arthropods (blue), but has degenerated in many lineages (red). All Ftz sequences have a homeodomain (purple). The early embryonic expression pattern of *ftz* has been reported as *Hox*-like (Crustacea, Myriapoda, Chelicerata), in the growth zone (Orthoptera), and in stripes (Thysanura, Hymenoptera, Coleoptera, Diptera). *ftz* CNS expression has been reported in many arthropods (orange). Asterisks indicate expression patterns examined in this study. (B) Neuronal expression of *ftz* was analyzed by in situ hybridization in embryos using probes to *Artemia* (*A.sal*), *Tribolium* (*T.cas*), *Callosobruchus* (*C.mac*), and *Drosophila* (*D.mel*) *ftz* sequences, as indicated.

examined (Fig. 1A). *ftz* is expressed in the embryonic CNS in a broad range of arthropods, including myriapods (28–30), crustaceans (4, 31), insects (14, 23, 24, 26), and a distant lophotrochozoa, where the *ftz* ortholog *Lox5* is expressed in the CNS (10, 32). This CNS expression is conserved in arthropods with diverse Ftz sequences and early expression patterns (Fig. 1B). *Artemia* Ftz is 201 amino acids long, lacks LXXLL and YPWM motifs, and has weak *Hox*-like expression in early nauplii (4). *ftz* from *Tribolium* and *Callosobruchus* beetles encode proteins that are 290 and 368 residues, respectively; both sequences have LXXLL and YPWM motifs and are expressed in stripes (24, 33). *Drosophila* Ftz is 410 amino acids long, includes an LXXLL but no YPWM motif, and is expressed in stripes (14). Thus, despite diversity in sequence and expression, conservation of *ftz* expression in the developing CNS appears to be a constant feature of extant *ftz* genes.

Candidate Ftz Cofactors Are Not Coexpressed with Ftz in the CNS.

During the blastoderm stage of *Drosophila* development, Ftz interacts with Ftz-F1, and together they bind composite sites in regulatory regions of segmentation target genes, activating their expression (15, 17, 19, 34). If Ftz regulates CNS target genes by this mechanism, a minimal requirement is coexpression of Ftz and Ftz-F1 in this tissue. However, Ftz-F1 expression was not detectable in Ftz⁺ neurons (Fig. 2A–C; Ftz, red; Ftz-F1, green). Nuclear Ftz-F1 expression was readily detected using the same antibody at the blastoderm stage (Fig. 2D). Additionally, *ftz-fl* RNA was not detected at this stage of development (35). Because Ftz retains the “W” residue in the YPWM motif critical for interaction with Exd, we asked whether Ftz could use Exd as a cofactor in regulating

gene expression in the CNS. Although Exd is expressed at this time, expression was localized to the ectoderm (Fig. 2H), and expression did not overlap with Ftz⁺ neurons (Fig. 2E–G). Thus, neither Ftz-F1 nor Exd colocalizes with Ftz in the developing CNS.

Cofactor Interaction Motifs in Dm-Ftz Are Dispensable for CNS Function.

If the evolutionary constant function of Ftz is its role in the CNS, the LXXLL and YPWM motifs should be dispensable for this function, because many Ftz proteins lack one or both

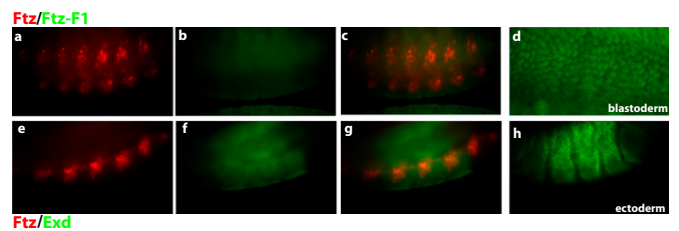


Fig. 2. Ftz is not coexpressed with known cofactors in the CNS. (A) *Drosophila* Ftz colocalizes with cofactor Ftz-F1 during the blastoderm stage of development but not in the CNS. Ftz (red) expression in a cluster of cells in every segment of the developing CNS. (B) Only faint background staining was observed with anti-Ftz-F1 antibody (green). (C) Merge of images in A and B shows Ftz and Ftz-F1 do not colocalize in the CNS. (D) Nuclear Ftz-F1 expression is detected using this same antibody at the blastoderm stage of development. (E) Ftz-expressing neurons (red) do not overlap with (F) Exd (green), which was expressed in the nuclei of ectodermal cells. (G) Merge of images from D and E shows expression of Ftz and Exd in different cell layers (Exd out of focus in this figure; in focus in H).

motifs (Fig. 1A). To test this, we made use of a *Drosophila* line carrying a rescue transgene that lacks the *ftz* neurogenic element (*ftzK*) (36, 37). Expression of *ftzK* in a *ftz*^{9H34} background rescues segmentation defects but not CNS function; RP2 neurons fail to develop, as evidenced by lack of Even-skipped (Eve) expression (Fig. 3A) (36). To test whether characterized Ftz motifs are necessary for CNS function, we generated a series of transgenes containing the *ftz* neurogenic element (NE), basal promoter, and WT coding sequence (*NE-Ftz*) or with mutations in protein motifs (*NE-X*; Fig. 3B). LRALL was changed to LRAAAA, which abolishes interaction with Ftz-F1 (*NE-FtzLRAAAA*; ref. 11); the FNWS motif was changed to AAAA (*NE-FtzAAAA*) to abolish potential interaction with Exd; and several mutations were made in the homeodomain: (i) the N-terminal arm of the homeodomain (SKRTRQTY) was changed to that of Antp (RKRGRQTY; *NE-FtzNTAntp*); (ii) the Ftz homeodomain was swapped with the homeodomain from Antp (*NE-FtzAntpHD*), *Tribolium* (*NE-FtzTcHD*), or *Artemia* (*NE-FtzAsHD*); and (iii) the Ftz homeodomain was deleted (*NE-FtzΔHD*). Last, the entire Ftz coding region was replaced with that of Antennapedia (*NE-DmAntp*). For each *NE-X*, flies carrying two copies of the transgene on chromosome II were crossed with *ftzK*, *ftz*^{9H34}/*TM3 Ubx-lacZ* (Fig. 3C). Rescue efficiency was calculated as the percentage of *ftzK*, *ftz*^{9H34} embryos that showed Eve expression in RP2 neurons. To confirm that the *ftz* CRE used here was sufficient to drive transgene expression in a *ftz*-like CNS pattern, the Ftz coding sequence was replaced with GFP (*NE-GFP*). Indeed, *NE-GFP* expression was detected only in the CNS and overlapped with all native Ftz⁺ neurons (Fig. 3D).

As shown in Fig. 4A, Eve RP2 expression was rescued by expression of *NE-Ftz*. *NE-FtzLRAAAA*, in which the LXXLL motif is

inactivated, also rescued Eve RP2 expression. Note that because the observed level of rescue of *NE-FtzLRAAAA* was slightly lower than other transgenes, we cannot rule out the possibility that the LXXLL motif or nearby residues are important for the structure or function of Ftz in the *Drosophila* CNS.

NE-FtzAAAA, in which the degenerate YPWM motif is mutated, rescued Eve RP2 expression. This finding suggests that the degenerate YPWM motif in *Drosophila* Ftz, including the conserved W in the FNWS motif, is not necessary for Ftz CNS function. Taken together with the expression data above, these results indicate that Ftz function in the CNS is independent of Ftz-F1 and Exd.

Homeodomain Is Required for Ftz CNS Function. In contrast to the motifs that vary in Ftz from different species, the homeodomain was absolutely required for CNS function, as *NE-FtzΔHD* showed virtually no rescue of Eve RP2 expression (Fig. 4A). The N-terminal arm of the homeodomain confers specificity and is used to classify Hox paralog groups (reviewed in ref. 38). To test whether a Ftz group homeodomain was required for CNS function, its N-terminal arm was substituted with that of Antp. This protein, *NE-FtzNTAntp*, rescued Eve RP2 expression, suggesting that N-terminal homeodomain specificity is not necessary for Ftz CNS function. To test the extent of entire homeodomain specificity, the Ftz homeodomain was replaced with that of Antp (*NE-FtzAntpHD*), which also effectively rescued Eve RP2 expression. Substituting the Ftz homeodomain with that of *Tribolium* Ftz also supported rescue of CNS function, whereas the *Artemia* Ftz HD substitution only weakly rescued it. We suggest that these Ftz homeodomains from distant taxa have diverged in specific or general manners that decrease their activity when expressed in

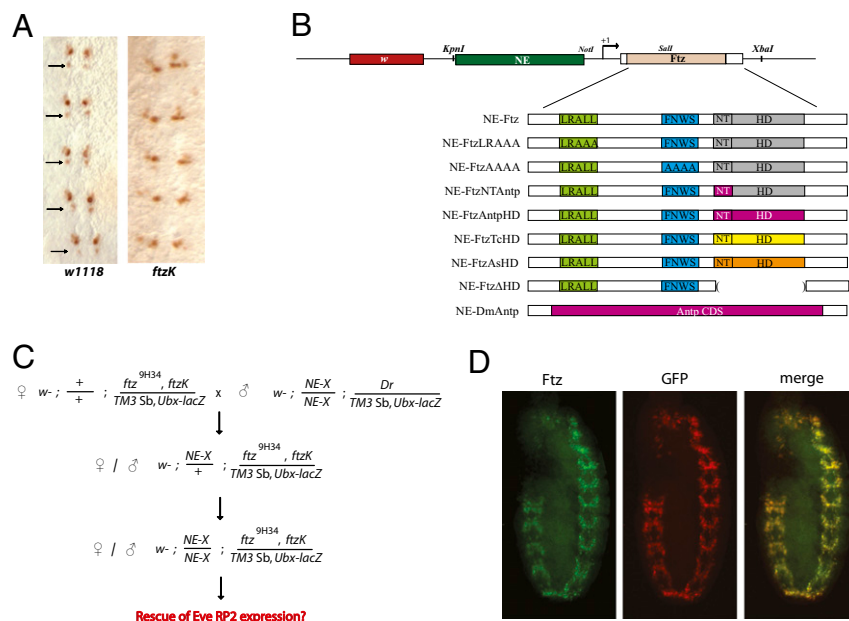


Fig. 3. Strategy to test the role of Ftz protein motifs in *Drosophila* CNS function. (A) Eve expression is detected in RP2 neurons of stage 10–12 embryos (Left, arrows), but missing in *ftzK* mutants (Right) (36). (B) Schematic of constructs designed to test motif function in the CNS. All transgenes included the 2.2-kb *ftz* NE (37), basal promoter, coding region, and ~200 bp downstream of the 3'UTR. Transgenes: the segmentation LRALL motif (bright green) was mutated to LRAAAA in *NE-FtzLRAAAA*; the degenerate homeotic FNWS motif (blue) changed to AAAA in *NE-FtzAAAA*; the N-terminal arm of Ftz (gray, NT) changed to that of *Dm-Antp* (purple) in *NE-FtzNTAntp*; the Ftz homeodomain (gray) swapped with that of *Dm-Antp* (purple) in *NE-FtzAntpHD*; the Ftz homeodomain (gray) swapped with that of *Tribolium* (yellow) in *NE-FtzTcHD*; the Ftz homeodomain (gray) swapped with that of *Artemia* (orange) in *NE-FtzAsHD*; the homeodomain deleted from *NE-FtzΔHD* (shown with empty parentheses); and the entire Ftz coding region replaced with Antennapedia (purple) in *NE-DmAntp*. (C) Crossing scheme used to test CNS functional rescue. All crosses were carried out with multiple independent lines for each of the transgenes shown in B, indicated as *NE-X*. After establishing flies homozygous for *NE-X* and carrying *ftzK* on a *ftz*^{9H34} chromosome, these lines were self-crossed, and embryos were tested for rescue of Eve RP2 neuron expression. (D) *NE-GFP* lines were double-stained with antibodies against Ftz (green) and GFP (red). Expression of these proteins overlapped (merge, yellow), showing that the *ftz* NE used to drive expression was sufficient to test *ftz* function in the CNS.

and in *Drosophila*, where CREs that border the *Dm-ftz* gene direct expression that overlaps with *Scr* (41) and *Antp* (42, 43). A unique role for Ftz in the CNS resulted from a *cis*-regulatory change, specifically the acquisition of a NE directing *ftz* expression in specific cells of the developing CNS, different from those where the *Antp*-like ancestor was expressed (Fig. 5, purple square). This was likely a neofunctionalization event, although we cannot rule out the possibility that the *ftz/Antp* ancestor was expressed in these CNS cells. The observation that the *Antp* homeodomain can substitute for the Ftz homeodomain in CNS function suggested that *Antp* itself could have taken on the essential role in the CNS, had it come under control of the NE. However, the fact that the entire *Antp* coding region under control of the *ftz* NE did not rescue Eve RP2 expression suggests otherwise. Thus, either protein differences in a bilaterian ancestor prevented *Antp* from taking on this particular CNS role or the *Drosophila* Ftz and *Antp* proteins diverged in function after an initial *cis*-regulatory change that imparted different roles on them.

Further changes in *ftz* expression, including degeneration of CREs driving overlapping expression with *Scr* and/or *Antp*, were permitted by their redundancy, as predicted by classical models (39, 44, 45). The escape from collinearity permitted further changes in *ftz* expression, exemplified by striped expression in insects, and sequence changes that resulted in a switch in cofactor interaction (5). However, despite this rather extreme lability, loss of *ftz* was constrained by a unique and required developmental role in the CNS. In contrast to the strict requirement for a homeodomain

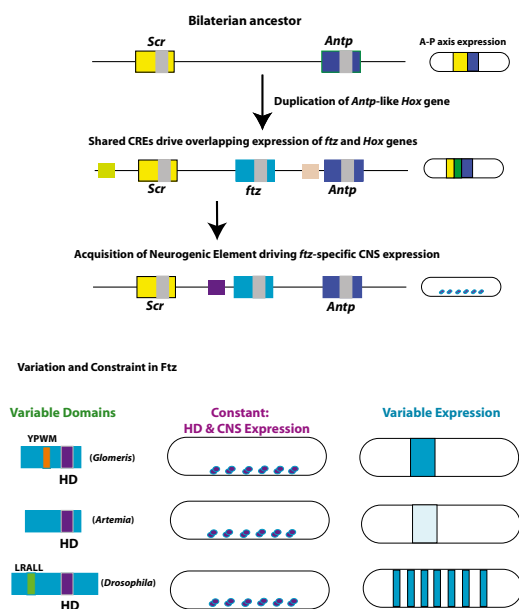


Fig. 5. Acquisition of a *ftz* NE constrains the homeodomain, preventing its loss while permitting flexibility. (Upper) A bilaterian ancestor had a *Hox* cluster in which *Scr* (yellow) and *Antp* (dark blue) expression along the A-P axis were in regions adjacent to one another. After the *Hox* duplication that produced *ftz* (teal), expression overlapped (green) with neighboring *Hox* genes because shared CREs drove expression in the same regions along the anterior-posterior axis. Due to redundancy, *ftz* was allowed to diverge. At some later point, a unique *ftz* neurogenic CRE arose (purple), which drove *ftz* expression in a subset of neurons. (Lower) Because CNS function is dependent on the homeodomain (HD), this part of the protein has been maintained in all extant arthropod Ftz sequences. Other regions of the protein sequence have diversified, such as LXXLL acquisition (green) and YPWM (orange) degeneration, which vary in different species, exemplified by *Glomeris*, *Artemia*, and *Drosophila* Ftz. Additionally, unique CREs have arisen, driving *ftz* expression in stripes (lower right), allowing for cooption into alternate developmental pathways in different arthropod lineages.

in the CNS, Ftz function in segmentation could be rescued by a Ftz protein lacking the homeodomain (21, 22). This segmentation function strictly required the LXXLL motif (18–20), which is not necessary for CNS function (Fig. 4A). Thus, there seem to be balancing constraints on different portions of Ftz for different functions: the homeodomain is constrained by CNS function, shared broadly across diverse taxa, whereas the LXXLL motif is constrained in holometabolous insects, presumably because of its role in segmentation (Fig. 1A).

The extreme flexibility of *ftz* challenges notions that embryonic regulatory genes are highly static and changes in gene function occur by a single mechanism. This finding is a striking example of mosaic pleiotropy enabling regulatory protein evolution, whose prevalence in other embryonic transcription factors is likely more widespread than previously realized. We propose that stepwise changes in function—both loss of function and neofunctionalization—build on each other to allow the sequential acquisition of new functions during evolution. This process is active, even for an embryonically active transcription factor predicted to be highly constrained, because changes in function (either loss or gain) would be highly detrimental to development, as evidenced by mutations made in the laboratory. Constraints on one protein domain for one tissue-specific function—in this case, the homeodomain required for CNS activity—have led to long-term retention of *ftz* during evolution while enabling extensive diversification in other domains dispensable for this core function. Thus, protein modularity permits differential selection for functions carried out by individual domains, thereby expanding the repertoire of material available for functional variation without changes in gene or isoform number. *Cis*-regulatory changes and protein multitasking build on each other, achieving a balance between constraint and variation. This inherent flexibility of an ancient set of regulatory genes allows for functional diversification and may explain their long-term retention during animal evolution.

Materials and Methods

Arthropod Embryo Collection and Fixation. One-week-old *Artemia* nauplii were fixed according to ref. 4. *Callosobruchus* embryos that were 2 d old were collected by first soaking mung beans with eggs in a dilute bleach solution, scraping the eggs off the beans with a paintbrush, and then fixing according to standard *Drosophila* protocols. *Drosophila* embryos were collected over 2 h, aged for 5–6 h on apple juice plates at 25 °C, and then fixed according to standard protocols.

Ftz Rescue Transgenes. The ~2.2-kb fragment containing the NE extending from the XbaI to Ball restriction sites in the 10-kb genomic region sufficient for rescue of *ftz* mutants (37) was inserted into *pCasper4*, followed by insertion of the *ftz* basal promoter (~40 bp upstream of the TSS) and 5' UTR, coding region, 3' UTR, and ~200 bp downstream of the polyadenylation signal using standard techniques. Mutations to the *ftz* coding region were made using site-directed mutagenesis (primer sequences available on request). Homeodomain deletion and swaps were done by fusion PCR. DNA sequences were verified for all fragments generated by PCR. Transgenic flies were generated by Rainbow Transgenic Flies, Inc. (Camarillo, CA). Due to lethality issues when expressing *Hox*-like genes with attB lines, traditional P-element integration techniques were used, such that transgenes were inserted randomly into the *Drosophila* genome (46). For each construct, three to seven independent lines were established that were homozygous viable on the second chromosome. Males homozygous for *NE-Ftz* constructs (*NE-X*), carrying *Dr/TM3SbUbx-lacZ* on chromosome III, were crossed with *ftz*^{9H34}, *ftzK/TM3Sb, Ubx-lacZ* virgin females, and males and females carrying one copy of *NE-Ftz* and *ftz*^{9H34}, *ftzK/TM3SbUbx-lacZ* were selected and self-crossed (Fig. 3C). Rescue efficiency was measured by calculating the percentage of embryos homozygous for *ftz*^{9H34}, *ftzK* (β -galactosidase negative embryos) that showed Eve antibody staining in any number of RP2 neurons in stage 10–12 embryos. Rescue percentages from several independent transgene lines were averaged together. To confirm that the *ftz cis*-regulatory elements present in the transgene were sufficient to drive transgene expression in the Ftz+ cells of the CNS, a transgene in which a GFP-coding sequence was placed downstream of the NE, *ftz* basal promoter, and first 169 amino acids of the Ftz

coding region was generated. GFP was detected in an identical pattern to native Ftz protein, as visualized by double antibody staining of GFP and Ftz (Fig. 3D).

Analysis of Gene Expression Patterns. In situ hybridizations were performed according to established protocols in *Drosophila*, *Tribolium* (47), and *Artemia* (4, 48, 49). *Callosobruchus* embryos were first dissected from their thick vitelline membrane and then stained according to *Drosophila* protocols. Digoxigenin-labeled probes were made with T7/T3 polymerase using embryonic cDNA, detected with a sheep anti-digoxigenin antibody (1:2,000; Roche), and stained with nitro-blue tetrazolium (NBT) and 5-bromo-4-chloro-3-indolyl-phosphate (BCIP) according to the manufacturer's instructions. *Drosophila* antibody stainings were performed according to established antibody protocols (50). Primary antibodies used

were as follows: mouse anti-Ftz (1:1,000) (51); guinea pig anti-Eve (1:1,000), and rabbit anti-GFP (1:1,000; Invitrogen). Secondary antibodies used were as follows: anti-mouse Alexa488 (1:500; Molecular Probes), anti-rabbit Alexa568 (1:500; Molecular Probes), and biotinylated anti-guinea pig (1:1,000; KPL). Embryos were mounted in Vectashield mounting solution with DAPI (Vector Laboratories) and scored for rescue and photographed by Leica DMRB microscopy.

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