

# Association of epidermal growth factor receptor and K-Ras mutations with smoking history in non-small cell lung cancer patients

ONUR BAYKARA<sup>1</sup>, MERVE TANSARIKAYA<sup>1</sup>, AHMET DEMIRKAYA<sup>2</sup>,  
KAMIL KAYNAK<sup>2</sup>, SERHAN TANJU<sup>3</sup>, ALPER TOKER<sup>3</sup> and NUR BUYRU<sup>1</sup>

Departments of <sup>1</sup>Medical Biology and <sup>2</sup>Chest Diseases, Cerrahpasa Medical Faculty, Istanbul University, Kocamustafapasa, Istanbul 34098; <sup>3</sup>Department of Chest Diseases, Istanbul Medical Faculty, Istanbul University, Capa, Istanbul 34093, Turkey

Received September 17, 2012; Accepted October 30, 2012

DOI: 10.3892/etm.2012.829

**Abstract.** Lung cancer, a major health problem affecting the epithelial lining of the lower respiratory tract, is considered to be one of the deadliest types of cancer in males and females and it is well-known that smoking is the chief cause of lung cancer. In addition to smoking and environmental factors, genetic susceptibility may also contribute to the development of lung cancer. Previous studies have shown that certain non-small cell lung cancer (NSCLC) patients harbor gain-of-function mutations in the epidermal growth factor receptor gene (EGFR). Phosphorylated EGFR triggers the activation of intracellular signal transduction pathways, including the RAS-MAPK, PI3K-Akt and STAT pathways. However, K-Ras gene point mutations in codons 12, 13 or 61 cause the inactivation of GTPase activity which results in overstimulation of cellular growth and gives rise to neoplastic development. Our aim was to investigate the presence and association of EGFR and K-Ras mutations in 50 primary NSCLC patients with a smoking history by using real-time PCR and sequencing. EGFR mutations were detected in four patients (8%). Two of these mutations were L858R mutations and the remaining two were deletion mutations spanning between codons 746 and 750. The L858R mutation was significantly associated with smoking status ( $P=0.003$ ). K-Ras codon 12 and 61 mutations were also observed in four patients. However, no association was observed between K-Ras mutations and the tumor staging, gender, histology and smoking status of the patients.

## Introduction

Lung cancer, which involves malignant proliferation of the epithelial lining of the lower respiratory tract, is the most common cause of cancer mortality in males and is second only to breast cancer in females (1-3). Smoking is considered to be one of the principal causes of lung cancer. However, as only a subgroup of smokers ever develop lung cancer, it has been suggested that genetic susceptibility may significantly contribute to the risk of the disease (4,5). Therefore, various genetic factors, including mutations or overexpression of oncogenes and functional inactivation of tumor suppressor genes, have been implicated in the development of lung cancer (6,7).

There have been a number of studies showing that gain of function mutations in the epidermal growth factor receptor (EGFR) gene may cause non-small cell lung cancer (NSCLC) (8,9). EGFR is a 170-kDa transmembrane tyrosine kinase receptor that is present in the majority of epithelial tissues and is important in cell growth and function. The EGFR signaling system is activated by three sequential steps. First, specific ligands bind to the extracellular domain of EGFR, resulting in a conformational change. Second, this structural change allows the receptor to form a dimer with another ligand-bound EGFR. This dimerization event then causes autophosphorylation of tyrosine kinase within the intracellular domain of the receptors, leading to the activation of signal transduction pathways. EGFR tyrosine phosphorylation triggers several signaling cascades, including the RAS-MAPK, PI3K-Akt and STAT pathways.

The K-Ras gene encodes a 21-kDa G-protein with GTPase activity that functions downstream of EGFR-induced cell signaling. K-Ras is the most commonly mutated oncogene in lung cancer with a mutation frequency of 3-35% (10-12). The hallmark of RAS function is a switch between the inactive GDP (guanosine diphosphate-bound) state and active GTP (guanosine triphosphate-bound) states. Point mutations in codons 12, 13 or 61 appear to inhibit the GTPase activity of the ras protein, resulting in constitutive stimulation of autonomous growth which contributes to neoplastic development (13).

---

*Correspondence to:* Professor Nur Buyru, Department of Medical Biology, Cerrahpasa Medical Faculty, Istanbul University, Kat:6 Kocamustafapasa, Fatih, Istanbul 34098, Turkey  
E-mail: nbuyru@yahoo.com

*Key words:* non-small cell lung cancer, epidermal growth factor receptor, K-Ras, smoking

Table I. Distribution of clinical parameters in NSCLC patients.

Factor	n	K-Ras mutation n (%)	Pearson's P-value	EGFR mutation n (%)	Pearson's P-value
<b>Gender</b>					
Female	6	2 (33.3)	0.013	2 (33.3)	0.065
Male	44	2 (4.5)		2 (4.5)	
<b>Histological type</b>					
Squamous cell	21	-	0.81	-	0.073
Adenocarcinoma cell	20	3 (15)		3 (15)	
Large cell	5	1 (20)		-	
Mixed	2	-		-	
Undetermined	2	-		1 (50)	
<b>Stage</b>					
I	26	3 (11.5)	0.82	-	0.002
II	6	-		-	
III	8	-		1 (12.5)	
IV	1	-		1 (100)	
Undetermined	9	1 (11.1)		2 (22.2)	
<b>Smoking status</b>					
Smoker	45	3 (6.6)	0.024	2 (4.4)	0.005
Non-smoker	5	1 (20)		2 (40)	0.003

NSCLC, non-small cell lung cancer; EGFR, epidermal growth factor receptor.

Given the importance of the EGFR in tumorigenesis and disease progression, this receptor has become a relevant and promising target for anticancer therapies. However, point mutations in codons 12 and 13 of the K-Ras oncogene may interfere with otherwise intact EGFR signaling, leading to a lack of response to EGFR inhibitors, and are consequently correlated with poor responses to EGFR-targeted therapies (14-16). Therefore, knowledge of the EGFR and K-Ras mutation status of a patient's tumor is likely to provide a potential strategy for selecting those patients who are likely to benefit from EGFR-targeted therapies. To the best of our knowledge, there are only a few studies in the literature investigating the EGFR and K-Ras mutations in NSCLC tumor samples simultaneously (10-18). This is also the first report investigating these mutations in Turkish NSCLC patients.

## Materials and methods

**Sample analysis.** The tumor and corresponding normal lung tissues and blood samples were obtained from 50 patients undergoing surgery at Istanbul University Cerrahpasa Medical Faculty, Department of Chest Surgery and Istanbul University Istanbul Medical Faculty, Department of Chest Surgery (Turkey). DNA was isolated by digestion of the tumor and corresponding normal tissue samples using a DNA isolation kit (Tissue DNA Isolation kit, Macherey Nagel, Düren, Germany) according to the manufacturer's instructions and the DNA was kept at -80°C until used. The TheraScreen mutation kit (DxS Limited, Manchester, UK) was used to analyze a total of 29 mutations in the EGFR gene and seven mutations

in the K-Ras gene by real-time PCR. EGFR and K-Ras gene mutation analysis was performed by sequencing analysis for confirmation, following the determination of the mutations by real-time PCR. For this purpose, exons 18-21 of the EGFR gene and two exons of the K-Ras gene were sequenced using appropriate primers.

**Ethics.** An explanatory statement concerning the study and the procedures was made to all patients. The statement was a regulated ethics statement prepared by The Ethics Committee of Istanbul University Cerrahpasa Medical Faculty. The committee approved the study and written informed consent was obtained from all patients.

## Results

**Patient characteristics.** The aim of the present study was to investigate the presence and correlation of EGFR and K-Ras mutations and their association with smoking history in a series of 50 primary NSCLC tumors. Out of 50 patients diagnosed as having NSCLC, 21 (42%) had squamous cell carcinoma, 20 (40%) had adenocarcinoma and 9 (18%) had tumors of various histologies. The patients comprised 44 (88%) males and 6 (12%) females. The majority of the patients (52%) had stage I disease and were smokers (90%).

**EGFR mutations.** Genetic alterations in the EGFR gene were detected in four (8%) patients. Two of these were L858R mutations, while the remaining two were deletion mutations spanning codons 746 to 750. Out of four tumor samples

with EGFR mutations, three were adenocarcinomas and the remaining one was NSCLC with unidentified histology. The two patients carrying EGFR L858R mutations were smokers. One of the patients smoked 30 packs/year and the other smoked 50 packs/year (20 cigarettes/pack). When the correlation between the smoking status and EGFR mutation type was analyzed, a statistically significant association was observed between L858R mutation and smoking status ( $P=0.003$ ; Table I), but there was no correlation with stage, gender and histological type (Table I).

**K-Ras mutations.** K-Ras mutations were observed in four (8%) patients. One (25%) of these was a codon 61 (Gln61His; CAA>CAT) mutation, two were codon 12 (Gly12Cys; GGT>TGT) mutations and the remaining one was another codon 12 (Gly12Val; GGT>GTT) substitution. Three of the mutated samples were adenocarcinomas and one was a histologically large cell carcinoma. We identified no associations between the mutations in the K-Ras gene and stage, gender, histologic type and smoking status (Table I).

## Discussion

NSCLC is the most common form of lung cancer and results from the accumulation of multiple genetic abnormalities. Extensive research on the EGFR molecule has revealed its oncogenic role, particularly in NSCLC and colorectal carcinoma (8,9,19,20). These advances have also led to the development of new therapeutic agents targeting EGFR. It is known that EGFR is overexpressed in the majority of cases of NSCLC (10,17,21,22) and that mutations in the K-Ras gene are also frequent (23,24). The EGFR mutation frequency in lung tumors has been reported to be between 9.8 and 44% in various populations (8,9,20,25,26). To the best of our knowledge there is no study in the literature investigating the co-existence of EGFR and K-Ras mutations in Turkish patients with NSCLC. In the present study, EGFR mutations were observed in 8% of the patients. This was lower than previously reported frequencies for other populations (8,9,20,25,26). This finding may be associated with the smoking status of the patients. A number of investigators have suggested that the EGFR mutation rate decreases when the smoking dose increases (27). In the population of the present study, 90% of the patients were smokers and 51% had been smoking >30 packs/year. The results of the present study are in accordance with the EGFR mutation frequencies observed when investigating the association between smoking status and EGFR mutations in NSCLC patients. The mutation frequency at exons 18-21 of the EGFR gene identified in previous studies has ranged from 9.8 to 17% in the smoking group (27-30). Lee *et al* (30) also reported that the frequency of the EGFR mutations was significantly lower in patients who smoked >25 packs/year or who had quit smoking <10 years ago. In the majority of studies, EGFR mutations have been correlated with patient characteristics such as histological type, gender or ethnic origin (9,25,31). However, there are no reports in the literature investigating an association between the EGFR mutation and the stage of the tumor. Statistical analysis of the present results, revealed a significant association between the EGFR mutation and the stage of the tumor. This result indicates that EGFR mutation is not an early event in NSCLC but occurs frequently

at the late stage of the disease. However, a statistically significant association was observed between the smoking status and the L858R mutation. The L858R mutation was more frequent in smokers than the del746-750 deletion in the EGFR gene.

In previous studies, K-Ras mutations have frequently been investigated and associated with colorectal carcinoma (32-34). Point mutations in the K-Ras gene have also been associated with tobacco smoking in NSCLC (23,35). In the present study, a correlation was not observed between the smoking dose and K-Ras mutation. Studies investigating the presence of the K-Ras mutation and its co-existence with the EGFR mutations in NSCLC are rare and inconsistent (10-12,18). In the present study, EGFR and K-Ras mutations were not observed concurrently in the same patient, supporting the theory that K-Ras and EGFR mutations are mutually exclusive (8,36). In the present study group, the K-Ras mutation frequency was the same as the EGFR mutation frequency (8%). These results are in accordance with the findings of previously reported studies (10-12,16,18) but contrast with the data of Schmid *et al* (37) who reported concomitant K-Ras and EGFR mutations in 2% of patients. The presence of somatic mutations in the K-Ras oncogene has been considered to be a marker of the lack of response to EGFR-targeted therapies (10,18,38-41). Results of a meta-analysis also indicated that 20% of NSCLC patients may be non-responsive to EGFR-targeted therapies due to an underlying K-Ras mutation (16).

In conclusion, the results of the present study suggest that, in addition to an inverse correlation between smoking history and the presence of EGFR mutations, the type of EGFR mutation is also correlated with smoking status and smoking dose. In view of these findings, we propose that the main causal factor of NSCLC in Turkey is smoking rather than the activation of the oncogenic EGFR pathway.

## Acknowledgements

This study was supported by the Scientific Research Projects Coordination Unit of Istanbul University with the project number 3911.

## References

1. Parkin DM, Bray F, Ferlay J and Pisani P: Estimating the world cancer burden: Globocan 2000. *Int J Cancer* 94: 153-156, 2001.
2. Flehinger BJ, Kimmel M, Polyak T and Melamed MR: Screening for lung cancer. The Mayo Lung Project revisited. *Cancer* 72: 1573-1580, 1993.
3. Strauss GM: Measuring effectiveness of lung cancer screening: from consensus to controversy and back. *Chest* 112 (Suppl 4): 216S-228S, 1997.
4. Amos CI, Xu W and Spitz MR: Is there a genetic basis for lung cancer susceptibility? *Recent Results Cancer Res* 151: 3-12, 1999.
5. Wood ME, Kelly K, Mullineaux LG and Bunn PA Jr: The inherited nature of lung cancer: a pilot study. *Lung Cancer* 30: 135-144, 2000.
6. Kopreski MS, Benko FA, Borys DJ, Khan A, McGarrity TJ and Gocke CD: Somatic mutation screening: identification of individuals harboring K-Ras mutations with the use of plasma DNA. *J Natl Cancer Inst* 92: 918-923, 2000.
7. Forgacs E, Zöschbauer-Müller S, Oláh E and Minna JD: Molecular genetic abnormalities in the pathogenesis of human lung cancer. *Pathol Oncol Res* 7: 6-13, 2001.
8. Kosaka T, Yatabe Y, Endoh H, Kuwano H, Takahashi T and Mitsudomi T: Mutations of the Epidermal Growth Factor Receptor gene in lung cancer: Biological and clinical implications. *Cancer Res* 64: 8919-8923, 2004.

9. Shigematsu H, Lin L, Takahashi T, Nomura M, Suzuki M, Wistuba II, Fong KM, *et al*: Clinical and biological features associated with epidermal growth factor receptor gene mutations in lung cancers. *J Natl Cancer Inst* 97: 339-346, 2005.
10. Chang JW, Liu HP, Hsieh MH, Fang YF, Hsieh MS, Hsieh JJ, Chiu YT, *et al*: Increased epidermal growth factor receptor (EGFR) gene copy number is strongly associated with EGFR mutations and adenocarcinoma in non-small cell lung cancers: a chromogenic in situ hybridization study of 182 patients. *Lung Cancer* 61: 328-339, 2008.
11. Sasaki H, Endo K, Okuda K, Kawano O, Kitahara N, Tanaka H, Matsumura A, *et al*: Epidermal growth factor gene amplification and gefitinib sensitivity in patients with recurrent lung cancer. *J Cancer Res Clin Oncol* 134: 569-577, 2008.
12. Giaccone G, Gallegos Ruiz M, Le Chevalier T, Thatcher N, Smit E, Rodriguez JA, Janne P, *et al*: Erlotinib for frontline treatment of advanced non-small cell lung cancer: a phase II study. *Clin Cancer Res* 12: 6049-6055, 2006.
13. Bos JL: ras oncogenes in human cancer: a review. *Cancer Res* 49: 4682-4689, 1989.
14. Benvenuti S, Sartore-Bianchi A, Di Nicolantonio F, Zanon C, Moroni M, Veronese S, Siena S and Bardelli A: Oncogenic activation of the RAS/RAF signaling pathway impairs the response of metastatic colorectal cancers to anti-epidermal growth factor receptor antibody therapies. *Cancer Res* 67: 2643-2648, 2007.
15. Oldenhuis CN, Oosting SF, Gietema JA and de Vries EG: Prognostic versus predictive value of biomarkers in oncology. *Eur J Cancer* 44: 946-53, 2008.
16. Linardou H, Dahabreh IJ, Kanaloupiti D, Siannis F, Bafaloukos D, Kosmidis P, Papadimitriou CA and Murray S: Assessment of somatic k-RAS mutations as a mechanism associated with resistance to EGFR-targeted agents: a systematic review and meta-analysis of studies in advanced non-small-cell lung cancer and metastatic colorectal cancer. *Lancet Oncol* 9: 962-972, 2008.
17. Sasaki H, Shimizu S, Okuda K, Kawano O, Yukiue H, Yano M and Fujii Y: Epidermal growth factor receptor gene amplification in surgical resected Japanese lung cancer. *Lung Cancer* 64: 295-300, 2009.
18. Pao W, Wang TY, Riely GJ, Miller VA, Pan Q, Ladanyi M, Zakowski MF, *et al*: KRAS mutations and primary resistance of lung adenocarcinomas to gefitinib or erlotinib. *PLoS Med* 2: e17, 2005.
19. Hemming AW, Davis NL, Klufvinger A, Robinson B, Quenville NF, Liseman B and LeRiche J: Prognostic markers of colorectal cancer: an evaluation of DNA content, epidermal growth factor receptor, and Ki-67. *J Surg Oncol* 51: 147-152, 1992.
20. Pao W, Miller V, Zakowski M, Doherty J, Politi K, Sarkaria I, Singh B, *et al*: EGF receptor gene mutations are common in lung cancers from 'never smokers' and are associated with sensitivity of tumors to gefitinib and erlotinib. *Proc Natl Acad Sci USA* 101: 13306-13311, 2004.
21. Rusch V, Baselga J, Cordon-Cardo C, Orazem J, Zaman M, Hoda S, McIntosh J, *et al*: Differential expression of the epidermal growth factor receptor and its ligands in primary non-small cell lung cancers and adjacent benign lung. *Cancer Res* 53 (Suppl 10): 2379-2385, 1993.
22. Ciardiello F and Tortora G: EGFR antagonists in cancer treatment. *N Engl J Med* 358: 1160-1174, 2008.
23. Le Calvez F, Mukeria A, Hunt JD, Kelm O, Hung RJ, Tanière P, Brennan P, Boffetta P, *et al*: TP53 and KRAS mutation load and types in lung cancers in relation to tobacco smoke: distinct patterns in never, former, and current smokers. *Cancer Res* 65: 5076-5083, 2005.
24. Tam IY, Chung LP, Suen WS, Wang E, Wong MC, Ho KK, Lam WK, *et al*: Distinct epidermal growth factor receptor and KRAS mutation patterns in non-small cell lung cancer patients with different tobacco exposure and clinicopathologic features. *Clin Cancer Res* 12: 1647-1653, 2006.
25. Lynch TJ, Bell DW, Sordella R, Gurubhagavatula S, Okimoto RA, Brannigan BW, Harris PL, *et al*: Activating mutations in the epidermal growth factor receptor underlying responsiveness of non-small-cell lung cancer to gefitinib. *N Engl J Med* 350: 2129-2139, 2004.
26. Paez JG, Jänne PA, Lee JC, Tracy S, Greulich H, Gabriel S, Herman P, *et al*: EGFR mutations in lung cancer: correlation with clinical response to gefitinib therapy. *Science* 304: 1497-1500, 2004.
27. Sugio K, Uramoto H, Ono K, Oyama T, Hanagiri T, Sugaya M, Ichiki M, *et al*: Mutations within the tyrosine kinase domain of EGFR gene specifically occur in lung adenocarcinoma patients with a low exposure of tobacco smoking. *Br J Cancer* 94: 896-903, 2006.
28. Tokumo M, Toyooka S, Kiura K, Shigematsu H, Tomii K, Aoe M, Ichimura K, *et al*: The relationship between epidermal growth factor receptor mutations and clinicopathologic features in non-small cell lung cancers. *Clin Cancer Res* 11: 1167-1173, 2005.
29. Pham D, Kris MG, Riely GJ, Sarkaria IS, McDonough T, Chuai S, Venkatraman ES, *et al*: Use of cigarette-smoking history to estimate the likelihood of mutations in epidermal growth factor receptor gene exons 19 and 21 in lung adenocarcinomas. *J Clin Oncol* 24: 1700-1704, 2006.
30. Lee YJ, Shim HS, Kang YA, Hong SJ, Kim HK, Kim H, Kim SK, *et al*: Dose effect of cigarette smoking on frequency and spectrum of epidermal growth factor receptor gene mutations in Korean patients with non-small cell lung cancer. *J Cancer Res Clin Oncol* 136: 1937-1944, 2010.
31. Mitsudomi T, Kosaka T, Endoh H, Horio Y, Hida T, Mori S, *et al*: Mutations of the epidermal growth factor receptor gene predict prolonged survival after gefitinib treatment in patients with non-small-cell lung cancer with postoperative recurrence. *J Clin Oncol* 23: 2513-2520, 2005.
32. Samowitz WS, Curtin K, Schaffer D, Robertson M, Leppert M and Slattery ML: Relationship of Ki-ras mutations in colon cancers to tumor location, stage, and survival: a population-based study. *Cancer Epidemiol Biomarkers Prev* 9: 1193-1197, 2000.
33. Keller JW, Franklin JL, Graves-Deal R, Friedman DB, Whitwell CW and Coffey RJ: Oncogenic KRAS provides a uniquely powerful and variable oncogenic contribution among RAS family members in the colonic epithelium. *J Cell Physiol* 210: 740-749, 2007.
34. Wang JY, Wang YH, Jao SW, Lu CY, Kuo CH, Hu HM, Hsieh JS, *et al*: Molecular mechanisms underlying the tumorigenesis of colorectal adenomas: correlation to activated K-Ras oncogene. *Oncol Rep* 16: 1245-1252, 2006.
35. Pfeifer GP, Denissenko MF, Olivier M, Tretyakova N, Hecht SS and Hainaut P: Tobacco smoke carcinogenesis, DNA damage and p53 mutations in smoking-associated cancers. *Oncogene* 21: 7435-7451, 2002.
36. Pines G, Köstler WJ and Yarden Y: Oncogenic mutant forms of EGFR: lessons in signal transduction and targets for cancer therapy. *FEBS Lett* 584: 2699-2706, 2010.
37. Schmid K, Oehl N, Wrba F, Pirker R, Pirker C and Filipits M: EGFR/KRAS/BRAF mutations in primary lung adenocarcinomas and corresponding locoregional lymph node metastases. *Clin Cancer Res* 15: 4554-4560, 2009.
38. Han SW, Kim TY, Jeon YK, Hwang PG, Im SA, Lee KH, Kim JH, *et al*: Optimization of patient selection for gefitinib in non-small cell lung cancer by combined analysis of epidermal growth factor receptor mutation, K-Ras mutation, and Akt phosphorylation. *Clin Cancer Res* 12: 2538-2544, 2006.
39. van Zandwijk N, Mathy A, Boerrigter L, Ruijter H, Tielen I, de Jong D, Baas P, *et al*: EGFR and KRAS mutations as criteria for treatment with tyrosine kinase inhibitors: retro- and prospective observations in non-small-cell lung cancer. *Ann Oncol* 18: 99-103, 2007.
40. Massarelli E, Varella-Garcia M, Tang X, Xavier AC, Ozburn NC, Liu DD, Bekele BN, *et al*: KRAS mutation is an important predictor of resistance to therapy with epidermal growth factor receptor tyrosine kinase inhibitors in non-small-cell lung cancer. *Clin Cancer Res* 13: 2890-2896, 2007.
41. Yamanaka S, Gu Z, Sato M, Fujisaki R, Inomata K, Sakurada A, Inoue A, *et al*: siRNA targeting against EGFR, a promising candidate for a novel therapeutic application to lung adenocarcinoma. *Pathobiology* 75: 2-8, 2008.