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### Germline Mutations in *MSR1, ASCC1*, and *CTHRC1* in Patients With Barrett Esophagus and Esophageal Adenocarcinoma

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#### Abstract

**Context**—Barrett esophagus (BE) occurs in 1% to 10% of the general population and is believed to be the precursor of esophageal adenocarcinoma (EAC). The incidence of EAC has increased 350% in the last 3 decades without clear etiology. Finding predisposition genes may improve premorbid risk assessment, genetic counseling, and management. Genome-wide multiplatform approaches may lead to the identification of genes important in BE/EAC development.

**Objective**—To identify risk alleles or mutated genes associated with BE/EAC.

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Online-Only Material: eMethods, 3 eTables, 3 eFigures, and eReferences are available at http://www.jama.com.

Author Contribution: Dr Eng had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. Drs Meltzer and Eng contributed equally to the manuscript.

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**Design, Setting, and Patients**—Model-free linkage analyses of 21 concordant-affected sibling pairs with BE/EAC and 11 discordant sibling pairs (2005–2006). Significant germline genomic regions in independent prospectively accrued series of 176 white patients with BE/EAC and 200 ancestry-matched controls (2007–2010) were validated and fine mapped. Integrating data from these significant genomic regions with somatic gene expression data from 19 BE/EAC tissues yielded 12 "priority" candidate genes for mutation analysis (2010). Genes that showed mutations in cases but not in controls were further screened in an independent prospectively accrued validation series of 58 cases (2010).

**Main Outcome Measures**—Identification of germline mutations in genes associated with BE/ EAC cases. Functional interrogation of the most commonly mutated gene.

**Results**—Three major genes, *MSR1*, *ASCC1*, and *CTHRC1* were associated with BE/EAC (all *P*<.001). In addition, 13 patients (11.2%) with BE/EAC carried germline mutations in *MSR1*, *ASCC1*, or *CTHRC1*. *MSR1* was the most frequently mutated, with 8 of 116 (proportion, 0.069; 95% confidence interval [CI], 0.030–0.130; *P*<.001) cases with c.877C>T (p.R293X). An independent validation series confirmed germline *MSR1* mutations in 2 of 58 cases (proportion, 0.035; 95% CI, 0.004–0.120; *P*=.09). *MSR1* mutation resulted in CCND1 up-regulation in peripheral-protein lysate. Immunohistochemistry of BE tissues in *MSR1*-mutation carriers showed increased nuclear expression of CCND1.

**Conclusion**—*MSR1* was significantly associated with the presence of BE/EAC in derivation and validation samples, although it was only present in a small percentage of the cases.

The incidence of esophageal adenocarcinoma (EAC) in the United States and Europe has increased 350% since 1970, with uncertain etiology.<sup>1</sup> Although early-stage EAC is curable, most cases are detected at an advanced stage with poor survival. Esophageal adenocarcinoma is believed to be preceded by Barrett esophagus (BE), a premalignant metaplasia caused by chronic gastroesophageal reflux disease (GERD).<sup>2–6</sup> GERD-related inflammation and the transforming growth factor  $\beta$  (TGFB) pathway have been implicated in sporadic BE and EAC, just as the role of inflammation has become prominent in a range of human cancers.<sup>7</sup> Although acknowledged, the role of inflammation in BE and EAC has not been thoroughly studied.<sup>8</sup> Barrett esophagus is common in the general population, estimated to occur in 1% to 10%<sup>6</sup>; it develops in 12% to 15% of patients with GERD.<sup>5</sup> The risk of EAC in patients with BE is approximately 0.4% per year.<sup>9</sup>

Although most BE and EAC are believed to be sporadic, genetic (heritable) etiologies have been supported by observation of familial clustering of cases noted over several decades, although few large families co-segregating BE/EAC have been reported.<sup>10,11</sup> In 1 referral series, clinical epidemiologic analyses suggest 7% of individuals with BE, EAC, or both have at least 1 affected blood relative.<sup>12</sup> Although shared environmental factors may contribute to such familial aggregation, an autosomal dominant mode of inheritance with incomplete penetrance is consistent with most published studies, and rare reported cases are consistent with autosomal recessive inheritance.<sup>10</sup>

The discovery of germline mutations in a gene or genes that predispose to BE/EAC may have ramifications regarding cancer risk assessment, genetic counseling, premorbid diagnosis, and targeted surveillance and management, and also add to the fundamental understanding of the pathophysiology of sporadic BE and EAC. We therefore sought to identify a gene or genes associated with BE/EAC predisposition.

#### METHODS

Our study (2005–2010), approved by respective institutions' review board for research participants, involved prospective recruitment of all 298 consenting adults with

histologically proven BE, EAC, or both, as well as families with 2 or more cases with BE, EAC, or both from 16 academic and community hospitals and clinics nationally (two-thirds originated from Cleveland Clinic, Cleveland, Ohio, and Johns Hopkins Medical Institutions, Baltimore, Maryland; <1% of research participants declined participation). All BE cases were long segment (eMethods; available at http://www.jama.com). For discordant sibling pair studies, the nonaffected sibling had endoscopy documented unaffected status. Only white participants of northern or western European descent were selected and sex-matched in cases and controls.

#### Identification of Loci Using Genome-Wide Mapping Methods

**Model-Free Linkage Analysis**—Twenty-one concordant-affected sibling pairs (42 individuals with BE/EAC) and 11 discordant sibling pairs (11 with BE/EAC and 11 without BE/EAC) (2005–2006) were genotyped using Affymetrix GeneChip Human Mapping 100K SNP set (Affymetrix, Santa Clara, California) (Figure 1). Significant linkage to chromosomal regions found by 1 model-free linkage analysis method was self-replicated by a second model-free linkage analysis approach, which is used for small sample-sized data sets.<sup>13–15</sup> Genomic regions were considered potentially interesting when  $-\log_{10} P$  value(pP)

2.2 by SIBPAL analysis<sup>13</sup> had logarithm of odds >3.2 by LODPAL analysis.<sup>13</sup> These regions from this pilot linkage-association analysis were considered "potentially interesting" and served as regions to be validated (eMethods and Figure 1).

**Independent Validation and Fine Mapping Significant Regions**—It is standard in this field to single out significant genomic regions from pilot analysis to follow up with increased sample sizes from independent cases (validation), more genetic markers (fine mapping),<sup>16–19</sup> or both in a second validation stage (Figure 1). We followed this strategy of independent validation and fine mapping of the "potentially interesting" regions identified by the pilot linkage-association analysis. We also paid particular attention to 2 additional regions (1q23 and 8p22), because these regions were found previously to be frequently somatically lost (by array comparative genomic hybridization) in EAC or gastroesophageal junction cancers.<sup>20</sup>

Population substructure of cases and controls was determined by PLINK and EIGENSTRAT (eMethods).<sup>21,22</sup> Analysis using EIGENSTRAT software<sup>21,22</sup> and principal component analysis identified the top eigenvalues from the 376 available eigenvalues. Regression analyses were used to allow for potential population substructure by 2 separate analyses (PLINK-derived and EIGENSTRAT-derived analyses). After population substructure was assessed to be similar (>85%),<sup>21,22</sup> single single-nucleotide polymorphism (SNP) association analyses of the above targeted genomic regions were performed with an independent validation series totaling 176 patients with BE/EAC and 200 ancestry-matched population controls (2007–2010) whose SNP data were derived from the denser Illumina Human610-Quad BeadChips (Illumina Inc, Hayward, California). Although we only were validating specific regions, we reasoned that it would be more cost-efficient to genotype all markers in a commercially available Chip instead of creating a new automation process for a reduced marker set. If the underlying genetic effect had been negligible, we would not have expected to see any savings on the average sample size, but fortunately the underlying genetic effect was large enough to warrant savings on sample size.

Statistical simulations have indicated that haplotype analysis with multiple SNPs may be more powerful than single SNP analysis,<sup>23–25</sup> because multiple alleles at different loci on the same chromosome that are in linkage disequilibrium (LD) are likely to interact with each other to result in a phenotype. Thus, haplotype analysis was performed using PLINK<sup>26</sup> to predict the most likely haplotypes and those that were significantly associated with the BE/

EAC phenotype. To account for type I error, an empirical P value corrected for testing multiple markers was obtained by permuting (10 000 permutations) the affectation status across the individual genotypes, as described in PLINK.<sup>26</sup>

## Integrating Information From Significant Regions With Publicly Available Somatic Gene Expression Data Sets

To narrow in on one or a subset of genes within and in proximity to the significant SNPs/ haplotypes germane to BE/EAC (by tissue-specific expression in oncologic pathways and for functional-genomic validation), we integrated our significant regions with publicly available somatic gene expression data derived from 19 patients with BE/EAC (GDS3472 or GSE13083),<sup>27</sup> followed up by unsupervised hierarchical clustering<sup>28</sup> of genes within 250 kb flanking the significant SNPs and haplotypes across BE/EAC and unaffected individuals (eFigure 1, Table 1, and Table 2).

#### **Prioritized Candidate Gene Analysis**

A final list of biologically plausible candidate genes ("priority" candidate genes) was then scanned for germline mutations in BE/EAC cases and compared with ancestry-matched population controls (Figure 1). Genes with mutations in cases but not in controls were screened in an independent validation series of 58 cases prospectively accrued from outpatient endoscopy units (2010) (Figure 1 and eTable 1).

#### MSR1 and CCND1 Protein Levels and Cell Lines

Proteins were extracted from immortalized lymphoblastoid cells obtained from patients with BE/EAC and normal controls. After processing, protein lysates were loaded onto sodium dodecyl sulfate-polyacrylamide gel electrophoresis gels. Antibodies specific to CCND1 (Cell Signaling Technology Inc, Danvers, Massachusetts), MSR1 (Abcam, Cambridge, Massachusetts), and  $\alpha$ -tubulin (Sigma-Aldrich, St Louis, Missouri) were used for Western blotting.

Wild-type MSR1 or pCMV-FLAG empty vector were transiently transfected into MSR1null HEK293 cells using Lipofectamine LTX and Plus Reagent (Invitrogen, Carlsbad, California). Cells were harvested after 24 hours and lysates (30  $\mu$ g of protein) were analyzed by Western blotting using antibodies against FLAG (Sigma-Aldrich, 1:1000), CCND1 (Thermo-Fisher Scientific Inc, Waltham, Massachusetts, 1:200), and  $\alpha$ -tubulin (Sigma-Aldrich, 1:5000).

#### **CCND1** Immunohistochemical Analysis

CCND1 immunohistochemical analysis was performed using an avidin-biotin complex immunoperoxidase technique.

#### RESULTS

#### Linkage and Association Analyses

A pilot combined linkage-association analysis based on modification of established criteria<sup>29</sup> revealed 5 candidate regions (1q24.1-25.3, 1q41, 8q21.11-22, 10q21-22, and 11q21) (Table 1 and Figure 1).

Subsequently, we performed a validation study in an independent series of 176 cases and 200 controls (Figure 1), using a denser SNP-marker set but focusing only on the germline regions of interest and the 2 somatically lost hot spot regions (1q23 and 8p22). We were able to validate 4 (1q24.1-25.3, 1q41, 8q21.11-22, and 10q21-22) of these 5 pilot-derived

germline candidate regions, while excluding one (11q21). Three additional loci at locations remote from the pilot linkage peaks (1q21.2, 8p22, and 11q25) were also found (Table 1). The most significant SNPs from this validative association analysis were located within or in the vicinity of the most promising pilot-derived linkage peaks (Table 1).

#### **Moving-Window Haplotype Analysis**

Haplotype and LD analysis conducted on the 176 cases and 200 controls confirmed our findings—any single SNP that was significant in the above single SNP analysis always revealed a haplotype block containing significant SNPs within the haplotypes (at least in LD) (P < .005) (Table 2). In the haplotype analysis, we considered regions of highest priority as those haplotypes exhibiting significance across multiple SNPs. The combined P values from the significant single SNPs and the significant haplotypes facilitated prioritization of regions of interest for further follow-up. There were 4 significant regions that overlapped from the linkage, single SNP association, and haplotype-LD analyses (1q24.1-25.3 [encompassing 1q24.2, 1q24.3, and 1q25.2-25.3 fine-mapped regions], 1q41, 8q21.11-22 [encompassing 8q21.11-22 and 8q22.1-24.22 fine-mapped regions], and 10q21-22). Additionally, there were 3 significant regions that overlapped in the single SNP association and haplotype analyses (1q21.2, 8p22, and 10q22.1). Thus, we selected these regions, shown in Table 2, as "regions of interest" (Figure 1, eTable 2, and eTable 3). Each of these regions contained SNPs that were statistically significant at P < .005 and also had multiple haplotype windows showing significance (P < .01).

#### **Functional-Genomic Validation**

Integration of our significant SNP and haplotypes with publicly available so matic BE/EAC transcriptome data (Figure 1) yielded 38 genes located within 250 kb flanking each significant SNP, within significant haplotypes, or both that accurately clustered BE/EAC cases from controls (eFigure 2). An additional filtering step based on known organ-specific functions resulted in a final short list of 12 priority candidate genes (*LHX4, DIRC3, MARK1, KIF26B, MSR1, TMEM67, WD-SOF1, CTHRC1, KCNQ3, PRKG1, ASCC1*, and *OPCML*), which were also functionally plausible, within our regions of interest (Table 1 and Table 2).

#### **Mutational Analyses of Priority Candidate Genes**

Mutational analyses of these 12 priority candidate genes in BE/EAC cases and controls revealed germline mutations in 3 genes (*MSR1* [macrophage scavenger receptor 1] [MIM153622], *ASCC1* [activating signal co-integrator 1 complex subunit 1] [NC\_000010.10], or *CTHRC1* [collagen triple-helix repeat-containing 1] [MIM610635]) in 13 of 116 patients (11.2%) with BE/EAC (Table 3 and eTable 1). No sequence variants were found in the remaining 9 genes that were not also present to the same degree in controls.

Among the 116 patients with BE/EAC, 8 patients (proportion, 0.069; 95% confidence interval [CI], 0.030–0.130; P < .001) had a germline truncating mutation in *MSR1* c. 877C>T, resulting in p.R293X (Figure 2 and Table 3), and 2 additional patients (proportion, 0.017; 95% CI, 0.021–0.061; P = .19) with BE/EAC carried germline *MSR1* p.L254V (c. 760C>G) in exon 5 (Table 3 and eFigure 3A). These mutations were not found in 139 ancestry-matched population controls. Additionally, we identified 2 germline missense mutations—c.869A>G in exon 8 of *ASCC1*, resulting in p.N290S in 2 patients (proportion, 0.021; 95% CI, 0.003–0.074; P = .18); and c.131A>C in exon 1 of *CTHRC1*, resulting in p.Q44P in 1 patient (proportion, 0.011; 95% CI, 0.003–0.061; P = .42), neither of which were found among 125 controls (Table 3, eFigure 3B, and eFigure 3C).

#### Independent Validation of Germline MSR1, ASCC1, and CTHRC1 Mutations

To confirm the mutations found in the 3 candidate genes (Table 3), mutational analyses were then performed in an independent series of 58 cases obtained from outpatient endoscopy units. These samples confirmed the presence of germline *MSR1* c.877C>T, p.R293X mutation in 2 of 58 cases (proportion, 0.034; 95% CI, 0.004–0.120; P = .09) and *CTHRC1* c. 131A>C, p.Q44P mutation in 1 of 58 cases (1.7%). After pooling the original 116 cases with the validation series of 58, a total of 10 cases with BE/EAC carried p.R293X (proportion, 0.054; 95% CI, 0.026–0.098; P = .006) (Table 3).

#### **MSR1** and CCND1 Protein Levels

Western blotting of germline protein lysates from 5 *MSR1* mutation-positive patients with BE/EAC and 7 controls revealed variable decreases in MSR1 protein levels in 3 cases (Figure 3). All 5 MSR1-mutation positive patients had increased CCND1 levels compared with controls (Figure 3). Barrett esophagus tissues from patients who were mutation-positive showed increased nuclear expression of CCND1 by immunohistochemistry compared with control esophageal specimens (Figure 4). We then proceeded with the converse experiment by overexpressing wild-type MSR1 in HEK293 cells, resulting in decreased CCND1 protein (Figure 3).

#### COMMENT

Barrett esophagus is prevalent in the general population and has the potential to progress to EAC. Because late-stage EAC carries a poor outcome, it is desirable to identify predisposition or risk alleles that will eventually allow premorbid risk assessment and affect subsequent management. Herein, we have identified germline mutations in 3 candidate genes in approximately 11% of our series of patients with BE/EAC, with the most commonly affected being *MSR1* (approximately 7%), followed by *ASCC1* and *CTHRC1*. Findings of germline *MSR1* and *CTHRC1* mutations were replicated in an independent validation series.

MSR1 on 8p22 encodes the class A macrophage scavenger receptor, which are macrophagespecific trimeric integral membrane glycoproteins implicated in many macrophageassociated, hormonal, and pathological processes, including inflammation, innate and adaptive immunity, oxidative stress, and apoptosis.<sup>30–32</sup> The MSR1 c.877C>T sequence variant, resulting in p.R293X, located within a highly conserved collagen-like domain of the MSR1 protein,<sup>33</sup> would be expected to disrupt function. The MSR1 p.R293X was previously shown to associate with prostate cancer in specific ancestries, although this association is controversial.<sup>30,33–36</sup> Given our observations and taking the p.R293X-prostate cancer association at face value, one possible explanation is that this particular mutation is associated with both BE/EAC and prostate cancer predisposition, with the latter at lower penetrance. In many inherited neoplasia syndromes, single gene mutations predispose to cancers in more than 1 organ.<sup>37</sup> We found both p.R293X and p.L254V (also within the conserved coiled-coil domain and near the glycosylation site at the 249th amino acid) in the germline of our BE/EAC cases, but not in the ancestry-matched population controls, which strongly suggest that these mutations contribute to BE/EAC risk, or at least are necessary for BE/EAC predisposition. Whether they are also sufficient is currently unknown.

Accumulating evidence links MSR1 to inflammatory events.<sup>38</sup> Barrett esophagus/EAC may also be associated with inflammatory events,<sup>7</sup> thus supporting our observations that *MSR1* is a plausible candidate susceptibility gene for BE/EAC. The molecular mechanisms underlying the pathogenesis of inflammation-associated cancer are complex and involve both the innate and adaptive immune systems.<sup>39–42</sup>

More and more examples linking inflammatory and carcinogenic pathways, such as the cell cycle, are surfacing. For example, signal transducer and activator of transcription 3 and nuclear factor kappa-B link phosphatase and tensin homologue, deleted on chromosome 10 to inflammatory pathways.<sup>43</sup> Beyond genetic evidence, we have additionally shown upregulation of key cell cycle molecule CCND1 by both Western blotting of germline proteins and immunohistochemistry of MSR1 mutation-related BE tissue, in which CCND1 is overexpressed in the nucleus. MSR1 p.R293X results in a truncated protein (affecting cytoplasmic topology, the transmembrane and parts of the collagen-like motifs), which still expresses, but variably. We observed germline MSR1 mutation, with variably decreased MSR1 protein levels, was associated with overexpression of nuclear CCND1 in BE tissues in MSR1-mutation carriers (but not in control normal epithelium). This observation suggests a linkage of inflammation to the cell cycle and a potential etiology for BE, via loss of control of the G1-S transition consistent with checkpoint-mediated cell cycle delays.<sup>44,45</sup> CCND1 elevation in both sporadic and heritable BE/EAC as an important final common pathway has precedent,<sup>46–49</sup> linking the WNT and adenomatous polyposis coli protein (APC) cascades, and lending credence to our observations. It remains to be determined whether increased expression of CCND1 in the setting of germline MSR1 mutation can by itself, or in combination with other oncogenic events, lead to neoplastic transformation in BE.

We also found that *ASCC1* germline p.N290S, affecting a region conserved across species, occurred in 2.1% of BE/EAC cases, but not in ancestral-matched population controls. The candidacy of *ASCC1* as a risk allele for BE/EAC is supported by somatic expression array data comparing normal esophageal epithelium, premalignant BE, and EAC samples.<sup>50</sup> ASCC1 enhances nuclear factor kappa-B and activator protein 1 transactivation by directly binding to JUN kinase.<sup>51</sup> Although little else is known about ASCC1/TRIP4 function, its putative cross-talk with JUN and nuclear factor kappa-B again links inflammatory to tumor suppressive/oncogenic pathways. Its role in potentially co-regulating the androgen receptor<sup>52</sup> may also begin to explain the known epidemiologic increased BE risk in men. In addition, although we found only 1 germline missense mutation in *CTHRC1*, this gene is intriguing in its cross-talk with 2 established pathways in sporadic BE/EAC pathogenesis, TGFB, and WNT<sup>53</sup> via APC.

Although this sequence alteration is a rare variant, and this study may not be powered to differentiate between 1 of 89 and 0 of 125, a change from glutamine to proline results in an alteration from a single-branch polar (negative) amino acid to a small hydrophobic cyclic amino acid. This change is predicted to disrupt the protein's ability to form secondary structure and the helix-loop-helix structure necessary for collagen deposition, fibrosis, and involvement in the WNT and APC pathways. CTHRC1 is expressed in tissue repair processes and may be important in the host's response to GERD. Given its role in collagen matrix deposition and its expression in myofibroblasts,<sup>53</sup> an alteration of CTHRC1 might predispose to decreased lower esophageal sphincter tone and consequent tendency toward GERD and BE.

Because we sought to identify risk alleles that contribute to a reasonable subset of heritable BE/EAC that also yield clinically useful attributable risks (or protection), we consciously used a relatively small series. We did this because the larger the series, the more likely the genetic effect sizes shrink, such that case-control series in the thousands result in odds ratios in the 1.1 to 1.3 range. We added rigor by using a second-stage independent validation series of cases and controls that were sufficiently powered based on our pilot data. In addition, rather than performing brute-force sequencing of at least 38 genes in just our 9 top priority regions (which would have increased the likelihood of finding "noise"), we used a systems-biology approach of statistically prioritizing regions of interest by integrating multiple

platforms to finally come up with 12 top priority genes, which eventually yielded germline mutations in *MSR1*, *ASCC1*, and *CTHRC1* in patients with BE/EAC but not in population controls. These 3 genes together accounted for 11% of our cases, reflecting what is normally considered a moderate- to high-penetrance genetic load for a disease. The functional interrogation and immunohistochemistry results support the pathogenicity of these germline *MSR1* mutations. Nonetheless, future independent studies are needed to replicate our data in other patient populations to confirm the conclusions.

In summary, germline mutations in *MSR1*, *ASCC1*, and *CTHRC1* in patients with BE/EAC appear physiologically relevant to BE, encoding proteins involved in apoptosis, innate immunity, polarity, and mobility that affect inflammatory and TGFB/WNT signaling pathways. Larger cohort studies may be necessary to determine the usefulness of these genes and their variants in risk assessment and premorbid diagnosis.

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#### Figure 1.

Schema of Strategy for Mapping BE/EAC Loci and Candidate Gene Selection BE/EAC indicates Barrett esophagus/esophageal adenocarcinoma. The multistage strategy used to identify BE/EAC susceptibility genes via a genome-wide combined linkageassociation analysis, followed up by an independent genome-wide single-nucleotide polymorphism (SNP)-based case-control validation. A series of multiple, including functional, platform integration resulted in a prioritized candidate gene list, with the final 12 top priority candidates brought forward to candidate gene mutation analysis in a case-control series followed up by validation in an independent series of patients and by functional interrogation.







#### Figure 2.

Chromatogram of Germline MSR1 Mutation

A, Wild-type sequence. B, Representative example of chromatogram showing *MSR1* exon 6 c.877C3T (p. R293X) mutation that was observed in approximately 5% (10 of 184) of Barrett esophagus and esophageal adenocarcinoma cases, but not in any of 139 controls (wild-type sequence, control). The heterozygous single-nucleotide variant is indicated by the arrow.



#### Figure 3.

Western Blot Detection of MSR1 and CCND1 Protein Levels

A, Representative Western blot showing CCND1 protein levels from lymphoblastoid cells derived from patients with Barrett esophagus (BE) (n=5) and from population controls (n=7). The Western blot shown is representative of 2 independent experiments. Note variably decreased MSR1 accompanied by increased CCND1 protein expression in patients with BE compared with controls. B, Representative Western blot of MSR1 and CCND1 protein levels after HEK293 cells were transiently transfected with empty vector or wild-type MSR1 constructs. Tubulin was used as a loading control for both A and B.



#### Figure 4.

Immunohistochemistry Detection of CCND1 in Esophageal Specimen From a Patient With BE

A, Hematoxylineosin staining of an esophageal lesion from biopsy specimen displaying characteristic goblet cells from a representative patient with Barrett esophagus (BE). B, CCND1-positive staining (brown by immunoperoxidase) in the nuclei of BE lesion cells from a patient who was germline *MSR1*-mutation positive. Hematoxylin counterstain. Detail at higher magnification.

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# Table 1

Significant Single SNP Association Results From Pilot-Combined Linkage-Association and Independent Validation Case-Control Analyses in Patients With BE/EAC

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Region	db SNP	Location, Build 36.1	P Value	Association Analysis, FDR-Corrected	Linkage Analysis, LOD Scores (pP <sup>SP)<i>a</i></sup>	Single SNP Association, pP <sup>Assenb</sup>	Gene at the Significant SNP
1q21.2	rs2809811	100,805,788	<.001	0.0185		4.80	
1q24.1-25.3	rs10494465	164,810,325	.007		4.31 (2.17)		
	rs950302	165,350,678	.004		4.38 (2.40)		
	rs10489191	165,772,769	.004		3.68 (2.36)		
	rs10489211	166,579,946	.004		3.32 (2.35)		
	rs6659944	167,046,343	<.001	0.0175		5.03	
	rs10494476	167,267,368	600.		3.10 (2.06)		
	rs3853181	169,241,785	<.001	0.0198		4.47	Clorf129
	rs6661125	178,493,273	<.001	0.0175		5.06	LHX4
1q41	rs10209401	217,912,676	<.001	0.0214		4.07	DIRC3
	rs12070516	218,894,580	<.001	0.0199		4.75	MARKI
	rs12062054	229,020,356	<.001	0.0199		4.93	
	rs2355230	237,851,538	.007		4.12 (2.18)		
	rs4498839	243,586,955	<.001	0.0173		5.22	KIF26B
8p22	rs381111	16,090,070	<.001	0.0253		3.29	MSR1
8q21.11-22	rs4469448	75,457,415	.01		3.19 (2.01)		
	rs4739755	81,665,497	.01		3.39 (2.00)		
	rs3097418	94,851,657	<.001	0.0253		3.33	TMEM67
8q22.1-24.22	rs3098224	104,515,622	<.001	0.0253		3.24	WDSOFI
	rs3098233	104,463,670	<.001	0.0253		3.24	CTHRCI
	rs4388439	133,277,554	<.001	0.0253		3.10	KCNQ3
10q21-22	rs11001056	53,599,547	<.001	0.0463		3.04	PRKG1
	rs2050381	55,029,991	<.001		3.604 (3.52)		
	rs10509021	56,518,547	<.001		2.566 (4.00)		
	rs11000190	73,577,964	<.001	0.0262		3.46	ASCCI

P Association, Gene at the Significant P <sup>Assenb</sup> SNP			3.23 OPCML
Linkage Analysis, LOD Single SN Scores (pP <sup>SP) d</sup> p	4.588 (3.40)	4.54 (3.52)	
Association Analysis, FDR-Corrected			0.0500
<i>P</i> Value	<.001	<.001	<.001
Location, Build 36.1	94,342,447	94,958,558	132,917,451
db SNP	rs7107185	rs1255537	rs11223500
Region	11q21		11q25

Abbreviations: BE, Barrett esophagus; EAC, esophageal adenocarcinoma; FDR, false discovery rate; LOD, logarithm of odds; SNP, single-nucleotide polymorphism.

<sup>2</sup>LOD score was derived from LODPAL. 15–18 ppSP indicates -log10(*P*value) derived from SIBPAL (considered -log10(*P*value) 2.00), from analysis of the 32 sibling pairs (21 concordant-affected sibling pairs and 11 discordant sibling pairs).15-18

bpAsscn indicates -log10(*P* value) derived from the validation case-control association analysis using independent n=376 (comprising 176 cases and 200 controls).

#### Table 2

#### Haplotypes Significantly Associated With BE/EAC Cases vs Controls<sup>a</sup>

Chromosome Region	Haplotype	SNPs	P Value	Significant Genes
1q21.2	12212	rs3806237, rs12060945, rs2270694, rs12722868, rs2809811 <sup>b</sup>	<.001	
	22121	rs12060945, rs2270694, rs12722868, rs2809811 <sup>b</sup> , rs34552536	<.001	
	221	rs2270694, rs12722868, rs2809811 <sup>b</sup>	<.001	
	212	rs12722868, rs2809811 <sup>b</sup> , rs34552536	<.001	
1q24.2	122	rs6659944 <sup>b</sup> , rs12067866, rs12069349	<.001	
	222	rs6659944 <sup>b</sup> , rs12067866, rs12069349	<.001	
1q24.3	222	rs16828284, rs3853181 <sup>b</sup> , rs1800822	<.001	C1orf129
	21212	rs16828284, rs3853181 <sup>b</sup> , rs1800822, rs2066530, rs2066536	<.001	C1orf129
	222	rs3853181 <sup>b</sup> , rs1800822, rs2066530	<.001	C1orf129
1q25.2-25.3	22112	rs6661125 <sup>b</sup> , rs17300107, rs6670868, rs16856123, rs17302632	<.001	LHX4
	122	rs6661125 <sup>b</sup> , rs17300107, rs6670868	<.001	LHX4
1q41	11112	rs1338775, rs6694126, rs17007991, rs12070516 <sup>b</sup> , rs17008285	<.001	MARK1
	11122	rs6694126, rs17007991, rs12070516 <sup>b</sup> , rs17008285, rs17008643	<.001	MARK1
	11222	rs17007991, rs12070516 <sup>b</sup> , rs17008285, rs17008643, rs17008806	<.001	MARK1
	12222	rs12070516 <sup>b</sup> , rs17008285, rs17008643, rs17008806, rs3806325	<.001	MARK1
	22222	rs6694126, rs17007991, rs12070516 <sup>b</sup> , rs17008285, rs17008643	<.001	MARK1
	1122	rs17007991, rs12070516 <sup>b</sup> , rs17008285, rs17008643	<.001	MARK1
	2222	rs17007991, rs12070516 <sup>b</sup> , rs17008285, rs17008643	<.001	MARK1
	1112	rs6694126, rs17007991, rs12070516 <sup>b</sup> , rs17008285	<.001	MARK1
	1222	rs12070516 <sup>b</sup> , rs17008285, rs17008643, rs17008806	<.001	MARK1
	2222	rs6694126, rs17007991, rs12070516 <sup>b</sup> , rs17008285	<.001	MARK1
	2222	rs1338775, rs6694126, rs17007991, rs12070516 <sup>b</sup>	<.001	MARK1
	1111	rs1338775, rs6694126, rs17007991, rs12070516 <sup>b</sup>	<.001	MARK1
	222	rs6694126, rs17007991, rs12070516 <sup>b</sup>	<.001	MARK1
	112	rs17007991, rs12070516 <sup>b</sup> , rs17008285	<.001	MARK1
	222	rs17007991, rs12070516 <sup>b</sup> , rs17008285	<.001	MARK1
	122	rs12070516 <sup>b</sup> , rs17008285, rs17008643	<.001	MARK1
	222	rs12070516 <sup>b</sup> , rs17008285, rs17008643	<.001	MARK1
	111	rs12070516 <sup>b</sup> , rs17008285, rs17008643, rs17008806, rs3806325	<.001	MARK1
8p22	22221	rs4265186, rs268387, rs354521, rs354517, rs381111 <sup>b</sup>	.002	MSR1
	22112	rs354521, rs354517, rs381111 <sup>b</sup> , rs2959634, rs2959631	.004	MSR1

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Chromosome Region	Haplotype	SNPs	P Value	Significant Genes
8q22.1	21222	rs3097422, rs3097418 <sup>b</sup> , rs6989157, rs6987276, rs4392869	.002	TMEM67
	12222	rs3097418 <sup>b</sup> , rs6989157, rs6987276, rs4392869, rs987036	.002	TMEM67
	212	rs3097422, rs3097418 <sup>b</sup> , rs6989157	<.001	TMEM67
	221	rs3097418 <sup>b</sup> , rs6989157, rs6987276	<.001	TMEM67
8q22.1-23.1	22122	rs3098233 <sup>b</sup> , rs3098224 <sup>b</sup> , rs3098218, rs3098212, rs2959025	<.001	CTHRC1, WDSOF1
	11211	rs3098233 <sup>b</sup> , rs3098224 <sup>b</sup> , rs3098218, rs3098212, rs2959025	<.001	CTHRC1, WDSOF1
	22112	rs6988793, rs6987078, rs3098233 <sup>b</sup> , rs3098224 <sup>b</sup> , rs3098218	.001	CTHRC1, WDSOF1
	12212	rs6987078, rs3098233 <sup>b</sup> , rs3098224 <sup>b</sup> , rs3098218, rs3098212	.002	CTHRC1, WDSOF1
	21121	rs6987078, rs3098233 <sup>b</sup> , rs3098224 <sup>b</sup> , rs3098218, rs3098212	.002	CTHRC1, WDSOF1
	22211	rs2959644, rs6988793, rs6987078, rs3098233 <sup>b</sup> , rs3098224 <sup>b</sup>	.002	CTHRC1, WDSOF1
	12112	rs3098224 <sup>b</sup> , rs3098218, rs3098212, rs2959025, rs2957452	.002	WDSOF1
8q24.2-24.22	22222	rs6989059, rs6986982, rs6988942, rs6989209, rs4388439 <sup>b</sup>	<.001	KCNQ3
	12212	rs6986982, rs6988942, rs6989209, rs4388439 <sup>b</sup> , rs3843561	.002	KCNQ3
10q21.1	12212	rs11000400, rs11000436, rs11000798, rs11001056 <sup>b</sup> , rs11001210	.003	PRKG1
	22222	rs11001056 <sup>b</sup> , rs11001210, rs11001213, rs11001447, rs11001702	.002	PRKG1
	2212	rs11000436, rs11000798, rs11001056 <sup>b</sup> , rs11001210	.002	PRKG1
	2122	rs11000798, rs11001056 <sup>b</sup> , rs11001210, rs11001213	.003	PRKG1
	221	rs11000436, rs11000798, rs11001056 <sup>b</sup>	<.001	PRKG1
	212	rs11000798, rs11001056 <sup>b</sup> , rs11001210	<.001	PRKG1
	222	rs11001056 <sup>b</sup> , rs11001210, rs11001213	<.001	PRKG1
10q22.1	22221	rs11000101, rs11000108, rs11000122, rs11000152, rs11000190 <sup>b</sup>	.003	ASCC1
	22211	rs11000108, rs11000122, rs11000152, rs11000190 <sup>b</sup> , rs11000202	.002	ASCC1
	12222	rs11000122, rs11000152, rs11000190 <sup>b</sup> , rs11000202, rs11000348	.009	ASCC1
	21122	rs11000152, rs11000190 <sup>b</sup> , rs11000202, rs11000348, rs11000828	<.001	ASCC1
	1122	rs11000190 <sup>b</sup> , rs11000202, rs11000348, rs11000828	<.001	ASCC1
	2211	rs11000122, rs11000152, rs11000190 <sup>b</sup> , rs11000202	.002	ASCC1
	2221	rs11000108, rs11000122, rs11000152, rs11000190 <sup>b</sup>	.002	ASCC1
	221	rs11000122, rs11000152, rs11000190 <sup>b</sup>	.003	ASCC1
	211	rs11000152, rs11000190 <sup>b</sup> , rs11000202	.001	ASCC1
	112	rs11000190 <sup>b</sup> , rs11000202, rs11000348	.003	ASCC1
11q14	22112	rs1381720, rs12146457, rs3924745 <sup>b</sup> , rs665153, rs2926467	<.001	
	11221	rs1381722, rs1381720, rs12146457, rs3924745 <sup>b</sup> , rs665153	<.001	
	12212	rs1381720, rs12146457, rs3924745 <sup>b</sup> , rs665153, rs2926467	.001	
	21122	rs12146457, rs3924745 <sup>b</sup> , rs665153, rs2926467, rs1871684	.001	

Chromosome Region	Haplotype	SNPs	P Value	Significant Genes
	12211	rs1381722, rs1381720, rs12146457, rs3924745 <sup>b</sup> , rs665153	.003	
	2112	rs12146457, rs3924745 <sup>b</sup> , rs665153, rs2926467	<.001	
	1122	rs3924745 <sup>b</sup> , rs665153, rs2926467, rs1871684	.001	
	1122	rs1381722, rs1381720, rs12146457, rs3924745 <sup>b</sup>	.002	
	221	rs1381720, rs12146457, rs3924745 <sup>b</sup>	<.001	
	211	rs12146457, rs3924745 <sup>b</sup> , rs665153	<.001	
	112	rs3924745 <sup>b</sup> , rs665153, rs2926467	<.001	
	122	rs1381720, rs12146457, rs3924745 <sup>b</sup>	.002	

Abbreviations: BE, Barrett esophagus; EAC, esophageal adenocarcinoma; SNPs, single-nucleotide polymorphisms.

aThese results were obtained from the haplotype analysis of the independent validation data set comprising 176 cases and 200 controls. In the "Haplotype" column, 1 represents the major allele and 2 represents the minor allele at each respective marker.

 $b_{\mbox{Represent SNP}}$  associations significant in both the single SNP analysis and the haplotype analysis.

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Table 3

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enes in BE/EAC Cases
3 Candidate Ge
nline Mutations in
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			No./Total (%)Wi	th Mutations	Proportion of Cases With Variant (95% CI)	
Gene	Variant	Total No.	Cases	Controls		<i>P</i> Value
MSR1 (mutation analysis) <sup>a</sup>	c.877C>T,p.R293X	255	8/116 (6.9)	0/139	0.069 (0.030–0.130)	<.001
MSRI (validation) $b$	c.877C>T,p.R293X	197	2/58 (3.4)	0/139	0.034 (0.004-0.120)	60.
MSR1 (pooled) <sup>C</sup>	c.877C>T,p.R293X	323	10/184 (5.4)	0/139	0.054 (0.026–0.098)	.006
MSR1 (mutation analysis) <sup>a</sup>	c.760C>G,p.L254V	255	2/116 (1.7)	0/139	0.017 (0.021–0.061)	.19
ASCCI (mutation analysis) <sup><math>a</math></sup>	c.869A>G,p.N290S	220	2/95 (2.1)	0/125	0.021 (0.003–0.074)	.18
CTHRC1 (mutation analysis) <sup>a</sup>	c.131A>C,p.Q44P	214	1/89 (1.1)	0/125	0.011 (0.0003–0.061)	.42
CTHRC1 (validation) $b$	c.131A>C,p.Q44P	183	1/58 (1.7)	0/125	0.017 (0.0004-0.092)	.32
$CTHRCI$ (pooled) $^{\mathcal{C}}$	c.131A>C,p.Q44P	272	2/147 (1.4)	0/125	0.014 (0.0009–0.026)	.50

Abbreviations: ASCCI, activating signal cointegrator 1 complex subunit 1; BE, Barrett esophagus; CI, confidence interval; CTHRCI, collagen triple-helix repeat-containing 1; EAC, esoph-ageal adenocarcinoma; MSRI, macrophage scavenger receptor 1.

 $^{a}$ Candidate gene mutation analysis in BE/EAC cases and controls.

 $^{b}MSRI$  and CTHRCI mutations validated in small independent series of BE/EAC cases.

cPooled series comprising series of cases and controls used for candidate gene mutation analysis and independent validation series.