



Published in final edited form as:

AIDS. 2012 November 28; 26(18): 2327–2335. doi:10.1097/QAD.0b013e32835a117c.

Cerebral β -amyloid deposition predicts HIV-associated neurocognitive disorders in *APOE* ϵ 4 carriers

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Abstract

Objective—The apolipoprotein E (*APOE*) ϵ 4 allele enhances cerebral accumulation of β -amyloid ($A\beta$) and is a major risk factor for sporadic Alzheimer's disease (AD). We hypothesized that HIV-associated neurocognitive disorders (HAND) would be associated with the *APOE* ϵ 4 genotype and cerebral $A\beta$ deposition.

Design—Clinico-pathological study of HIV-infected adults from four prospective cohorts in the U.S. National NeuroAIDS Tissue Consortium.

Methods—We used multivariable logistic regressions to model outcomes ($A\beta$ plaques [immunohistochemistry] and HAND [standard criteria]) on predictors (*APOE* ϵ 4 [allelic discrimination assay], older age [\geq 50 years], $A\beta$ plaques, and their two-way interactions) and co-morbid factors.

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V.S. reviewed the literature, designed the study, optimized immunohistochemistry protocols, examined immunohistopathology, interpreted the results, and wrote the first manuscript draft. B.S. and E.T.T. performed DNA extraction, *APOE* genotyping, and immunohistochemical experiments. A.U. performed statistical analyses. D.J.M., M.C., and E.M. (the California NeuroAIDS Tissue Network), A.J.L. and E.J.S. (the National Neurological AIDS Bank), B.B.G. (the Texas NeuroAIDS Research Center), and S.M. (the Manhattan HIV Brain Bank) provided diagnostic characterizations of HIV cases. B.G. managed the patients' database in the California NeuroAIDS Tissue Network, and coordinated with the other 3 sites. I.G., H.V.V., and C.L.A. supervised the study design and result interpretation. All authors contributed to the manuscript and approved the final article.

Results—Isocortical A β deposits generally occurred as diffuse plaques and mild to moderate amyloid angiopathy. Isocortical phospho-Tau-immunoreactive neurofibrillary lesions were sparse. The *APOE* ϵ 4 and older age were independently associated with the presence of A β plaques (adjusted odds ratio [OR] 10.16 and 5.77 [95% confidence interval (CI) 2.89–35.76 and 1.91–17.48], $P=0.0003$ and 0.0019 , respectively, $n=96$). The probability of HAND was increased in the presence of A β plaques among *APOE* ϵ 4 carriers (adjusted OR 30.00 [95% CI 1.41–638.63], $P=0.029$, $n=15$), but not in non- ϵ 4 carriers ($n=57$).

Conclusion—The *APOE* ϵ 4 and older age increased the likelihood of cerebral A β plaque deposition in HIV-infected adults. Generally A β plaques in HIV brains were immunohistologically different from those in symptomatic AD brains. Nonetheless, A β plaques were associated with HAND among *APOE* ϵ 4 carriers. The detection of *APOE* ϵ 4 genotype and cerebral A β deposition biomarkers may be useful in identifying living HAND subjects who could benefit from A β -targeted therapies.

Keywords

Apolipoprotein E; β -amyloid; HIV dementia; neurofibrillary pathology; phospho-Tau

Introduction

In the current era of highly active antiretroviral therapy (HAART), HIV-associated neurocognitive disorders (HAND) continue to affect the clinical outcome of HIV infection [1, 2]. Specifically, the milder forms of HAND, asymptomatic neurocognitive impairment (ANI) and mild neurocognitive disorder (MND), are more common than HIV-1-associated dementia (HAD). The differential susceptibility to HAND may be explained by individual differences in HIV variants, host genetic polymorphisms, and co-morbid factors (e.g., aging, substance use, and adverse effects of HAART), which may interact with each other in contributing to neural injury [3]. Some of these factors may trigger or promote a cascade of metabolic disturbances, leading to neurodegeneration and thereby neurocognitive impairment. For instance, postmortem studies showed extracellular β -amyloid (A β) deposition (as plaques) in the isocortex [4–8] and hippocampus [9] in subsets of HIV-infected adults.

The disturbance in cerebral A β metabolism may be one of the potential pathophysiologic pathways leading to HAND. While no systematic correlative analyses between cerebral A β deposition and neurocognitive impairment were available in previous autopsy studies [5, 8, 9], a clinical study by Clifford *et al.* [10], in agreement with a report by Brew *et al.* [11], showed that A β 42 levels in the cerebrospinal fluid (CSF) were decreased in HAND patients similar to the levels in patients with mild Alzheimer-type dementia, when compared to those in cognitively normal subjects. The decrease in CSF A β 42 levels reflects generally the presence of cerebral A β deposition detected by [^{11}C] Pittsburgh compound B (PiB) positron emission tomography (PET) [12, 13]. However, the findings in those CSF studies were not confirmed in a similar study by Gisslen *et al.* [14], which might be explained by between-study differences in patients' age and antiretroviral therapy.

The apolipoprotein E (*APOE*) ϵ 4 allele correlates with the earlier onset and greater extent of cerebral A β accumulation [15, 16] and is a major risk factor for sporadic Alzheimer's disease (AD) and cerebral amyloid angiopathy (CAA) [17]. The major co-dominant alleles (i.e., ϵ 2, ϵ 3, and ϵ 4) in the human *APOE* gene are associated with differential biological activities of their protein products [18]. The *APOE* is an A β -binding molecule that may influence the clearance of soluble A β at the blood-brain barrier and affect A β seeding and aggregation [18–20]. Across several studies in HIV-infected adults, it remains controversial as to whether the *APOE* ϵ 4 increases the susceptibility to HAND [21–26].

The present study was aimed at exploring the influence of *APOE* ϵ 4 on cerebral A β deposition in HIV-infected adults and studying their significance in contributing to HAND. We followed a clinico-pathological correlative approach in studying HIV-infected adults who received detailed clinical, neuropsychological, and laboratory assessments as part of the National NeuroAIDS Tissue Consortium (NNTC). We hypothesized that HAND would be associated with the *APOE* ϵ 4 genotype and cerebral A β deposition. If so, the detection of *APOE* ϵ 4 and brain A β deposition may be useful in identifying HAND patients who could benefit from A β -targeted therapies.

Methods

Study cohort

We assembled 160 HIV cases in total (age range 27–67 years) autopsied during 1999–2010. Frozen tissues were available for *APOE* genotyping in 151 cases and formalin-fixed middle-frontal sections for immunohistochemistry in 105 cases. These brains were obtained from HIV subjects who participated in neuropsychological testing at a median of 20.7 weeks before death (interquartile range [IQR] 37.7 weeks). Seven domains of neurocognitive functioning were assessed: information processing speed, attention/working memory, learning, recall memory, verbal fluency, abstraction/executive functioning, and motor/psychomotor speed, with statistical correction for demographic variables (i.e., age, sex, ethnicity, and education), as described previously [27]. Based on standard criteria [28], HIV-associated neurocognitive diagnoses were made, including normal cognition ($n=32$), ANI ($n=19$), MND ($n=37$), and HAD ($n=22$). There were 47 subjects affected by neuropsychological impairment due to other or undetermined causes, and 3 subjects whose diagnoses were inconclusive; these cases were excluded from the statistical analysis regarding HAND.

Histories of antiretroviral treatment available in 101 HIV subjects were recorded within a median of 17.6 weeks (IQR 32.3 weeks) before death and grouped into ‘no treatment’ ($n=31$), ‘non-HAART regimens’ ($n=6$), and ‘HAART regimens’ ($n=64$). The antiretroviral regimens and their durations varied markedly among HIV subjects. Hepatitis C virus (HCV) infection was present in 47 (37.6%) of 125 HIV subjects having serological testing. We used either Psychiatric Research Interview for Substance and Mental Disorders [29] or Composite International Diagnostic Interview [30] to ascertain lifetime substance use disorders based on the Diagnostic and Statistical Manual of Mental Disorders (fourth edition). Of 122 HIV subjects evaluated for methamphetamine use, 46 (37.7%) were recorded as having ‘lifetime’ methamphetamine use (combining ‘abuse’ and ‘dependence’ categories); at the final premortem visits, only 2 of these 46 had current dependence and none had current abuse. Of 121 HIV subjects evaluated for major depressive disorder (MDD), 56 (46.3%) were recorded as having ‘lifetime’ MDD; 12 of these 56 had ‘current’ MDD at the final premortem visits. Because of the high prevalence of co-morbid factors described above, we included them as covariates in the statistical analysis.

Systemic autopsy findings were commonly diagnostic of AIDS; other frequent findings included hepatic cirrhosis and bronchopneumonia. Of 160 HIV brains, 44 were normal, 24 had minimal histopathologic changes, 18 with Alzheimer type II gliosis, 29 with vascular pathology (e.g., hypoxic-ischemic changes, infarcts, and hemorrhages), 16 with HIV encephalitis, 8 with leukoencephalopathy, 10 with microglial nodules, 19 with one or more opportunistic infections (e.g., cytomegalovirus encephalitis, toxoplasmosis, cryptococcosis, and progressive multifocal leukoencephalopathy), and 10 focally involved by primary or secondary non-Hodgkin’s lymphoma. Of 105 HIV brains available for immunohistochemistry, only 1 showed HIV encephalitis.

Non-HIV controls ($n=22$, age range 24–90 years) with no clinical history of neurological diseases were autopsied during 1992–2009. The systemic autopsy findings included organ transplantation, hepatic cirrhosis, lymphomas, and cardiovascular diseases. The neuropathologic diagnosis was either normal or minimal histopathologic changes. The formalin-fixed isocortex sections were available for immunohistochemistry.

APOE genotyping

Tissue samples obtained at autopsy were stored at -80°C until the time of total DNA extraction using DNeasy Blood & Tissue Kit (Qiagen, Germantown, MD, USA). The amount of genomic DNA was quantified by using NanoDrop® Spectrophotometer (NanoDrop Technologies, Wilmington, DE, USA). For *APOE* genotyping, we used the allelic discrimination assay (Taqman® SNP Genotyping Assays, Applied Biosystems, Carlsbad, CA, USA) according to the manufacturer's instructions. The allele calls and genotypes of samples were determined by using the Taqman® Genotyper software.

Immunohistochemistry

Five- μm -thick paraffin-embedded isocortex sections with no significant histopathologic changes were immunostained with primary antibodies to A β -4G8 (mouse monoclonal, clone 4G8, #SIG-39220, Covance, Princeton, NJ, USA, 1:20,000 dilution), A β 40 (rabbit polyclonal, #AB-5074P, Millipore, Billerica, MA, USA, 1:500), A β 42 (rabbit polyclonal, #AB-5078P, Millipore, 1:500), and phospho-Tau (p-Tau, mouse monoclonal, clone AT8, #MN1020, Pierce Biotechnology, Rockford, IL, USA, 1:1,000). The sections were incubated with 90% formic acid (5 min for A β staining) or 10 mM sodium citrate/0.05% Tween 20 buffer (pH 6) in 121°C autoclave (20 min for p-Tau staining). Immunohistochemical signals were developed using species-appropriate ImmPRESS™ anti-IgG (peroxidase) polymer detection kits (Vector Laboratories, Burlingame, CA, USA) and diaminobenzidine (ImmPACT™ DAB peroxidase substrate, Vector Laboratories), as previously described [31]. The sections were counterstained with hematoxylin. Isocortex sections from AD were used as positive tissue controls. For negative reagent controls, the primary antibodies were omitted.

Light microscopy

The presence of A β plaques or CAA was confirmed when these lesions were found in any of A β -4G8, A β 40, and A β 42 immunostained slides due to the fact that cerebral A β deposits characteristically exhibit an uneven multifocal distribution [32]. The density of A β plaques was graded as 'focal' and 'widespread.' CAA was qualitatively graded according to Vonsattel criteria [33] as 'mild,' 'moderate,' and 'severe.' The density of p-Tau-immunoreactive neurites was graded as '1' (barely present at x100 magnification), '2' (easily noted at x100 magnification), and '3' (notable with naked eye inspection), a scoring system adapted from a BrainNet Europe Consortium study [34].

Statistical analysis

We used multivariable logistic regressions to model outcomes (i.e., cerebral A β plaques and HAND [vs. normal cognition]) on predictors (i.e., *APOE* ϵ 4, older age [> 50 years], A β plaques, and their two-way interactions) and each of four covariates (i.e., antiretroviral treatment, HCV infection, methamphetamine use, and MDD). The statistical analyses were performed using R (version 2.10.0, 2009, <http://www.r-project.org>). All two-tailed *P* values were considered significant at a threshold of $P < 0.05$.

Results

Cohort characteristics

Between HIV and non-HIV control groups, there was no significant difference in age (median 46 and 50 years, IQR 14 and 22.3 years, $n=160$ and 22, respectively; $P=0.42$, Mann-Whitney U test) or postmortem interval (median 12 and 15 h, IQR 13.4 and 13 h, $n=158$ and 21, respectively; $P=0.33$, U test). The proportion of women in the HIV group ($n=19$ of 160) was lower than in the control group ($n=10$ of 22; $P=0.0004$, Fisher's exact test).

APOE genotyping

Among 151 HIV cases, the prevalence of *APOE* $\epsilon 2/\epsilon 2$ was 0.7%, $\epsilon 2/\epsilon 3$ 11.3%, $\epsilon 3/\epsilon 3$ 62.3%, $\epsilon 2/\epsilon 4$ 2.6%, $\epsilon 3/\epsilon 4$ 20.5%, and $\epsilon 4/\epsilon 4$ 2.6%. This genotypic distribution in HIV cases was not significantly different from that in the general population [18] ($\chi^2=4.87$, $df=5$, $P>0.25$, chi-square goodness-of-fit test). *APOE* $\epsilon 4$ carriers (having 1 or 2 $\epsilon 4$ alleles) composed 28.0% of 93 young and 22.4% of 58 older HIV cases ($P=0.57$, Fisher's exact test).

Cerebral A β deposition

In both HIV and control groups, parenchymal A β deposits were found in most instances as diffuse plaques in the cortical gray matter, often exhibiting perivascular and perineuronal accumulation (Fig. 1a and b). Cored A β plaques were seen in only 1 HIV case and 2 controls, in concurrence with diffuse A β plaques. The prevalence of A β plaques was 29.5% of 105 HIV cases (focal 24, widespread 7) and 22.7% of 22 controls (focal 2, widespread 3). CAA was found in 6.7% (mild 3, moderate 3, severe 1) of 105 HIV cases and in 4.5% (moderate 1) of 22 controls, always together with A β plaques. Intracellular A β immunoreactivity in neuronal soma was focally observed (more often on A β -4G8 than A β 40 or A β 42 staining) in subsets of HIV cases and controls, without regard to the presence of A β plaques in the same section.

p-Tau-immunoreactive neurofibrillary pathology

Among 105 HIV cases, scattered p-Tau-immunoreactive neurites (Fig. 1c) were present in 34.3% (grade-1 density 34, grade-2 density 2, grade-3 density 0). Of these 36 cases with neurites, 8 had rare neurons with diffuse soma labeling, and 3 showed rare neurons with neurofibrillary tangles (Fig. 1d). Rare clusters of p-Tau-immunoreactive dystrophic neurites, consistent with neuritic plaques (Fig. 1e), were found in 5 HIV cases (4.8%). Of 22 controls, 8 (36.4%) showed p-Tau-immunoreactive neurites of grade-1 density, 2 of which concurrently had rare neurons with diffuse soma labeling.

APOE $\epsilon 4$ and older age independently predicted cerebral A β plaque deposition

In univariable logistic regression, the presence of A β plaques was significantly associated with the *APOE* $\epsilon 4$ and older age ($P<0.001$ and $=0.016$, respectively), but not with each of the four covariates (i.e., antiretroviral treatment, HCV infection, methamphetamine use, and MDD) [$P>0.15$]. In multivariable logistic regression (Model: A β = *APOE* $\epsilon 4$ + older age + covariate), the *APOE* $\epsilon 4$ and older age remained significant independent predictors for A β plaques after adjusting for each of the four covariates ($P<0.05$). In contrast, none of these covariates showed significant association with A β plaques after adjusting for the *APOE* $\epsilon 4$ and older age ($P>0.16$). Accordingly, all the covariates were excluded. In Model ($n=96$): A β = *APOE* $\epsilon 4$ + older age, the *APOE* $\epsilon 4$ predicted A β plaques (adjusted odds ratio [OR] 10.16 [95% confidence interval (CI) 2.89–35.76], $P=0.0003$), as did older age (adjusted OR 5.77

[95% CI 1.91–17.48], $P=0.0019$). The interaction effect of *APOE* $\epsilon 4$ and older age on the presence of A β plaques was not statistically significant ($P=0.97$) [Fig. 2].

Furthermore, the *APOE* $\epsilon 4$ was significantly associated with the abundance of A β plaques (none, focal, widespread) on multinomial logistic regression (overall $P=0.002$, $n=96$). That is, the odds of having focal A β plaques (relative to none) was higher among *APOE* $\epsilon 4$ carriers compared to non- $\epsilon 4$ carriers (OR 3.35 [95% CI 1.22–9.19], $P=0.019$), as was the odds of having widespread A β plaques [relative to none] (OR 11.73 [95% CI 2.05–67.20], $P=0.006$).

Interaction effect of *APOE* $\epsilon 4$ and cerebral A β plaque deposition on HAND

Univariable logistic regression showed no significant association between HAND and each of demographic and biologically relevant variables ($P>0.09$) [Table 1]. We used multivariable logistic regression to explore the effects of *APOE* $\epsilon 4$, A β plaques, older age, and their two-way interactions on HAND. The model selection process was pursued according to the Akaike Information Criteria (a measure of the relative goodness of fit of a statistical model) to include only those variables and interactions that provided the most accurate prediction of HAND. The interaction effects of older age and *APOE* $\epsilon 4$ or A β plaques, as well as the main effect of older age, on HAND were not statistically significant.

In Model ($n=72$): $\text{HAND} = \text{APOE } \epsilon 4 + \text{A}\beta + [\text{APOE } \epsilon 4 \times \text{A}\beta]$, the interaction effect of *APOE* $\epsilon 4$ and A β plaques on HAND approached statistical significance ($P=0.078$). The probability of HAND was increased in the presence of A β plaques among *APOE* $\epsilon 4$ carriers (adjusted OR 30.00 [95% CI 1.41–638.63], $n=15$, $P=0.029$), but not in non- $\epsilon 4$ carriers (adjusted OR 1.30 [95% CI 0.24–7.09], $n=57$, $P=0.76$) [Table 2] (Fig. 3).

Potential effects of co-morbid factors on HAND

We further investigated whether the interaction effect of *APOE* $\epsilon 4$ and A β plaques on HAND remained after adjusting for older age and each of the four covariates. Age remained irrelevant in all of these models. In Model: $\text{HAND} = \text{APOE } \epsilon 4 + \text{A}\beta + [\text{APOE } \epsilon 4 \times \text{A}\beta] + \text{covariate}$, neither antiretroviral treatment nor HCV infection was a significant predictor of HAND ($P=0.67$ and 0.91 , respectively).

Among 66 HIV cases (with complete data on HAND, *APOE* $\epsilon 4$, A β plaques, methamphetamine use, and MDD), methamphetamine use was significantly associated with the lower probability of HAND (adjusted OR 0.27 [95% CI 0.08–0.97], $P=0.045$), as was MDD (adjusted OR 0.24 [95% CI 0.07–0.89], $P=0.032$). Neither the interaction effect of methamphetamine use and *APOE* $\epsilon 4$ nor A β plaques on HAND was statistically significant ($P=0.38$ and 0.82 , respectively), nor was that of MDD ($P=0.28$ and 0.95 , respectively). The issue of multicollinearity was of trivial concern because there was no significant association between methamphetamine use (or MDD) and *APOE* $\epsilon 4$ (or A β plaques) [$P>0.6$, all chi-square tests].

In Model: $\text{HAND} = \text{APOE } \epsilon 4 + \text{A}\beta + [\text{APOE } \epsilon 4 \times \text{A}\beta] + \text{methamphetamine use}$, the probability of HAND tended to increase in the presence of A β plaques among *APOE* $\epsilon 4$ carriers (adjusted OR 20.15 [95% CI 0.86–471.24], $P=0.062$), but not in non- $\epsilon 4$ carriers (adjusted OR 1.22 [95% CI 0.20–7.55], $P=0.83$).

In Model: $\text{HAND} = \text{APOE } \epsilon 4 + \text{A}\beta + [\text{APOE } \epsilon 4 \times \text{A}\beta] + \text{MDD}$, the interaction effect of *APOE* $\epsilon 4$ and A β plaques on HAND was statistically significant ($P=0.039$). The probability of HAND was increased in the presence of A β plaques among *APOE* $\epsilon 4$ carriers (adjusted OR 39.13 [95% CI 1.59–962.24], $P=0.025$), but not in non- $\epsilon 4$ carriers (adjusted OR 0.78 [95% CI 0.12–4.94], $P=0.80$).

Discussion

Generally A β plaques first appear in the isocortex and then expand with increasing age hierarchically into further brain regions, representing different phases of A β deposition [35]. The middle frontal gyrus is one of the isocortex regions having relatively high A β plaque density [36]. Accordingly, we chose to examine this brain region for A β plaques. We found that cerebral A β deposits both in HIV cases and non-HIV controls occurred mostly as diffuse plaques and were rarely associated with p-Tau-immunoreactive neurofibrillary lesions. These findings agree with those in previous studies of HIV brains [4–6]. Diffuse A β plaques likely represent the earliest stage of temporal progression of A β plaques [37], in contrast to neuritic cored A β plaques characteristically present in symptomatic AD brains.

Regarding the *APOE* genotypic distribution, our HIV case series appeared to represent the general population. We found the *APOE* ϵ 4 and older age were independently associated with the presence of cerebral A β plaques after adjusting for each co-morbid factor. Furthermore, the *APOE* ϵ 4 was associated with the abundance of cerebral A β plaques. These findings in HIV subjects concur with those in the general population [15, 16, 38].

Notably, we found an interaction effect of the *APOE* ϵ 4 and cerebral A β plaques on HAND. That is, the presence of A β plaques was associated with HAND among *APOE* ϵ 4 carriers, but not in non- ϵ 4 carriers. Our finding suggests *APOE* isoforms differentially modulate the association between cerebral A β plaques and HAND. Indeed this concurs with a clinical study in the older population by Kantarci *et al.* [39] showing that higher brain A β loads detected by PiB PET correlated with poorer cognitive performance among *APOE* ϵ 4 carriers.

In a small study by Ances *et al.* [40] with the assessment of cortical PiB retention, none of 5 HAND and 11 cognitively normal HIV subjects had increased PiB retention in contrast to symptomatic AD subjects. On the other hand, CSF A β 42 levels were decreased (<500 pg/ml cutoff value) in 2 of 4 HAND and 3 of 8 cognitively normal HIV subjects, but in only 1 of 8 non-HIV controls apparently matched for the *APOE* ϵ 4 status and age. Previous clinical studies by Clifford *et al.* [10] and Brew *et al.* [11] also showed that CSF A β 42 levels were reduced in HAND subjects compared to those in cognitively normal subjects. Decreases in CSF A β 42 levels correlate generally with increases in cortical PiB retention indicating the presence of cerebral A β deposition [12, 13]; however, the CSF changes begin at earlier ages than changes in cortical PiB retention [16, 41]. As PiB (a derivative of thioflavin-T [42]) binds to β -pleated sheet aggregates of peptides (i.e., amyloid, including fibrillar A β), PiB readily marks cored A β plaques (whether or not they are neuritic) [41, 43]. Due to its higher affinity to fibrillar A β compared to the affinity of thioflavin-S [44], PiB also marks diffuse A β plaques [41, 43], characteristically composed of small amounts of fibrillar A β [45]. Taken together, it is likely that HIV subjects with reduced CSF A β 42 levels have cerebral A β deposition, which (depending on the fibrillar A β load) may or may not be detected by PiB PET [16, 41]. Accordingly, measurement of CSF A β 42 levels may be more sensitive than PiB PET for the detection of cerebral A β deposition in HIV-infected adults. PiB PET may be useful in the event that the cerebral A β load is high as is seen with the presence of widespread A β plaques (found in 6.7% of 105 HIV cases in our study).

Although *APOE* ϵ 4 correlates with the earlier onset and greater extent of cerebral A β accumulation [15, 16], it is not an indispensable factor for cerebral A β deposition. Progressive A β accumulation may be caused by increased A β production by neurons, increased influx of A β from the circulation, decreased enzymatic degradation of A β , and defective efflux of soluble A β from the interstitial fluid (ISF) [46]. In addition to receptor-mediated transcytosis of A β across the blood-brain barrier, A β elimination may be mediated

by perivascular macrophages [47], via bulk flow of ISF into the ventricles [48], and through perivascular ISF drainage along the basement membranes of capillaries and arteries [49, 50]. APOE isoforms may differentially regulate the clearance of soluble A β at the blood-brain barrier and the propensity for A β to aggregate [18–20]. In addition to its enhancing effect on cerebral A β accumulation, the APOE-4 isoform may potentiate the effect of A β plaques on the neurodegenerative process leading to HAND through other mechanisms yet to be determined.

Unexpectedly, we found that methamphetamine use and MDD were individually associated with the lower probability of HAND, after adjusting for the *APOE* ϵ 4 and cerebral A β plaques. In our study, the majority (71.8%) of HAND cases were in milder forms (ANI and MND). Accordingly, neural injury in most HIV cases was probably not at irreversible stages, that is, the brain retained a degree of plasticity while exposed to methamphetamine. Previous studies showed that methamphetamine (low dose) enhanced cognitive performance [51], especially in tasks that required long periods of sustained attention in individuals with relatively low (prefrontal cortex-dependent) working memory capacity at baseline [52]. The combined effects of HIV and methamphetamine in this context are of particular interest and can be investigated in future studies. Regarding the association between MDD and HAND, the HIV-infected patients affected by MDD might be treated with selective serotonin reuptake inhibitors, which were shown to correlate with reductions in cerebral A β accumulation due to increased serotonin signaling [53]. However, we did not find any significant association between the presence of A β plaques and MDD.

In conclusion, we investigated the influence of *APOE* ϵ 4 on cerebral A β deposition in HIV-infected adults and their significance in contributing to HAND, by using clinical, laboratory, and postmortem tissue resources available from the NNTC. We found that *APOE* ϵ 4 and older age independently increased the likelihood of cerebral A β plaque deposition. Although A β plaques in HIV brains were immunohistologically similar to those in aging brains and different from those in symptomatic AD brains, cerebral A β deposition was associated with HAND among *APOE* ϵ 4 carriers after adjusting for each co-morbid factor. Accordingly, the detection of *APOE* ϵ 4 and biomarkers of cerebral A β deposition (e.g., decreases in CSF A β 42 levels) may be useful in identifying HAND subjects who could benefit from A β -targeted therapies. Still, future studies in the HIV-infected population are warranted to confirm the inverse relationship between CSF A β 42 levels and the abundance of cerebral A β plaques. Based on our finding that isocortical p-Tau-immunoreactive neurofibrillary pathology was sparse in HIV subjects, CSF p-Tau measurement may be useful in differentiating HAND from AD and other tauopathies in older patients [10, 54, 55].

Acknowledgments

Source of funding

This work was supported in part by the Don & Marilyn Short Fellowship in Parkinson's Disease (V.S.) and the U.S. National Institutes of Health grants R25 MH081482 (V.S., M.C.), P50 DA026306 (I.G., V.S., E.T.T., C.L.A.), R03 DA027513 (M.C., V.S., B.S., C.L.A.), P30 MH062512 (I.G., C.L.A.), U01 MH083506 and R24 MH059745 (I.G., C.L.A., D.J.M.), U01 MH008021 and R24 NS038841 (A.J.L. and E.J.S.), U01 MH083507 and R24 NS045491 (B.B.G.), U01 MH083501 and R24 MH059724 (S.M.), and P50 AG016570 and U01 AI035040 (H.V.V.). For the remaining authors none were declared.

We thank Wilmar Dumaop (University of California, San Diego), David A. Jones, Jr. and Spencer Tung (University of California, Los Angeles), Joshua G. Lisinicchia (University of Texas Medical Branch), and Ruijin Shi (Mount Sinai Medical Center) for their technical expertise in tissue preparation. We are grateful to PinYi Du (Center for AIDS Research, University of California, San Diego) for her technical expertise in running the Applied Biosystems 7900HT Real-Time PCR System.

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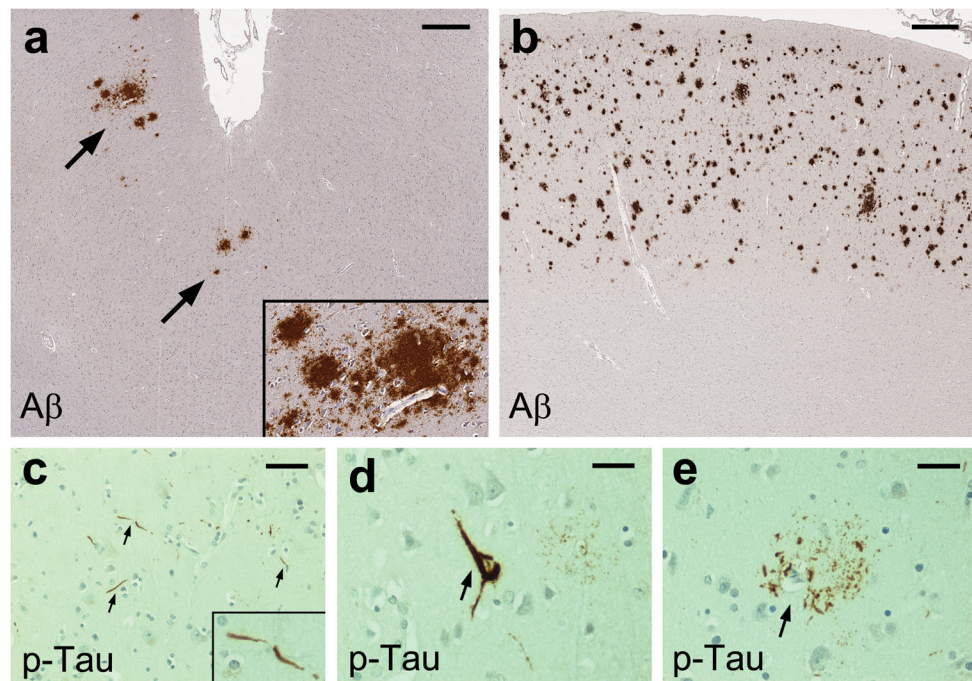


Fig. 1. β -Amyloid ($A\beta$) and phospho-Tau (p-Tau) pathology in the middle frontal cortex of HIV-infected adults

Immunohistochemical staining with anti- $A\beta$ antibody (clone 4G8) shows diffuse plaques of focal (a, arrows) or widespread (b) density in the cortex; scale bars 500 μ m.

Immunohistochemical staining with anti-p-Tau antibody (clone AT8) shows scattered neurites (c, arrows), an intraneuronal neurofibrillary tangle (d, arrow), and a cluster of dystrophic neurites, consistent with a neuritic plaque, (e, arrow); scale bars 30 μ m.

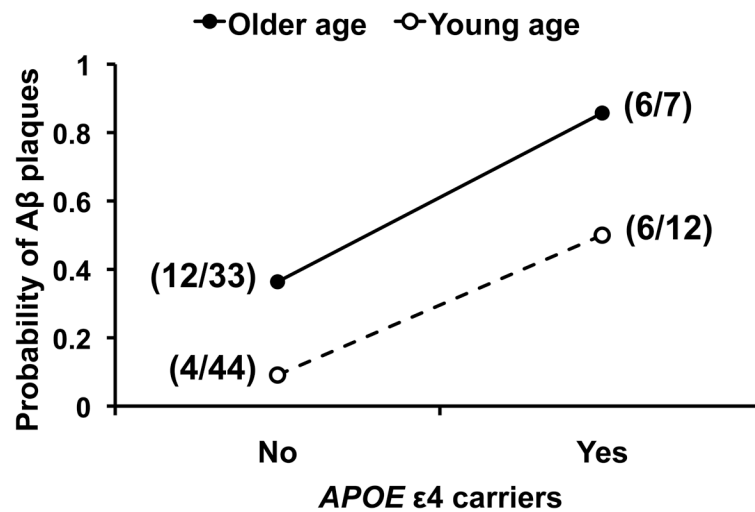


Fig. 2. The apolipoprotein E (*APOE*) ϵ 4 genotype and older age (≥ 50 years) independently predicts cerebral β -amyloid ($A\beta$) plaque deposition in HIV-infected adults

There is no significant interaction effect of the *APOE* ϵ 4 and older age on the presence of $A\beta$ plaques. The probability of $A\beta$ plaques is increased either with the *APOE* ϵ 4 (adjusted odds ratio [OR] 10.16 [95% confidence interval (CI) 2.89–35.76], $P=0.0003$) or older age (adjusted OR 5.77 [95% CI 1.91–17.48], $P=0.0019$). Shown in parentheses is the number of cases with $A\beta$ plaques out of the total number of cases with and without $A\beta$ plaques in each of the four *APOE* ϵ 4–age subgroups.

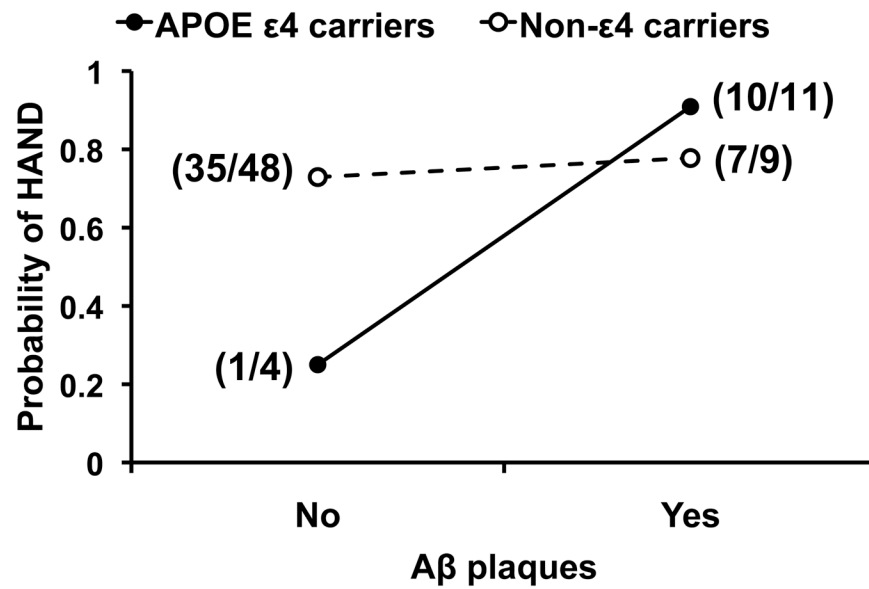


Fig. 3. The interaction effect of apolipoprotein E (*APOE*) ϵ 4 genotype and cerebral β -amyloid ($A\beta$) plaque deposition on HIV-associated neurocognitive disorders (HAND)
 The probability of HAND is increased in the presence of $A\beta$ plaques among *APOE* ϵ 4 carriers (adjusted odds ratio [OR] 30.00 [95% confidence interval (CI) 1.41–638.63], $P=0.029$), but not in non- ϵ 4 carriers (adjusted OR 1.30 [95% CI 0.24–7.09], $P=0.76$). Shown in parentheses is the number of HAND cases out of the total number of HAND cases and cases with normal cognition in each of the four *APOE* ϵ 4– $A\beta$ plaque subgroups.

Table 1

Demographic and biologically relevant factors in regard to HAND.

Factors	HAND	Normal cognition	% HAND
Overall	78	32	70.9
Age			
Median [IQR] (y)	44 [14.5]	45.5 [12.3]	
Young [<50 y]	53	22	70.7
Older [≥ 50 y]	25	10	71.4
Gender			
Female	7	5	58.3
Male	71	27	72.4
Ethnicity			
White	48	22	68.6
Hispanic	15	4	78.9
Black	11	4	73.3
Asian	2	1	66.7
Others	2	1	66.7
Education			
Median [IQR] (y), n	12 [2], 73	13 [1.5], 31	
Antiretroviral treatment			
None	15	8	65.2
Non-HAART	2	1	66.7
HAART	22	18	55
Hepatitis C virus infection			
(+)	17	9	65.4
(-)	41	16	71.9
Methamphetamine use (lifetime)			
(+)	23	14	62.2
(-)	40	11	78.4
Major depressive disorder (lifetime)			
(+)	25	14	64.1
(-)	38	11	77.6
HIV encephalitis			
(+)	8	3	72.7
(-)	69	29	70.4

HAND, HIV-associated neurocognitive disorders; IQR, interquartile range; y, years; n, number of subjects; HAART, highly active antiretroviral therapy; (+), present; (-), absent.

Table 2

The *APOE* $\epsilon 4$ carrier status^a and cerebral A β plaque deposition^b in regard to HAND.

Predictors	HAND	Normal cognition	% HAND
<i>APOE</i> $\epsilon 4$ carriers			
(+) A β plaques	10	1	90.9
(-) A β plaques	1	3	25
Non- $\epsilon 4$ carriers			
(+) A β plaques	7	2	77.8
(-) A β plaques	35	13	72.9

APOE, apolipoprotein E gene; A β , β -amyloid protein; HAND, HIV-associated neurocognitive disorders; (+), present; (-), absent.

^aWith 1 or 2 *APOE* $\epsilon 4$ alleles.

^bA β plaques detected by immunohistochemistry in the middle frontal cortex.