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# Stem-like tumor initiating cells isolated from IL13Rα2-expressing gliomas are targeted and killed by IL13-zetakine redirected T cells

Christine E. Brown<sup>1,6</sup>, Renate Starr<sup>1</sup>, Brenda Aguilar<sup>1</sup>, Andrew F. Shami<sup>1</sup>, Catalina Martinez<sup>1</sup>, Massimo D'Apuzzo<sup>3</sup>, Michael E. Barish<sup>4</sup>, Stephen J. Forman<sup>1</sup>, and Michael C. Jensen<sup>5</sup>

<sup>1</sup>Department of Cancer Immunotherapy & Tumor Immunology and Hematology & Hematopoietic Cell Transplantation, Beckman Research Institute at City of Hope National Medical Center, Duarte, CA 91010

<sup>2</sup>Department of Molecular Medicine, Beckman Research Institute at City of Hope National Medical Center, Duarte, CA 91010

<sup>3</sup>Department of Pathology, Beckman Research Institute at City of Hope National Medical Center, Duarte, CA 91010

<sup>4</sup>Department of Neurosciences; Beckman Research Institute, Beckman Research Institute at City of Hope National Medical Center, Duarte, CA 91010

<sup>5</sup>Center for Childhood Cancer, Seattle Children's Research Institute, Seattle, WA 98195

### Abstract

**Purpose**—To evaluate IL13R $\alpha$ 2 as an immunotherapeutic target for eliminating glioma stemlike initiating cells (GSC) of high-grade gliomas, with particular focus on the potential of genetically engineered IL13R $\alpha$ 2-specific primary human CD8<sup>+</sup> cytotoxic T lymphocytes (IL13zetakine<sup>+</sup> CTL) to target this therapeutically resistant glioma subpopulation.

**Experimental Design**—A panel of low-passage GSC tumor sphere and serum-differentiated glioma lines were expanded from patient glioblastoma specimens. These glioblastoma lines were evaluated for expression of IL13R $\alpha$ 2 and for susceptibility to IL13-zetakine<sup>+</sup> CTL-mediated killing *in vitro* and *in vivo*.

**Results**—We observed that while glioma IL13Ra2 expression varies between patients, for IL13Ra2<sup>pos</sup> cases this antigen was detected on both GSCs and more differentiated tumor cell populations. IL13-zetakine<sup>+</sup> CTL were capable of efficient recognition and killing of both IL13Ra2<sup>pos</sup> GSC and IL13Ra2<sup>pos</sup> differentiated cells *in vitro*, as well as eliminating glioma initiating activity in an orthotopic mouse tumor model. Furthermore, intracranial administration of IL13-zetakine<sup>+</sup> CTL displayed robust anti-tumor activity against established IL13Ra2<sup>pos</sup> GSC tumor sphere-initiated orthotopic tumors in mice.

**Conclusions**—Within IL13Ra2-expressing high-grade gliomas, this receptor is expressed by GSCs and differentiated tumor populations, rendering both targetable by IL13-zetakine<sup>+</sup> CTLs.

<sup>&</sup>lt;sup>6</sup>Correspondence: C.E.B, Departments of CITI and Hem/HCT, City of Hope, Duarte, California 91010; Tel: 626-256-4673 x63977 Fax: 626-301-8978; cbrown@coh.org.

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Thus, our results support the potential utility of IL13Ra2-directed immunotherapeutic approaches for eradicating therapeutically resistant GSC populations.

### **Keywords**

IL13Ra2; glioma stem-like cell; cytolytic T lymphocyte; adoptive T cell therapy

### Introduction

The prognosis of patients with high-grade gliomas remains grim despite improvements in standard therapies including surgery, radiation and chemotherapy. The deadly nature of this disease is, in part, attributed to therapeutically resistant subpopulations of glioma stem-like cancer initiating cells (GSC) that display many characteristics of normal stem cells, including expression of stem cell markers and the potential for sustained self-renewal [1, 2]. GSCs exhibit potent tumor-initiating potential and are hypothesized to seed disease recurrence [3–5] because of their resistance to conventional chemotherapy and radiation regimens.

Cellular immunotherapies have the potential to target and eliminate this therapeutically resistant GSC population [6]. Recent promising data have demonstrated that tumor stem cells are susceptible to both CTL and natural killer (NK) perforin-dependent killing mechanisms [7–11]. Further, GSCs and differentiated tumor cells express equivalent levels of markers involved in immunologic synapse formation, and CD8<sup>+</sup> CTL can eliminate cell populations capable of tumor engraftment [9]. Although challenges remain in addressing the confounding effects of tumor immuno-suppressive networks, particularly as they relate to specific strategies that may be employed by tumor stem cells [12–14], the intrinsic ability of immune cells to recognize and kill tumor stem cells is sufficiently powerful to warrant efforts to overcome these obstacles.

IL-13 receptor  $\alpha 2$  (IL13R $\alpha 2$ ) is a cell surface receptor that has received significant attention brain tumor therapy because it is over-expressed by a subset of high-grade gliomas, but not expressed at significant levels by normal brain tissue [15–18]. Our group has developed an IL13Ra2-targeting immunotherapy platform for high-grade gliomas by genetically modifying T cells to express an IL13Ra2-specific chimeric antigen receptor (CAR) termed IL13-zetakine [19]. This CAR recognizes IL13R $\alpha$ 2 via a membrane-tethered IL13 ligand, and initiates cytolytic killing via an intracellular CD3-zeta T cell activating domain. It also incorporates a point mutation in the IL13 ligand domain (E13Y) for preferential binding to IL13Ra2, and reduced affinity to the more ubiquitously expressed IL13 receptor, IL13Ra1 [20]. Previous studies utilizing U87 and other long-established cell lines demonstrate that engineered IL13-zetakine<sup>+</sup> T cells exhibit potent MHC-independent, IL13Ra2-specific antiglioma cytolytic activity, and induce regression of orthotopic xenografts in vivo [19]. Realization of the potential of therapies targeting IL13Ra2 for the treatment of malignant glioma, some of which are in clinical trial [21–24], will hinge, in part, on their ability to eradicate malignant stem/initiating tumor cell subpopulations. Here we assess the expression of IL13Ra2 on stem-like versus differentiated glioma populations, and evaluate the relative susceptibility of glioma cell populations of varying differentiation status to IL13-zetakine<sup>+</sup> CTL mediated killing.

### **Materials and Methods**

### **Cell Lines**

Glioma specimens, graded according to World Health Organization (WHO) established guidelines (Supp. Table S1), were obtained from patients in accordance with Institutional

Review Board-approved protocols. Tumor specimens were de-identified and given unique patient brain tumor (PBT) numbers. For one tumor obtained from a patient who participated in our adoptive T cell therapy clinical trial, it was also referred to by its unique patient number (UPN) to indicate the patient from which this tumor was derived (PBT015-UPN033). Short-term tumor sphere (TS) cultures of GSCs and adherent lines were expanded and cultured as previously described [9]. In some cases tumor explants were expanded by heterotopic subcutaneous (s.c.) passaging in mice prior to growth and characterization in culture [9], and in such cases the s.c. passage number is reported after the PBT number (for instance, PBT009-2 was s.c. passaged twice, and PBT003-4 and PBT017-4 were s.c. passaged four times). Differentiated lines (DIF) were generated by growing TS in Sato's Media (DMEM:F12, 2 mmol/L, L-glutamine, 25 mmol/L HEPES, and 7% FCS) for 7 to 14 days. Adherent lines (ADH) were generated by growing freshly dispersed tumor cells directly in Sato's media and used for assays between passages 7 to 30.

Human peripheral blood mononuclear cells (PBMC) were obtained from healthy donors or patients in accordance with Institutional Review Board-approved protocols. The generation of IL13-zetakine<sup>+</sup> (HD003 IL13-zetakine+ clone 2D7) and CD19R<sup>+</sup> (HD181 CD19R+ clone E8) CD8<sup>+</sup> T cell lines has been described previously [19, 25]. CD8<sup>+</sup> T cell lines derived from a patient who participated in our adoptive T cell therapy clinical trial is referred to by its UPN designation (UPN033). Healthy donor T cell lines were de-identified and designated unique healthy donor (HD) numbers. T cell clones were cultured as described [19], and all assays were performed between day 10 through 14 of the stimulation cycle. Lymphoblastoid lines (LCL) were established from Epstein-Barr virus-infected human PBMC [19]. LCL-OKT3 were engineered to express a membrane bound OKT3 single chain antibody (OKT3scFv) as described previously [26].

### Flow cytometric analysis

Cell surface expression of IL13Ra2 was detected using goat polyclonal anti-IL13Ra2 (AF146, R&D Systems), followed by murine anti-goat fluorescein isothiocyanate (FITC) (Jackson ImmunoResearch) Supp. Table S2. Cell-surface expression of CD133 was detected as described [9] with phycoerythrin (PE)-conjugated mouse anti-human CD133/1 and anti-human CD133/2 (Miltenyi Biotec) (Supp. Table S2). Percent of immunoreactive cells was calculated using the subtraction method via FCS Express version 3 software (De Novo Software).

#### Western analysis

Western blots were probed with goat polyclonal anti-IL13R $\alpha$ 2 (AF146) and anti-Olig2 (R&D Systems); rabbit polyclonal anti-Actin (Rockland); and mouse monoclonal anti- $\beta$ -III Tubulin (Millipore), anti-CD133 (Miltenyi), and anti-GFAP (Sigma-Aldrich) antibodies as per the manufacturers' instructions (Supp. Table S2). Blots were imaged on the Odyssey Infrared Imaging System (LI-COR) and band intensities were quantified using Odyssey v2.0 software (LI-COR).

### Immunofluorescence and Immunohistochemistry

TSs were plated on poly-L-ornithine (Sigma) and mouse laminin (BD Biosciences) coated chamber slides to support adherent growth [27]. When required, cells were grown for 7–10 days in 10% FCS-containing media to promote astrocytic differentiation (GFAP immunoreactive), or 0.5% FCS with 5  $\mu$ M forskolin (Sigma) to promote neuronal differentiation ( $\beta$ -III Tubulin immunoreactive). Cells were stained with primary antibodies anti-SOX2 (Abcam, Cambridge, MA), anti-Nestin cl. 10C2 (Millipore), anti-GFAP (Sigma), and anti- $\beta$ III Tubulin (Millipore), followed by anti-mouse or anti-rabbit Alexa-488 (Invitrogen), and co-stained with 4',6-diamidino-2-phenylindole (DAPI) mounting solution

(Vector Laboratories, Inc.) (Supp. Table S2). Cells were visualized using an AX70 fluorescent microscope (Olympus), and exposure settings for each antibody were kept constant between growth conditions.

Glioblastoma IL13Ra2 immunohistochemistry (IHC) was performed on 5  $\mu$ m-sections of formalin-fixed paraffin-embedded specimens, treated with EnVision FLEX Target Retrieval Solution Low pH (Dako) in a PT Link pretreatment module (Dako) for antigen retrieval. Sections were then blocked with serum-free protein block (Dako), incubated with 3.33 µg/mL goat polyclonal anti-IL13Ra2 (AF146), and detected using rabbit anti-goat (Dako) and the EnVision FLEX/HRP system (Dako). All staining was performed using the DAKO polymer automated system.

For mouse brain IHC, brains were harvested, fixed and embedded in paraffin as described previously [19]. 10 µm-thick horizontal brain sections were deparaffinized, underwent citrate-based antigen retrieval, and stained with anti-human Nestin cl. 10C2 (Millipore), anti-SOX2 (R&D Systems), anti-Olig2 (R&D Systems) or anti-GFAP (Dako) followed by detection using the EnVision kit (Vector Laboratories) with 50% hematoxylin counterstain.

### qPCR

To quantify IL13Ra2 mRNA levels, RNA samples were isolated from cells using the RNeasy Kit (Qiagen), and cDNA was prepared using the Superscript First Strand Synthesis System for RT-PCR kit (Invitrogen). Real-time PCR analysis was performed using SybrGreen (Qiagen) with specific primer pairs for IL13Ra2 (GenBank; NM\_000640.2) spanning exon 1–2 and exons 6–7 and  $\beta$ -actin (internal PCR control) (Supp. Table S3). Fold-difference in IL13Ra2 mRNA levels compared to the U251T calibrator line was determined using the Livak method [28].

### Cytotoxicity and Cytokine assays

Chromium release assays (CRA) were performed as previously described [29]. Cytokine production was measured by co-culturing T cells with tumor at a 10:1 E:T ratio. In blocking experiments, anti-IL13 antibody (BD Biosciences) and recombinant human IL-13 (Peprotech) were added at the indicated concentrations to responders and stimulators, respectively, 30 minutes prior to plating. After 20–24 hr incubation, supernatants were harvested and assayed using Luminex multiplex bead technology (Upstate), and output data were analyzed via the Bio-Plex Manager 4.0 (BioRad).

### Tumor xenografts and tumor volume determination

Mice were maintained under pathogen-free conditions, and all procedures were performed with 6–8 week old NOD-*scid* or NOD/scid/IL2R–/– (NSG) mice as approved by the COH Institute Animal Care and Use Committee. Intracranial tumor xenografts were performed as previously described [19]. No difference in tumor engraftment between NOD-*scid* and NSG mouse strains has been observed.

Fixed and paraffin-embedded brains were serially sectioned at 10 um intervals over the entire extent of the tumor. In most cases, every 20th section was stained with a human-specific nestin antibody (any human cell will be tumor) and visualized with DAB. These sections were scanned at high resolution (Chromavision ACIS II). Brain slice images were aligned and DAB+ pixels in each brain slice were segmented and counted using Reconstruct software (http://synapses.clm.utexas.edu/tools/reconstruct/reconstruct.stm) [30]. In some cases, segmentation was based on color and cell density in H+E-stained sections. In all cases pixel area was extrapolated over the 200 um slice interval and total volumes computed accordingly.

### Results

# Incidence of glioma-associated IL13Rα2 expression is independent of tumor cell differentiation status

Our group has generated a panel of glioma stem/initiating cell (GSC) lines from patient glioblastoma specimens grown as tumor spheres (TSs) in serum-free media supplemented with EGF and FGF [9]. These GSC TS lines were derived either from freshly dispersed human biopsy specimens or after heterotopic s.c. passaging of patient biopsy tissue in immunocompromised mice [9, 31]. The expanded GSC TS lines exhibit stem cell-like characteristics: formation of secondary TSs as an indication of *in vitro* self-renewal potential (Fig. 1A), expression of stem cell markers such as CD133, SOX2 and Nestin (Fig. 1B, C), differentiation to express lineage specific markers such as GFAP and  $\beta$ -III tubulin (Fig. 1C), and formation of orthotopic intracranial tumors in immuno-compromised mice (see also [9]; Supp. Table S4).

This resource of patient derived GSCs allowed us to assess expression of IL13Ra2 on stemlike TS and serum-differentiated (DIF) glioma lines. For these studies, we compared IL13Ra2 protein and mRNA levels by Western, flow cytometry and reverse transcription aPCR (RT-aPCR) (Fig. 2). The specificity of receptor recognition in these assays was confirmed by detection of this receptor on known IL13Ra2pos glioma lines U251T and U87 [19, 32], but not on the IL13Ra2<sup>neg</sup> T98 glioma and Daudi lymphoma cell lines [19, 32] (Fig. 2A–C). It is important to note that IL13Ra2-specific commercial antibodies display significant differences in glioma IL13Ra2 detection. We find that clone B-D13 (Cell Sciences) and GW22455A (GenWay) do not recognize an antigen on gliomas that is consistent with either IL13Ra2 mRNA expression or functional recognition of IL13Ra2 by IL13-zetakine CTL (Supp. Fig. S1; Figs. 2 and 4) [19, 32]. These findings raise questions regarding previously published reports which utilized these antibodies, particularly the commonly used anti-IL13Ra2 B-D13 antibody (Cell Sciences), to assess the frequency of IL13Ra2-expression on gliomas [18, 33]. This inconsistency may also underlie the lack of correlation between IL13Ra2 mRNA and protein expression for primary glioma biopsy specimens when the anti-IL13Ra2 B-D13 antibody (Cell Sciences) was used for IHC [34]. We have therefore used the goat polyclonal anti-IL13Ra2 AF146 antibody (R&D Systems) for all experiments as it is the only antibody tested that shows a consistent correlation between IL13Ra2 protein levels and mRNA expression [Western and flow cytometry versus RT-qPCR (Fig. 2 A-C, and Supp. Fig. S1).

We next evaluated IL13Ra2 on stem-like TS lines and found that expression was highly variable between tumor specimens, with a subset of TSs (i.e., PBT015-UPN033, PBT017-4 and PBT030) expressing significant levels of IL13Ra2 (>70% positive by flow cytometry), and approximately half of the tumor lines (i.e., PBT003-4, PBT008, and PBT009-2) not expressing detectable levels of this receptor by either flow cytometry or Western (Fig. 2D, E, top panels). IL13Ra2 transcript levels, as measured by RT-qPCR, correlated with the observed IL13Ra2 protein expression (Fig. 2C). Our observations are thus in agreement with previous studies showing that the IL13Ra2 receptor is expressed by a subset of patient glioblastoma tumor samples [16, 34].

An expanded normal fetal brain neural stem cell line did not express IL13Ra2 as assessed by flow cytometry and RT-qPCR (Fig. 2C and D). This observation suggests that the IL13Ra2 receptor is not expressed by normal neural stem cells, and is in concordance with previous reports that this receptor is not expressed at significant levels in normal brain tissue [15-17, 35].

Significantly, we find that IL13Ra2 is expressed independently of glioma differentiation status. As exemplified by both the IL13Ra2<sup>pos</sup> PBT015-UPN033, PBT017-4, PBT030 lines, receptor expression is maintained on the stem-like TS (CD133<sup>pos</sup> Olig2<sup>pos</sup>), the 7–14 day serum-differentiated (GFAP<sup>pos</sup>) (DIF), and the long-term serum-expanded cell populations (ADH) (Fig. 2D, E). A small decrease in IL13Ra2 levels was consistently observed following short-term differentiation, but not after long-term growth in serum-containing media (comparing DIF versus ADH), possibly due to the withdrawal of EGF mitogen [36]. Reciprocally, IL13Ra2neg primary lines (PBT003-4, PBT008 and PBT009-2) do not upregulate IL13Ra2<sup>neg</sup> upon differentiation and growth in serum-containing media (Fig. 2D, E). We conclude that while IL13R $\alpha$ 2 expression by high-grade gliomas is heterogeneous between different tumor samples, its expression on any given low-passage glioma line is independent of cell differentiation status. Thus, these data suggest that, for gliomas expressing IL13Ra2, therapeutic strategies targeting this receptor could potentially eliminate the stem-like brain tumor sub-population. These studies also indicate the presence of glioma stem-like tumor initiating populations that do not express IL13Ra2, as detected for the IL13Ra2<sup>neg</sup> tumors.

To assess the extent to which expression of IL13Ra2 on expanded primary cell lines correlates with the originating patient tumor, IL13Ra2 immunohistochemistry (IHC) was performed on paraffin-embedded patient tumor tissue. As shown in Fig. 3, patient tumors with the highest overall IL13Ra2 expression levels ( 40% of cells positive) as detected by IHC (PBT015-UPN033, PBT017 and PBT030), generated both TS and DIF/ADH lines with correspondingly high IL13Ra2 expression (compare to Fig. 2D, E). Likewise, patient tumors with low IL13Ra2 levels ( 20% positive cells) gave rise to cell lines that lacked receptor expression (PBT008 and PBT009). Importantly, these findings indicate that expression levels of IL13Ra2 on expanded primary glioma cell lines are consistent with its expression levels on glioma cells *in situ* were more variable, as detected by IHC, than those of the corresponding donor-derived TS or ADH cell lines (Fig. 2 vs. Fig. 3) [16, 35]. This difference may be explained by the more heterogeneous cellular composition of glioma tumors *in situ*.

### IL13Rα2 expression renders GSCs susceptible to IL13-zetakine-mediated T cell killing

We next addressed whether IL13Ra2<sup>pos</sup> GSC and differentiated tumor lines display comparable sensitivity to CAR-engineered CTL mediated killing. For these studies, primary human IL13-zetakine<sup>+</sup> CD8<sup>+</sup> CTL lines (Supp Fig. S2A, B) were evaluated for their ability to kill TS and matched differentiated glioma lines in vitro. We observed that autologous (UPN033) or allogeneic (HD003) IL13-zetakine<sup>+</sup> T cell clones efficiently lysed IL13Ra2pos PBT015-UPN033 stem-like TSs, as assessed by chromium-release assay (Fig. 4A). For both autologous and allogeneic CTLs, TSs were killed at least as well as matched serumdifferentiated (DIF) or serum-expanded (ADH) low-passage primary lines, or established glioma line U87 (average difference in cytotoxicity at all E:T ratios  $17\% \pm 9\%$  with killing of TS DIFF/ADH lines). Lymphoblastic (LCL) targets engineered to express the membrane bound agonistic antibody scFv-OKT3 (LCL-OKT3), which activates T cells via engagement of the CD3 complex, served as a reference target denoting the maximal activation potential of a T cell line. To establish that the capacity of IL13-zetakine CTLs to target and kill IL13R $\alpha$ 2<sup>pos</sup> GSCs is not specific to a particular TS line, we demonstrated in a similar set of experiments that IL13Ra2pos PBT017-4 and PBT030 TS, DIF, and ADH cells were also recognized and killed independently of differentiation status (Supp. Fig S3). In all cases the stem-like TS lines were killed with comparable potency as the serum differentiated lines.

respectively; average decrease in TNF- $\alpha$  for TS versus ADH and DIF is 58±2% and 45±13%, respectively). This decrease in T cell mediated INF- $\gamma$  and TNF- $\alpha$  cytokine release is observed on engagement of all IL13R $\alpha$ 2<sup>pos</sup> primary glioma lines tested (average 2–3 fold decrease) (Supp. Fig. S4).

Several lines of evidence confirm that the IL13-zetakine<sup>+</sup> CTL killing and Tc1 cytokine responses we observed are specific to engagement of IL13Ra2 tumor antigen. First, IL13-zetakine<sup>+</sup> CTL clones were not activated for killing or cytokine production when co-incubated with the IL13Ra2<sup>neg</sup> PBT003-4 TS and T98 glioma lines or the IL13Ra2<sup>neg</sup> LCL line (Fig. 4A, B, D, and data not shown). Second, blocking CAR-IL13Ra2 engagement by either pre-incubation of PBT015-UPN033 TS targets with recombinant human IL13 (rh-IL13), or IL13-zetakine<sup>+</sup> CTL with anti-IL13 antibody eliminated cytokine production (Fig. 4C). Third, the parental UPN033 bulk CTL line, which is not engineered to express the IL13-zetakine CAR, displayed negligible levels of killing (<10% <sup>51</sup>Cr-release) and cytokine production (<30 pg/ml INF- $\gamma$  and <5 pg/ml TNF- $\alpha$ ) when challenged with PBT015-UPN033 tumor targets.

Our findings demonstrate that expression of the IL13R $\alpha$ 2 tumor associated antigen is necessary for IL13-zetakine<sup>+</sup> CTL killing. Importantly these data establish that both IL13R $\alpha$ 2<sup>pos</sup> GSCs and differentiated cells are targeted and killed by IL13-zetakine engineered CTLs, and therefore cells with stem/progenitor-like properties are not intrinsically resistant to CTL-mediated killing.

### IL13-zetakine<sup>+</sup> CTL can ablate the tumor initiating activity of IL13Rα2<sup>pos</sup> GSCs in vivo

A hallmark characteristic of GSCs is their capacity to initiate *in vivo* tumor formation at low cell numbers. To address the possibility that a minor tumor-initiating subpopulation of IL13Ra2<sup>pos</sup> cells may evade IL13-zetakine-mediated recognition, an *in vivo* tumor engraftment assay was performed. This assay establishes that capacity of CTL to eliminate glioma tumor initiating populations (i.e. the functional definition of GSCs), by providing an in vivo read-out of cell populations that resist CTL killing (see Fig. 6 of ref. [9] in which antigen-negative tumor populations evaded CTL recognition and initiated tumor). For this study, PBT017-4 TSs were co-injected i.c. into NOD-scid mice with either IL13-zetakine<sup>+</sup> CTL, or a non-specific CTL line expressing a CD19-specific CAR, or PBS. Because as few as 100 PBT017-4 TS cells can initiate highly invasive tumors in NOD-scid mice [9], this assay is expected to detect a minor tumor-initiating population (<0.1%) resistant to IL13zetakine-mediated CTL killing. We observed that co-injection of IL13-zetakine<sup>+</sup> CTL, but not PBS or control CD19R<sup>+</sup> CTL, ablated the engraftment potential of PBT017-4 cells (Fig. 5A). Similarly, IL13-zetakine<sup>+</sup> CTL, but not PBS or control CD19R<sup>+</sup> CTL, also ablated the tumor-initiating potential of the established glioma cell line U87 grown under differentiating conditions (serum-containing media) (Fig 5B). As a control for antigen specificity, we demonstrate that IL13-zetakine<sup>+</sup> CTL do not ablate the tumor initiating activity of the IL13Ra2<sup>neg</sup> line, PBT003 TSs (Fig 5C), consistent with the lack of *in vitro* killing of PBT003 when co-cultured with IL13-zetakine CTL (Fig 4D). This study demonstrated that IL13-zetakine<sup>+</sup> CTL can eliminate the tumor initiating activity of both IL13Ra2<sup>pos</sup> GSC and differentiated subpopulations.

### Regression of established GSC TS-initiated xenografts after adoptive transfer of IL13zetakine<sup>+</sup> T cells

We next evaluated the capacity of IL13-zetakine+ CTL to induce regression of established GSC TS-initiated tumors. For these studies we examined the anti-tumor efficacy of IL13-zetakine+ T cells against two IL13Ra2<sup>pos</sup> GSC TS lines, PBT030-2 and PBT017-4 (Fig. 2 and Supp. Fig S5). To facilitate imaging of tumor growth kinetics, PBT030-2 TSs were engineered by lentiviral transduction to express a fusion protein consisting of EGFP and firefly luciferase (ffLuc) (EGFP-ffLuc) [9]. EGFP-ffLuc<sup>+</sup> PBT030 or non-engineered PBT017-4 TS cells were orthotopically implanted into the right forebrain of NOD-scid IL2R $\gamma^{null}$  (NSG) mice. Five days later, after tumor establishment, mice were treated i.c. with three infusions of either IL13-zetakine+ CD8+ CTL clone 2D7 or control CD19R<sup>+</sup> CD8+ CTL clone E8. By comparing ffLuc<sup>+</sup> tumor flux over time (PBT030-2; Fig. 6A and 6B), and by quantifying tumor volume 15 days after the last T cell treatment by IHC (PBT017-4; Fig. 6C, and 6D), we demonstrate that IL13-zetakine<sup>+</sup> CTL, as compared to control CD19R<sup>+</sup> CTL, mediate statistically significant anti-glioma activity against both PBT030-2 and PBT017-4 established tumors.

The ability of IL13-zetakine CTL to regress GSC-initiated established glioblastoma tumors is consistent with our previous observations that IL13-zetakine<sup>+</sup> CTL can kill IL13Ra2<sup>pos</sup> TS cells (Fig. 4) and ablate their tumor initiating activity (Fig. 5). For those mice in which residual tumor was detected following treatment with IL13-zetakine+ CTL, we sought to understand the etiology of tumor escape by evaluating the expression of IL13Ra2 as well as stem (Sox2 and Olig2) and differentiated (GFAP) markers by IHC 15 days after the last T cell treatment (day 28 post tumor injection). We found that IL13-zetakine<sup>+</sup> CTL and control CD19R<sup>+</sup> CTL treated tumors express comparable levels IL13Ra2 (Fig. 6E), suggesting that residual tumors did not evade therapy due to antigen loss. At this 15 day time point, we were not able to detect any persisting CD3<sup>+</sup> human T cells by IHC (data not shown). Also, we detect comparable levels of SOX2, Olig2 and GFAP (Fig. 6F), suggesting that there is no major difference in the frequency of stem-like versus differentiated cells in the recurrent tumor following IL13-zetakine+ CTL mediated tumor targeting. While the largest IL13zetakine<sup>+</sup> CTL treated tumor was found in the leptomeninges (Fig 6D), the significance of this engraftment pattern is not clear since this highly invasive PBT017-4 line (Fig. 5) is known to engraft at this anatomical site. Taken together these data indicate that exposure to IL13-zetakine+ CTL has the capacity to reduce tumor growth and tumor burden of established IL13R $\alpha$ 2-expressing tumors. At the same time, these data also suggest that a more potent CAR<sup>+</sup> T cell capable of sustained persistence may be required to completely eliminate all IL13Ra2-expressing tumor cells.

### Discussion

While immunotherapy strategies targeting IL13Ra2 for brain tumors are being clinically pursued [21–24] based on its selective expression on malignant versus normal brain tissue [17, 18], the power of IL13Ra2-directed therapies will depend in part on the ability of these approaches to be effective against the highly tumorigenic glioma cancer stem-like population [3, 4]. Our data provide direct evidence that IL13Ra2 expression is not limited to differentiated glioma cells, and that IL13Ra2-targeting can potentially eliminate the refractory GSC component of malignant gliomas. We demonstrate that GSC TSs derived from IL13Ra2<sup>pos</sup> glioma tumors express IL13Ra2, and that its expression renders the IL13Ra2<sup>pos</sup> GSC population susceptible to IL13-zetakine<sup>+</sup> CAR-engineered T cell cytolytic lysis. Importantly, *in vitro* GSC TSs were killed at equivalent potency as matched differentiated glioma lines. We also show that IL13-zetakine<sup>+</sup> CTL ablate the *in vivo* tumor initiating activity of IL13Ra2<sup>pos</sup> GSCs and, further, mediate robust anti-tumor activity and limit progression of established IL13Ra2<sup>pos</sup> GSC TS-initiated intracranial tumors in mice.

While our work and others have demonstrated that GSCs are intrinsically susceptible to effector T cell killing [7, 9, 10], mechanisms to suppress and/or evade immune function and effector T cell killing are likely employed by GSCs. Recent studies suggest that GSCs may reduce T cell activation-dependent proliferation and down-modulate T cell activation-dependent cytokine production [12, 37]. We also find that GSC TSs are less potent at stimulating IL13-zetakine+ CTLs for antigen-dependent INF- $\gamma$  and TNF- $\alpha$  cytokine production- (Fig. 4; Supp. Fig S4). The underlying mechanism of these compromised functionalities and the consequences for anti-tumor efficacy remain to be resolved. In addition, the malignant stem cell niche is associated with secreted TGF- $\beta$  and VEGF, as well as activated STAT3 [12], important signals known to inhibit immune responses and thus may protect GSCs in established tumors from efficient T cell eradication [38–40]. Despite these hurdles, our findings that CD8<sup>+</sup> CTLs can be engineered to successfully kill the otherwise therapeutically resistant GSC population suggests that efforts to overcome these obstacles are worthwhile.

Clinical trials for recurrent high-grade gliomas using IL13-zetakine redirected CTLs are in early feasibility/safety stages, and while transient tumor regressions have been observed, sustained regressions are still an unrealized outcome (Jensen unpublished and [22, 24]). The present study focused on the potential barrier of GSCs as a therapeutically resistant reservoir of tumor cells. We provide evidence that disease recurrence following IL13Ra2 directed therapies is not due to the absence of IL13Ra2 expression by all GSC subpopulations, but rather that other mechanisms of escape may also be at play. Further, we demonstrate that IL13-zetakine CTLs can target and kill IL13Ra2<sup>pos</sup> GSCs and regress established GSC-initiated tumors. However, for established tumors, IL13Ra2-expressing glioma populations were not completely eliminated suggesting that a more powerful T cell product is needed. To this end, CAR-engineered T cell lines optimized for T cell persistence and/or serial tumor killing are under development [26, 41, 42].

Additionally, clinical targeting of IL13R $\alpha$ 2<sup>pos</sup> cells may selectively eliminate not only malignant cells, but also cells within the micro-environment that are involved in immune evasion. Of particular interest, tumor infiltrating macrophages (CD11b high/Gr-1 intermediate) have been shown to up-regulate cell surface IL13R $\alpha$ 2 expression in the tumor micro-environment, and are stimulated by IL-13 to secrete TGF- $\beta$ , resulting in inhibition of antigen-specific CTL function [43]. Blocking induction of IL13R $\alpha$ 2 on tumor-infiltrated macrophages correspondingly inhibits TGF- $\beta$  production, and restores the anti-tumor activity of CD8<sup>+</sup> T cells. In these ways, targeting IL13R $\alpha$ 2 expressed by other cells in the tumor microenvironment may also enhance the efficacy of clinically targeting this receptor.

Importantly, the heterogeneity of IL13Ra2 receptor expression by high-grade gliomas, rather than persistence of refractory GSC populations, is anticipated to be a critical barrier to overcome in order to achieve improved clinical responses (Fig 2 and [16, 34, 44, 45]). A guiding principle now emerging from a variety of clinical trials suggests that immunotherapeutic approaches targeting single antigens will not be sufficient for durable long-term anti-tumor responses. Our data demonstrating that IL13Ra2 is expressed by a subset of glioma stem-like initiating populations susceptible to cell immunotherapy highlight the relevance of translational strategies targeting IL13Ra2 for malignant glioma, particularly when incorporated into combinatorial targeting approaches.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### **Translational Relevance**

Patient-to-patient heterogeneity of malignant gliomas, together with the presence of the glioma stem-like cancer initiating cells (GSCs), thought to be responsible for disease progression and recurrence, have proven to be barriers to disease eradication. In light of these challenges, our study focused on the utility of IL13Ra2-directed therapies for targeting the tumorigenic GSC population of high-grade gliomas. Our findings provide evidence that for the subset of patients with IL13Ra2-positive tumors, immune-based therapies targeting IL13Ra2 have the potential to eliminate both the GSC and bulk differentiated populations.



### Figure 1. Characterization of tumor sphere and serum-differentiated cells isolated from primary high-grade glioma specimens

(A) Representative images of tumor sphere cells grown in serum-free stem cell medium (TS, top panels), and after transfer to differentiating FCS-containing medium (DIF, bottom panels). (B) Flow cytometry of TS and DIF cells stained with anti-CD133 (gray) or isotype control antibody (solid lines). Percentage of CD133<sup>+</sup> cells is indicated. (C) Immunofluorescence of PBT003-4 TS and DIF cells stained for stem cell markers nestin and SOX2 (green, top panels); and lineage specific differentiation markers GFAP and  $\beta$ -III tubulin (green, bottom panels). Cell nuclei were stained blue with DAPI.

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### Figure 2. IL13Ra2 expression on GSC and differentiated glioma cell lines

(A) Flow cytometric detection of IL13Ra2 on established glioma cell lines U251T, U87 and T98. Daudi lymphoma is an IL13Ra2<sup>neg</sup> control cell line. Percentage positive cells are indicated in each histogram. (B) Western blots detecting IL13Ra2 for established glioma cell lines. Recombinant human IL13Ra2-Fc (10ng and 100ng) demonstrates receptor-specific detection by the antibody. (C) RT-qPCR analysis depicting IL13Ra2 mRNA expression by cell lines relative to U251T using primer sets spanning IL13Ra2 exons 1–2 and 6–7. Data was normalized to actin. (D) Tumor sphere (TS), serum-differentiated (DIF), and serum-expanded (ADH) cells analyzed by flow cytometry for expression of IL13Ra2 (grey histograms); solid lines are secondary antibody alone. NT; not tested. (E) Western blots detecting IL13Ra2, CD133, Olig2, GFAP, and  $\alpha$ -actin for TS, DIF, and ADH cell lines. ND, not detected.



### Figure 3. IL13Ra2 expression on primary patient-derived glioma specimens

IHC detection of IL13Ra2 on paraffin-embedded patient tumor tissue. Sections where scored blindly by a Neuropathologist for staining intensity (0 not detected; 1+ low; 2+ moderate; 3+ high), and percentages of positive cells are indicated.

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Figure 4. IL13-zetakine<sup>+</sup> CTL kill with comparable potency IL13Ra2<sup>pos</sup> GSCs, differentiated cells, and established glioma cell lines

(A) CRA measuring the lysis of IL13Ra2<sup>pos</sup> U87 glioma cells or PBT015-UPN033 derived TS, 7-day serum-differentiated (DIF), or serum-expanded (p15; ADH) cells at increasing effector:target (E:T) ratios. The IL13Ra2<sup>neg</sup> CD19<sup>pos</sup> LCL served as an antigen negative control target, and LCL-OKT3 established the maximum capacity of all CTL lines for cytolytic lysis. Graph titles indicate T cell effector lines tested, which include the autologous UPN033 parental CD8<sup>+</sup> CTL bulk line (UPN033 CTL) and CD8<sup>+</sup> IL13-zetakine<sup>+</sup> CTL clone 3C12 (UPN033 IL13zeta<sup>+</sup> CTL), and an allogeneic CD8<sup>+</sup> IL13-zetakine<sup>+</sup> CTL clone 2D7 (HD003 IL13zeta<sup>+</sup> CTL). Mean  $\pm$  S.D. values of 6 wells are depicted. (B) IFN- $\gamma$  and TNF-

α produced by the indicated CD8<sup>+</sup> T cell lines after overnight co-culture with tumor targets described in (A). Mean ± S.D. values of 3 replicate measurements from a representative experiment is depicted. (C) Inhibition of PBT015-UPN033 TS driven IFN-γ and TNF-α produced by CD8<sup>+</sup> IL13-zetakine<sup>+</sup> CTL clone 3C12 as in (B) upon pre-incubation of the CTL with increasing concentrations of blocking rat anti-human IL13 antibody (left panel) or PBT015-UPN033 TS target cells with rhIL13 (right panel). (D) CRA measuring lysis of IL13Rα2<sup>neg</sup> glioma lines PBT003-4 TS and T98 cells versus IL13Rα2<sup>pos</sup> U251T by the indicated CD8<sup>+</sup> IL13-zetakine+ CTL at increasing E:T ratios. Mean ± S.D. values of wells (n=6) are depicted.



### Figure 5. IL13Ra2-specific CTLs ablate the tumor initiation population of IL13Ra2-expressing GSCs

(A) PBT017-4 TS  $(2\times10^5)$  were co-injected i.c. with the specified CTL  $(2\times10^6)$  or PBS into NOD-*scid* mice; n = 6 mice per group. Mice were euthanized after 8 weeks, and harvested brains were analyzed by IHC for tumor engraftment using anti-human nestin (number of mice with engrafted tumors per group of 6 is indicated). Representative images from 2 mice per group are depicted (tiled horizontal brain sections). (B) U87 cells  $(2\times10^5)$ , and (C) PBT003-4 TS cells  $(2\times10^5)$  were co-injected i.c. with CAR<sup>+</sup> CTLs or PBS in NOD-*scid* mice as described in (A)

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### Figure 6. Regression of established GSC-initiated xenografts after adoptive transfer of IL13zetakine<sup>+</sup> T cells

(A) EGFP-ffLuc<sup>+</sup> PBT030-2 TSs ( $1 \times 10^5$ ) were stereotacitcally implanted into the right forebrain of NSG mice. On days 5, 8 and 14 (dotted vertical lines), mice received either  $2 \times 10^6$  IL13-zetakine<sup>+</sup> CTL clone 2D7 (HD003 IL13zeta<sup>+</sup> CTL) (n=10) or CD19R<sup>+</sup> CTL clone E8 (HD181 CD19R<sup>+</sup> CTL) (n=8). Quantification of tumor growth kinetics using Xenogen Living Image to measure average ffLuc flux (photons/sec) demonstrates that IL13zetakine<sup>+</sup> CTLs induce tumor growth regression when compared with CD19R<sup>+</sup> CTLs (p = 0.0011, two-way ANOVA with repeated natural log transformed measures on time, days 15–54). (B) Representative Xenogen images for two mice at days 1 and 4 before T cell

administration and at days 33 and 54 post T cell treatment. (C, D, E, F) Xenograft tumors (i.c.) of PBT017-4 ( $1 \times 10^5$ ) were treated as described in (A) on days 5, 8 and 13. On day 28 (15 days post last T cell administration) mice brains were harvested and tumor volume was quantified by IHC. (C) Graph depicts the mean tumor volume (mm<sup>3</sup>) ± standard error for IL13-zetakine<sup>+</sup> CTL (n=4) versus CD19R<sup>+</sup> CTL (n=4) treated tumors (p = 0.024, two-tailed Student's t-test). (D) The smallest (left) and largest (right) reconstructed tumors (blue pseudo-color) from each group are shown from both the dorsal (top) and sagittal (bottom) views. (E) anti-IL13Ra2 IHC, and (F) anti-SOX2, anti-OLIG2 and anti-GFAP IHC on paraffin sections of persisting tumors following adoptive transfer of glioma-specific IL13-zetakine<sup>+</sup> CTL and control CD19R<sup>+</sup> CTLs.