

# NIH Public Access

**Author Manuscript**

Psychosom Med. Author manuscript; available in PMC 2013 February 22.

#### Published in final edited form as:

Psychosom Med. 2008 October ; 70(8): 856–862. doi:10.1097/PSY.0b013e318188a01e.

# **Acute Coronary Syndrome Patients With Depression Have Low Blood Cell Membrane Omega-3 Fatty Acid Levels**

**Alpesh A. Amin, MD**, **Rishi A. Menon, MD**, **Kimberly J. Reid, MS**, **William S. Harris, PHD**, and **John A. Spertus, MD, MPH**

# **Abstract**

**Objective—**To determine the extent to which levels of membrane eicosapentaenoic (EPA) +docosahexaenoic acids (DHA) (the omega-3 index) were associated with depression in patients with acute coronary syndrome (ACS). Depression is associated with worse cardiovascular (CV) outcomes in patients with ACS. Reduced levels of blood cell membrane omega-3 (n-3) fatty acids (FAs), an emerging risk factor for both CV disease and depression, may help to explain the link between depression and adverse CV outcomes.

**Methods—**We measured membrane FA composition in 759 patients with confirmed ACS. The analysis included not only EPA and DHA but also the n-6 FAs linoleic and arachidonic acids (LA and AA). Depressive symptoms were measured with the Patient Health Questionnaire-9 (PHQ). Multivariable linear regression was used to adjust for demographic and clinical characteristics.

**Results—**There was a significant inverse relationship between the n-3 index and depressive symptoms (PHQ) in the fully adjusted model ( $p = .034$ ). For every 4.54% point rise in the n-3 index, there was a 1-point decline in depressive symptoms. In contrast to the n-3 FAs, membrane levels of the n-6 FAs LA and AA were not different between depressed and nondepressed ACS patients.

**Conclusion—**We found an inverse relationship between the n-3 index and the prevalence of depressive symptoms in patients with ACS. Therefore, this study supports the hypothesis that reduced n-3 FA tissue levels are a common and potentially modifiable link between depression and adverse CV outcomes.

#### **Keywords**

depression; acute coronary syndrome; omega-3 fatty acids

# **INTRODUCTION**

Despite centuries of anecdotal reports linking depression with heart disease, only recently has depression been recognized as a common comorbidity among cardiac patients and an independent predictor of adverse outcomes (1). Over the past decade, numerous studies have documented that depression in patients with acute coronary syndrome (ACS) is associated with a higher incidence of mortality, recurrent cardiovascular (CV) events, and healthcare

**Disclosures:** Dr. Harris is a consultant to two companies with interests in omega-3 fatty acids [Monsanto Company and Reliant Pharmaceuticals, Inc.(now GlaxoSmithKline)], has received research grants from both, and is on the speakers' bureau for Reliant. Dr. Spertus has leadership responsibilities for CV Outcomes, Inc., Health Outcomes Sciences and Outcomes Instruments; is a consultant for Amgen, United Healthcare, and Otsuka; receives research grant support from the National Institutes of Health, Amgen, Lilly, Roche Diagnostics, Atherotech, and the American College of Cardiology-National Cardiovascular Data Registry (ACC-NCDR); owns the copyrights for the Seattle Angina Questionnaire, the Kansas City Cardiomyopathy Questionnaire, and the Peripheral Artery Questionnaire; and previously received grant support and was a consultant for CV Therapeutics.

utilization (2). Although this association is now well established, the underlying mechanism leading to poor CV outcomes in depressed patients is still unknown. Yet, understanding this relationship will improve our ability to manage and minimize the associated risk of depression in cardiac patients. One potential explanation for the poor prognosis of depressed ACS patients is that depression and coronary artery disease (CAD) share a common causal factor. Therefore, deficiencies in essential fatty acids (FAs) may be a part of the causal network linking depression, CAD, and adverse CV events.

Essential FAs, whether of the omega-3 (n-3) or the omega-6 (n-6) classes, are not synthesized in vivo and thus must be consumed in the diet (3–5). These FAs have several physiologic roles, including supporting cell membrane structure, providing substrates for biochemical signaling, and modulating gene expression (3,6,7). In addition, certain n-3 FAs (eicosapentaenoic acid (EPA) and docosahexaenoic acids (DHA)) and n-6 FAs (arachidonic acid (AA)) also serve as substrates for the synthesis of a wide variety of mediators of inflammation and thrombosis, important mechanisms in the development of ACS  $(8 - 11)$ . In general, AA gives rise to proinflammatory and prothrombotic cascades predominantly through its conversion into 2-series prostaglandins, 4-series leukotrienes, and thromboxane A2 (12,13). However, AA also gives rise to prostacyclin, a highly antithrombotic molecule. EPA and DHA, on the other hand, give rise to a related but physiologically less active set of eicosanoids (e.g., 3-series prostaglandins, 5-series leukotrienes, and thromboxane A3) (6,13). The n-3 derived eicosanoids are typically less inflammatory than the n-6 derived products and do not stimulate platelet aggregation (13,14).

Low levels of n-3 have been shown in cross-sectional and cohort studies to be associated with depression in general medical and/or postpartum populations  $(7,15–21)$ . Some, but not all, clinical trials treating depression with n-3 FA supplementation have shown improvements in patients' depressive symptoms (22,23). This combined with over 30 years of research suggesting that high levels of n-3 FAs intake protect against CV disease provide the foundation for exploring the role of n-3 FAs in depressed ACS patients (3,24,25).

Previous studies exploring the relationship between n-3 status and depression in ACS patients have been limited to relatively small samples and have restricted their n-3 analyses to plasma (15,26). In contrast to plasma levels, membrane n-3 FAs content provides a more reliable, biologically relevant, and long-term assessment of n-3 FA status (27). We, therefore, sought to expand the existing literature on the association between n-3 FA status and depressive symptoms by performing a cross-sectional analysis of depressive symptoms and blood cell membrane EPA+DHA levels (hereafter the n-3 index) in a large group of patients with confirmed ACS. Because the n-6 FAs may oppose the actions of the n-3 FAs, we also explored their relationship with depression among patients with ACS.

# **METHODS**

#### **Study Design**

From March 2001 through June 2004, ACS patients presenting to two urban hospitals in Kansas City, Missouri were prospectively screened for enrollment in an observational study. Methods for identifying and enrolling patients with ACS were standardized at both institutions and have been previously reported (28). ACS was diagnosed using prespecified criteria for acute myocardial infarction (MI) or unstable angina (UA) (29,30). MI patients were defined by a positive troponin blood test in the setting of symptoms or electrocardiogram changes (both ST segment elevation and non-ST segment elevation) consistent with MI. UA was diagnosed if the patient had a negative troponin blood test and any one of the following: new onset angina (<2 months) of at least Canadian Cardiovascular

Society Classification class III, prolonged (>20 minutes) rest angina, recent (<2 months) worsening of angina, or angina that occurred within 2 weeks of an MI.

Patients were excluded if they were demented, deceased, discharged, or transferred before contact, diagnosed with ACS secondary to another event (gastrointestinal bleeding, sepsis, surgery), under the care of hospice, or non-English speaking. All troponin-negative, potential UA patients who had a diagnostic study that excluded obstructive coronary disease (i.e., coronary angiography, nuclear or echocardiographic stress testing) or who had an additional diagnostic study confirming an alternative explanation for the patient's presentation, were also excluded. Three physicians reviewed the charts of those patients for whom diagnostic uncertainty remained and consensus on the final diagnosis of MI or UA was collaboratively reached. Of the troponin-negative UA patients, 92.5% had a cardiac catheterization, a nuclear stress test, or a stress echocardiogram to corroborate their diagnosis.

Data collection consisted of direct patient interviews at the time of their ACS hospitalization and detailed hospital chart abstractions. Chart abstractions were performed to collect data on medical history, laboratory results, disease severity, and the processes of inpatient care. All data elements conform to established data definitions and the most valid representations of nonclinical domains available (28,31). Detailed patient interviews were conducted to ascertain participants' demographic, economic, and psychosocial information. To quantify the presence and severity of depressive symptoms, trained interviewers administered a nineitem depression screening tool, the Patient Health Questionnaire-9 (PHQ).

Approval from the Institutional Review Boards of both institutions was obtained before the initiation of the study and each participant provided informed consent before enrollment.

#### **Quantification of Depressive Symptoms**

The PHQ is a validated screening tool for quantifying depressive symptoms. It assesses the presence of nine symptoms and their frequency of occurrence in the two preceding weeks (32,33). A raw score is calculated according to whether each of the nine symptoms are present "not at all" (0 points), on "several days" (1 point), on "more than half the days" (2 points), or "nearly every day" (3 points). Total PHQ scores range from 0 to 27. Higher scores indicate a greater severity of depressive symptoms. For presentation of baseline data in Table 1, the PHQ score was dichotomized into depressed (PHQ  $\,$  10) and not depressed (PHQ <10) groups; and for the multivariable linear regression analysis, the PHQ score was included as a continuous variable. A raw score of  $\,10$  suggests moderate-to-severe depressive symptoms and is 88% sensitive and 88% specific for diagnosing Major Depressive Disorder in general medical populations and is 90% specific for Major Depressive Disorder in outpatients with CAD (32–34).

#### **Covariates of Interest**

In addition to depressive symptoms, several baseline demographic and clinical characteristics were also assessed (Table 1). Race was obtained by patient self-report and categorized according to the Office of Management and Budget. Education level was categorized as any college or no college. Body mass index  $(BMI, kg/m<sup>2</sup>)$  was calculated using height and weight obtained during patients' index hospitalization. Self-reported smoking status was categorized as current (last use  $30 \text{ days}$ ) or not.

Candidate covariates for selection into multivariable models were established a priori based on clinical importance as potential confounders in the relationship between n-3 index and depression. Whereas the underlying causes for depression are multifactorial and likely related to complex biologic, social, and psychological factors, the common risk factors

include age, gender, race, education, weight, and chronic medical conditions (35–37). We therefore sought to explore the independent relationship between n-3 FA and depression adjusting for age, gender, race, education, hypertension, diabetes, BMI, and smoking status because these factors have been associated with both depression and n-3 FA levels (3,35 – 41).

#### **Laboratory Methods**

Membrane FA composition was measured as previously described (42). Erythrocyte membranes were isolated by ultracentrifugation, and membrane FAs were converted to methyl esters by heating them at 100°C for 10 minutes in 14% boron trifluoride. Extracted methyl esters were analyzed by gas chromatography. The coefficient of variation for membrane, the n-3 index, was 5%, for the two major n-6 FAs LA and AA, 2% and 3%, respectively.

#### **Statistical Methods**

Patients were stratified by the presence of depressive symptoms or not (PHQ score of  $\sim$  10 or <10, respectively) for comparison of baseline characteristics. Categorical data are reported as frequencies and compared with  $X^2$  or Fisher's exact tests; and continuous data are reported as the mean  $\pm$  standard deviation and compared with independent sample, twotailed  $t$  tests.

All membrane FAs (the n-3 index and n-6 FAs) were analyzed as continuous variables. Linear regression was performed to create a model with the FA variable of interest as an independent variable and depressive symptoms, quantified by the PHQ, as the outcome. To determine the independent association between the n-3 index and depressive symptoms, multivariable linear regression was performed after adjusting for selected covariates (i.e., age, gender, race, education, hypertension, diabetes, BMI, and smoking status). The adjusted parameter estimates for variables in this final model are presented as β coefficients with their associated 95% confidence interval. Nonlinearity between the n-3 index and PHQ was explored using spline terms, but no evidence of nonlinearity was detected. We also compared individual FA levels (i.e., alpha-linolenic acid, EPA, docosapentaenoic acid (n-3), DHA, LA, gamma-linolenic acid, dihomo-gamma-linolenic acid (DGLA), AA, docosapentaenoic acid (n-6), total n-3, and total n-6) between depressed (PHQ  $\,$  10) and nondepressed patients ( $PHQ < 10$ ) using the t test. All tests for statistical significance were two-tailed with an α level of 0.05. Analyses were conducted using SAS software, release 9.1 (SAS Institute, Cary, North Carolina) and R version 2.1.0. The authors had full access to the data and take responsibility for its integrity. All authors have read and agree to the manuscript as written.

## **RESULTS**

#### **Participants**

From March 2002 to June 2004, 768 patients with confirmed ACS were enrolled in this study. Nine (1.2%) participants did not complete the PHQ, leaving 759 (98.8%) ACS patients for analysis. Their mean age was  $61 \pm 12.1$  years and the majority were male ( $n =$ 509, 67%) and Caucasian ( $n = 704$ , 91.7%).

#### **Descriptive Data**

Among the 759 ACS patients in this study, 118 (15.5%) had depressive symptoms, which were defined as a PHQ score of  $\,$  10. Several differences in comorbidities were found between depressed and nondepressed ACS patients in this study (Table 1). Depressed patients were more likely to be younger, female, of a minority race, and to have a lower

level of education as compared with nondepressed patients. In terms of clinical characteristics, depressed patients had more cardiovascular risk factors with higher rates of diabetes mellitus, hypertension, and current tobacco use. Additionally, depressed patients were more likely to have a higher BMI.

#### **Association Between Membrane FA and Depressive Symptoms**

In unadjusted analyses, the n-3 index was inversely related the presence of depressive symptoms (β coefficient = -0.49,  $p < .001$ ,  $R^2 = 0.03$ ). To further explore the relationship between other FA levels and depressive symptoms, we compared individual n-3 and n-6 FA levels between depressed and nondepressed ACS patients (Table 2). Among n-3 FAs, depressed ACS patients had lower levels of n-3 docosapentaenoic acid ( $p = .006$ ), DHA ( $p$ )  $=$  .008), and total n-3 FA ( $p = .001$ ) levels compared with nondepressed ACS patients. Several n-6 FAs tended to be lower in depressed patients compared with nondepressed patients; however, none of these differences were statistically significant. The only statistically significant association was found with DGLA (a metabolic precursor to AA), where depressed ACS patients had lower levels than nondepressed patients. DGLA is also the precursor to the 1-series eicosanoids.

After adjusting for the covariates listed in Table 1, the relationships remained significant (β coefficient =  $-0.22$ ,  $p = .034$ ) (Figure 1) such that for every 4.54% point rise in the n-3 index, there was a 1-point decline in depressive symptoms measured by the PHQ. Figure 2 represents the unadjusted and adjusted association between n-3 index and depressive symptoms. Although the relationship between n-3 index and depressive symptoms was attenuated by adjusting for demographic factors, higher n-3 index remained significantly associated with fewer depressive symptoms in the final model.

#### **DISCUSSION**

We found that depressed ACS patients had lower blood cell membrane levels of DHA but not EPA compared with nondepressed patients. Because the n-3 index is predominantly driven by DHA, the n-3 index (which includes EPA and DHA) was also significantly reduced in depressed patients. Although there were other significant differences in cardiac risk factors between depressed and nondepressed ACS patients, multivariable adjustment for these factors did not eliminate the independent association between lower n-3 index and greater depressive symptoms. However, only a small portion (3%) of the total variability in PHQ scores was explained by the n-3 index, which suggests that supplementation with fish oil to raise this biomarker may have little impact on PHQ scores. In secondary analyses, low n-3 docosapentaenoic acid (DPA) was also associated with more depressive symptoms, suggesting that either EPA (a precursor to DPA) is not important in this relationship, is subject to higher variability, or serves only to be converted to DPA and DHA (43). There were no relations with the principal n-6 FAs, LA, or AA, but the immediate precursor to AA —DGLA— was lower in depressed patients.

Our study expands the previously described inverse relationship between depressive symptoms and n-3 FA levels in ACS patients by using a larger population and by focusing on cell membrane, rather than plasma, n-3 FA levels. In contrast to plasma FA composition, blood cell membrane n-3 FA composition is not influenced by the patient's fasting state or by dyslipidemias, and is more likely to reflect biologically relevant n-3 FA status (15,26,27). Furthermore, membrane levels provide a more stable, long-term assessment of n-3 FA status, analogous to the advantage of glycosylated hemoglobin over plasma glucose as an assessment of glycemic control in diabetic patients (27).

Our study is the largest to date to investigate the relationship between n-3 FAs and depression in ACS patients. Frasure-Smith et al. performed a nested case-control study of 108 ACS patients (54 depressed patients and 54 controls) (15). Although the best time to recognize depression in ACS patients is still unclear, cases and controls in this study were identified 2 months after their index hospitalization. It is therefore possible that postdischarge changes in diet or n-3 FA supplementation, which might have been different between groups, could have confounded their findings. Nonetheless, this study found, as we did, a significant relationship between low plasma DHA and total n-3 FA levels and a clinical diagnosis of depression, but no relation with EPA alone. In another investigation of this relationship, Parker et al. enrolled 97 hospitalized ACS patients (seven with major depression and five with dysthymia), but failed to detect a significant correlation between plasma levels of EPA, DHA, or total n-3 FA and depression (26). However, this study was severely limited in its power to detect such an association, with only 12 patients identified as clinically depressed. When depressive symptoms were assessed by the Beck Depression Inventory (as opposed to the dichotomous variable of depression and no depression) in this study, there was a significant and inverse relationship between plasma DHA and depressive symptoms.

Both depression and low n-3 FA levels have been shown in multiple observational studies to be independent risk factors for adverse outcomes in ACS patients (24,44–47), and in this same data set described here, a low n-3 index was associated with increased odds for ACS case status compared with outpatient controls (42). Only recently has there been evidence to suggest that n-3 FA may, in part, explain the adverse outcomes found in depressed MI patients (22). In fact, depression and n-3 FA share multiple overlapping biologic mechanisms that could lead to adverse MI outcomes. Low n-3 FA levels and depression have both been associated with autonomic dysregulation, proinflammatory cytokines, and increased platelet aggregation, all of which are hypothesized to contribute to atherosclerosis and/or plaque rupture (22).

Long-chain n-3 FAs are predominantly obtained from marine sources (e.g., salmon, herring, and mackerel). They can also be synthesized very sparingly in vivo from alpha-linolenic acid which is found in certain plant oils (e.g., flaxseed, canola, soybean, and walnuts). Average intakes of EPA and DHA in the US are 110 to 170 mg/day, and intakes can vary widely depending on location and cultural dietary habits (25). Ecological studies have shown that countries with lower fish intake have higher rates of depression (18,19). Casecontrol and cross-sectional studies have linked depression in general medical populations with low fish intake (48) and with reduced plasma n-3 FA levels (49). The latter is also prospectively associated with higher rates of postpartum depression (20).

To date, six randomized, placebo-controlled trials have been performed with n-3 FA supplements in depressed general medical patients  $(50 - 55)$ . Although all of these studies have enrolled relatively small numbers of patients, the effects of supplementation on depressive symptoms have been mixed, with four showing improvements in depressive symptoms. These inconsistencies are likely due to methodological issues, such as differences in dose of n-3 FA (which have varied from 0.2 to 4 g/day), and differences in formulation (EPA alone, DHA alone or combined) of n-3 FA. Typically, studies showing a beneficial effect used EPA at a dose of at least 1 g/day with or without DHA. Whereas some studies used n-3 FA supplements alone to treat depression, others used n-3 FA in combination with traditional antidepressants. The studies that showed a beneficial effect of n-3 FA were more likely to have used the latter design. Therefore, the effect of n-3 FA, independent of other more traditional therapeutic options for depression, is difficult to determine and the exact role of n-3 FA in the management of depression warrants further investigation. We found that a 5% point increase in the n-3 index (e.g., from 3% to 8% of

total FAs) was associated with a reduction in 1 PHQ point. It would take about 1 additional gram of EPA+DHA per day to accomplish this (27).

In contrast to the uncertainties surrounding the role of n-3 FAs as a treatment for depression, their use in reducing the risk for adverse outcomes after an ACS event is clearer. Multiple observational studies have shown that low levels of n-3 FAs are associated with adverse outcomes, both sudden cardiac death and total coronary heart disease events (3). Randomized trials have also shown that n-3 FA supplementation in post MI patients leads to improved survival (3). Accordingly, the American Heart Association recommended that patients with documented CAD consume around 1 g of EPA+DHA per day. These sentiments are echoed in the American Heart Association/American College of Cardiology guidelines for the management of ST-elevation MI, which recommend that post MI patients be encouraged to increase the amount of n-3 FA in their diet as part of their overall dietary lipid management (Class I Recommendation) (29).

The strengths of this study include a large and well-defined patient population, the assessment of a biomarker of n-3 FA intake (instead of estimates of dietary intake), and the use of a standardized assessment of depressive symptoms. Nonetheless, several limitations should be considered. First, it is a cross-sectional study and, therefore, cannot demonstrate causality between low levels of n-3 FA and depressive symptoms at the time of an ACS. In other words, because those persons with depressive symptoms may have had them before their admission for an ACS, depression itself could have resulted in altered dietary habits with lower fish intake, reducing blood cell n-3 FA levels. Additionally, this study was conducted at only two centers in one city in the central United States, and local dietary habits may have limited the range of available n-3 FA levels from which the association with depressive symptoms could more thoroughly be examined. Multicenter studies are needed to confirm these results and improve their generalizability. Another potential limitation is that the biologic etiologies for depression are complex and not fully known. Therefore, it is possible that our final model adjusted for factors that are along the causal pathway for EPA+DHA and depression. Adjusting for these factors would have biased our adjusted estimate for EPA+DHA and depression toward the null. Finally, because this was an observational study, we cannot exclude the possibility of unmeasured confounding.

# **CONCLUSION**

This study provides additional support for the view that n-3 FA levels are associated with depressive symptoms in ACS patients, and suggests that interventions to raise the n-3 index may help prevent both depressive symptoms and adverse cardiovascular outcomes. The extent to which n-3 FA therapy would be effective in treating depression in ACS patients is still unknown.

## **Acknowledgments**

The authors wish to express their appreciation to Karen Nugent, Amy Shipman and Mary Baston for their important contributions in data collection and sample processing, and to Philip Jones for statistical assistance. Finally, we are indebted to Dr. James Crockett and the Saint Luke's Hospital Foundation for providing funding for this study.

**Financial Sources:** This project was principally supported by R-01 HS11282 from the Agency for Healthcare Research and Quality and by a grant from the Saint Luke's Hospital Foundation.

# **Glossary**

**AA** arachidonic acid

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**Figure 1. Adjusted Beta-coefficients of Variables in the Final Multivariable Model Predicting Depressive Symptoms \*n-3** (omega-3); BMI (Body Mass Index)



#### **Figure 2. Unadjusted and Adjusted Association between EPA+DHA\*and Depressive Symptoms \*n-3** (omega-3)

Demographics (age, gender, race, education level)

History (hypertension, diabetes, Body Mass Index, smoking status)

#### **Table 1**

Baseline characteristics of acute coronary syndrome patients with and without depression $#$ 



# Categorical data is presented as frequency (percent) and were compared using chi-square. Continuous data is presented as mean (± standard deviation) and were compared using two-sample t-test or wilcoxon rank sum test as appropriate.

\* percentages may not add up to 100% because of rounding

PHQ, Patient Health Questionnaire.

#### **Table 2**

### Fatty Acid Levels in depressed and non-depressed acute coronary syndrome patients\*



n-3, omega-3; n-6, omega-6; PHQ, Patient Health Questionnaire.

\* The 2-sample t-test was used to compare fatty acid levels between depressed and non-depressed patients.