# A Substitution in the Fingers Domain of DNA Polymerase $\delta$ Reduces Fidelity by Altering Nucleotide Discrimination in the Catalytic Site\*

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**Background:** Amino acid substitutions near the catalytic site of DNA polymerases can affect base discrimination. **Results:** An A699Q substitution in the fingers domain of eukaryotic DNA polymerase  $\delta$  (Pol  $\delta$ ) yields reduced fidelity via base misincorporation.

**Conclusion:** Intramolecular interactions involving the Pol  $\delta$  fingers and N-terminal domains can affect base selectivity. **Significance:** The structural determinants of fidelity intrinsic to replicative polymerases provide insight into general polymerase function.

DNA polymerase  $\delta$  (Pol  $\delta$ ) is one of the major replicative DNA polymerases in eukaryotic cells, catalyzing lagging strand synthesis as well as playing a role in many DNA repair pathways. The catalytic site for polymerization consists of a palm domain and mobile fingers domain that opens and closes each catalytic cycle. We explored the effect of amino acid substitutions in a region of the highly conserved sequence motif B in the fingers domain on replication fidelity. A novel substitution, A699Q, results in a marked increase in mutation rate at the yeast CAN1 locus, and is synthetic lethal with both proofreading deficiency and mismatch repair deficiency. Modeling the A699Q mutation onto the crystal structure of Saccharomyces cerevisiae Pol  $\delta$  template reveals four potential contacts for A699Q but not for A699. We substituted alanine for each of these residues and determined that an interaction with multiple residues of the N-terminal domain is responsible for the mutator phenotype. The corresponding mutation in purified human Pol  $\delta$  results in a similar 30-fold increase in mutation frequency when copying gapped DNA templates. Sequence analysis indicates that the most characteristic mutation is a guanine-to-adenine (G to A) transition. The increase in deoxythymidine 5'-triphosphate-G mispairs was confirmed by performing steady state single nucleotide addition studies. Our combined data support a model in which the Ala-to-Gln substitution in the fingers domain of Pol  $\delta$ results in an interaction with the N-terminal domain that affects the base selectivity of the enzyme.

DNA in living cells is replicated with exceptionally high accuracy. The ensemble of eukaryotic DNA polymerases are classified typically as either translesion polymerases, which bypass sites of damage to prevent replication fork stalling and genomic

<sup>2</sup> To whom correspondence should be addressed: University of Washington School of Medicine, Box 357705, Seattle, WA 98195. Tel.: 206-543-6015; Fax: 206-543-3967; E-mail: laloeb@uw.edu. instability, or the replicative polymerases, DNA polymerases  $\delta$ ,  $\epsilon$ , and  $\alpha$  (reviewed in Refs. 1–3). There is strong evidence that DNA polymerase  $\delta$  (Pol  $\delta$ )<sup>3</sup> is responsible for lagging strand synthesis of the entire nuclear genome (4–6), and it has also been shown to function in recombination and repair. Thus, Pol  $\delta$  is likely to be responsible for the majority of DNA synthesis in human cells.

The accuracy of synthesis by Pol  $\delta$  is determined primarily by the configuration of the catalytic site, which creates a steric and electrostatic bias for the incorporation of correct nucleotides (reviewed in Ref. 7). Replicative fidelity is further enhanced by the intrinsic exonuclease activity ("proofreading") of Pol  $\delta$ , which catalyzes the preferential hydrolysis of non-complementary nucleotides at the 3' terminus (4, 8). The active site of Pol  $\delta$ , in the absence of exonucleolytic proofreading, catalyzes one base substitution error per 7,600 nucleotides polymerized in yeast (9), and one in 22,000 nucleotides in human (10). Proofreading activity decreases the error rate of the human enzyme by at least 10-fold, an average of one base substitution error per 220,000 nucleotides polymerized. The overall fidelity of cellular DNA replication can be further enhanced to a rate of 1.0 imes $10^{-10}$  errors per base by extrinsic factors such as the mismatch repair system and by accessory proteins at the replication fork.

The structure of the Pol  $\delta$  catalytic domain, similar with that of most DNA polymerases, resembles an open right hand, with the thumb domain angled across the palm, and one or more  $\alpha$ -helical fingers projecting toward the polymerase active site (11, 12). The site of deoxynucleotide addition is in a central pocket, through which the template and elongating primer DNA strands are threaded. The addition of the nascent nucleotide is catalyzed by carbonyl groups on key amino acids of the palm domain, along with associated magnesium ions (13). However, the fingers domain is responsible for proper orientation of the incoming nucleotide (12, 14, 15). Residues in the fingers domain align the paired bases for hydrogen binding,



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<sup>&</sup>lt;sup>3</sup> The abbreviations used are: Pol δ, DNA polymerase δ; 5-FOA, 5-fluor-orotic acid; dTTP, deoxythymidine 5'-triphosphate.

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promote base stacking interactions, and make the  $\alpha$ -phosphate available for nucleophilic attack by the primer 3'-OH.

Alterations in the amino acid composition of the Pol  $\delta$  catalytic subunit can affect the fidelity of DNA synthesis (for reviews, see Refs. 16 and 17). The regions that form the catalytic pocket are structurally and compositionally conserved across all known polymerase species, and very few naturally occurring catalytic site mutations in the human replicative polymerases have been reported. A number of variants have been generated *in vitro* to study Pol  $\delta$  kinetics and base selectivity, many of them in critical positions that originally were identified in genetic screens from viral and bacterial studies (18, 19). These include the positions responsible for discrimination between the deoxyribo- and ribo forms of nucleotides, as well as variants of a conserved leucine in the palm domain that yield a spectrum of activities depending on the amino acid substituted at that position (20, 21).

Surprisingly, given the essential role of Pol  $\delta$ , only one catalytic site mutation has been identified in a human disease: the R689W substitution, found in the DLD-2 colorectal cancer cell line (22). The R689W substitution confers a mutator phenotype and lethal error catastrophe when the analogous variant is expressed in yeast (23). Arg<sup>689</sup> is located in motif B on the P-helix of the fingers domain, distal to the end that forms the active nucleotide binding site. Because this region of the fingers domain of Pol  $\delta$  is not well explored, we searched for mutator mutants at the C-terminal end of motif B in Saccharomyces *cerevisiae* Pol  $\delta$  by substituting each position with all possible amino acids. We identified a mutator mutation, A699Q, which appears to be dependent on a new interaction formed between the P-helix and the N-terminal domain. The cognate human mutation (hPol  $\Delta^{A692Q}$ ) was introduced, and this enzyme was purified. We report that hPol  $\delta^{A692Q}$  is a mutator polymerase that generates replicative errors, particularly base substitutions, and exhibits reduced proofreading capacity. These results highlight the critical role played by the fingers domain in maintaining high fidelity DNA synthesis.

#### **EXPERIMENTAL PROCEDURES**

Yeast Strains and Plasmids-The S. cerevisiae POL3 gene, which encodes the catalytic subunit of Pol  $\delta$ , was cloned in the LEU2 containing vector YcpLac111. Variants were created at amino acid positions 696-699 on the S. cerevisiae DNA polymerase  $\delta$  catalytic subunit (*POL3*) using the common reverse primer 5'-GGCAGCTTCGGTACCAAGATCCATAGCTTC-CTT-3', and the following randomized, site-specific forward primers: 5'-GATGAGAAGGATCCATTCAAAAGAGATGT-TTTAAATGGTNNNCAATTGGCTTTGAAGATT-3'; 5'-GATGAGAAGGATCCATTCAAAAGAGATGTTTTAAAT-GGTAGANNNTTGGCTTTGAAGATTTCA-3'; 5'-GATG-AGAAGGATCCATTCAAAAGAGATGTTTTAAATGGTA-GACAANNNGCTTTGAAGATTTCAGCT-3'; and 5'-GAT-GAGAAGGATCCATTCAAAAGAGATGTTTTAAATGGT-AGACAATTGNNNTTGAAGATTTCAGCTAAC-3', where NNN indicates random nucleotides. Following PCR amplification, a library of plasmids harboring random substitutions at the designated positions on the LEU2 containing vector YcpLac111 was transformed into BY4741( $pol3\Delta 0::kanMX$ ) cells harboring YcpLac33-Pol3, a URA3 containing vector that provides wild type *S. cerevisae* Pol  $\delta$ , and the cells were grown on Synthetic Dextrose medium (SD medium) lacking leucine and uracil. To remove the YcpLac33-Pol3 vector and thereby select for cells with functionally active polymerase variants, colonies were then transferred to plates lacking leucine, but containing 5-fluor-orotic acid (5-FOA). Surviving colonies from 5-FOA plates were plated onto both Synthetic Complete medium (SC medium) and SD medium lacking arginine and leucine, with canavanine sulfate (60 mg/liter) added for the CAN1 forward mutation assay.

CAN1 Forward Mutation Assay—Yeast colonies grown on 5-FOA-containing medium and expressing wild type or mutant polymerases were diluted in water. Most of each dilution was spread directly onto canavanine sulfate plates (SD-Leu-Arg+Can), and the remaining 5% was subjected to six 10-fold dilutions. The serial dilutions were plated in  $10-\mu$ l drops onto SC medium. Eight colonies of each genotype were assayed. After 3 days of growth, the number of colony forming units on each SD-Leu-Arg+Can plate was estimated by assessing growth on SC medium. The mutation rate was determined through the MSS-Maximum Likelihood Estimator method using the web application FALCOR (24).

*Purification of Human Pol*  $\delta$ —The four-subunit human DNA Pol  $\delta$  was expressed and purified from bacteria as described (10, 25). Briefly, BL21-tRARE competent cells were co-transformed by electroporation with pET3-PolD1, harboring the Pol  $\delta$  p125 catalytic subunit, and pCOLA-polD2,3,4, which harbors the accessory subunits of the holoenzyme. Expression was induced by overnight incubation of mid-log phase cells with isopropyl  $\beta$ -D-1 thiogalactopyranoside at 15 °C. Cells were pelleted and frozen, and the crude extract was obtained by 10 rounds of sonication in buffer containing 40 mM HEPES (pH 7.5), 200 mM NaCl, 30 mM imidazole, 10% (v/v) glycerol, 0.1% (v/v) Triton X-100, followed by centrifugation of the debris. The purification consisted of two stages: first, the extract was bound to a charged nickel column via a polyhistidine tag on the p12 subunit, and bound proteins were eluted in batch with increasing concentrations of imidazole (30-300 mM). Next, the 300 mM imidazole eluate was subjected to ion exchange purification on a HiTrap SP HP column (GE Healthcare) using a 0.2-1.0 м linear NaCl gradient. The peak fraction was identified by assaying each fraction for DNA polymerase activity by incorporation of  $[\alpha - {}^{32}P]$ ATP into activated calf thymus DNA. The presence of all four subunits in the active fraction was confirmed by SDS-PAGE and Flamingo fluorescent protein staining (Bio-Rad) (data not shown).

*M13mp2* Forward Mutagenesis Assay—M13mp2 gapped DNA substrate was extended by Pol δ variants at 37 °C for 1 h in a 25-µl reaction containing 86 nmol of enzyme, 1.5 nmol of gapped plasmid, 0.2 mg/ml BSA, 50 mM KCl, 40 mM Tris-HCl, pH 7.5, 2.5 mM MgCl<sub>2</sub>, 0.1% (v/v) Triton X-100, and 10% (v/v) glycerol. To separate the enzyme and DNA, 0.5 µl of 20 mg/ml proteinase K was added to each reaction, followed by incubation for an additional 15 min at 37 °C. Most of each reaction was run on a 0.8% TAE agarose gel (Invitrogen Ultra Pure) overnight at 80 V to verify gap filling. MC1061 cells were transformed by electroporation with a 1:10 dilution of the filled



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# TABLE 1 Allowable substitutions at amino acids 696 – 699 of S. cerevisiae POL3

The wild type amino acid was also present in all cases at >50% of plasmids sequenced.

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Arg <sup>696</sup>	Gln	Asn	Glu	Asp	Val	Ile	Leu	Ala	Tyr	Thr	Ser	Gly	Cys		
Gln <sup>697</sup>	Asn	Trp	Phe	Cal	Ile	Leu	Ala	Tyr	Thr						
Leu <sup>698</sup>	Gln	Asn	Glu	Asp	Val	Ile	Ala	Tyr	Thr	Ser	Gly	Cys	His	Arg	Lys
Ala <sup>699</sup>	Gln	Met	His	Cys	Val	Gly	Leu	Ser	Tyr	Thr					

DNA substrate, and the transformed cells were combined in soft agar with mid-log CSH50  $\alpha$ -complementation cells, 0.75 mM isopropyl  $\beta$ -D-1 thiogalactopyranoside, 4.4  $\mu$ g/ml 5-bro-mo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside and subsequently plated onto agar plates containing VB salts (0.2 mg/ml MgSO<sub>4</sub>-7H<sub>2</sub>O, 2.0 mg/ml citric acid, 10 mg/ml K<sub>2</sub>HPO<sub>4</sub>, 3.5 mg/ml Na(NH<sub>4</sub>)HPO<sub>4</sub>-4H<sub>2</sub>O), 0.4% glucose (w/v), and 0.5  $\mu$ g of thiamine-HCl.

Plaques were visually evaluated for degree of blue pigment, and any clear or light blue plaques were marked. Mutation frequency was determined by dividing the number of mutant plaques by the total number of plaques. Mutant plaques were picked into 0.9% NaCl (w/v) and were used to infect fresh liquid cultures of CSH50 cells to obtain sufficient DNA for sequencing. Mutation rates for base substitutions and  $\pm 1$  insertions and deletions were calculated using the formula ( $(N_1/N) \times MF$ )/( $D \times 0.6$ ), where  $N_1$  is the number of mutations in that category, N is the total number of mutations, MF is the mutation frequency, and D is the number of detectable sites in that category (26).

*Exonuclease* Activity Assay—The substrate poly(dA)oligo(dT) (12–18) was labeled with  $[\alpha^{-32}P]$ dTTP in a 10-µl reaction containing 5 units of exonuclease-deficient Klenow fragment for 15 min at 37 °C. Unincorporated  $[\alpha^{-32}P]$ dTTP was removed by Sephadex G-50 filtration, followed by ethanol precipitation. The exonuclease assays contained 0.036–0.2 pmol of polymerase in 40 mM Tris-HCl (pH 7.5), 50 mM KCl, 2.5 mM MgCl<sub>2</sub>, 0.1% (v/v) Triton X-100, 10% (v/v) glycerol, 2 µg of BSA, 1 mM dithiothreitol. Reactions were performed at 37 °C for 20 min. The reaction was stopped by the addition of a buffer containing 1 mM EDTA. The product was ethanol precipitated, and the supernatant was assayed for released radioactivity by scintillation counting.

Single Nucleotide Kinetics, Mismatch Extension, Exonuclease Assay-Steady state nucleotide addition experiments were performed using a 46-bp template, 5'-GCGCGGAAGCTTGGCT-GCAGAAGATTGCTAGCGGGGAATTCGGCGCG-3' and a 23-bp primer, 5'-CGCGCCGAATTCCCCGCTAGCAAT-3', or a 24-bp primer, 5'-CGCGCCGAATTCCCCGCTAGCAATT-3' for mismatch extension and degradation studies. Enzyme concentration and time of incubation at 37 °C was established to be linear for each enzyme. The indicated concentrations of enzymes were incubated in a  $10-\mu$ l reaction with 40 mM Tris-HCl (pH 7.4), 4 mM MgCl<sub>2</sub>, 0.1 mg/ml bovine serum albumin, 10 nm primer template, and 5 mm dithiothreitol. Reactions were initiated by the addition of nucleotides, run at 37 °C, and then placed on ice and stopped by the addition of an equal volume of 95% (v/v) formamide/20 mM EDTA. After resolution on 14% polyacrylamide gel, the bands were developed on a Phosphor-Imager device and quantified.  $K_m$  and  $V_{max}$  were obtained by curve-fitting the density data to the Michaelis-Menten equation using Kaleidagraph (Synergy software). Frequency of misincorporation ( $f_{\rm inc}$ ) was calculated by ( $(k_{\rm cat}/K_m)^{\rm incorrect}/(k_{\rm cat}/K_m)^{\rm correct}$ ) (27).

#### RESULTS

Mutagenesis of Yeast Pol δ Residues Arg<sup>696</sup>-Ala<sup>699</sup>-Motif B of Pol  $\delta$  is highly conserved at the amino acid level from humans to yeast. To determine the ability of residues Arg<sup>696</sup>-Ala<sup>699</sup> in motif B of S. cerevisiae Pol  $\delta$  to tolerate substitutions, we undertook a PCR mutagenesis strategy wherein a set of forward PCR primers with randomly substituted nucleotides at the three positions of each codon was used to modify the region, codon by codon. The mixture of primers in a single reaction should have representation of all 64 possible trinucleotides within the codon. Because many codons are degenerate, there was an overrepresentation of more commonly coded amino acids, such as arginine (six possible codons) over more rarely coded amino acids, such as methionine (one codon). The products of the PCR mutagenesis were subcloned into YcpLac111-POL3E (LEU2) and transformed into the yeast BY4741, whose chromosomal copy of *POL3*, encoding the catalytic subunit of Pol  $\delta$ , was deleted. As Pol  $\delta$  is an essential protein, these cells harbor an extrachromosomal copy of POL3 (YcpLac33-POL3 (ura3)). This library of mutant polymerases underwent purifying selection for activity by plasmid shuffling between media lacking leucine and uracil but containing 5-FOA. Colonies that grow on 5-FOA are under the direct control of the product of the PCR mutagenesis reactions, as 5-FOA is toxic to cells that harbor the URA3 cassette. We picked colonies and sequenced motif B to determine the spectrum of surviving substitutions at the first four positions of motif B.

Mutagenesis of the 696-699 region of S. cerevisiae Pol  $\delta$ revealed a large tolerance for single amino acid substitutions (Table 1). At the Arg<sup>696</sup> site alone, we identified 13 amino acids representing polar, nonpolar, charged, and hydrophobic residues that could substitute for alanine. This is in contrast to previous work on Escherichia coli Pol I and TagDNA polymerase using random mutagenesis of the entire polymerase molecule which suggested that this position is very highly conserved (28, 29). The previously described mutation, R689W, which was identified in the human colorectal cancer cell line, DLD-1, has been demonstrated to be a strong mutator that is non-viable in haploid yeast (23). Consistent with this work, the analogous R696W substitution did not appear in our screen. Among the other positions, Gln<sup>697</sup> permitted 10 amino acid substitutions; Leu<sup>698</sup> was highly permissive, with 16 possible substitutions; and Ala<sup>699</sup> allowed 10 substitutions.

The large number of active substituted proteins served as a pool from which to screen for alterations in DNA polymerase function. We screened the variant strains for increased mutagenesis in a forward mutation assay that is based upon





FIGURE 1. **Mutation rates of wild type and mutant DNA Pol**  $\delta$  **yeast.** *A*, haploid yeast expressing mutant Pol  $\delta$  were subjected to fluctuation analysis in the canavanine sulfate (CAN1) forward mutagenesis assay, and mutation rates were calculated using MSS maximum likelihood. The *error bars* indicate the 95% confidence interval. *B*, schematic representation of the region proximal to Ala<sup>699</sup> (*yellow*) showing the P-helix (*green*) harboring motif B, and N-terminal domain helices (*blue*). The four putative interacting residues Met<sup>540</sup>, Tyr<sup>537</sup>, Asn<sup>536</sup>, and Glu<sup>471</sup> are represented as *gray sticks*. The incoming dCTP (*silver*) is shown interacting with Lys<sup>701</sup> and Asn<sup>705</sup> of motif B and the template G (*pink*) and is provided for context. The image was produced in PyMOL using Protein Data Bank code 3IAY (The PyMOL Molecular Graphics System, version 1.2r3pre, Schrödinger, LLC.). *C*, mutation rates of single and double mutants at the CAN1 locus. The M540A substitution suppresses the mutator effect of A699Q. Tyr<sup>536</sup> and Asn<sup>537</sup> show evidence of interaction with A699Q (see "Results" and "Discussion").

inactivation of the *CAN1* gene. A few of the variants demonstrated an increase in mutation frequency, and their mutation rates were subsequently established by fluctuation analysis (Fig. 1*A*). We identified the A699Q substitution as our strongest mutator, with a mutation rate ~20-fold higher than wild type Pol  $\delta$ . By comparison, the exonuclease-deficient Pol  $\delta$  variant, *pol3-01*, which lacks the ability to undergo proofreading, has only a 7-fold higher rate than wild type Pol  $\delta$  in the CAN1 assay.

Rescue of the Mutator Phenotype of Pol  $\delta^{A699Q}$ —Modeling the A699Q mutation onto the crystal structure of *S. cerevisiae* Pol  $\delta$ , consisting of a closed ternary complex containing the catalytic subunit of Pol  $\delta$ , the template primer, and the incoming complementary nucleotide, reveals four potential contacts that A699Q could make, but that the wild type Ala<sup>699</sup> cannot (Fig. 1B) (30). The four positions are located on the N-terminal domain, separated from the P-helix of the fingers domain by an aqueous channel. To explore whether a new intramolecular contact was being made by the A699Q mutation, we constructed Pol  $\delta$  mutants in which alanine was substituted for these four potential positions of contact: Glu<sup>471</sup>, Asn<sup>536</sup>, Tyr<sup>537</sup>, and Met<sup>540</sup>. These were made as individual substitutions, as well as in combination with the A699Q mutation. If interaction

at any of these target sites substantially contributes to the mutator phenotype of Pol  $\delta^{A699Q}$ , then substituting that target for an alanine residue could suppress the mutator phenotype of A699Q.

There was no reduced growth phenotype with E471A, N536A, or M540A, nor was there any abnormal growth phenotype with these mutations in the A699Q background. However, the Y537A mutant grew poorly, producing only microcolonies after several days. As shown in Fig. 1*C*, fluctuation analysis of the singly and doubly mutated Pol  $\delta$  species revealed that the single mutants had a base-line rate of mutation similar to wild type. A possible exception is the Y537A variant, which was difficult to assess via canavanine resistance due to its severe growth phenotype.

Analysis of the double mutants presents a complicated picture. Three of the double mutants (E471A/A699Q, N536A/ A699Q, and Y537A/A699Q) exhibited elevated rates of mutation that were similar, within experimental error, to A699Q alone. The M540A/A699Q variant, however, exhibited a wild type mutation rate, strongly suggesting that an interaction between A699Q and Met<sup>540</sup> is responsible for the mutator phenotype of A699Q. The combination of A699Q and N536A pro-





FIGURE 2. Synthetic lethality of Pol  $\delta^{A699Q}$  with exonuclease and mismatch repair deficiency. Haploid yeast cells that are positive or negative for the mismatch repair protein Msh2 are plated on 5-fluororotic acid plates to select for an expression plasmid containing either wild type Pol  $\delta$ , Pol  $\delta^{A699Q}$ , or exonuclease-deficient Pol  $\delta^{A699Q}$ . Wild type Pol  $\delta$  permits growth in both Msh2-containing and Msh2-deficient cells. Pol  $\delta^{A699Q}$ , however, exhibits growth in Msh2-containing cells but not in the context of mismatch repair deficiency and also requires exonuclease activity for survival.

duced an apparent increase in mutation rate over A699Q alone, but with high variance. This high level of noise within three independent replicates may reflect a transient interaction with Asn<sup>536</sup>. Furthermore, the rescue of the Y537A growth phenotype by A699Q suggests a potential interaction between these sites. Alternatively, it may represent the ability of A699Q to compensate for the Y537A substitution via some other mechanism than direct contact because the presence or absence of Tyr<sup>537</sup> does not seem to affect the mutation rate of Pol  $\delta^{A699Q}$ . Further analysis of these apparent interactions and how they might affect fidelity is presented in the "Discussion."

Synthetic Lethality of Proofreading and Mismatch Repair Deficiency with Pol  $\delta^{A699Q}$ —Given the dynamic nature of the fingers domain, the effect of A699Q on mutagenesis could be due to a change in the kinetics of nucleotide addition or in the efficiency of proofreading. If proofreading was directly affected by the mutation in question, then one would expect only an additive effect (or less) in mutation rate if Pol  $\delta$  exonuclease activity were also abolished. We abolished exonuclease activity of the A699Q variant by mutating two conserved residues that have been determined to be essential for exonuclease activity (D421A and E423A). The A699Q exonuclease-deficient mutant was non-viable, demonstrating a synthetically lethal relationship between the substitution in the fingers domain and the absence of exonuclease activity (Fig. 2). The profound effect of eliminating exonuclease activity implies that the mutations introduced by Pol  $\delta^{A699Q}$  are normally corrected by intrinsic exonuclease activity. Similarly, there was synthetic lethality when the Pol  $\delta^{\rm A699Q}$  variant was introduced into haploid cells that were deficient for mismatch repair, owing to the absence of the MSH2 gene (Fig. 2).

Purification of Human Pol  $\delta^{A692Q}$ —To more directly assess the role of the A699Q mutation in polymerase fidelity, the cognate mutation, A692Q, was introduced into human Pol  $\delta$ . An exonuclease-deficient version of the mutant protein (A692Q/ D400A) also was made. There is nearly 90% amino acid identity in the three catalytic motifs between human and budding yeast Pol  $\delta$  and 100% identity within motif B. Thus, we considered the human polymerase to be a reasonable model for investigating the biochemical basis of the *in vivo* mutation rates of the *S. cerevisiae* Pol  $\delta$  mutants. We expressed the four-subunit holoenzyme in *E. coli* and purified the complex via sequential affinity purification and ion exchange chromatography as described previously (10, 25). The major protein fraction correlated with the peak of DNA polymerase activity, and all four subunits were shown to be present via Flamingo fluorescent staining on an SDS-PAGE gel (data not shown). A scintillation-based assay for release of radioac tivity on 3'-labeled poly(dA)-oligo(dT) substrate demonstrated that exonuclease-deficient Pol  $\delta^{A692Q}$  (referred to as hPol  $\delta^{DQ}$ ) lacks the ability to degrade the substrate (data not shown).

Mutation Rate of  $hPol\delta^{A692Q}$ —We used the M13mp2-lacZ gap-filling assay to assess the mutation rate of hPol  $\delta^{\overline{A692Q}}$ . In this assay, a double-stranded M13 construct is prepared, which includes a single stranded portion within the coding sequence of the LacZ  $\alpha$ -fragment. The single-stranded portion of the substrate is copied by the DNA polymerase in vitro, and the products are introduced into E. coli, followed by plating on medium containing X-Gal and isopropyl  $\beta$ -D-1 thiogalactopyranoside. Accurate replication by the polymerase results in dark blue plaques, whereas polymerase errors that inactivate  $LacZ-\alpha$ result in light blue or colorless plaques. Transformation of the substrate alone yielded a background frequency of  $1 \times 10^{-3}$ , consistent with prior reports (10). The A692Q variant yielded a mutant plaque frequency of  $3 \times 10^{-2}$ , a 30-fold increase, which indicates that hPol $\delta^{A692Q}$  is highly error prone. Fig. 3B summarizes the rates of mutation for single base substitutions and  $\pm 1$ frame shifts. No complex or large deletions were observed, although there were four independent dinucleotide deletions, all at different sites in the lacZ gene (Fig. 3A).

The spectrum of base substitutions differs markedly from those generated by wild type and exonuclease-deficient human Pol  $\delta$  (Fig. 4). Specifically, we observed a large increase in the incorporation of dTTP opposite both template C and template G (Fig. 4). In particular, the G to A transitions (G:dT) occurred at 12/22 sites in the gapped sequence, with only one hot spot, and no clear preference for a sequence context. Overall, nearly 60% of the mutant plasmids analyzed harbored single base substitutions, compared with the 47–48% reported for wild type and exonuclease-deficient hPol  $\delta$  (10), indicating that the A692Q substitution predisposes Pol  $\delta$  to errors in base selection.

Single Nucleotide Incorporation Kinetics—Because hPol  $\delta^{A^{692Q}}$  appears to exhibit a base selection phenotype in the gap-filling assay, we examined the kinetics of correct and incorrect incorporation of nucleotides using the purified polymerase. hPol  $\delta^{DQ}$  was used to assess the base-selection properties of the enzyme to avoid the confounding influence of proofreading on estimating catalytic efficiency. hPol  $\delta^{D400A}$ , which is wild type Pol  $\delta$  with an inactivating mutation in the exonuclease domain, was used as a control. The experimental conditions were independently determined for each enzyme by determining the kinetics of incorporation of the correct nucleotide on a 23/46-bp primer/template duplex. In experiments examining incorporation of dCTP across from template G, the hPol  $\delta^{DQ}$ 





\*26 single base insertions and 49 single base deletions

FIGURE 3. Mutation rates and spectrum of hPol  $\delta^{A692Q}$  at the *lacZ* locus on M13mp2 gapped plasmid. A, mutations detected in the gapped plasmid filling assay are mapped on the scorable region of the gene. Base substitutions appear *above the line*, whereas -1 deletions ( $\Delta$ ) or +1 insertions (+N) are found *below the line*. B, mutation rates from 188 mutants sequenced, including individual base substitutions,  $\pm 1$  frameshifts and overall base substitution rate, calculated as described under "Experimental Procedures."

variant had a 26-fold lower  $k_{\text{cat}}/K_m$  value, suggesting that the Ala<sup>692</sup> mutation results in decreased catalytic activity (Table 2).

Incorporation of an incorrect nucleotide was performed using the same primer template duplex but with dTTP as the substrate. The choice of a dTTP·G mispair was based on the observation that hPol  $\delta^{A692Q}$  exhibited a proportionally high level of  $G \rightarrow A$  base substitutions in the gap filling assay, whereas the same substitution was not strongly represented in previously reported spectra from hPol  $\delta$ . We report a 2.6-fold increase in the misincorporation efficiency ( $f_{inc}$ ) for Pol  $\delta^{DQ}$ over that of Pol  $\delta^{D400A}$ , which partially confirms the increase in mutagenesis seen in the gap-filling assay (Table 2).

Mismatch Extension and Exonuclease Assays—An increased base substitution rate could be due to reduced base selectivity, reduced proofreading, and/or a greater capacity for extending mispaired substrate. We assayed hPol  $\delta^{DQ}$  and hPol  $\delta^{D400A}$  for the ability to extend a 24/46-bp primer:template duplex with a

3'-terminal T:G mismatch. hPol  $\delta^{DQ}$  extended this mismatch with a slightly lower efficiency than hPol  $\delta^{D400A}$ , so it is unlikely that this mechanism contributes to the increased base substitution rate of hPol  $\delta^{A692Q}$  (data not shown).

Next, we compared hPol  $\delta^{A692Q}$  and wild type hPol  $\delta$  for their ability to recognize and degrade a terminal G:C base pair or a terminal G:T mismatch on duplex DNA. Using concentrations of protein that were normalized for equivalent polymerase activity, hPol  $\delta^{A692Q}$  catalyzed degradation of both matched and mismatched substrate at 79% of the rate of wild type enzyme (data not shown). These results suggest that a general deficiency in proofreading may also contribute to the increased base substitution rate of hPol  $\delta^{A692Q}$ .

#### DISCUSSION

The Pol  $\delta$  catalytic domain undergoes rapid, large-scale conformational changes as it engages in DNA polymerization,





FIGURE 4. **Comparison of mutation rates between Pol**  $\delta^{A692Q}$  **and Pol**  $\delta^{D400A}$ . Mutation rates for individual base substitutions from the gapped filling assay using purified Pol  $\delta^{A692A}$  (*black bars*, values on *left axis*) are compared with published values (10) for Pol  $\delta^{D400A}$  (*gray bars*, values on *right axis*). Pol  $\delta^{A692Q}$  displays an elevated base substitution rate overall, relative to Pol  $\delta^{D400A}$ , with the largest increase in mutagenesis by the A692Q variant occurring in the setting of G $\rightarrow$ A and C $\rightarrow$ A substitutions.

#### TABLE 2

hPol  $\delta$  catalysis of nucleotide addition during correct and incorrect incorporation

Primer extension experiments measured incorporation of dCTP or dTTP across from template G. S.D. reflect three replicate experiments.

	Pol $\delta^{ m D400A}$	Pol $\delta^{\mathrm{DQ}}$	Fold (DQ:D400A)
Correct (dCTP-G) <sup>a</sup>			
$V_{\rm max}$ (fmol/min)	$18 \pm 0.13$	$26 \pm 1.2$	
$K_m(\mu M)$	$0.25\pm0.01$	$2.5 \pm 0.4$	
$k_{\rm cat} ({\rm min}^{-1})$	$0.51 \pm 0.004$	$0.19 \pm 0.009$	
$k_{\rm cat}/K_m$	2	0.076	-26
Incorrect (dTTP-G) <sup>b</sup>			
V <sub>max</sub> (fmol/min)	$38 \pm 11$	$9.1 \pm 0.98$	
$K_m(\mu M)$	$340 \pm 180$	$180 \pm 43$	
$k_{\rm cat} ({\rm min}^{-1})$	$0.39 \pm 0.11$	$0.027 \pm 0.002$	
$k_{cat}/K_m$	0.001	0.0001	-10
$f_{inc}^{*c}$	0.0005	0.0013	2.6

 $^a$  Correct incorporation experiments used 0.14 pmol hPol  $\delta^{\rm DQ}$  and 0.036 pmol hPol  $\delta^{\rm D400A}.$ 

 $^b$  Incorrect incorporation experiments used 0.336 pmol hPol  $\delta^{\rm DQ}$  and 0.099 pmol hPol  $\delta^{\rm D400A}$ 

 $^{c}f_{\rm inc}$  given by  $(k_{\rm cat}/K_m)^{\rm incorrect}/(k_{\rm cat}/K_m)^{\rm correct}$ .

translocation along the template, and transfer of the primer end for exonucleolytic proofreading. In particular, the fingers domain is a highly mobile region whose opening and closing precedes each nucleotide addition step. A change in the mechanics or kinetics of fingers domain movement could affect the rate of nucleotide addition or the efficiency of active site switching. Additionally, the polymerase active site is a highly conserved structure that is highly sensitive to perturbations induced by changes in the composition of surrounding residues.

The amino acid positions that directly bind and position the incoming nucleotide are located along the helix of motif B, as determined by site-directed mutagenesis of these positions in the B-family polymerase Pol  $\alpha$  (14). The previously reported, cancer cell-associated R689W mutation is located four turns of

an  $\alpha$ -helix away from the active site residues Tyr<sup>708</sup> and Gly<sup>709</sup> and is not one of the residues thought to bind the incoming nucleotide. When this mutation was introduced into yeast Pol  $\delta$ , it was found to be lethal in haploid cells, most likely due to an accumulation of point mutations. The position of R689W (R696W in yeast) in the catalytic subunit was suggested to interfere with exonuclease partitioning, due to the disruption of a putative interaction between the fingers and exonuclease domains. This suggests that the other C-terminal sites in motif B may have similar effects that could elucidate the role of the fingers domain in DNA polymerization and proofreading.

We examined the role of the C-terminal residues in motif B in yeast Pol  $\delta$  by determining the spectrum of allowable substitutions in amino acids 696-699. We found evidence for a high tolerance of substitution at these positions. Further characterization of variants with increased mutagenic capacity identified A699Q as a highly mutagenic substitution that requires proofreading and mismatch repair for survival in haploid yeast. We interrogated potential interacting amino acid positions by substituting each one for alanine and discovered a complex interaction between the N-terminal domain and the fingers domain that affects replication fidelity. For further characterization, we introduced this substitution into human Pol  $\delta$  at Ala<sup>692</sup>. In the M13-LacZ forward mutation assay, hPol  $\delta^{A692Q}$  generated an increase in base substitution rates and overall mutation frequency when compared with exonuclease-deficient hPol  $\delta$ . Steady state kinetics results confirm an increase in the efficiency of nucleotide misincorporation by hPol  $\delta^{DQ}$  and indicate that the A692Q substitution may lower the overall rate of exonuclease activity.

We used the crystal structure of yeast Pol  $\delta$  in a ternary complex with duplex DNA and an incoming nucleotide (12) to determine positions that could interact with the A699Q substitution in the finger-closed conformation. Despite our identifi-



cation of a critical interaction with Met<sup>540</sup>, we lack information on how this substitution could affect the structure of the region in the open state or the transition between open to closed states. However, the complete suppression of the A699Q-induced increase in mutation rate by M540A demonstrates the importance of interactions between the fingers domain and the N-terminal domain.

How could such an interaction affect polymerase fidelity? Modeling A699Q onto the yeast Pol  $\delta$  structure suggests a possible mechanism. The residues that are close enough to A699Q to potentially interact are located on a loop (Glu<sup>471</sup>) or on the J helix in the N-terminal domain (Asn<sup>536</sup>, Tyr<sup>537</sup>, Met<sup>540</sup>). The single substitution, Y537A, leads to a severe growth defect, resulting in microcolonies that take many days to form. The double mutant, Pol  $\delta^{Y537A/A699Q}$ , grows normally but has a mutation rate similar to Pol  $\delta^{A699Q}$ . One possible explanation is that the polar Tyr<sup>537</sup> side chain participates in regional stability and that A699Q but not Ala<sup>699</sup> can restore that stability. We introduced all nine identified substitutions at position 699 on the available structure of Pol  $\delta$  and found that some degree of steric clash always occurs between the  $\beta$ -carbon hydrogens at position 699, when present, and Met<sup>540</sup>. The clash can be relieved by displacement of Met<sup>540</sup>, as suggested by models, leaving the side chains of amino acids at 699 pointing toward Tyr<sup>537</sup> and Glu<sup>471</sup>. Although most of the Ala<sup>699</sup>-substituted amino acids found in our screen would clash with Met<sup>540</sup>, A699Q is the only one with a polar group within range to interact with the hydrogen bonding network between Tyr<sup>537</sup> and Glu<sup>471</sup>. Replacement of Met<sup>540</sup> with alanine relieves the steric clash, and A699Q could be expected to adopt an orientation toward M540A. Therefore, the major contributor to the mutagenicity of Pol  $\delta^{A699Q}$  may be the interaction with Tyr<sup>537</sup>-Glu<sup>471</sup> hydrogen bonding network, directly or through water molecules. Alternatively, the steric clash with Met<sup>540</sup> could displace the highly mobile P-helix, which could change the orientations of critical residues in the catalytic pocket.

Gap-filling experiments on a *LacZ* reporter plasmid using hPol  $\delta^{A692Q}$  confirmed its higher mutation frequency over wild type hPol  $\delta$  (30-fold) and exonuclease-deficient hPol  $\delta$  (3-fold). A comparison of mutation rates and spectra with Pol  $\delta^{D400A}$  identifies base substitutions as the overwhelming source of this 3-fold increase. dTTP misincorporations accounted for nearly 40% of all substitutions, despite representing only 20% of possible scorable outcomes in the assay. Single nucleotide incorporation experiments confirmed that hPol $\delta^{D400A}$ , and exonuclease assays reveal a reduced rate of proofreading on both matched and mismatched primer-template termini. Altogether, the kinetics data suggest that multiple aspects of Pol  $\delta$  activity are compromised by A692Q.

The A692Q substitution and other local mutations could serve as important tools to ascertain the role of the fingers domain in eukaryotic B family polymerases. Future work aimed at defining additional intramolecular interactions at the mobile end of the fingers domain would be a step toward better understanding how the Pol  $\delta$  active site discriminates between a correct and incorrect incorporation, how the fingers domain con-

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tributes to proof reading, and how Pol  $\delta$  commits to the nucleotide addition step.

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Addendum—Since this article was submitted, a mutation in human Pol  $\delta$  affecting the proofreading domain has been reported for the first time in human cancer (31), underscoring the importance of replication fidelity in the prevention of human disease.

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