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# Clopidogrel Resistance is Associated with Long-Term Thrombotic Events in Patients Implanted with Drug-Eluting Stents

Lin Wang, Xiaobei Wang and Fenghua Chen

Department of Laboratory Medicine, Union Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, China

## **Abstract**

**Background:** There are limited prospective data on clopidogrel resistance and clinical outcome of patients with selective coronary drug-eluting stent (DES) implantation.

Objective: To investigate whether clopidogrel resistance is associated with long-term thrombotic events in patients with selective coronary DES implantation. Methods: A total of 154 patients who underwent selective percutaneous coronary intervention (PCI) with DES were enrolled in this study. Platelet aggregation was measured using light transmittance aggregometry (LTA) before clopidogrel administration (baseline) and 24 hours after loading with clopidogrel 300 mg. Clopidogrel resistance was defined as ≤10% absolute difference between baseline aggregation and post-administration aggregation. All patients who received the same anti-platelet treatment were followed up for 1 year after discharge for the incidence of a composite endpoint consisting of cardiovascular death, myocardial infarction (MI) and revascularization, and secondly for the incidence of stent thrombosis.

**Results:** The incidence of clopidogrel resistance is 20.28% in our study population. Patients who are complicated by diabetes mellitus, smoke, or have a higher body mass index (BMI) tend to have clopidogrel resistance. Patients in the clopidogrel-resistant group have significantly higher incidences of composite endpoints (21.88% vs 4.92%; p = 0.006) and stent thrombosis (12.5% vs 1.64%; p = 0.017) than patients in the clopidogrel-response group during 1-year follow-up. **Conclusions:** Diabetes, smoking, and high BMI are associated with clopidogrel resistance, and clopidogrel resistance indicates an increased risk of long-term thrombotic events in patients implanted with DES.

#### Introduction

Anti-platelet therapy is the cornerstone management of patients with acute coronary syndrome (ACS), especially in patients with coronary disease after coronary stenting. Dual antiplatelet therapy with aspirin (acetylsalicylic acid) and clopidogrel has been regarded as a standard

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anti-platelet regimen after drug-eluting stent (DES) implantation for coronary disease.[1] Nevertheless, a large number of cardiovascular events continue to occur despite anti-platelet therapy. Although poor patient compliance can account for some of these events, particularly in those patients who receive DES, increasing evidence indicates that there is variability in response to anti-platelet therapy and patients who have a higher level of platelet reactivity are at an increased risk of recurrent cardiovascular thrombotic events.<sup>[2]</sup> Therefore, the concept of aspirin and clopidogrel 'resistance' has arisen, and it is indicated that the recurrence of adverse cardiovascular events may be attributed to aspirin or clopidogrel resistance.<sup>[3,4]</sup> The second-generation P2Y12 blocker clopidogrel whose safety profile is better with a lower incidence of hematologic and liver complications has largely replaced the first-generation P2Y12 blocker ticlopidine in clinical therapy. Several clinical trials have demonstrated that non-responsiveness to clopidogrel is related to a higher risk of cardiovascular events in patients.<sup>[5,6]</sup> However, little prospective data are available in patients with implantation of DES for longer follow-up periods. In the present study, we aimed to determine clinical factors that were associated with clopidogrel resistance and whether clopidogrel resistance is associated with long-term thrombotic events in patients with selective coronary DES implantation.

## **Methods**

Study Protocol

This study was approved by the Ethics Committee of China. 154 patients undergoing selective coronary stent implantation were enrolled after giving written informed consent between January 2006 and January 2008. Exclusion criteria were as follows: (i) history of recent bleeding diathesis or heparin-induced thrombocytopenia; (ii) hematologic or malignant disorder; (iii) serious liver or kidney damage; (iv) serious infection, tumor, or immunologic system disease; (v) cerebrovascular event within the last 3 months; (vi) major surgical operation within 1 week prior; (vii) angiographically visible thrombus in target

vessels; and (viii) acute myocardial infarction (MI) within 10 days.

All interventions were performed according to current clinical guidelines, with the implantation of the same type of DES (Partner, Lepu Medical Technology, Beijing, China) and the use of glycoprotein IIb/IIIa inhibitors at discretion of the operator. A weight-adjusted intra-procedural unfractionated heparin (with a goal activated clotting time of 250-300 seconds) was administered during the procedure and was routinely discontinued at the end of the procedure. A 300 mg loading dose of clopidogrel was given to all patients at least 6 hours prior to percutaneous coronary intervention (PCI), followed by a daily dose of 150 mg for 2 weeks and then 75 mg for a minimum of 11.5 months. In addition, from the first day of the procedure, all patients were administered aspirin 300 mg daily for 1 month and then 100 mg for the lifetime of the patient.

#### Platelet Aggregation

Blood samples were collected in evacuated containers containing 3.8% sodium citrate before clopidogrel administration (baseline) and at least 24 (24–28) hours after the loading dose (300 mg) of clopidogrel. Platelet-rich plasma (PRP) was prepared by centrifugation at 1000 g for 5 minutes and platelet-poor plasma (PPP) was prepared by centrifugation at 4500 g for 15 minutes. The PRPs were stimulated by adenosine diphosphate (ADP) 20 µmol/L. Platelet aggregation was assessed with a Chrono-Log Lume-Aggregometer (model 700) and analyzed using the Aggro/Link software package (Chrono-Log Corporation, Havertown, PA, USA). Clopidogrel resistance was defined as ≤10% absolute difference between baseline aggregation and post-administration aggregation.<sup>[7]</sup>

# Clinical Follow-Up and Endpoints

All patients were followed up and drug therapy compliance was monitored via telephone or an out-patient clinic every month for 1 year after hospital discharge. The two clinical endpoints were as follows: (i) a composite of cardiovascular death, MI, and revascularization; and (ii) definite or probable stent thrombosis. Cardiovascular

death was defined as death of acute MI, coronary artery disease, or heart failure. The diagnosis of MI was established in the presence of any typical increase or decrease of cardiac biomarkers along with clinical symptoms consistent with cardiac ischemia, following the American College of Cardiology definition. [8] Revascularization includes bypass surgery and PCI. The diagnosis of stent thrombosis was made according to the Academic Research Consortium (ARC) definitions, including definite, probable. or possible. and acute (within 24 hours from procedure), subacute (1-30 days), or late (30 days to 1 year). The determination of definite stent thrombosis required the presence of a coronary syndrome with angiographic or autopsy evidence of thrombus or occlusion. Probable stent thrombosis included unexplained deaths within 1 month after the procedure or acute MI involving the targetvessel territory without angiographic confirmation.

The treating physician and the investigators who evaluated the clinical endpoints were blinded to the results of the platelet aggregation.

#### Statistical Analysis

Continuous variables were presented as mean ± SD and were compared using Student's t-test.

Categorical variables were presented as frequencies and percentages. Patient demographic groups were compared with the chi-squared test, or exact tests if expected cell frequencies were small. Multivariate regression analysis was used to identify if clopidogrel resistance is an independent predictor of thrombotic events. Analysis was performed with SPSS software, version 11.5. All statistical analyses were two-tailed, and a probability value of p < 0.05 was considered to be statistically significant.

#### Results

Basic Characteristics of Study Population

In the study, a total of 154 patients with the implantation of the same type of DES were enrolled, including 64 females and 90 males. The average age was 60 years (range 30–78 years). Thirty-two patients (20.78%) met the definition of clopidogrel resistance. The characteristics of the study population are presented in table I. Compared with clopidogrel-response patients, clopidogrel-resistant patients were more likely to have diabetes mellitus, smoke, and have a higher body mass index (BMI). There were no statistically

Table I. Baseline characteristics of the study population

	Clopidogrel-resistant group (n=32)	Clopidogrel-response group (n = 122)	p-Value
Mean age ± SD [y]	60±9	60±10	0.855
Female [n (%)]	14 (43.75)	50 (40.98)	1.000
Dyslipidemia [n (%)]	21 (65.63)	76 (62.30)	0.838
Diabetes mellitus [n (%)]	18 (56.25)	38 (31.15)	0.024
Mean BMI±SD	25.12±2.61	24.24±2.11	0.049
Hypertension [n (%)]	23 (71.88)	84 (68.85)	0.831
Smoker [n (%)]	15 (46.88)	32 (26.23)	0.031
Angiographic characteristic [n (%)]			
one vessel	8 (25)	32 (26.23)	1.000
two vessels	13 (40.63)	61 (50)	0.428
multiple vessels	11 (34.38)	29 (23.77)	0.259
Medications [n (%)]			
statins	29 (90.63)	107 (87.7)	0.767
β-blocker	26 (81.25)	98 (80.33)	1.000
ACEI or ARB	28 (87.5)	115 (94.26)	0.241
glycoprotein IIb/IIIA inhibitor	10 (31.25)	44 (36.07)	0.681

 $\beta$ -blocker =  $\beta$ -adrenoceptor antagonists; **ACEI** = angiotensin-converting enzyme inhibitor; **ARB** = angiotensin receptor blocker; **BMI** = body mass index.

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significant differences in the other characteristics between clopidogrel-resistant and clopidogrelresponse patients.

Clopidogrel-Resistant Patients were at Higher Risk of Composite Endpoints and Stent Thrombosis than Clopidogrel-Response Patients

As shown in table II, composite endpoints occurred in 13 of 154 patients (8.44%) during 1 year of follow-up, including four cardiovascular deaths (2.60%), five MI (3.25%), and four revascularization (2.60%). According to ARC definitions, there were six stent thrombosis in which three were definite (1.95%) and three were probable (1.95%), and two (1.30%) were subacute and four (2.60%) were late. No acute stent thrombosis occurred in the patients in this study.

Clopidogrel-resistant patients were at higher risk of composite endpoints and stent thrombosis than clopidogrel-response patients (composite endpoints 21.88% vs 4.92%, p=0.006; stent thrombosis 12.5% vs 1.64%, p=0.017). Although there was no statistical significance in other outcomes, the trend associated with the clopidogrel-resistant group seemed unfavorable.

To examine if clopidogrel resistance is an independent predictor of thrombotic events, we adjusted for diabetes, BMI, and smoking status using multivariate regression analysis. The result indicated that clopidogrel resistance is an independent predictor of composite endpoints and stent thrombosis (composite endpoints p = 0.008; stent thrombosis p = 0.025).

#### **Discussion and Conclusions**

Clopidogrel has been regarded as an effective anti-thrombotic drug because it has been shown to yield a 35-60% reduction in ex vivo platelet aggregation in normal volunteers and patients with stable coronary disease.<sup>[4]</sup> However, there is individual variability in platelet response to clopidogrel. Thrombotic events still occurred in some patients who were receiving regular clopidogrel therapy and the platelet function of these patients could not be effectively inhibited. This phenomenon is called 'clopidogrel resistance' and the exact mechanism is not yet known. [9] A previous study showed that the rate of clopidogrel resistance in patients ranged between 4% and 30%, although the definitions of clopidogrel resistance varied.<sup>[10]</sup> In the present study, the rate of clopidogrel resistance is 20.78%. The first clinical factor we found to be associated with clopidogrel resistance was diabetes, as described in a previous study.[11] Mechanisms responsible for suboptimal clopidogrelinduced anti-platelet effects in diabetic patients include increased exposure to ADP, increased cytosolic levels of calcium, and increased platelet turnover.[12] Furthermore, polymorphism in the

Table II. Incidence of thrombotic events and univariate analysis of clopidogrel response after 12 months

Event	Total (n = 154) <sup>a</sup>	Clopidogrel-resistant group (n=32) <sup>a</sup>	Clopidogrel-response group (n = 122) <sup>a</sup>	p-Value
Composite endpoint	13 (8.44)	7 (21.88)	6 (4.92)	0.006
cardiovascular death	4 (2.60)	2 (6.25)	2 (1.64)	0.191
MI	5 (3.25)	3 (9.38)	2 (1.64)	0.061
revascularization	4 (2.60)	2 (6.25)	2 (1.64)	0.191
Stent thrombosis	6 (3.90)	4 (12.5)	2 (1.64)	0.017
definite	3 (1.95)	2 (6.25)	1 (0.82)	0.110
probable	3 (1.95)	2 (6.25)	1 (0.82)	0.110
Time of stent thrombosis				
acute	0	0	0	
subacute	2 (1.30)	1 (3.13)	1 (0.82)	0.373
late	4 (2.60)	3 (9.38)	1 (0.82)	0.028

a Values are presented as [n (%)].

MI = myocardial infarction.

ADP receptor or differences in the post-receptor signaling pathway could not be excluded as an additional explanation for clopidogrel resistance. The second clinical factor we found associated with clopidogrel resistance was smoking and there are no previous data on this relationship. Clopidogrel, a pro-drug, is metabolized in the liver via the cytochrome P450 (CYP) isoenzymes CYP3-A4 and CYP1A2, and is converted to the active compound, which inhibits the P2Y12 ADP platelet receptor. Lau et al.[13] have shown that hepatic CYP3A4 activity contributed to clopidogrel resistance. CYP1A2 could be activated by the polycyclic aromatic hydrocarbons that exist in cigarette smoke.[14] Furthermore, nicotine feeding could induce hepatic CYP1A2 in rats.[15] The last clinical factor we found associated with clopidogrel resistance was BMI. It has been reported that elevated BMI is an independent predictor of suboptimal platelet response to clopidogrel in patients undergoing coronary stenting.[16] Previous data also show that obese individuals had an enhanced platelet function and altered metabolism of clopidogrel.[17] The activity of CYP3A4 has been shown to be reduced in overweight individuals.<sup>[18]</sup> Feher et al.<sup>[19]</sup> have demonstrated that incidence of clopidogrel resistance is higher in females than in males. Sixty-four women were enrolled in this study and we failed to find a significant difference in the sexes between clopidogrel-resistant and clopidogrel-response groups. Recently, it has been indicated that polymorphism of CYP2C19 is one possible mechanism for clopidogrel resistance. [20-23] CYP2C19 plays a critical role in converting clopidogrel into its active form, and the polymorphism of CYP2C19 is related to the response to clopidogrel and eventually influences the occurrence of thrombotic events after PCI.

The application of DES technology to improve clinical outcomes after PCI represents one of the greatest success stories in cardiology. DES, after coronary angioplasty and bare stent implantation, has been regarded as the third milestone in interventional cardiology. Compared with bare stent, DES can markedly reduce the risk of restenosis. <sup>[24]</sup> Dual therapy with clopidogrel and aspirin is a standard anti-platelet therapy after DES implantation. Generally, it is recommended

that patients with implantation of DES need to take aspirin for the duration of their life and clopidogrel for at least 1 year. Previous studies have shown that clopidogrel resistance was related to the recurrence of cardiovascular events in patients receiving PCI.<sup>[25]</sup> In the present study, we observed that patients in the clopidogrel-resistant group had a higher risk of composite thrombotic events after DES implantation.

Our study has several limitations as follows: (i) the size of the study population is small; (ii) platelet aggregation was assessed only before and after loading dose, and it is possible that the response to clopidogrel would change during the follow-up; and (iii) aspirin resistance that is associated with clopidogrel resistance<sup>[26]</sup> was not measured in the study.

In summary, our study showed that diabetes, smoking, and high BMI are associated with clopidogrel resistance in patients implanted with DES. Furthermore, clopidogrel-resistant patients were at a higher risk for composite endpoints and stent stenosis in the 1-year follow-up. Therefore, patients who are likely to have clopidogrel resistance may need platelet monitoring and also require an adjunct anti-thrombotic treatment to adequately inhibit platelet aggregation, especially in a high-risk clinical setting such as PCI.

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Correspondence: *Lin Wang*, MD, Department of Laboratory Medicine, Union Hospital, Tongji Medical College, Huazhong University of Science and Technology, 1277 Jie-Fang Avenue, Wuhan 430022, China.