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# Distinct Roles *In Vivo* for the Ubiquitin–Proteasome System and the Autophagy–Lysosomal Pathway in the Degradation of $\alpha$ -Synuclein

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Increased intracellular levels of  $\alpha$ -synuclein are implicated in Parkinson's disease and related disorders and may be caused by alterations in the ubiquitin–proteasome system (UPS) or the autophagy–lysosomal pathway (ALP). A critical question remains how  $\alpha$ -synuclein is degraded by neurons in vivo. To address this, our study uses  $\alpha$ -synuclein transgenic mice, expressing human  $\alpha$ -synuclein or  $\alpha$ -synucleineGFP under the (h)PDGF- $\beta$  promoter, in combination with in vivo pharmacologic and multiphoton imaging strategies to systematically test degradation pathways in the living mouse brain. We demonstrate that the UPS is the main degradation pathway for  $\alpha$ -synuclein under normal conditions in vivo while with increased  $\alpha$ -synuclein burden the ALP is recruited. Moreover, we report alterations of the UPS in  $\alpha$ -synuclein transgenic mice and age dependence to the role of the UPS in  $\alpha$ -synuclein degradation. In addition, we provide evidence that the UPS and ALP might be functionally connected such that impairment of one can upregulate the other. These results provide a novel link between the UPS, the ALP, and  $\alpha$ -synuclein pathology and may have important implications for future therapeutics targeting degradation pathways.

### Introduction

Progressive accumulation of  $\alpha$ -synuclein has been implicated in many neurodegenerative diseases, including Parkinson's disease (PD) and dementia with Lewy bodies. The cascade by which this natively unfolded protein aggregates and leads to neuronal degeneration is not yet fully understood (Dauer and Przedborski, 2003). One clear predisposing factor, however, is an increase in intracellular levels of  $\alpha$ -synuclein due to increased expression or reduced degradation. Enhanced expression of  $\alpha$ -synuclein through gene multiplication (Singleton et al., 2003; Chartier-Harlin et al., 2004; Ibáñez et al., 2004) or polymorphisms in its promoter (Maraganore et al., 2006) can lead to autosomal-

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DOI:10.1523/JNEUROSCI.1560-11.2011 Copyright © 2011 the authors 0270-6474/11/3114508-13\$15.00/0 dominant PD or increased susceptibility to sporadic PD, respectively. In addition, genetic or viral-mediated overexpression can induce features of parkinsonism in a variety of animal models (Chesselet, 2008). In addition to abnormal expression of  $\alpha$ -synuclein, alterations in protein degradation pathways have been implicated in PD by both genetic and pathological studies in PD patients as well as experimental studies in disease models. While earlier investigations have mainly focused on dysfunction of the ubiquitin-proteasome system (UPS) (Olanow and Mc-Naught, 2006; Cook and Petrucelli, 2009), more recent reports also provide evidence for malfunction of the autophagy-lysosomal pathway (ALP) (Martinez-Vicente and Cuervo, 2007; Wong and Cuervo, 2010). The UPS and ALP are the major degradation pathways that neurons depend on to maintain protein homeostasis. While the UPS degrades most short-lived, soluble proteins (Goldberg, 2003), the ALP is the bulk degradation process by which longer-lived macromolecules and dysfunctional organelles are cleared (Klionsky, 2007). Within the ALP, delivery of targets to the lysosome occurs in three distinct ways that distinguish the respective subtype: macroautophagy, chaperonemediated autophagy (CMA), and microautophagy. Relevant to PD, either genetic depletion of 26S proteasomes or macroautophagy function in knock-out mouse models leads to profound neurodegeneration and the formation of inclusion bodies (Hara et al., 2006; Komatsu et al., 2006; Bedford et al., 2008). Current literature, based mainly on different cell culture models, provides evidence for both the UPS (Bennett et al., 1999; Tofaris et al., 2001; Webb et al., 2003) and the ALP (Webb et al., 2003; Cuervo et al., 2004; Vogiatzi et al., 2008) as the mechanism by which  $\alpha$ -synuclein is degraded in neurons. These cell culture studies, in addition to the few *in vivo* studies that have been reported (Mak et al., 2010), have unfortunately produced conflicting results.

In this context, the main objective of our study is to use novel in vivo pharmacologic and imaging strategies to systematically test the role of the UPS and ALP in  $\alpha$ -synuclein degradation in the living mouse brain. We find that the UPS is the main degradation pathway for  $\alpha$ -synuclein under normal conditions in vivo while under conditions of increased  $\alpha$ -synuclein expression the ALP is recruited to degrade  $\alpha$ -synuclein. In addition, we report age dependence to the role of the UPS and that both the UPS and macroautophagy are functionally coupled, such that selective impairment of one can upregulate the other in vivo. These results suggest specific roles for the UPS and ALP in  $\alpha$ -synuclein degradation and provide insight into how neurons respond to pathogenic states in which protein levels are increased or either degradation pathway is dysfunctional.

## **Materials and Methods**

Animals. Human wild-type  $\alpha$ -synuclein transgenic mice (Masliah et al., 2000), human wild-type  $\alpha$ -synuclein-eGFP transgenic mice [" $\alpha$ Syn-GFP" (Rockenstein et al., 2005)], and nontransgenic littermates (background strain BDF-1; Charles River Laboratories) were used. Animals were held in a light/dark cycle, temperature- and humidity-controlled animal vivarium with *ad libitum* food and water. All experiments were approved by the Subcommittee on Research Animal Care at Massachusetts General Hospital. For all experiments, animals were littermates or age-matched controls. Both females and male animals were studied after no differences were noted.

Cranial window surgery and topical application of proteasome and autophagy inhibitors. The general techniques for cranial window preparation and in vivo multiphoton imaging have been published previously (Skoch et al., 2005; Holtmaat et al., 2009). In this study, we have used a modified approach, developed by our group, to optimize the application of blood-brain barrier-impermeant agents to cortical areas (Unni et al., 2011). This protocol allows reliable drug application and incubation over time in combination with in vivo multiphoton imaging or tissue recovery for subsequent biochemical assays. For imaging experiments, the drug was incubated for 90-120 min, the temporary wax or silicon chamber was discarded, and a 8 mm coverslip was placed directly over the treated cortex. Next, the animal was immediately taken to the imaging set-up to acquire time point 0 (T<sub>0</sub>) images (for details, see below, *In vivo* multiphoton imaging). The animal was then returned to its home cage, allowed to recover from anesthesia, and housed in the animal facility until 24 h later when the animal was reimaged to acquire time point 24 h  $(T_{24})$  images. For biochemical assays, the animal was allowed to recover from anesthesia and returned to its home cage, and the drug was incubated topically for 24 h as described previously (Unni et al., 2011) until tissue recovery. Clastolactacystin-β-lactone (CLBL) (20 μm), Z-Leu-Leu-CHO (MG132) (100 μm; both Cayman Chemical), and Bafilomycin A1 (BafA1) (2.5 μm; LC Laboratories) were reconstituted with DMSO (Sigma-Aldrich) and prepared in sterile PBS. Hydroxychloroquine sulfate (HCQ) (100 µm; Sigma-Aldrich) was dissolved in sterile PBS.

Stereotaxic viral injections. For gene delivery, intracortical injections of adenoassociated virus (AAV) were used as described previously (Spires et al., 2005; Spires-Jones et al., 2011). The gene for the ubiquitin–proteasome reporter GFP  $^{\rm u}$  (construct: pAAV-CBA-GFP  $^{\rm u}$ ) was introduced into neocortical neurons by an AAV8-based system. Using a Hamilton syringe (Hamilton Company), 4  $\mu$ l of virus (titer,  $1.62\times10^{11}$  genome copies/ml) were infused 1.2 mm deep into the somatosensory cortex of each hemisphere at a rate of 0.2  $\mu$ l/min. After a 4–6 week incubation period to allow adequate expression of GFP  $^{\rm u}$ , a cranial window was installed and *in vivo* multiphoton imaging was performed as described below (see *In vivo* multiphoton imaging). Twelve animals (six for each mouse line) were injected and subjected to analysis.

In vivo multiphoton imaging. In vivo multiphoton imaging of GFP-tagged  $\alpha$ -synuclein ( $\alpha$ Syn-GFP) and virally expressed GFP was per-

formed as described previously (Unni et al., 2010). After drug incubation and coverslipping, the anesthetized animal was placed on an in vivo multiphoton imaging setup (Olympus Fluoview 1000MPE with prechirp excitation optics and an acousto-optical modulator on an Olympus BX61WI upright microscope). A mode-locked titanium sapphire laser (MaiTai; Spectra-Physics) was set to 860 nm excitation and a z-stack was acquired (step size, 1–2  $\mu$ m). Image size (x–y dimension) was 512  $\times$  512 pixels.  $\alpha$ Syn-GFP-expressing neurons were sampled up to  $\sim$ 200–300 μm below the cortical surface and subject to analysis using NIH ImageJ software. The somatic αSyn-GFP signal was measured by creating a region of interest (ROI) outlining the cell body for each αSyn-GFPexpressing neuron and calculating the mean fluorescence intensity within this ROI. For each animal, images were obtained immediately after drug application  $(T_0)$  and after a 24 h incubation period  $(T_{24})$  using the same ROI for each individual αSyn-GFP-positive neuron, therefore comparing the fluorescent signal of the exact same neuronal cell bodies at both time points. αSyn-GFP expression at synaptic terminals was analyzed using the analyze particles routine (size, 0.2–5  $\mu$ m<sup>2</sup>; default automatic threshold) for complete stacks. Fluorescent signal of presynaptic terminals was plotted along with the signal in corresponding cell bodies of the same z-plane to provide that the same cortical volume was compared at To and To4.

Tissue preparation and immunoblotting. After 24 h, animals were deeply anesthetized by intraperitoneal injection with ketamine (10 mg/ ml; Phoenix Pharmaceuticals)/xylazine (1 mg/ml; Lloyd Laboratories). Following decapitation, the brain was quickly removed and mounted onto a vibrating microtome stage (Leica VT1000S) ventral surface down in ice-cold PBS with additional oxygen supply. Three serial horizontal sections of the treated cortex, each 100 µm thick, were prepared and transferred to the appropriate buffer solution for homogenization. For all experiments, only tissue from the top 300 µm of treated cortex was used. For immunoblotting, the recovered tissue was homogenized in ice-cold lysis buffer [50 mм Tris-HCl, pH 7.5, 5 м guanidinium, protease inhibitor mixture (Roche Complete)] and agitated overnight, followed by centrifugation (13,000  $\times$  g, 10 min). The supernatant was collected and dialyzed against urea (6 m; 1 h) using membrane filters (MF-Millipore; Millipore;  $0.025 \mu m$ ). Total protein concentration was determined using a BCA assay. Total cell lysates (12  $\mu$ g of protein) were solubilized in LDS (lithium dodecyl sulfate) buffer under reducing conditions. Proteins were separated by gel electrophoresis, using a 4-12 or 12% Bis-Tris gel and MOPS [3-(N-morpholino)propanesulfonic acid] or MES [2-(Nmorpholino)ethanesulfonic acid] buffer (Invitrogen) and transferred to a PVDF membrane (PerkinElmer). Following blocking with blocking buffer (LI-COR Biosciences), membranes were incubated overnight with the respective primary antibody. Immunoreactivity was determined for the following:  $\alpha$ -synuclein using a pan- $\alpha$ -synuclein (1:1000; Syn-1; BD Biosciences) and a human  $\alpha$ -synuclein specific antibody (1:1000; clone 4B12; Covance), ubiquitin (1:1000; Dako), LC3 (1:500; Novus Biologicals), p62 (1:1000; Progen Biotechnik), GAPDH (1:10,000; Millipore), and α-tubulin (1:100,000; Sigma-Aldrich). Near infrared fluorescentlabeled secondary antibodies (1:5000; IR800CW, IR680LT; LI-COR Biosciences) were used and quantification was done using the Odyssey infrared imaging system (LI-COR Biosciences) and NIH ImageJ.

Proteasome activity assay. For determining proteasomal activity, the recovered brain tissue was immediately homogenized manually and by sonication in ice-cold Tris-HCl (50 mm), pH 7.5, containing EDTA (1 mm). Homogenates were frozen in a dry-ice bath and stored at -80°C until all samples for each mouse line were collected and could be run on the same assay to avoid artifacts from repeated freeze-thaw cycles. Samples were thawed and kept on ice at all times. After centrifugation  $(13,000 \times g)$  at 4°C for 10 min, total protein concentration was determined using a BCA protein assay. Thirty micrograms of total protein were incubated with Suc-Leu-Leu-Val-Tyr-AMC as a substrate to measure the chymotrypsin-like activity of the 20S and/or the 26S proteasome in a fluorescence-based assay in the presence or absence of SDS [assay buffer for 20S activity: 250 mm HEPES, pH 7.5, 5 mm EDTA, and 0.01% SDS (all reagents Millipore); assay buffer for 26S activity as described previously (Kisselev and Goldberg, 2005): 50 mm Tris-HCl, pH 7.5, 40 mm KCl, 5 mm MgCl<sub>2</sub>, 0.5 mm ATP, 1 mm DTT, and 0.05 mg/ml BSA (all

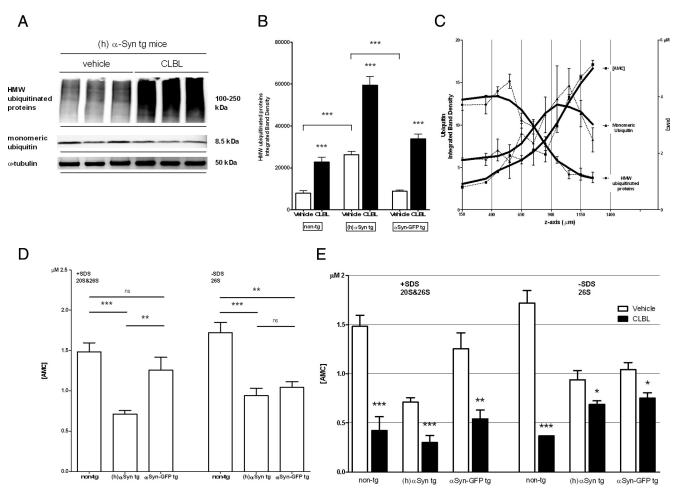


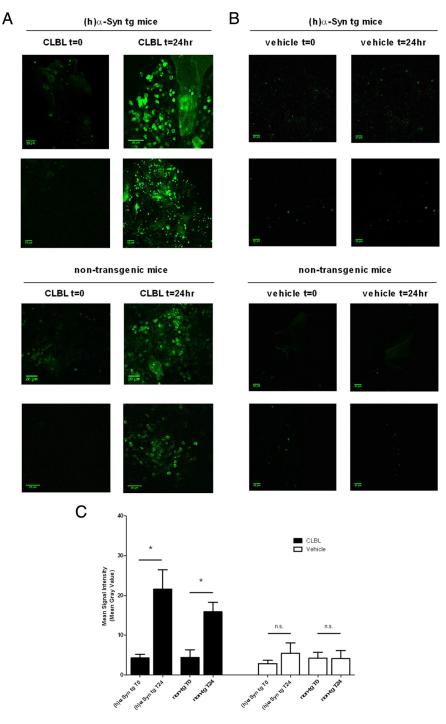
Figure 1. Topically applied UPS inhibitor CLBL leads to accumulation of polyubiquitinated proteins and reduced 20S and 26S proteasome activity. A, Representative immunoblot showing levels of polyubiquitinated HMW species and monomeric ubiquitin in homogenates of recovered cortical tissue of human  $\alpha$ -synuclein transgenic mice treated with proteasome inhibitor CLBL or vehicle. B, Densitometric analysis of HMW polyubiquitinated protein smear (100 – 250 kDa) in all mouse lines after treatment with CLBL or vehicle: non-tg (vehicle): 7863  $\pm$  1151, n=12 (vehicle);  $22,729 \pm 2435, n = 12$  (CLBL); t test, p < 0.0001; (h)  $\alpha$ Syn tg:  $26,277 \pm 1706, n = 8$  (vehicle);  $59,418 \pm 4204, n = 10$  (CLBL); t test, p < 0.0001;  $\alpha$ Syn-GFP tg:  $8848 \pm 538, n = 11$ (vehicle); 33,792 ± 2271, n = 11 (CLBL); t test, p < 0.0001. C, Levels of polyubiquitinated HMW species and monomeric ubiquitin by immunoblot analysis (left axis) and chymotrypsin-like catalytic activity of the 20S/26S proteasome measured by turnover of a specific fluorogenic substrate (Suc-Leu-Leu-Val-Try-AMC) (right axis) in homogenates of serial cortical sections of nontransgenic mice treated with CLBL (horizontal sections, each 100  $\mu$ m thick). D, Chymotrypsin-like catalytic activity of the 26S proteasome and both the 20S and 26S proteasome in nontransgenic, human  $\alpha$ -synuclein transgenic, and human  $\alpha$ -synuclein-GFP transgenic mice treated with vehicle as measured by cleavage of Suc-Leu-Val-Try-AMC in homogenates of treated cortex in the presence (20S/26S) and absence (26S) of SDS. Baseline proteasome activity is significantly reduced in  $\alpha$ -synuclein transgenic mice compared with nontransgenic controls. [AMC] (in  $\mu$ M): non-tg (20S and 26S):  $1.48 \pm 0.11$ , n = 5; (h)  $\alpha$ Syn tg (20S and 26S):  $0.71 \pm 0.04$ , n = 9;  $\alpha$ Syn-GFP tg (20S and 26S):  $1.26 \pm 0.16$ , n = 5; ANOVA, p < 0.0001; Dunnett's posttest, p < 0.001 [non-tg vs (h)  $\alpha$ Syn tg (20S and 26S):  $0.71 \pm 0.04$ , n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 5; ANOVA, p < 0.0001; Dunnett's posttest, p < 0.001 [non-tg vs (h)  $\alpha$ Syn tg (20S and 26S):  $0.71 \pm 0.04$ , n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 5; ANOVA, p < 0.0001; Dunnett's posttest, p < 0.001 [non-tg vs (h)  $\alpha$ Syn tg (20S and 26S):  $0.71 \pm 0.04$ , n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16, n = 9;  $\alpha$ Syn-GFP tg (20S and 26S): 0.16,  $\alpha$ Syn tg (20S and 26S): 0.16tg], p < 0.01 [(h) $\alpha$ Syn tg vs  $\alpha$ Syn-GFP tg], p > 0.05 (non-tg vs  $\alpha$ Syn-GFP tg); non-tg (26S): 1.72  $\pm$  0.13, n = 3; (h) $\alpha$ Syn tg (26S): 0.94  $\pm$  0.09, n = 4;  $\alpha$ Syn-GFP tg: 1.04  $\pm$  0.07, n = 4; ANOVA, p=0.0011; Dunnett's posttest, p<0.001 [non-tg vs (h)  $\alpha$ Syn tg], p>0.05 [(h)  $\alpha$ Syn tg vs  $\alpha$ Syn-GFP tg], p<0.01 (non-tg vs  $\alpha$ Syn-GFP tg). E, Chymotrypsin-like catalytic activity of the 26S proteasome and both the 20S and 26S proteasome in nontransgenic, human  $\alpha$ -synuclein transgenic and human  $\alpha$ -synuclein-GFP transgenic mice treated with CLBL as measured by cleavage of Suc-Leu-Leu-Val-Try-AMC in homogenates of treated cortex in the presence (20S/26S) and absence (26S) of SDS. Non-tg (20S and 26S):  $1.48 \pm 0.11$ , n = 5 (vehicle);  $0.42 \pm 0.14$ , n = 7 (CLBL);  $t \cot t$ , p = 0.0003; (h)  $\alpha \text{Syn} \cot 26S$ ): 0.71  $\pm 0.04$ , n = 9 (vehicle); 0.30  $\pm 0.07$ , n = 7 (CLBL);  $t \cot t$ , p = 0.0002;  $\alpha \text{Syn-GFP} \cot 26S$ ): 1.26  $\pm 0.16$ , n = 5 (vehicle); 0.54  $\pm 0.09$ , n=6 (CLBL); t test, p=0.0028; non-tg (26S):  $1.72\pm0.13$ , n=3 (vehicle);  $0.37\pm0.001$ , n=3 (CLBL); t test, p=0.0004; (h)  $\alpha$ Syn tg (26S):  $0.94\pm0.09$ , n=4 (vehicle);  $0.69\pm0.04$ , n=4 (vehicle); 0.64 (CLBL); t test, p = 0.04;  $\alpha$ Syn-GFP tg (26S):  $1.04 \pm 0.07$ , n = 4 (vehicle);  $0.75 \pm 0.05$ , n = 4 (CLBL); t test, p = 0.01. Tissue of the first 300  $\mu$ m of subsurface was used unless noted otherwise. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001. Error bars indicate SEM.

reagents Thermo Fisher Scientific or Sigma-Aldrich)]. A standard curve for 7-amino-4-methylcoumarin (AMC) was generated to evaluate substrate turnover in the samples, and specificity of the proteasomal assay was ascertained by the ability of additional lactacystin (25  $\mu\rm M$ ) to inhibit fluorescence change and by a parallel assay in a serial dilution of purified 20S proteasomes (all reagents Millipore). After 2 h incubation at 37°C, fluorescence was read at 380/460 nm, and proteolytic activity was expressed as the amount of free AMC after cleavage.

RNA extraction and quality evaluation. Total RNA was extracted using Tri reagent (Sigma-Aldrich) according to specifications. To assess RNA quality, 1  $\mu$ l of the RNA was analyzed using an Agilent Bioanalyzer (Agilent) with a nanoRNA Chip (Buesa et al., 2004). Only the brains that

showed RNA integrity numbers of 7.5 and higher were considered for subsequent quantitative PCR (qPCR).

Primer design and real-time qPCR. To discriminate between human and mouse α-synuclein, short synthetic (20- to 22-mer) PCR primers were designed to amplify small (100–350 bp) amplicons in mRNA regions with the lowest homology between the two species, using Primer3 software (http://frodo.wi.mit.edu/primer3/). First-strand cDNA synthesis was performed on the extracted total RNA extracted with an Invitrogen SuperScript first-strand synthesis kit (Invitrogen). Quantitative real-time PCR was performed in a 96-well plate using an iCycler (Bio-Rad), and IQ SYBR Green PCR Master Mix (Bio-Rad) with the following protocol: 2 min hot start at 95°C; denaturation, 95°C, 30 s; annealing, 60°C, 30 s; elongation,



**Figure 2.** Topically applied UPS inhibitor CLBL leads to enhanced fluorescence of the UPS reporter GFP  $^{\rm u}$  *in vivo*. AAV8-mediated delivery of the UPS reporter GFP  $^{\rm u}$  to neocortex in combination with *in vivo* multiphoton imaging demonstrates CLBL-mediated proteasome inhibition in the living mouse brain. Baseline GFP signal immediately after cranial window installation and CLBL ( $\pmb{A}$ ) or vehicle ( $\pmb{B}$ ) application. GFP  $^{\rm u}$  accumulates in a granular pattern in neurons after topical incubation with CLBL for 24 h, demonstrating proteasome inhibition. GFP  $^{\rm u}$  does not accumulate in vehicle-treated animals after 24 h, consistent with homeostatic proteasome function. Signal at  $T_0$  in vehicle-treated animals is due to autofluorescence at the injection site. At total of 12 animals were injected and subjected to analysis ( $\pmb{C}$ ): (h)  $\alpha$ Syn tg (CLBL):  $4.30 \pm 0.8$  ( $T_0$ ),  $2.1.56 \pm 4.9$  ( $T_{24}$ ), n = 3; t test, p = 0.03; non-tg (CLBL):  $4.403 \pm 1.9$  ( $T_0$ ),  $15.91 \pm 2.4$  ( $T_{24}$ ); n = 3; t test, p = 0.02; (h)  $\alpha$ Syn tg (vehicle):  $2.88 \pm 0.8$  ( $T_0$ ),  $5.44 \pm 2.7$  ( $T_{24}$ ); n = 3; t test, t = 0.05; non-tg (vehicle):  $4.23 \pm 1.5$  ( $T_0$ ),  $4.14 \pm 2.0$  ( $T_{24}$ ); t = 3; t test, t = 0.05. Error bars indicate SEM.

72°C, for 45 cycles; followed by 80 0.5°C increases in temperature (starting at 55°C) to collect melting curve data. For each primer set, we used a curve with known concentrations of cDNA that was used to calculate the efficiency of the primers, and to quantitate products. All qPCR results were calculated with the  $\Delta\Delta$ Ct method (Fink et al., 1998; Livak and Schmittgen, 2001) and

normalized to nanograms of total RNA. Mouse α-synuclein primers were designed to transcribe both Mus musculus transcript variants [i.e., NM 001042451 (tv1) and NM 009221 (tv2)]: forward primer, CAT CTT TAG CCA TGG ATG TG (position tv1 289-308; tv2 201-220); reverse primer, CCC ATC TGG TCC TTC TTG AC (position tv1 582-301; tv2 494-513); annealing temperature, 60°C; product size, 313 bp; efficiency, 99%. Human  $\alpha$ -synuclein primers were designed to transcribe within the region of lowest homology with mouse within the translated region (present on the transgene): forward primer, GCA GGG AGC ATT GCA GCA GC; reverse primer, GGC TTC AGG TTC GTA GTC TTG; annealing temperature, 60°C; product size, 168 bp; efficiency, 100%. The specificity of the primer was ensured by in silico analysis by matching the primers against the Homo sapiens and Mus musculus nucleotide databases with BLAST (http://blast.ncbi.nlm.nih.gov/Blast.cgi), followed by testing the human and mouse specific primers using qPCR with wild-type mouse cDNA and human cDNA. The PCR products were then analyzed with agarose gel electrophoresis (2%).

Statistics. Data are presented as mean  $\pm$  SEM. Statistical analysis was done using GraphPad Prism5 (GraphPad Software). Groups were compared using two-tailed, unpaired or paired Student's test or ANOVA with Dunnett's or Bonferroni's post hoc correction. Statistical significance is indicated as follows: \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001.

# Results

Published studies provide evidence for both the UPS and ALP being involved in the degradation of  $\alpha$ -synuclein. These findings, however, are conflicting and mostly based on different cell culture systems. Here, we systematically investigated the role of the UPS and ALP in  $\alpha$ -synuclein degradation *in vivo*, in the living brain, using transgenic mice overexpressing human  $\alpha$ -synuclein or  $\alpha$ -synuclein-GFP, and nontransgenic littermates.

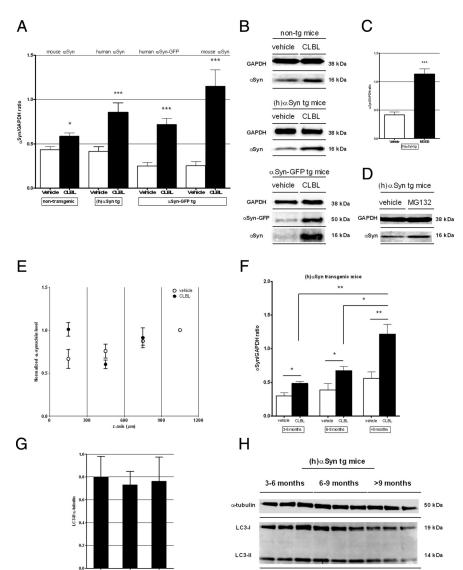
To explore the role of the UPS *in vivo*, we used a modified cranial window approach to topically apply the irreversible, selective, and cell-permeable proteasome inhibitor CLBL to the exposed cortex. To confirm that CLBL can penetrate the brain and block proteasome function, we assayed immunoblot levels of ubiquitin, chymotrypsin-like proteasome activity, and the UPS reporter GFP<sup>u</sup>. Following CLBL incubation, serial cortical sections were prepared and subjected to immunoblot analysis for monomeric ubiquitin and polyubiquitinated high-molecular-weight (HMW) protein species. As shown in Figure 1, CLBL led

to a robust accumulation of polyubiquitinated HMW conjugates, mainly in the first 600  $\mu$ m from the surface, compared with vehicle treatment [integrated density of HMW ubiquitinated protein smear (in folds of vehicle control): non-tg: 2.89  $\pm$  0.12, p < 0.0001;

(h) $\alpha$ Syn tg: 2.26  $\pm$  0.08, p < 0.0001;  $\alpha$ Syn-GFP tg:  $3.82 \pm 0.07$ , p < 0.0001; Fig. 1A-C]. Of note, vehicle-treated mice overexpressing  $\alpha$ -synuclein showed significantly higher levels of HMW ubiquitinated protein species than nontransgenic controls or mice overexpressing the fusion protein  $\alpha$ -synuclein-GFP (p < 0.0001; p <0.0001; Fig. 1B). To further confirm that proteasome activity was being inhibited by CLBL, we used a fluorescence-based assay to measure chymotrypsin-like proteasome activity and found that the catalytic activity of both the 20S and 26S proteasome was significantly impaired, particularly in the first 300 µm of subsurface (Fig. 1C).

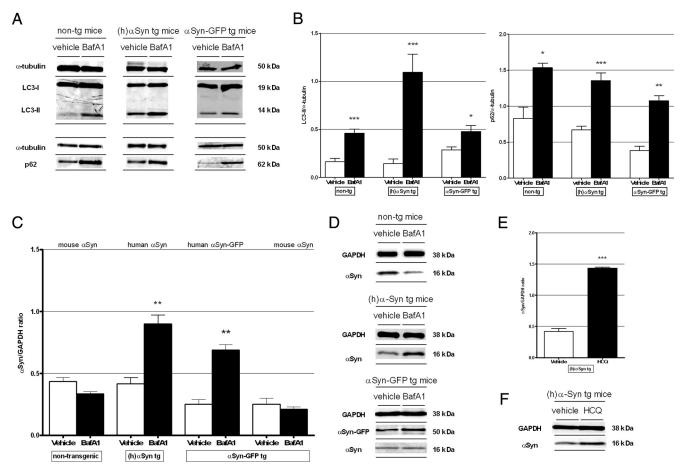
Interestingly, we found that baseline proteasome activity, as measured in vehicle-treated controls, was significantly impaired in animals overexpressing either human  $\alpha$ -synuclein or  $\alpha$ -synuclein-GFP [non-tg: (20S and 26S),  $1.48 \pm 0.11$ ; (26S), 1.72  $\pm$  0.13; (h) $\alpha$ Syn tg: (20S and 26S),  $0.71 \pm 0.04$ , p < 0.001; (26S),  $0.94 \pm$ 0.09, p < 0.01;  $\alpha Syn$ -GFP tg (20S and 26S), 1.26  $\pm$  0.16, p > 0.05; (26S), 1.04  $\pm$ 0.07, p < 0.01; Fig. 1D]. This reduction of proteasome activity at baseline, together with increased levels of HMW polyubiquitinated protein species (Fig. 1B), suggests that  $\alpha$ -synuclein overexpression impairs proteasome function in the mouse models examined (Fig. 1B,D). With topical CLBL application, nontransgenic as well as human  $\alpha$ -synuclein and  $\alpha$ -synuclein-GFP transgenic mice showed a significant reduction of both 20S and 26S proteasome activity [in folds of vehicle-treated controls: non-tg: (20S and 26S),  $0.28 \pm 0.13$ , p = 0.0003; (26S),  $0.21 \pm 0.07$ , p = 0.0004; (h)  $\alpha$ Syn tg: (20S and 26S),  $0.42 \pm 0.12$ , p = 0.0002; (26S),  $0.73 \pm 0.11$ , p = 0.04;  $\alpha$ Syn-GFP tg (20S and 26S),  $0.43 \pm 0.14$ , p = 0.003; (26S),  $0.72 \pm 0.09$ , p = 0.02; Fig. 1 E]. Finally, we took advantage of the UPS reporter GFP<sup>u</sup> to assess proteasome inhibition in the living mouse brain. GFP u contains a degron sequence (CL1) that targets it for ubiquitin-dependent unfolding and degradation by the proteasome (Bence et al., 2001). Using a combination of AAVmediated [serotype 2/8, displaying neuronal specific expression (McFarland et al., 2009a,b)] delivery of GFP u to the neocortex and in vivo multiphoton imaging, we studied UPS inhibition in the brain over

time. At baseline, no or background signal (reflecting autofluorescence at the injection site) was present (Fig. 2*A*–*C*), making baseline comparisons of proteasomal function between individual animals difficult. Topical application of CLBL, however, induced robust accumulation of GFP <sup>u</sup> after 24 h as demonstrated



**Figure 3.** UPS inhibition using the specific proteasome inhibitor CLBL results in significantly elevated  $\alpha$ -synuclein levels *in vivo* independent of the preexisting  $\alpha$ -synuclein in burden. **A**, **C**, Immunoblot levels of  $\alpha$ -synuclein in homogenates of recovered cortical tissue of nontransgenic, human  $\alpha$ -synuclein transgenic, and  $\alpha$ -synuclein-GFP transgenic mice after treatment with proteasome inhibitor CLBL (20 μm; 24 h), MG132 (100 μm; 24 h), or vehicle. Non-tq: 0.43 ± 0.03, n = 17 (vehicle), 0.58 ± 0.04, n = 14 (CLBL); ANOVA, p < 0.0001; Dunnett's posttest, p < 0.05; (h)  $\alpha$ Syn tg:  $0.42 \pm 0.05$ , n = 15 (vehicle),  $0.85 \pm 0.11$ , n = 1514 (CLBL), 1.14  $\pm$  0.09, n=2 (MG132); ANOVA, p=0.0001; Dunnett's posttest, p<0.001;  $\alpha$ Syn-GFP tg:  $\alpha$ Syn-GFP: 0.25  $\pm$ 0.04, n=11 (vehicle),  $0.72\pm0.07$ , n=11 (CLBL); ANOVA, p<0.0001; Dunnett's posttest, p<0.001; endogenous  $\alpha$ -synuclein:  $0.25 \pm 0.05$ , n = 11 (vehicle),  $1.15 \pm 0.18$ , n = 11 (CLBL); ANOVA, p = 0.0001; Dunnett's posttest, p < 0.001. **B**, **D**, Representative immunoblots of  $\alpha$ -synuclein levels. **E**, CLBL treatment in human  $\alpha$ -synuclein transgenic mice produces a detectable increase in human  $\alpha$ -synuclein in the first 300  $\mu$ m of subsurface, the exact region where proteasome inhibition is the strongest [1.52-fold the level of vehicle-treated littermates within the first 300  $\mu$ m in this set of experiments; n=3 (vehicle); n=3 (CLBL)]. **F**, Comparison of immunoblot levels of  $\alpha$ -synuclein in different age groups in human  $\alpha$ -synuclein transgenic mice after proteasome inhibition with CLBL shows a linear increase with age. Aged 3–6 months:  $0.30\pm0.05$ , n=5 (vehicle),  $0.48\pm0.03$ , n=54 (CLBL); aged 6–9 months:  $0.39 \pm 0.09$ , n=5 (vehicle),  $0.67 \pm 0.06$  n=4 (CLBL); aged >9 months:  $0.56 \pm 0.10$ , n=5(vehicle),  $1.22 \pm 0.14$ , n = 6 (CLBL); CLBL: ANOVA, p = 0.0017; posttest for linear trend: p = 0.0008; vehicle: ANOVA, p = 0.13; posttest for linear trend, p > 0.05. **G**, **H**, Immunoblot levels of the autophagosome marker LC3 in different age groups in human  $\alpha$ -synuclein transgenic mice treated with CLBL show no change. Aged 3–6 months: 0.80  $\pm$  0.11, n=3; aged 6–9 months:  $0.72 \pm 0.06$ , n = 3; aged > 9 months:  $0.76 \pm 0.08$ , n = 3; ANOVA, p > 0.05; posttest for linear trend, p > 0.05.\* p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 compared with vehicle-treated littermates. Error bars indicate SEM.

by the development of GFP-positive neurons containing GFP-labeled inclusions and an overall increase in GFP signal. In vehicle-treated animals, no accumulation of GFP<sup>u</sup>, consistent with homeostatic proteasome function, was observed. The increase of GFP<sup>u</sup> after CLBL treatment was similarly seen in human



**Figure 4.** Topical application of ALP inhibitor Bafilomycin A1 leads to elevated levels of LC3II and p62 and increased  $\alpha$ -synuclein levels *in vivo* depending on the preexisting synuclein burden. **A**, **B**, Immunoblot levels of autophagosome marker LC3II and macroautophagy substrate p62 in homogenates of cortex of nontransgenic, human  $\alpha$ -synuclein transgenic, and  $\alpha$ -synuclein-GFP transgenic mice treated with autophagy inhibitor Bafilomycin A1 (2.5  $\mu$ M; 24 h) or vehicle. Non-tg: LC3II: 0.17  $\pm$  0.03, n = 8 (vehicle), 0.46  $\pm$  0.04, n = 4 (BafA1); t test, p = 0.0004; p62: 0.83  $\pm$  0.16, n = 8 (vehicle), 1.54  $\pm$  0.06, n = 4 (BafA1); t test, p = 0.01; (h)  $\alpha$ Syn tg: LC3II: 0.15  $\pm$  0.05, n = 8 (vehicle), 1.09  $\pm$  0.19, n = 4 (BafA1); t test, p < 0.0001;  $\alpha$ Syn-GFP tg: LC3II: 0.29  $\pm$  0.03, n = 3 (vehicle), 0.48  $\pm$  0.06, n = 3 (BafA1); t test, p = 0.0017. **C-F**, Immunoblot levels of  $\alpha$ -synuclein in homogenates of recovered cortical tissue of nontransgenic, human  $\alpha$ -synuclein transgenic, and  $\alpha$ -synuclein-GFP transgenic mice after treatment with ALP inhibitor BafA1 (2.5  $\mu$ M; 24 h), HCQ (100  $\mu$ M; 24 h), or vehicle. Non-tg: 0.43  $\pm$  0.03, n = 17 (vehicle), 0.33  $\pm$  0.02, n = 10 (BafA1); ANOVA, p < 0.0001; Dunnett's posttest, p > 0.05, not significant; (h)  $\alpha$ Syn tg: 0.42  $\pm$  0.05, n = 15 (vehicle), 0.90  $\pm$  0.08, n = 7 (BafA1), 1.44  $\pm$  0.01, n = 2 (HCQ); ANOVA, p = 0.0001; Dunnett's posttest, p < 0.01;  $\alpha$ Syn-GFP tg:  $\alpha$ Syn-GFP: 0.25  $\pm$  0.04, n = 11 (vehicle), 0.69  $\pm$  0.04, n = 3 (BafA1); ANOVA, p < 0.001; Dunnett's posttest, p

 $\alpha$ -synuclein transgenic mice and nontransgenic littermates (Fig. 2*A*–*C*). Together, this set of data indicates that CLBL can successfully inhibit the UPS in the region of application.

To evaluate the effects of CLBL-mediated UPS inhibition on  $\alpha$ -synuclein in vivo, CLBL or MG132, as an alternative UPS inhibitor, were topically applied to the cortex of nontransgenic control mice, human  $\alpha$ -synuclein transgenic, and  $\alpha$ -synuclein-GFP transgenic mice using the cranial window-based approach described above. We found that, compared with vehicle treatment in littermates, immunoblot levels of endogenous  $\alpha$ -synuclein in nontransgenic mice were moderately but significantly increased to 1.35  $\pm$  0.09-fold following CLBL treatment (p < 0.05; Fig. 3A,B). In agreement with this finding in nontransgenic littermates, UPS inhibition with CLBL in human  $\alpha$ -synuclein transgenic and  $\alpha$ Syn-GFP transgenic mice led to a strong increase of cortical  $\alpha$ -synuclein levels. Levels of overexpressed human  $\alpha$ -synuclein increased to 2.05  $\pm$  0.14-fold of vehicle-treated littermates with CLBL (p < 0.001) and 2.73  $\pm$ 0.12-fold with MG132 (p < 0.001), while levels of  $\alpha$ Syn-GFP and endogenous  $\alpha$ -synuclein increased to 2.87  $\pm$  0.11- and 4.56  $\pm$ 

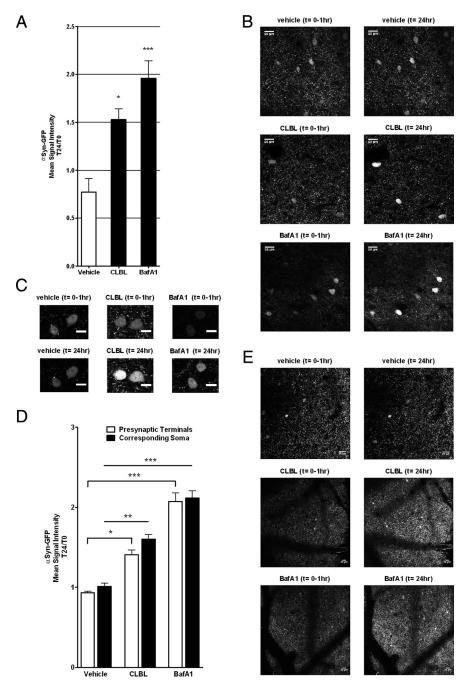
0.17-fold of control, respectively (p < 0.001; p < 0.001; Fig. 3A–D). Topical treatment with a third UPS inhibitor epoxomicin unfortunately did not cause significant proteasomal inhibition as assayed by the development of HMW ubiquitinated species on immunoblot, nor did it cause an increase in cortical  $\alpha$ -synuclein levels (data not shown), likely due to its decreased ability to penetrate the brain. Next, we determined the depth dependence of the increase in human  $\alpha$ -synuclein measured after topical treatment with CLBL. As expected from our analysis of proteasomal inhibition with depth described above (Fig. 1C), CLBL treatment produced a detectable increase in human  $\alpha$ -synuclein in the first 300  $\mu$ m of subsurface, the exact region where proteasome inhibition is the strongest in our paradigm (Fig. 3E).

Because the functional capacity of protein degradation pathways declines with aging (Cuervo and Dice, 2000; Keller et al., 2004), we examined the consequences of proteasome inhibition in human  $\alpha$ -synuclein transgenic mice as they age. Interestingly, we found that significantly more  $\alpha$ -synuclein accumulated after proteasome inhibition in old mice (>9 months) compared with younger animals (3–6 months, p < 0.01; 6–9 months, p < 0.05;

Fig. 3*F*). This linear trend (posttest for linear trend, p=0.0008; Fig. 3*F*) was not significant in vehicle-treated animals (p > 0.05), suggesting that proteasome function is increasingly relevant for  $\alpha$ -synuclein degradation in aged animals. Of interest, levels of the autophagosome marker LC3 (see below) did not change with age in these animals (Fig. 3*G*,*H*).

Because the ALP (macroautophagy and CMA) has also been implicated in the degradation of  $\alpha$ -synuclein, we next investigated the effect of ALP inhibition on  $\alpha$ -synuclein levels *in vivo*. BafA1 is a selective inhibitor of the vacuolar-type H+-ATPase leading to reduced acidification of lysosomes, decreasing the fusion with autophagosomes and consequently reducing protein clearance via autophagy (Yoshimori et al., 1991; Yamamoto et al., 1998; Kawai et al., 2007; Jahreiss et al., 2008). ALP inhibition with BafA1 can be monitored by immunoblot analysis of LC3, a marker of autophagosomes (Kabeya et al., 2000), and p62/SQSTM1, a selective substrate of macroautophagy (Bjørkøy et al., 2005; Komatsu et al., 2007). Following guidelines for assays monitoring autophagy (Klionsky et al., 2008), we measured the levels of LC3II (ratio with  $\alpha$ -tubulin) and p62 in nontransgenic, human  $\alpha$ -synuclein transgenic, and α-synuclein-GFP transgenic mice treated with BafA1. In all three mouse lines, levels of LC3II and p62 increased significantly compared with vehicle-treated controls, indicating an abundance of autophagosomes due to reduced downstream fusion with lysosomes and hence reduced autophagic flux, consistent with a significant impairment of macroautophagy [non-tg: p = 0.0004(LC3II), p = 0.01 (p62); (h) $\alpha$ Syn tg: p <0.0001 (LC3II), p < 0.0001 (p62);  $\alpha$ Syn-GFP tg: p < 0.05 (LC3II), p < 0.01 (p62); Fig. 4A,B].

To assess the effect of ALP inhibition on  $\alpha$ -synuclein degradation, we topically treated nontransgenic, human  $\alpha$ -synuclein transgenic, and α-synuclein-GFP transgenic mice with BafA1, HCO, or vehicle. Surprisingly, in nontransgenic animals, we did not observe any change in  $\alpha$ -synuclein levels following BafA1-mediated ALP inhibition (p > 0.05, not significant; Fig. 4C,D). By contrast, in human  $\alpha$ -synuclein transgenic mice,  $\alpha$ -synuclein levels increased strongly to 2.15  $\pm$  0.10-fold of vehicle controls (p < 0.01; Fig. 4C,D). This increase was confirmed using the lysosomotropic drug HCQ as an alternative agent with inhibitory effects on lysosomal degradation downstream of BafA1 (3.43 ± 0.10-fold of vehicle controls; p < 0.0001; Fig. 4E,F). Immunoblot analysis in recov-



**Figure 5.** In vivo multiphoton imaging in  $\alpha$ -synuclein-GFP transgenic mice reveals increased  $\alpha$ Syn-GFP signal in individual neurons and presynaptic terminals. **A**, Mean  $\alpha$ Syn-GFP signal intensity of cell bodies 24 h after topical application of UPS inhibitor CLBL, ALP inhibitor BafA1, or vehicle.  $\alpha$ Syn-GFP signal intensity was measured in corresponding neurons immediately after cranial window installation ( $T_0$ ) and after 24 h ( $T_{24}$ ). Soma: vehicle: 0.77  $\pm$  0.14 ( $T_{24}$ / $T_0$ ), n=4 animals, n>150 neurons; CLBL: 1.53  $\pm$  $0.11(\mathsf{T}_{2d}/\mathsf{T}_0)$ , n=4 animals, n>300 neurons, ANOVA, p=0.001; Dunnett's posttest, p<0.05; BafA1:  $1.96\pm0.18(\mathsf{T}_{2d}/\mathsf{T}_0)$ , n=44 animals, n > 150 neurons; ANOVA, p = 0.001; Dunnett's posttest, p < 0.001. Representative low- ( $\mathbf{\textit{B}}$ ) and high-power ( $\mathbf{\textit{C}}$ ) in vivo multiphoton images of individual  $\alpha$ Syn-GFP-positive neurons in neocortical neurons (layer II/III) immediately after CLBL, BafA1, or vehicle application and 24 h later. Scale bars: **B**, 20  $\mu$ m; **C**, 10  $\mu$ m. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001. **D**, Mean  $\alpha$ Syn-GFP signal intensity of presynaptic terminals and corresponding cell bodies of the same z-section 24 h after topical application of UPS inhibitor CLBL, ALP inhibitor BafA1, or vehicle.  $\alpha$ Syn-GFP signal intensity was measured in corresponding neurons and presynaptic terminals immediately after cranial window installation ( $T_{0}$ ) and after 24 h ( $T_{24}$ ). Presynaptic terminals: vehicle:  $0.93 \pm 0.02 (T_{24}/T_0)$ , n = 4 animals, n > 200,000 presynaptic terminals; CLBL:  $1.40 \pm 0.06 (T_{24}/T_0)$ , n = 4 animals, n > 400,000presynaptic terminals; BafA1:  $2.08 \pm 0.11$  ( $T_{24}/T_0$ ), n=4 animals, n>600,000 presynaptic terminals; corresponding soma: vehicle:  $1.0 \pm 0.04 (T_{24}/T_0)$ , n = 4 animals, n > 30 neurons; CLBL:  $1.6 \pm 0.06 (T_{24}/T_0)$ , n = 4 animals, n > 40 neurons; BafA1:  $2.12 \pm 0.09 \, (T_{24}/T_0)$ , n = 4 animals, n > 90 neurons. **E**, Representative low-power images showing the distribution of  $\alpha$ Syn-GFP signal in presynaptic terminals immediately after drug incubation and cranial window installation and 24 h later. Scale bar, 20 \u03c4m. Blood vessels appear as negative contrast. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001. Error bars indicate SEM.

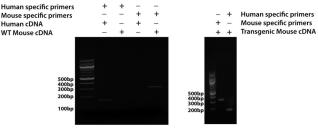
ered cortical tissue of  $\alpha$ -synuclein-GFP transgenic mice demonstrated an increase in  $\alpha$ Syn-GFP to 2.76  $\pm$  0.12-fold of vehicletreated controls (p < 0.001), whereas untagged endogenous  $\alpha$ -synuclein levels from the same tissue showed no significant change (p > 0.05, not significant; Fig. 4C,D), indicating that levels of excess αSyn-GFP are affected by ALP inhibition while levels of endogenous  $\alpha$ -synuclein remain stable.

 $\alpha$ -Synuclein-GFP transgenic mice in combination with *in vivo* multiphoton imaging provide a valuable tool to study intracellular and synaptic  $\alpha$ -synuclein levels in the living mouse brain by measuring GFP signal intensity in these compartments. Following craniotomy, CLBL was topically applied to the brain surface and incubated for 90-120 min. Images were obtained at the time of CLBL application ( $T_0$ ) and again 24 h later ( $T_{24}$ ) covering the exact same cortical volume (Fig. 5A-E). Multiple z-stacks,  $\sim 200-300 \mu \text{m}$  deep, were taken, each encompassing  $\sim 50-100$ αSyn-GFP-labeled cell bodies and innumerable synaptic terminals, mostly in cortical layer I-III. When the signal intensity of αSyn-GFP-expressing cell bodies was analyzed, we found that CLBL-mediated proteasome inhibition increased  $\alpha$ Syn-GFP signal to 1.53  $\pm$  0.11-fold at T<sub>24</sub> compared with T<sub>0</sub> (p < 0.0001; Fig. 5A). By contrast, the mean signal intensity in vehicle-treated littermates showed a small but significant decrease to 0.76  $\pm$  0.14 at  $T_{24}$  (p < 0.0001; Fig. 5A). Importantly, no cell loss was observed in either condition (Fig. 5 B, C,E). Similar to the cell body, analysis of  $\alpha$ Syn-GFP signal at synaptic terminals showed an increase with UPS inhibition at T<sub>24</sub> indicating increased amounts of the fusion protein at the synaptic compartment (p < 0.05 compared with vehicle-treated littermates; Fig. 5D). Interestingly, the increase in  $\alpha$ Syn-GFP signal detected by *in vivo* imaging (Fig. 5A) is somewhat less than that which was detected after immunoblotting for the same protein (Fig. 3A). This may reflect the fact that in vivo imaging is only sensitive to  $\alpha$ Syn-GFP species where the GFP moiety is appropriately folded, and not sensitive to species where GFP is not yet appropriately folded or even misfolded. This is in contrast to immunoblotting, which is done against  $\alpha$ -synuclein, and therefore will detect all species regardless of the intramolecular state of GFP.

To confirm the effects of ALP inhibition on a cellular level in the intact, living mouse brain, we, again, took advantage of our ability to use in vivo multiphoton imaging in  $\alpha$ -synuclein-GFP transgenic mice. The signal intensity of  $\alpha$ Syn-GFP-positive cell bodies after BafA1 treatment increased to 1.96  $\pm$  0.18 at  $T_{24}$  compared with  $T_0$  (p = 0.002; Fig. 5A), confirming the accumulation of  $\alpha$ Syn-GFP observed by immunoblotting. Similarly, signal intensity in the synaptic compartment increased by 2.08  $\pm$  0.11-fold, suggesting that ALP inhibition increases  $\alpha$ -synuclein levels at presynaptic terminals (p < 0.001; Fig. 5D).

Our data suggest that application of specific UPS or ALP inhibitors can increase  $\alpha$ -synuclein levels in cortical tissue treated *in vivo*. Presumably, this is because  $\alpha$ -synuclein is being degraded by these pathways, but it is also formally possible that increases in  $\alpha$ -synuclein detected after protein degradation is inhibited could be due to indirect changes on  $\alpha$ -synuclein expression. For example, if the level of a transcription factor that is rate-limiting for  $\alpha$ -synuclein transcription accumulated because of UPS or ALP inhibition, this could indirectly lead to increases in  $\alpha$ -synuclein mRNA levels and therefore increased protein expression. To test for this, we measured levels of both mouse and human  $\alpha$ -synuclein mRNA independently in the same cortical samples from human  $\alpha$ -synuclein transgenic mice using specifically designed primers and qPCR. Primers designed to selectively detect human or mouse  $\alpha$ Syn were tested by performing qPCR on both

# Human and Mouse $\alpha$ Syn Primer Specificity Human specific primers



# Species Specific $\alpha$ Syn mRNA Quantification 3×10-2×10-1

Figure 6. UPS or ALP inhibition does not indirectly increase transcription of either mouse or human  $\alpha$ -synuclein mRNA. Human- and mouse-specific primers were designed to independently measure each species of  $\alpha$ -synuclein in the same sample. When each set of primers was tested on either a WT mouse or human brain sample, only the expected product was observed by gel electrophoresis (A, left panel). When the same primers were used on brain samples from human  $\alpha$ -synuclein transgenic mice, again only the expected product was detected with each primer set (**A**, right panel). Analysis of cortical tissue from human  $\alpha$ -synuclein transgenic mice treated either with vehicle, CLBL, or BafA1 demonstrated that human  $\alpha$ -synuclein mRNA levels were unchanged by either treatment (**B**, left panel). The analysis of mouse  $\alpha$ -synuclein mRNA levels in the same samples demonstrated a nonsignificant trend toward decreased levels in both CLBL and BafA1 treatment conditions (B, right panel). Error bars indicate SEM.

human cDNA and wild-type mouse cDNA. Human specific primers transcribing human cDNA produced a band of the expected size (168 bp) (Fig. 6A), but showed no cross-reactivity with mouse cDNA (Fig. 6A). Similarly, mouse-specific primers transcribing mouse cDNA produced a band of the expected size (313 bp) and showed no cross-reactivity with human cDNA (Fig. 6A). Human-specific primers and mouse-specific primers produced only one band of the expected size when transgenic mouse cDNA was used in the qPCR (Fig. 6A). This approached showed that treatment with CLBL or BafA1 caused no detectible changes in human  $\alpha$ -synuclein mRNA levels, and therefore increased expression cannot explain the increases in protein levels we observe (Fig. 6B). The measurement of endogenous mouse  $\alpha$ -synuclein mRNA levels showed a nonsignificant trend to decreased levels after both CLBL and BafA1 treatment (Fig. 6B), suggesting there may be compensatory downregulation of mRNA levels under control of the endogenous promoter when protein levels increase, but also arguing against any indirect increase in transcription explaining these results.

Because our data suggest a role for the UPS and ALP in the degradation of  $\alpha$ -synuclein in vivo, we next investigated the potential cross talk between the two degradation pathways by measuring levels of LC3II and p62 in animals that had been topically treated with CLBL. In nontransgenic mice, no significant difference in LC3II or p62 levels was observed in comparison with vehicle-treated littermates (p > 0.05, not significant; Fig. 7*A*–*C*). In human  $\alpha$ -synuclein transgenic mice, however, CLBL-mediated UPS inhibition led to a strong increase in LC3II to  $5.06 \pm 0.09$ -fold of control (p < 0.001), while levels of p62 were decreased to 0.23  $\pm$ 0.07-fold of vehicle control (p < 0.0001; Fig. 7A-C), indicating an increase in autophagosomes and autophagic flux. To further confirm this finding, we measured levels of LC3II in mice treated simultaneously with CLBL and BafA1. We found that the LC3II/ $\alpha$ -tubulin ratio was increased to 7.40  $\pm$  0.08-fold of control (p < 0.001; Fig.

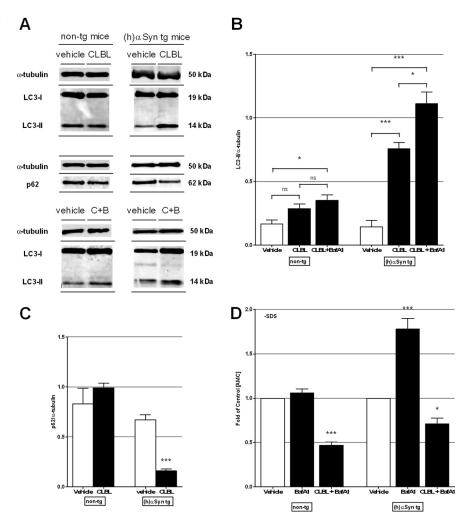
7A, B). This large increase in the presence of an ALP inhibitor like BafA1, in combination with the decrease of p62 observed with CLBL alone, suggests enhanced macroautophagy (Mizushima and Yoshimori, 2007; Klionsky et al., 2008; Mizushima et al., 2010). In nontransgenic mice, LC3II levels increased to  $2.05 \pm 0.17$ -fold of vehicle control (p = 0.0077; Fig. 7A, B), indicating an accumulation of autophagosomes consistent with a block in autophagic clearance by BafA1 (Mizushima and Yoshimori, 2007; Klionsky et al., 2008; Mizushima et al., 2010). To determine whether this coupling between protein degradation pathways can occur in the other direction, we measured proteasome activity following BafA1 treatment. In nontransgenic mice, no change in the 26S chymotrypsin-like catalytic activity was present (p > 0.05, not significant; Fig. 7D), whereas in human  $\alpha$ -synuclein transgenic mice BafA1 treatment led to an increase in 26S proteasome function (p < 0.001; Fig. 7D). In both mouse lines, simultaneous treatment with BafA1 and CLBL resulted in significantly reduced 26S proteasome activity, showing that the upregulation of proteasome activity was reversed by this specific proteasome inhibitor (p <0.001). Together, this set of experiments supports a model whereby proteasome activity is increased upon autophagy inhibition under conditions of increased  $\alpha$ -synuclein expression, although future studies are needed to decipher the exact mechanism involved. In the opposite direction, macroautophagy is enhanced following proteasome inhibition, again only in the presence of increased  $\alpha$ -synuclein burden.

Finally, simultaneous application of both CLBL and BafA1, causing a block of proteasome and autophagy function, resulted in increases levels of  $\alpha$ -synuclein in nontransgenic and human  $\alpha$ -synuclein transgenic mice (Fig. 8). This increase was not additive compared with CLBL or BafA1 alone, suggesting that a block of the compensatory upregulation of either pathway cannot further increase lev-

els of  $\alpha$ -synuclein at 24 h (p > 0.05, not significant). Only in nontransgenic mice, an additive effect of concomitant inhibition compared with BafA1-mediated ALP inhibition alone was evident, again supporting the importance of the UPS under normal conditions (p < 0.001).

# Discussion

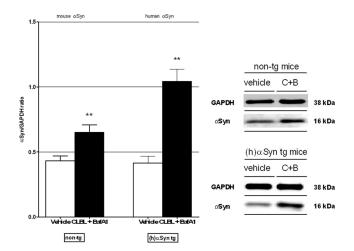
Our study provides evidence for distinct roles of the UPS and ALP in the degradation of  $\alpha$ -synuclein *in vivo*. Using a combination of different approaches, including topical treatment with specific pharmacological inhibitors and multiphoton imaging in different transgenic mouse models, we demonstrate that the mechanism used to degrade  $\alpha$ -synuclein depends on the burden of this protein



**Figure 7.** Cross talk between autophagy and the UPS is induced in the presence of increased  $\alpha$ -synuclein levels *in vivo*. Immunoblot levels of autophagosome marker LC3II and macroautophagy substrate p62 in homogenates of cortex of nontransgenic and human  $\alpha$ -synuclein transgenic mice treated with UPS inhibitor CLBL (20  $\mu$ m; 24 h) alone or in combination with ALP inhibitor BafA1 (2.5  $\mu$ m; 24 h) (*A*–**C**) or vehicle. Non-tg: LC3II: 0.17  $\pm$  0.03, n=8 (vehicle), 0.29  $\pm$  0.04, n=4 (CLBL); ANOVA, p=0.0004; Dunnett's posttest, p>0.05, not significant; 0.35  $\pm$  0.04, n=4 (CLBL+BafA1); ANOVA, p=0.0004; Dunnett's posttest, p<0.05; p62: 0.83  $\pm$  0.16, n=8 (vehicle), 0.99  $\pm$  0.05, n=4 (CLBL); t test, p>0.5, not significant; (h)  $\alpha$ Syn tg: LC3II: 0.15  $\pm$  0.05, n=8 (vehicle), 0.76  $\pm$  0.05, n=13 (CLBL); ANOVA, p<0.0001, Dunnett's posttest, p<0.001; 1.11  $\pm$  0.09, n=4 (CLBL); t test, t 0.001, t 2.0001, Dunnett's posttest, t 2.0001, Dunnett's posttest, t 2.0001, t 2.0001, t 2.0001, t 2.0001, t 3.0001, t 3.0001,

in the cell. Furthermore, we show that there is an age dependence to the relevance of the UPS in  $\alpha$ -synuclein degradation and that the two clearance pathways appear to be functionally coupled under conditions of increased  $\alpha$ -synuclein expression.

Topical treatment of the cortex with the specific proteasome inhibitor CLBL in nontransgenic animals as well as human  $\alpha$ -synuclein transgenic and  $\alpha$ -synuclein-GFP transgenic mice (Masliah et al., 2000; Rockenstein et al., 2005) leads to significantly elevated  $\alpha$ -synuclein levels and thus provides direct evidence that the UPS degrades both endogenous murine and overexpressed human  $\alpha$ -synuclein *in vivo*. These mouse lines were selected because they display abnormal accumulation of  $\alpha$ -synuclein and develop  $\alpha$ -synuclein inclusion-like structures in



**Figure 8.** Combined UPS and autophagy inhibition with CLBL and BafA1 leads to increased levels of  $\alpha$ -synuclein independent of the preexisting  $\alpha$ -synuclein burden. Immunoblot levels of  $\alpha$ -synuclein in homogenates of recovered cortical tissue of nontransgenic and human  $\alpha$ -synuclein transgenic mice after simultaneous treatment with UPS inhibitor CLBL and ALP inhibitor BafA1. Non-tg: 0.43  $\pm$  0.03, n=17 (vehicle), 0.65  $\pm$  0.06, n=10 (CLBL+BafA1); ANOVA, p<0.0001; Dunnett's posttest, p<0.01; (h) $\alpha$ Syn tg: vehicle: 0.42  $\pm$  0.05, n=15; CLBL+BafA1: 1.04  $\pm$  0.09, n=4; ANOVA, p=0.0001; Dunnett's posttest, p<0.01. \*\*p<0.01, compared with vehicle-treated littermates. Error bars indicate SEM.

the brain, enabling us to explore degradation pathways in a scenario with pathologically elevated  $\alpha$ -synuclein expression. In addition, we show that changes in intracellular  $\alpha$ -synuclein levels can be explored in individual neurons and presynaptic terminals in vivo by combining pharmacological manipulation of degradation pathways with multiphoton imaging in  $\alpha$ -synuclein-GFP transgenic mice. Using this technique, we find that  $\alpha$ Syn-GFP signal increases robustly in neuronal cell bodies and presynaptic terminals following UPS inhibition. Intriguingly,  $\alpha$ Syn-GFP-positive cellular processes and presynaptic terminals became increasingly prominent with UPS inhibition. These findings suggest that  $\alpha$ -synuclein can accumulate in the synaptic compartment, which may contribute to the formation of synaptic aggregates described previously (Kramer and Schulz-Schaeffer, 2007; Scott et al., 2010).

Our results demonstrate that degradation by the UPS is independent of the preexisting  $\alpha$ -synuclein burden and is likely to be active both under normal conditions and in the disease state, where intracellular  $\alpha$ -synuclein levels are raised. These data are consistent with previous in vitro studies that indicate a role for the proteasome in  $\alpha$ -synuclein degradation (Bennett et al., 1999; McLean et al., 2001; Webb et al., 2003; Shin et al., 2005). However, other studies have produced conflicting results (Ancolio et al., 2000; Rideout et al., 2001; Cuervo et al., 2004; Vogiatzi et al., 2008). Our work, in the living mouse brain, provides direct evidence that the UPS is important for  $\alpha$ -synuclein degradation using two powerful and complementary strategies, protein biochemistry and in vivo fluorescence imaging. Our findings also extend two previously published in vivo reports addressing this issue using genetic depletion of proteasome function during development (Bedford et al., 2008) or chronic toxin exposure (Fornai et al., 2005).

Interestingly, our findings in vehicle-treated  $\alpha$ -synuclein transgenic mice also confirm previous reports that showed that stably increased levels of  $\alpha$ -synuclein can lead to impaired proteasome function, potentially paving the way for subsequent pathological changes (Stefanis et al., 2001; Tanaka et al., 2001;

Petrucelli et al., 2002; Snyder et al., 2003; Lindersson et al., 2004; Q. Chen et al., 2005; L. Chen et al., 2006; Jiang et al., 2007; Zhang et al., 2008; Emmanouilidou et al., 2010). The reciprocal interaction between  $\alpha$ -synuclein and proteasome function suggests a self-perpetuating process in which permanently raised  $\alpha$ -synuclein levels impair the UPS, which can in turn lead to further  $\alpha$ -synuclein accumulation. The detailed molecular mechanism by which  $\alpha$ -synuclein is degraded by the proteasome is still unanswered. Evidence exists in cell culture that degradation can occur via a proteasome-dependent but ubiquitinindependent pathway (Tofaris et al., 2001; Liu et al., 2003; Machiya et al., 2010). Our own data suggest that this may also be the case in *vivo* since specific polyubiquitin-tagged  $\alpha$ -synuclein species were not observed under control conditions or when the UPS was inhibited. Even though it has been notoriously difficult to detect ubiquitinated  $\alpha$ -synuclein species after substantial proteasome inhibition (Bennett et al., 1999; McLean et al., 2001; Stefanis et al., 2001; Tofaris et al., 2001; Machiya et al., 2010), our findings do not rule out ubiquitination of  $\alpha$ -synuclein during degradation, but further studies will be required to elucidate the exact mechanism involved.

Of interest, we observed that the increase of  $\alpha$ -synuclein with UPS inhibition in human  $\alpha$ -synuclein transgenic mice occurred in an age-dependent manner, potentially reflecting impaired proteasome function due to elevated  $\alpha$ -synuclein levels in aged mice. Many studies have shown a decline in degradation capacity in normal aging and in protein conformational disorders associated with aging. A functional decay with age has been shown for both autophagy (Cuervo and Dice, 2000; Martinez-Vicente et al., 2005) and the UPS (Cuervo and Dice, 2000; Keller et al., 2004), although in the latter the decrease does not seem to be universal (Cook et al., 2009). We, however, did not find any detectible relationship between autophagy function as measured by LC3II levels and age in our animals, suggesting a different explanation for the age-dependent increase in importance of UPS function we observed. Age-dependent changes in alternative protein clearance pathways like CMA (Cuervo and Dice, 2000) or secretion via exosomes (Emmanouilidou et al., 2010; Alvarez-Erviti et al., 2011) could underlie this observation and remain an open question for further studies.

The role of autophagy in  $\alpha$ -synuclein degradation is uncertain. Recent cell culture studies have shown the importance of macroautophagy in the degradation of  $\alpha$ -synuclein (Webb et al., 2003; Lee et al., 2004; Vogiatzi et al., 2008), but no role for macroautophagy (as opposed to CMA) was seen in other studies (Cuervo et al., 2004). To our knowledge, systematic in vivo approaches to answer this question have been limited to one report (Mak et al., 2010) that argued for a role for lysosome-based degradation of  $\alpha$ -synuclein, mediated by CMA, in a genetic and a toxin-induced mouse model. In our study, we found no significant change in levels of endogenous  $\alpha$ -synuclein in nontransgenic mice following ALP inhibition. This indicates that, at least in our model system, autophagy is not the primary mechanism for degradation of  $\alpha$ -synuclein in nontransgenic mice under normal conditions. By contrast, in human  $\alpha$ -synuclein transgenic mice, ALP inhibition led to a robust accumulation of  $\alpha$ -synuclein. Similarly, in  $\alpha$ -synuclein-GFP transgenic mice,  $\alpha$ Syn-GFP signal intensity increased strongly in neuronal cell bodies and presynaptic terminals after ALP inhibition. These results suggest that, in an in vivo scenario with increased  $\alpha$ -synuclein burden in neurons, autophagy is recruited to degrade  $\alpha$ -synuclein. Our findings are in agreement with data from the study by Mak et al. (2010) that showed that  $\alpha$ -synuclein is increasingly associated with lysosomes when  $\alpha$ -synuclein expression is enhanced. BafA1 blocks lysosomal degradation by two mechanisms, initially by inhibiting acidification (Yoshimori et al., 1991; Yamamoto et al., 1998) and indirectly by blocking the fusion of autophagosomes with lysosomes (Yamamoto et al., 1998; Kawai et al., 2007; Jahreiss et al., 2008). In agreement with the latter, we observed a strong increase in LC3II, a marker for autophagosomes, accompanied by a marked increase in p62, a substrate of macroautophagy. We therefore conclude that impaired macroautophagy is primarily responsible for the effects on  $\alpha$ -synuclein levels we observe, although CMA could also be a possible contributor to synuclein pathology as has been shown previously (Cuervo et al., 2004; Vogiatzi et al., 2008; Mak et al., 2010). Our study does not specifically address the role of CMA because, unfortunately, pharmacological agents that selectively target CMA are not currently available.

We systematically investigated potential cross talk between the UPS and autophagy. Interestingly, we found evidence for cross talk between the two pathways, but only under conditions of increased  $\alpha$ -synuclein burden. The compensatory upregulation of proteasome activity after autophagy inhibition stands in contrast to our findings in nontransgenic mice as well as to previous studies in cell culture models (Korolchuk et al., 2009; Qiao and Zhang, 2009), suggesting that the level of  $\alpha$ -synuclein in the cell modulates cross talk between degradation pathways. The finding that UPS inhibition can upregulate autophagic flux is consistent with previous studies in cell culture (Rideout et al., 2004; Iwata et al., 2005; Ding et al., 2007) and in Drosophila melanogaster (Pandey et al., 2007), in which autophagy was induced in response to impaired UPS activity. It should be noted, however, that our findings are observational and future studies are needed to decipher the exact mechanism involved.

Together, our study demonstrates distinct roles for the UPS and autophagy in the degradation of  $\alpha$ -synuclein in vivo and emphasizes collaborative interactions when  $\alpha$ -synuclein levels are raised. Specifically, we demonstrate that the UPS degrades  $\alpha$ -synuclein under conditions with endogenous and increased  $\alpha$ -synuclein burden and that, in contrast, autophagy is recruited to degrade  $\alpha$ -synuclein only when intracellular  $\alpha$ -synuclein levels are elevated. These findings elucidate a link between the proteasome, autophagy, and  $\alpha$ -synuclein pathology by providing the first in vivo evidence for a relationship between protein burden and the pathways recruited to maintain homeostasis. Our study may help to clarify contradictory findings from previous in vitro studies and importantly extends our knowledge to the in vivo level. In the context of synucleinopathies, our results have implications for future therapeutics targeting specific degradation pathways to counteract  $\alpha$ -synuclein pathology (Ravikumar et al., 2004; Sarkar et al., 2007; Spencer et al., 2009; Crews et al., 2010; Lee et al., 2010; Malagelada et al., 2010).

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