

# Genotyping and Genomic Profiling of Non–Small-Cell Lung Cancer: Implications for Current and Future Therapies

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## ABSTRACT

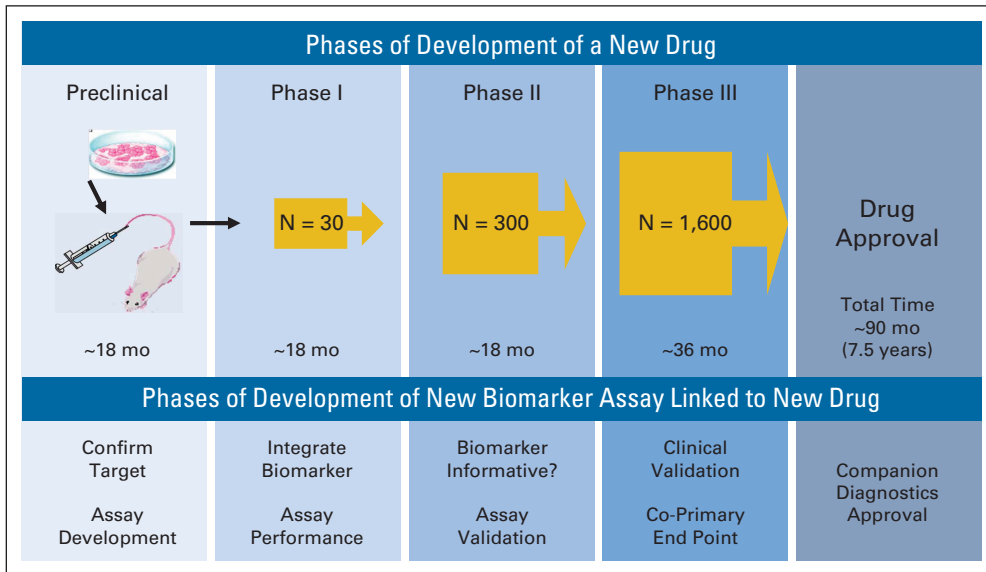
Substantial advances have been made in understanding critical molecular and cellular mechanisms driving tumor initiation, maintenance, and progression in non–small-cell lung cancer (NSCLC). Over the last decade, these findings have led to the discovery of a variety of novel drug targets and the development of new treatment strategies. Already, the standard of care for patients with advanced-stage NSCLC is shifting from selecting therapy empirically based on a patient's clinicopathologic features to using biomarker-driven treatment algorithms based on the molecular profile of a patient's tumor. This approach is currently best exemplified by treating patients with NSCLC with first-line tyrosine kinase inhibitors when their cancers harbor gain-of-function hotspot mutations in the epidermal growth factor receptor (*EGFR*) gene or anaplastic lymphoma kinase (*ALK*) gene rearrangements. These genotype-based targeted therapies represent the first step toward personalizing NSCLC therapy. Recent technology advances in multiplex genotyping and high-throughput genomic profiling by next-generation sequencing technologies now offer the possibility of rapidly and comprehensively interrogating the cancer genome of individual patients from small tumor biopsies. This advance provides the basis for categorizing molecular-defined subsets of patients with NSCLC in whom a growing list of novel molecularly targeted therapeutics are clinically evaluable and additional novel drug targets can be discovered. Increasingly, practicing oncologists are facing the challenge of determining how to select, interpret, and apply these new genetic and genomic assays. This review summarizes the evolution, early success, current status, challenges, and opportunities for clinical application of genotyping and genomic tests in therapeutic decision making for NSCLC.

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## INTRODUCTION

Non–small-cell lung cancer (NSCLC), regardless of histologic subtype, is one of the most genomically diverse and deranged of all cancers, creating tremendous challenges for both prevention and treatment strategies.<sup>1,2</sup> Nevertheless, this same biologic diversity provides a number of opportunities for exploitation of interpatient tumor heterogeneity by ungrouping NSCLC into a variety of molecularly defined subsets for which mutations and/or abnormal gene expressions drive cancer cell growth and survival and can serve as druggable targets.<sup>3-5</sup> Although the resulting transition from empiric to mechanism-based, molecular biomarker-driven therapeutic decision making remains in its early phases, new drug classes have already changed the paradigm for the management of advanced-stage NSCLC.<sup>6,7</sup> A proof-of-principle example is the identification of gain-of-function tyrosine kinase-activating epidermal growth factor receptor (*EGFR*) mutations as the best predictive biomarker over clinicopathologic features in predicting tumor re-

sponse and progression-free survival to *EGFR* tyrosine kinase inhibitors (TKIs).<sup>8-12</sup> Similarly, gain-of-function tyrosine kinase-activating *ALK* gene rearrangements are valid predictive biomarkers in predicting tumor response and progression-free survival to the first-in-class *ALK* TKI crizotinib.<sup>13</sup> Most importantly, crizotinib was one of the first two drugs granted US Food and Drug Administration (FDA) approval concurrently with an FDA-approved companion diagnostic test for selecting an uncommon (2% to 7%) subset of patients with NSCLC whose tumors harbor *ALK* gene rearrangements.<sup>14</sup> Furthermore, the 4-year period from identification of the oncogenic *ALK* gene rearrangement in NSCLC to drug approval was remarkably short compared with the usual drug development process of approximately 10 years.<sup>7,15</sup> This milestone highlights the importance of establishing a predictive biomarker assay early on during the development of a new mechanism-based drug for an uncommon subset of patients with NSCLC, with the goal of increasing the success rate in the phase III setting. Ideally, as recently reviewed and shown in Figure 1,



**Fig 1.** Improved drug-biomarker development paradigms: marriage of drug-biomarker development. Data adapted.<sup>7</sup>

development of a companion new drug-associated predictive biomarker assay may parallel that of the new drug development process itself, so that the phase III trial for a new drug is used to validate the biomarker assay.<sup>7</sup> Here, we provide a concise summary and perspective on clinical application of genotyping and genomic tests in NSCLC for therapeutic decision making.

#### EVOLUTION OF PERSONALIZED MEDICINE AND TECHNOLOGY ADVANCES

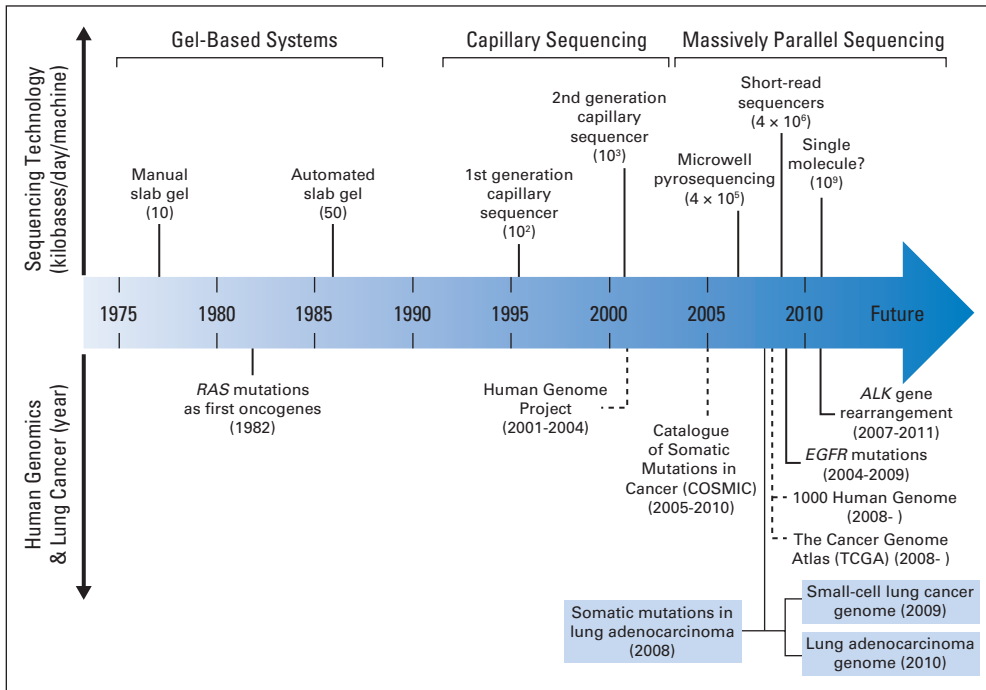
Personalized medicine is defined by the National Cancer Institute as “a form of medicine that uses information about a person’s genes, proteins and environment to prevent, diagnose, and treat disease.”<sup>16</sup> Compared with protein biomarkers, cancer genetic biomarkers are typically more reproducible and less subject to the influence of intrinsic and external stimuli. Decades of cancer research revealed that cancer results from accumulation of many genomic aberrations that ultimately govern tumor initiation, maintenance, and progression.<sup>17-19</sup> Although genetics typically refers to the study of single genes, genomics refers to the study of the complete genes and their function in an individual.<sup>16</sup> The central hypothesis of molecular-based personalized cancer therapy is that treatment decisions based on tumor genotype and genomic profile will improve clinical outcomes, as measured by response rate, survival, and safety.

The evolution of personalized cancer medicine has been greatly accelerated by advances in DNA-based high-throughput genomic technologies.<sup>18,20</sup> Figure 2 summarizes milestones in these technology advances over the last three decades and their implication in human genomics.<sup>4,21-32</sup> The fundamental difference between first-generation Sanger sequencing technology and second-generation, or next-generation sequencing (NGS), technology is elimination of the need for gels or polymers as a sieving separation matrix and the need of prior knowledge of the genome sequence.<sup>20,22</sup> These high-throughput technologies enable nucleic acid (DNA and RNA) sequencing at a faster speed with a reduced error and cost per base. The data output of NGS has been continuously increasing, more than doubling each year

since it was invented. For example, a single sequencing run could produce a maximum of approximately 1 gigabase of data in 2007 and approximately 1 terabase of data in 2011, which is nearly a 1,000-fold increase in 4 years. However, the cost remains high given the large amount of nucleotides in the cancer genome. Early clinical application of these technologies has enabled rapid and comprehensive molecular annotation of an individual patient’s cancer, facilitating identification of actionable and/or novel drug targets and treatment options, as well as characterization of underlying pathogenesis mechanisms. Matching targeted therapies against specific genetic aberrations is an important step for personalized cancer therapy that holds promise in ultimately improving patient outcomes.<sup>7,28</sup> We propose to define the role of genotyping and genomic profiling in personalized medicine in lung cancer into three stages based on the therapeutic strategies used (Fig 3), which parallel the technology advances in genetic and genomic testing discussed in the following sections.

#### GENOTYPE-BASED MOLECULAR BIOMARKERS

Clinical application of single gene-based biomarkers has already proven successful in guiding selection of molecularly targeted agents in NSCLC.<sup>6,33,34</sup> The EGFR TKIs erlotinib and gefitinib were the first class of molecularly targeted agents approved for the treatment of advanced NSCLC in 2004.<sup>35</sup> Although these agents were initially approved for use in unselected patients with NSCLC, subsequently the presence of gain-of-function tyrosine kinase-activating *EGFR* mutations was shown to be most predictive of response to EGFR TKIs.<sup>8-12</sup> In August 2011, the FDA granted accelerated approval of the first-in-class ALK inhibitor crizotinib for treatment of ALK-positive advanced NSCLC.<sup>14</sup> Subsequently, both the National Comprehensive Cancer Network and American Society of Clinical Oncology guidelines recommended *EGFR* mutation and *ALK* gene rearrangements testing on all NSCLCs that contain an adenocarcinoma component, regardless of histologic grade or dominant histologic subtype.<sup>36,37</sup> *EGFR* mutation and *ALK* testing is not recommended for pure squamous cell

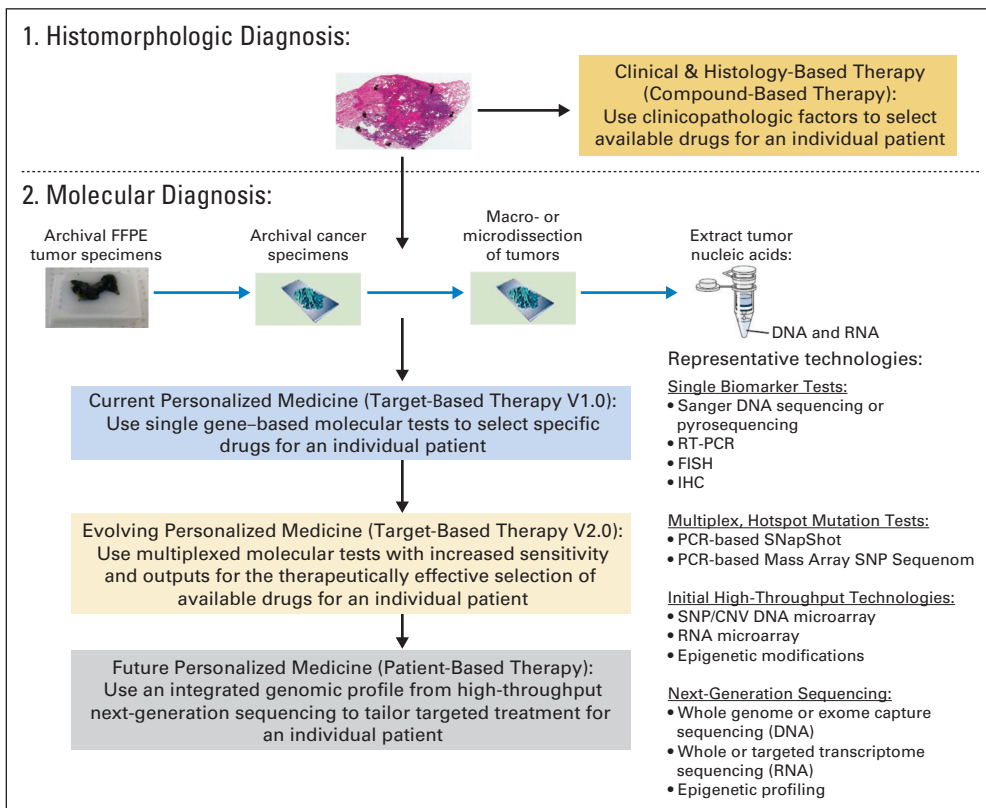


**Fig 2.** Advances in sequencing technologies and human genomics.

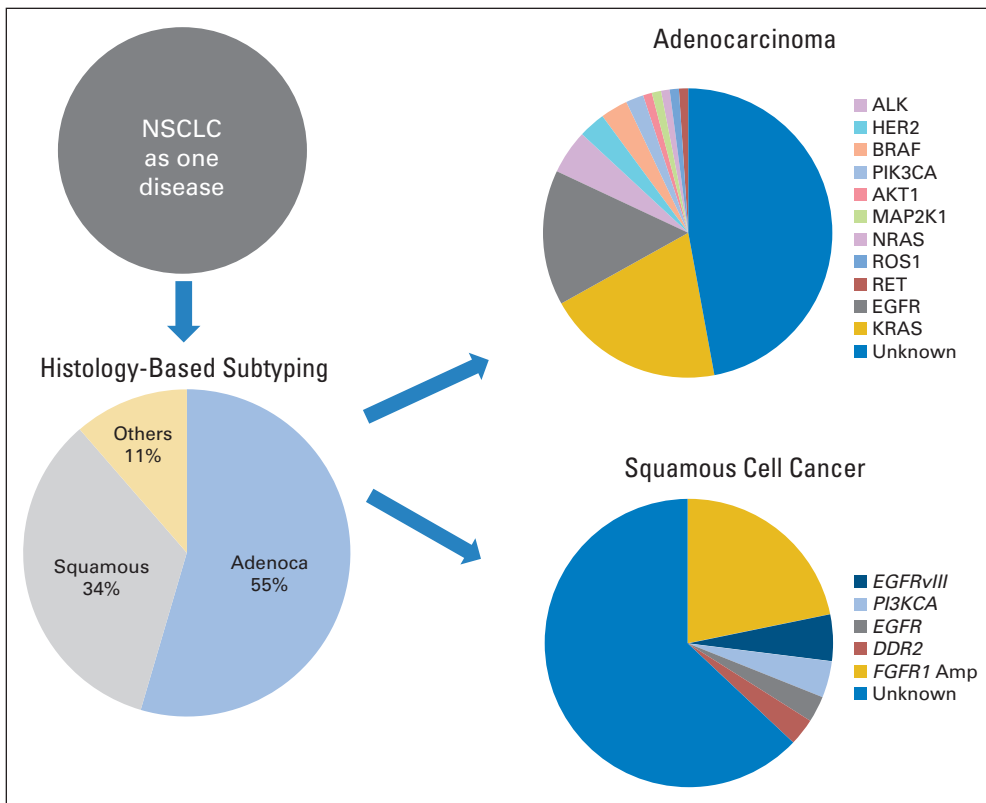
carcinomas, pure small-cell carcinomas, or pure neuroendocrine carcinomas.<sup>37</sup> Recent genotyping studies have revealed that distinct genetic abnormalities are present in adenocarcinomas and squamous cell carcinomas,<sup>5,38,39</sup> providing opportunities for developing novel

molecularly targeted and biomarker-driven therapeutic strategies for specific molecular subsets of patients (Fig 4; Table 1).

Currently, *EGFR* mutation testing can be performed from nanograms of genomic DNA extracted from tumors cells on a few slides of



**Fig 3.** Genotyping and genomic profiling in personalized medicine: a scientific revolution of cancer molecular diagnosis and treatment. CNV, copy number variation; FFPE, formalin-fixed paraffin-embedded; FISH, fluorescent in situ hybridization; IHC, immunohistochemistry; RT-PCR, reverse transcriptase polymerase chain reaction; SNP, single nucleotide polymorphism.



**Fig 4.** Evolution of non-small-cell lung cancer (NSCLC) subtyping from histologic to molecular based. Data adapted.<sup>9</sup> EGFR, epidermal growth factor receptor; HER2, human epidermal growth factor receptor 2; MAP2K1, mitogen-activated protein kinase 1.

archival formalin-fixed paraffin-embedded (FFPE) specimens, with a turnaround time of 5 to 10 days from some laboratories. Over the last few years, there have been significant improvements in the regulation of assay development and analytic validation and clinical validation of these genetic tests.<sup>47</sup> Today, clinical molecular pathology laboratories can perform these tests using FDA-approved kits and equipment in Clinical Laboratory Improvement Act–certified academic or commercial laboratories.<sup>48</sup> Recently, representatives of three professional organizations with interests in the diagnosis and management of lung cancer—the College of American Pathologists, the International Association for the Study of Lung Cancer, and the Association for Molecular Pathology—convened to review the published data and to develop evidence-based guideline recommendations for the molecular testing of lung cancers for *EGFR* mutation and *ALK* gene arrangement testing.<sup>49</sup> The draft report is currently available online for public comment. These molecular tests are being increasingly used worldwide. Notable is the government-sponsored program in France, initiated in 2009, which seeks to provide nationwide molecular testing for patients with a number of cancers, including NSCLC. For NSCLC, efforts began with testing for *EGFR* mutations. In 2010, more than 17,000 French patients were tested for *EGFR* mutations at a total of 28 laboratories in public hospitals, with a turnaround time of 13 days.<sup>50</sup>

#### MULTIPLEX GENOTYPING OF KNOWN HOTSPOT ONCOGENE MUTATIONS

Although landmark studies of molecularly targeted agents have largely focused on a single or small number of genetic mutations, there is an increasing need to develop clinically applicable methodologies that

can simultaneously determine the mutational or expression status of many genes of interest and do so using small tumor samples. Multiplex polymerase chain reaction (PCR) is defined as the simultaneous amplification of at least two DNA or cDNA targets in a single reaction vessel.<sup>51</sup> Both Sequenom (Sequenom, San Diego, CA) and SNaPshot (Applied Biosystems, Foster City, CA) platforms use multiplex PCR to identify potentially actionable molecular targets in lung cancer from genomic DNA derived from FFPE tumor specimens. These assays are being widely used in the cancer research community and show promise for clinical use as well.<sup>52</sup> Table 2 compares the list of hotspot mutations and oncogenes included in the Sequenom and SNaPshot panels and corresponding approved and/or experimental drugs. It is noteworthy that these multiplex genomic tests only detect the expression of selected known hotspot mutations and oncogenes and do not have the ability to discover new or additional drug targets. Easily identifiable oncogenes compose most of the components, reflecting that precise changes in particular amino acids (ie, hotspots) are sufficient for oncogenic activation and oncogenic addition. In contrast, inactivation of a tumor suppressor can involve deletions or point mutations in wide regions of the loci, rendering analysis more challenging. Furthermore, there is presently no accepted treatment strategy to restore or repair the functions of tumor suppressors. Thus, hyperactive oncogenic mutations have been the primary focus of targeted therapy and associated predictive biomarker assays for NSCLC.

#### Sequenom Oncogenotype Mutational Analysis

The Sequenom platform is an array-based system that combines PCR with matrix-assisted laser desorption/ionization time of flight

**Table 1.** Oncogene Mutations Predict Likelihood of Response or Resistance to Current Targeted Therapies in Patients With NSCLC

Oncogene	Mutation Prevalence	Mutation-Predicted Therapeutic Response	Predicted Response Rate
<i>EGFR</i>	Asians: 30%-40%; whites: 10%-20%	Sensitive to EGFR TKIs (most mutations)*	Erlotinib: 60%-83% <sup>11,12</sup> ; gefitinib: ~71% <sup>8-10</sup>
<i>KRAS</i>	Asians: 10%; whites: 30%	Resistant to EGFR TKIs†; sensitive to MEK inhibitors?	Data are limited <sup>40,41</sup>
<i>EML4-ALK</i>	1%-7%; no clear racial difference	Sensitive to ALK inhibitors†; resistant to EGFR TKIs	Crizotinib: 50%-60% <sup>13</sup> ; data are limited regarding resistance to EGFR TKIs <sup>42</sup>
<i>ROS1</i>	1.7%; more in Asians?	Sensitive to ALK inhibitors†	Crizotinib: unknown <sup>43</sup>
<i>HER2</i>	More in Asians?	Sensitive to HER2 inhibitors	Trastuzumab: unknown; lapatinib, afatinib, and dacomitinib: unknown

Abbreviations: EGFR, epidermal growth factor receptor; NSCLC, non-small-cell lung cancer; TKI, tyrosine kinase inhibitor.  
 \*Common mutations (exon 19 deletions, L858R, L861Q, and G719A/C/S) are associated with response to EGFR TKIs; rare mutations such as T790M and exon 20 insertion are associated with resistance to TKIs.<sup>44,45</sup>  
 †*KRAS* mutations and *ALK* 2p23 rearrangements may also predict resistance. Alternative therapies should be considered when resistance is predicted.<sup>42,46</sup>

mass spectrometry for rapid multiplexed nucleic acid analysis.<sup>53</sup> The Sequenom OncoCarta V1.0 kit uses multiplex PCR amplifications of a minimum of 500 ng tumor DNA per sample (ie, 20 ng DNA per multiplex reaction) for a total of 238 somatic mutations of oncogenes across 19 different genes commonly associated with cancer (Table 2).<sup>53</sup> The PCR reactions are purified and subjected to matrix-assisted laser desorption/ionization time of flight mass spectrometry on the Sequenom MassArray. Specific amplicons (and the mutations) are assayed and quantitated. The assay can use tumor samples from fresh, frozen, or FFPE samples and/or cell lines. It can detect and quantify mutation frequencies from at least 10% of mutation-positive cells. The main advantages of the Sequenom oncogene mutation genotyping platform include the commercially available kit with technical support for optimizing each multiplex PCR reaction, easy operability, and the readily interpretable data report form. The turnaround time could be similar to that of single gene-based assay. Disadvantages include the requirement for the purchase of Sequenom equipment and the need for the vendor's involvement in modifying and updating the gene list of targeted mutations.

### SNaPshot Oncogenotype Mutational Analysis

The SNaPshot platform from Applied Biosystems consists of multiplex PCR and single base extension reactions that generate fluorescently labeled probes designed to interrogate more than 50 hotspot mutation sites in eight to 14 key cancer genes. The gene list might vary slightly between different laboratories. Up to 10 single nucleotide polymorphisms from different amplicons can be interrogated in a single base extension reaction. It has increased sensitivity (approx-

mately 10%) compared with standard sequencing, allowing detection of a single-base pair difference in each test tube. The SNaPshot products are then resolved and analyzed using capillary electrophoresis on several models of ABI Genetic Analyzers (Applied Biosystems) generally available at major academic institutions.<sup>54-56</sup> Compared with the Sequenom platform, the list of hotspot mutations and oncogenes included in the SNaPshot platform is narrowed down to high-prevalence genetic abnormalities detected in NSCLC (Table 2). Although SNaPshot has improved molecular testing over conventional DNA-based tests (which have typically focused on *EGFR* and *KRAS* sequencing only), it is labor intensive and typically requires a 2- to 3-week turnaround time. It also requires more genomic DNA for testing compared with the Sequenom platform.

### Clinical Applications of Multiplex Genotyping of Known Hotspot Oncogene Mutations

A number of individual institutions and collaborative groups have begun to apply genomic profiling to therapeutic decision making for patients with NSCLC.<sup>54-56</sup> The Lung Cancer Mutation Consortium initiated a US collaborative genotyping effort among 14 academic centers (ClinicalTrials.gov identifier: NCT01014286), with the goal of genotyping 10 driver mutations in 1,000 patients with lung adenocarcinoma using the SNaPshot platform as described earlier, together with the FDA-approved fluorescent in situ hybridization analysis for *ALK* gene rearrangement and *MET* amplification.<sup>57</sup> In a preliminary report, at least one actionable driver mutation was present in 54% of the first 516 tumors tested, including *KRAS* mutation (22%), *EGFR* mutation (17%), and *EML4-ALK* rearrangement (7%).<sup>57</sup> Almost all of these mutations (97%) were mutually exclusive for the tested genetic abnormalities. Consistent with previous single-institution experience,<sup>54,55</sup> genotyping results changed the therapy in 20% to 40% of patients with NSCLC in the data set identified for one of several early-phase clinical trials evaluating the safety and efficacy of novel molecularly targeted agents against individual oncogene mutations.<sup>57,58</sup> In the future, a comprehensive genetic annotation of NSCLC tumors may be appended to the gene and mutation list in Sequenom and SNaPshot panels.

## HIGH-THROUGHPUT GENOME-WIDE UNBIASED NGS

NGS technologies offer novel and rapid ways for genome-wide characterization of DNA, mRNA, transcription factor regions, miRNA, chromatin structure, and DNA methylation patterns.<sup>20,22</sup> They include several sequencing platforms for whole-genome, whole-exome, whole-transcriptome (RNA sequencing), and whole-epigenome analysis, using "sequencing-by-synthesis, addition and detection of the incorporated base by reversible terminator nucleotides" without the need for gels and prior knowledge of the genome sequence.<sup>20</sup> Each sequencing platform has its unique features, and the platforms could be used to complement each other for cancer genomic data of individual tumors, if affordable. There are several NGS platforms from Illumina (San Diego, CA), 454 Life Sciences (Branford, CT; part of Roche Applied Science), Helicos BioSciences (Cambridge, MA), and Applied Biosystems. They all generate an abundance of low-cost, high-volume sequencing data. The Illumina-Solexa NGS (RNA sequencing) technology (Illumina) was first commercialized in 2006, and the Illumina-Solexa genome analyzer is currently the most commonly used

**Table 2.** Comparison of Hotspot Mutations and Oncogenes in the Sequenom and SNaPshot Panels and Their Corresponding Approved and/or Experimental Drugs

Sequenom Panel			SNaPshot Panel			Actionable Drugs		
Genes in Sequenom (n = 19)	No. of Mutations in Sequenom (n = 238)	Sequenom OncoCarta V1.0 Oncomutations (n = 238)	Genes in SNaPshot (n = 8)	No. of Mutations in SNaPshot (n = 38)	Mutations	Drug Class	Approved Drugs	Representative Investigational Drugs
<i>ABL-1</i>	14	G250E, Q252H, Y253H, Y253F, E255K, E255V, D276G, F311L, T315I, F317L, M351T, E355G, F359V, H396R						
<i>AKT-1</i>	7	V461L, P388T, L357T, E319G, V167A, Q43X, E17del	<i>AKT-1</i>	1	E17K	Small-molecule TKI	MK-2206	
<i>AKT-2</i>	2	S302G, R371H						
<i>BRAF</i>	24	G464R, G464V/E, G466R, F468C, G469S, G469E, G469A, G469V, G469R, D594V/G, F595L, G596R, L597S, L597R, L597Q, L597V, T599I, V600E, V600K, V600R, V600L, K601N, K601E	<i>BRAF</i>	4	G466V, G469A, L597V, V600E	Small-molecule TKI	Vemurafenib*	PLX4032, GSK1120212, GSK2118436, XL281
<i>CDK-4</i>	2	R24C, R24H						
<i>EGFR</i>	43	R108K, T263P, A289V, G598V, E709K/H, E709A/GV, G719S/C, G719A, M766_A767insA, S768I, V769_D770insASV, L747_T750del, L747_T751del, L747_S752del, P753S, A750P, T751A, T751P, T751I, S752I/F, S752_L759del, L747_Q ins, E746_T751del, I ins (combined), E746_A750del, T751A (combined), L747_E749del, A750P (combined), L747_T750del, P ins (combined), L747_S752del, Q ins (combined)	<i>EGFR</i>	6 (plus exon 20 insertion and 19 deletions by sizing assays in common)†	G719S/C, G719A, L747_T750del, L747_T751del, L747_S752del, T790M, L858R, L861Q	Small-molecule TKI, monoclonal antibody	Erlotinib, gefitinib, cetuximab*	Afatinib (BIBW2992), dacomitinib (PF-00299804), lapatinib,* cetuximab,* panitumumab*
<i>ERBB2</i>	7	L765P, G776S/LC, G776V/CV, A775_G776insYVMA, P780_Y781insGSP, S779_P780insVGS	<i>ERBB2†</i>			Small-molecule TKI, monoclonal antibody	Trastuzumab,* lapatinib*	Afatinib (BIBW2992), dacomitinib (PF-00299804)
<i>FGFR-1</i>	2	S129L, P252T				Small-molecule TKI		BGJ398, FP1039 (HSG1036), ponatinib (AP24534)
<i>FGFR-3</i>	5	G370C, Y373C, A391E, K650Q/E, K650T/M				Small-molecule TKI		BGJ398, FP1039 (HSG1036), ponatinib (AP24534)
<i>FLT-3</i>	2	I836del, D835H/Y						
<i>JAK-2</i>	1	V617F						
<i>KIT</i>	27	D52N, Y503_F504insAY, W557R/R/G, V559D/A/G, V559I, V560D/G, K550_K558del, K558_V560del, K558_E562del, V559del, V559_V560del, V560del, Y570_L576del, E561K, L576P, P585P, D579del, K642E, D816V, D816H/Y, V825A, E839K, M552L, Y568D, F584S, P551_V555del, Y553_Q556del				Small-molecule TKI		Imatinib, nilotinib, sunitinib, sorafenib, axitinib
<i>MET</i>	5	R970C, T992I, Y1230C, Y1235D, M1250T	<i>MET†</i>			Small-molecule TKI, monoclonal antibody		MetMab, crizotinib, ARQ197, XL184, XL 880, GSK1363089, SCH900105, JNJ38877605
<i>PDGFRa</i>	11	V561D, T674I, F808L, D846Y, N870S, D1071N, D842_H845del, I843_D846del, S666_E571>K, I843_S847> T, D842V				Small-molecule TKI		Imatinib, nilotinib, sunitinib, sorafenib
<i>PIK3CA</i>	13	R880, N345K, C420R, P539R, E542K, E545K, Q546K, H701P, H1047R/L, H1047Y, R38H, C501F, M1043I	<i>PIK3CA</i>	4	E542K, E545K, E545Q, H1047R	Small-molecule TKI		BKM120, BEZ235, PX-866, GDC-0941, SAR245408

(continued on following page)

**Table 2. Comparison of Hotspot Mutations and Oncogenes in the Sequenom and SNaPShot Panels and Their Corresponding Approved and/or Experimental Drugs (continued)**

Sequenom Panel			SNaPShot Panel			Actionable Drugs		
Genes in Sequenom (n = 19)	No. of Mutations in Sequenom (n = 238)	Sequenom OncoCarta V1.0 Oncomutations (n = 238)	Genes in SNaPShot (n = 8)	No. of Mutations in SNaPShot (n = 38)	Mutations	Drug Class	Approved Drugs	Representative Investigational Drugs
<i>KRAS</i>	12	G12C, G12R, G12S, G12V, G12D, G12A, G12F, G13V/D, A59T, O61E/K, O61L/R/P, O61H/H	<i>KRAS</i> (13 in common)	16	G12C, G12R, G12S, G12V, G12D, G12A, G13C, G13S, G13R, G13A, G13D, O61K, O61L/R, O61H/H	Small-molecule TKIs: MEK inhibitor; MET inhibitor; AKT inhibitor	Multiple MEK inhibitors (eg, GSK1120212, selumetinib); MET inhibitors (eg, tivantinib); AKT inhibitors (eg, MK2206, GSK2141795)	
<i>HRAS</i>	6	G12V/D, G13C/R/S, O61H/H, O61L/R/P, O61K						
<i>NRAS</i>	8	G12V/A/D, G12C/R/S, G13V/A/D, G13C/R/S, A18T, O61L/R/P, O61H, O61E/K	<i>NRAS</i>	3	O61L, O61K, O61R	Small-molecule TKIs: MEK inhibitor; MET inhibitor; AKT inhibitor	Multiple MEK inhibitors (eg, GSK1120212, selumetinib); MET inhibitors (eg, tivantinib); AKT inhibitors (eg, MK2206, GSK2141795)	
<i>RET</i>	6	C634R, C634W, C634Y, E632_L633del, M918T, A664D						
		None	<i>MEK1 (MAP2K1)</i>	3	O56P, K57N, D67N	Small-molecule TKI	MEK162, GDC-0973, GSK1120212, selumetinib (AZD6244)	
		None	<i>PTEN</i> <i>ROS1†</i>	1	R233§	Small-molecule TKI	Crizotinib	

Abbreviation: TKI, tyrosine kinase inhibitor.

\*Approved in other tumor types.

†Detection by fluorescent in situ hybridization.

#Detection by sizing assays.

\$This mutation results in a premature stop codon.

sequencer. Depending on the desired depth of sequencing resolution, the massively parallel sequencing requires 0.1 to 3  $\mu\text{g}$  of nucleic acids to generate DNA, RNA, and microRNA sequences for point mutations, single nucleotide polymorphism, copy number variation, and importantly novel fusion genes that are unbiased (unprimed) and more fully representative of the entire transcriptome. One big advantage of NGS technology is that its coverage, which generally refers to the average number of sequencing reads that align to each base within the sample DNA, is highly adjustable. For instance, a whole genome sequenced at 20 $\times$  coverage means that, on average, each base in the genome is covered by 20 sequencing reads, which can detect a base change at a frequency of at least 5%; and a whole genome sequenced at 100 $\times$  coverage means that, on average, each base in the genome is covered by 100 sequencing reads, which can detect a base change at low frequency of at least 1%. NGS can also be multiplexed to sequence more than five human genomes in a single run. Several new NGS platforms, such as the Illumina HiSeq 2500 and Ion Torrent Proton (Ion Torrent Systems, Guilford, CT), are being developed to sequence the human genome in 1 day, which would further accelerate the clinical application of cancer genomics.

NGS technologies have been rapidly applied to clinical settings in almost all tumor types as reported at the recent 2012 annual meeting of the American Society of Clinical Oncology. They are being used as research tools for understanding of tumor molecular mechanisms, discovery of novel drug targets, and screening candidate patients for clinical trials. Currently, it takes approximately 1 week to generate sequencing data and at least 2 weeks for data analysis. It costs approximately \$3,500 to \$5,000 for the reagents needed for all three NGS tests,<sup>59</sup> although the price could decrease further. One major challenge is the complexity of data generated and the need for robust bioinformatics tools to fully understand the functional impact of each of the many, simultaneously identified genomic abnormalities. The situation is best described as “\$1000 genomic test and \$100,000 genomic analysis.”<sup>60</sup> Several targeted NGS approaches have been explored to simplify the data extraction by scaling down sequence coverage and multiplexing multisample analysis (eg, use of a targeted, massively parallel sequencing approach to detect tumor genomic changes in cancer-related genes only,<sup>61</sup> or to focus on tyrosine kinase fusion genes only<sup>62,63</sup>). The reproducibility of these methods in large-scale studies and validation of their clinical utility remain to be evaluated.

Early experience of applying NGS technologies in NSCLC and other tumors suggests that, on average, more than 100 to 200 genomic abnormalities are identified for each tumor specimen,<sup>59,61</sup> which is higher than 50 to 100 variants observed in inherited disorders.<sup>31,64</sup> In addition to known hotspot oncogenic mutations or gene rearrangements in NSCLC, NGS has also identified genetic abnormalities that are previous known in other cancer types as well and uncovered many novel genetic abnormalities without knowledge of their biologic functions. A pathway-based, integrative systems biology approach has been used to interpret the data in the context of known and emerging hallmarks of cancer.<sup>17</sup> Even for gene alterations known to have prognostic and/or predictive value in other cancer types, in many cases, their roles in NSCLC remain to be determined in rigorous clinical trial settings. The newly discovered genetic abnormalities could serve as potential drug targets and predictive biomarkers, as well as genetic variants that affect drug metabolism and cancer prognosis. Increasingly, these novel oncogenic molecular biomarkers have been discovered in rare subsets of patients. It is critical to sort out driver genomic

abnormalities from passenger abnormalities for targeted treatment. Ideally, prospective, simultaneous multiple biomarker-driven therapeutics trials are needed to assess the clinical feasibility and efficacy of individualized cancer care in patients with advanced NSCLC. One reasonable interim approach for data collection and information exchange is to develop a publically accessible database for collecting clinical information on patients' NSCLC tumor responses harboring rare or new, single or multiple genetic and genomic abnormalities to different molecularly targeted agents, as suggested for rare *EGFR* mutations.<sup>65</sup>

#### CHALLENGES AND OPPORTUNITIES IN CLINICAL APPLICATION OF GENOTYPING AND GENOMIC TESTING

Translation of the state-of-the-art cancer genomics to routine clinical application demands new translational research platforms for selecting and validating clinically relevant drug target(s) and associated biomarker assay(s). Furthermore, when these assays are integrated into clinical practice, they must be broadly available to practicing clinicians, applicable to small tumor biopsies, and affordable to patients, and the turnaround time for test information to be returned to the treating physician must be short, usually defined as a maximum of 2 weeks. Currently, a high priority is to develop systematic testing algorithms to identify genomically defined subsets of patients with NSCLC for whom effective drug therapies are available either commercially or through clinical trials. Such a potential paradigm change in patient care has raised many new challenges.

First, NSCLC is well recognized as diverse based on interpatient tumor heterogeneity. More recently, an added layer of complexity related to inpatient tumor heterogeneity has been observed, particularly relevant to the clonal evolution of somatic mutations from the primary tumor to metastatic lesions and the mixed tumor response to treatment with a molecularly targeted agent in different tumor sites.<sup>66</sup> The role this phenomenon plays in the development of acquired drug resistance and biomarker testing is likely to be highly variable from one individual patient to the next or perhaps from one metastatic site to the next in the same patient.

Second, dynamic change within the cancer genome during the disease course is now being recognized as an additional challenge because the tumor genetic makeup may undergo substantial alteration during disease progression or in response to treatment. Current experience suggests that although most driver mutations are maintained in resistant tumors, additional actionable genetic/genomic abnormalities may emerge.<sup>67,68</sup> Furthermore, *EGFR* mutations, *ALK* gene rearrangements, and *KRAS* mutations rarely coexist in treatment-naive NSCLC tumors, but they can coexist in rebiopsied tumor specimens from patients with refractory NSCLC.<sup>67,68</sup> The clinical significance of this phenomenon remains to be defined. However, it does support obtaining serial biopsy specimens to assess real-time changes in histomorphology and cancer genomics during the disease course, which can be feasible and safe in patients with lung cancer.<sup>67,69</sup>

Third, both quantity and quality of tumor tissue are critical for all genetic and genomic testing. Several professional and regulatory agencies have established guidelines to address both the regulatory and quality control requirements to ensure that preanalytic variables for sample collection and processing could be tracked and controlled.<sup>49,70</sup> The need to improve regulatory systems to ensure the quality of



conducting genetic and genomic testing in humans has been recognized. Because cancer is a global health hazard, a key element to more effective oversight is to allow for more collaboration among regulatory agencies domestically and globally.

Fourth, although whole-genome sequencing holds unprecedented potential for personalized cancer therapy, a current challenge is how to analyze the huge amount of genomic data for clinically relevant drug targets and pharmacogenomic variants. As discussed in the previous section, scaling down sequencing coverage for specific cancer genes and multiplexing of several samples per NGS reaction are being actively explored as strategies to improve clinical applicability. In 2011 alone, several remarkable advances in genome technology have improved our ability to edit and analyze the genome using novel techniques, such as genome targeting editing,<sup>71</sup> search-and-replace editing techniques,<sup>72,73</sup> mapping structure variation using short reads,<sup>74</sup> and multiplexed automated genome engineering.<sup>75</sup> Notably, multiplexed automated genome engineering is an *in vivo* method using synthetic oligonucleotides to enable the rapid generation of mutants at high efficiency and specificity and can be implemented at the genome scale.

Last, but not least, clinical implementation of genotyping and genomic tests in NSCLC demands a close collaboration between multidisciplinary health care professionals, including, but not limited to, surgeons, pulmonologists, radiologists, pathologists, translational scientists, medical oncologists, insurers, and regulatory agencies. It is also vitally important to engage patients with NSCLC, the prime target of personalized cancer therapy, to help them understand the growing importance of molecular testing and to motivate them to participate in the process in appropriate ways.

## SUMMARY AND PERSPECTIVES

In summary, detection of gain-of-function tyrosine kinase-activating *EGFR* mutations and *ALK* gene rearrangements in NSCLC tumors (predominantly lung adenocarcinomas) by modern molecular technologies has been used in routine clinical practice to select distinct subsets of patients with NSCLC for first-line therapy with *EGFR* TKIs since 2009 and for an *ALK* TKI since 2011, respectively. Many additional molecularly targeted therapies are being developed for small (< 5%) subsets of patients with NSCLC. In parallel, development and validation of predictive biomarkers are being incorporated into early phases of clinical trials for these drugs. This new paradigm change in both the drug development process and clinical care has created new hope, many opportunities, and many challenges at the same time, for all stakeholders in the fight against lung cancer. Currently, several

multiplex genotyping platforms for actionable hotspot oncogene mutations or gene amplification/rearrangements are being evaluated in research settings with promising results and are progressing to widespread clinical use among oncology practices. However, whether broad-based genotyping approaches will improve clinical outcomes of patients with NSCLC has yet to be proven by rigorous, prospective clinical evaluation. Moving forward, an integrated, genome-wide, molecular annotation of individual NSCLC tumors using scalable and multiplex NGS technologies holds great promise for advancing personalized cancer treatment, with the goal of maximizing efficacy and minimizing toxicity. The biggest challenge in translating discoveries in cancer genomics to improvements in clinical care is to understand the biologic relevance of the genomic aberrations within the context of evolution of an individual patient's lung cancer over time. Although there are still many barriers to overcome, recent advances in genomic technologies and drug development and the resulting outpouring of genomic information and novel new drugs are making molecular-based and personalized lung cancer therapy no longer just a dream.

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

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