Workplace Measurements by the US Occupational Safety and Health Administration since 1979: Descriptive Analysis and Potential Uses for Exposure Assessment

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Background: Inspectors from the US Occupational Safety and Health Administration (OSHA) have been collecting industrial hygiene samples since 1972 to verify compliance with Permissible Exposure Limits. Starting in 1979, these measurements were computerized into the Integrated Management Information System (IMIS). In 2010, a dataset of over 1 million personal sample results analysed at OSHA's central laboratory in Salt Lake City [Chemical Exposure Health Data (CEHD)], only partially overlapping the IMIS database, was placed into public domain via the internet. We undertook this study to inform potential users about the relationship between this newly available OSHA data and IMIS and to offer insight about the opportunities and challenges associated with the use of OSHA measurement data for occupational exposure assessment.

Methods: We conducted a literature review of previous uses of IMIS in occupational health research and performed a descriptive analysis of the data recently made available and compared them to the IMIS database for lead, the most frequently sampled agent.

Results: The literature review yielded 29 studies reporting use of IMIS data, but none using the CEHD data. Most studies focused on a single contaminant, with silica and lead being most frequently analysed. Sixteen studies addressed potential bias in IMIS, mostly by examining the association between exposure levels and ancillary information. Although no biases of appreciable magnitude were consistently reported across studies and agents, these assessments may have been obscured by selective under-reporting of non-detectable measurements. The CEHD data comprised 1 450 836 records from 1984 to 2009, not counting analytical blanks and erroneous records. Seventy eight agents with >1000 personal samples yielded 1 037 367 records. Unlike IMIS, which contain administrative information (company size, job description), ancillary information in the CEHD data is mostly analytical. When the IMIS and CEHD measurements of lead were merged, 23 033 (39.2%) records were in common to both IMIS and CEHD datasets, 10 681 (18.2%) records were only in IMIS, and 25 012 (42.6%) records were only in the CEHD database. While IMIS-only records represent data analysed in other laboratories, CEHD-only records suggest partial reporting of sampling results by OSHA inspectors into IMIS. For lead, the percentage of non-detects in the CEHD-only data was 71% compared to 42% and 46% in the both-IMIS-CEHD and IMIS-only datasets, respectively, suggesting differential under-reporting of non-detects in IMIS.

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Conclusions: IMIS and the CEHD datasets represent the biggest source of multi-industry exposure data in the USA and should be considered as a valuable source of information for occupational exposure assessment. The lack of empirical data on biases, adequate interpretation of non-detects in OSHA data, complicated by suspected differential under-reporting, remain the principal challenges to the valid estimation of average exposure conditions. We advocate additional comparisons between IMIS and CEHD data and discuss analytical strategies that may play a key role in meeting these challenges.

Keywords: database; exposure reconstruction; IMIS; occupational hygiene.

Introduction

Recent advances in exposure assessment in occupational epidemiology indicate a shift from approaches based on expert judgement to using objective measurements wherever possible. Industry-based studies focused on a small number of facilities are the best able to incorporate measurements because current and historical exposure data are extracted from a restricted number of sources. For population-based case–control studies, the subjects' occupations span a wide spectrum of activities, representing hundreds, and even thousands, of occupation–industry combinations in a typical study. In this situation, even if measurements had been taken by companies themselves or various governmental agencies over time, the resources needed to collect and interpret such data may be impractically high. In consequence, exposure assessment for population-based studies needs readily available sources of measurements that represent a wide variety of occupations, industries, and time periods to avoid relying solely on expert judgment. Beyond epidemiology, such data can be instrumental for other prevention activities ([Gomez, 1993\)](#page-19-0). Potential applications include examining time-trends in exposures [\(Kromhout and](#page-19-1) [Vermeulen, 2000;](#page-19-1) [Creely](#page-19-2) *et al*., 2007; [Symanski](#page-20-0) *et al*., [1998](#page-20-0)), estimating numbers of workers exposed for surveillance efforts or for evaluating the burden of disease caused by an agent (Linch *et al*[., 1998;](#page-19-3) [Henneberger](#page-19-4) *et al*[., 2004](#page-19-4)), identifying high exposure situations to help define intervention priorities [\(Froines](#page-19-5) *et al*., [1986](#page-19-5)), or validating risk assessment tools used to comply with the Registration, Evaluation, Authorization, and Restriction of Chemicals legislation in Europe ([Koppisch](#page-19-6) *et al*., 2012).

Perhaps the greatest potential source of individual measurement data comes from nation-wide occupational exposure databanks. Set up in several countries at the beginning of the 1980s, these databanks contain measurements made by governmental agencies for various purposes including regulatory activities. Countries for which such databanks have been described in the literature include France ([Vincent](#page-20-1) [and Jeandel, 2001\)](#page-20-1), United Kingdom [\(Burns and](#page-19-7) [Beaumont, 1989\)](#page-19-7), Germany [\(Gabriel, 2006;](#page-19-8) [Koppisch](#page-19-6) *et al*[., 2012](#page-19-6)), Norway [\(Lenvik](#page-19-9) *et al*., 1999), Denmark ([Vinzents](#page-20-2) *et al*., 1995), Finland ([Kauppinen, 2001](#page-19-10)), Singapore (Tang *et al*[., 2006\)](#page-20-3), Italy [\(Scarselli](#page-19-11) *et al*., [2007](#page-19-11)), and the United States [\(Stewart and Rice, 1990](#page-20-4)). After more than 30 years of data recording for some databanks, the amount of data available has reached a critical mass to permit exposure portraits to be drawn, i.e. to estimate exposure distributions across a wide range of agents, industries, occupations, and years [\(Kauffer and Vincent, 2007;](#page-19-12) [Lavoué](#page-19-13) *et al*., 2011, [2008](#page-19-14)). An alternative data source is the data reported in the published literature, which has been used to support several exposure assessment efforts (e.g. Hein *et al*., 2010; Liu *et al*[., 2011; Park](#page-19-15) *et al*., 2009). However, these data are generally available in aggregate form, require substantial time commitment to extract the data, and have limited ancillary data ([Hein](#page-19-15) *et al*[., 2008](#page-19-15)).

In the USA, the Occupational Safety and Health Administration (OSHA) has maintained since 1979 the Integrated Management Information System (IMIS), which contains measurement results from surveys performed by OSHA to verify compliance to Permissible Exposure Limits (PELs). IMIS, with now over 1.5 million records (Okun *et al*[., 2004](#page-19-16)), is the biggest multi-industry source of exposure measurements in North America. In 2010, OSHA made available on the web¹ all OSHA measurements analysed by the OSHA Salt Lake Technical Center from 1984 through 2009, comprising almost 2 million records (hereafter referred to as the CEHD data, 'Chemical Exposure Health Data'). Although there is overlap in the OSHA measurements contained within the CEHD and IMIS databanks, these two datasets have important differences, which we discuss later.

The IMIS and the CEHD databanks have considerable potential as a source of generic exposure information. Thus, we describe the content of both

¹ http://www.osha.gov/opengov/healthsamples.html

repositories. Our specific aims were to summarize previous uses of IMIS data, to describe the CEHD data and their relationship to the IMIS data, and to highlight strengths and limitations of these databanks. In addition, we make recommendations for the data's future use, with special attention to methodological challenges in occupational exposure assessment.

METHODS

OSHA measurement activities and the IMIS

OSHA was created as a federal agency in 1971 ([US](#page-20-5) [Congress, 1970](#page-20-5)). Some states opted out of the federal OSHA agency and created their own State OSHA agencies, and some states use a combination of federal and State OSHA agencies. Since 1972, IMIS has served as a data-entry and information retrieval system associated with enforcement activities of both federal and State OSHA. Each OSHA inspector is responsible for documenting the outcome of each inspection, including entering exposure measurements into IMIS. The actual exposure levels measured during inspections were only entered starting in 1979. Before that, only a 'severity index' was provided, representing the ratio of the measurement to the PEL. The Salt Lake Technical Center, created in 1984, processed most of the samples collected by the federal and some of the samples collected by State OSHA inspectors. The CEHD data made available by the Salt Lake Technical Center are analytical sample results of the measurements collected by OSHA inspectors while assessing compliance. The OSHA officers performed calculations on the sample results [e.g. a time-weighted average (TWA) calculated from several short-term samples] and recorded the result of their assessment in IMIS. Each record in IMIS includes information about the company in which the inspection was conducted (see Table 1). Industries are identified by a four-digit code from the 1987 or 1972 Standard Industrial Classification (SIC) and also by a six-digit code from the North American Industry Classification System (NAICS) after 1997. The description of the monitored job is entered as free text. Other characteristics of the inspection and the measurement are also recorded (Table 1). IMIS exposure data can be obtained from OSHA by any US or non-US citizen or organization through Freedom of Information Act requests for a processing fee that covers the file preparation time and with a processing time ranging from several weeks to a few months. For example, OSHA charged the investigators \$400 US to obtain IMIS data for 36 agents. OSHA also conducts health consultation aimed at helping companies improve their health and safety record. Access to this data has been limited to preserve anonymity of companies and avoid discouraging them from seeking assistance (Okun *et al*[., 2004](#page-19-16)).

Literature review of previous uses of OSHA IMIS data in research

We conducted a literature review to identify scientific articles mentioning the use of measurement data collected during OSHA's enforcement activities. The search involved the keywords 'OSHA', 'IMIS', and 'occupational exposure' in PubMed. Additional references were obtained from the bibliographies of the retrieved articles. This review aimed at gathering information about the contents of IMIS, identifying the methodological approaches used to analyse these data and the challenges encountered, and collecting insights about potential biases present in this databank.

Descriptive analysis of the Chemical Exposure Health Data

Measurements from the Salt Lake Technical Center have been available from the OSHA website since May 2010 under the title 'Chemical Exposure Health Data' ([http://www.osha.gov/opengov/healthsamples.](http://www.osha.gov/opengov/healthsamples.html) [html](http://www.osha.gov/opengov/healthsamples.html)). These data can be accessed individually through search by company names, state, ZIP code, year, industry code, agent, or range of results, and downloaded as compressed XML files. The field definitions provided on the website were not complete; therefore we communicated with the Salt Lake Technical Center to define and recode all values not mentioned in the definitions. The dataset included a variable 'sampling number' that identified sequential partial-shift measurements. We used this identifier to aggregate sequential samples to calculate total sampling time, median number of samples per single evaluation as defined by a unique sampling number, and the TWA for the evaluation. When one of the samples was reported as a non-detect (i.e. concentration smaller than the limit of quantification), its value was replaced by 0 in the calculation of the average concentration. If all samples were non-detects, the aggregated value was flagged as a non-detect. The dataset also included a variable 'field number' that identified samples collected on the same sampling media. We used this to identify records belonging to a panel screen (e.g. a panel of metals), and we calculated the proportion of times an agent was quantified alone or alongside others.

Comparison of the CEHD and the IMIS data

We performed both qualitative and quantitative comparisons of IMIS and the CEHD dataset. The

qualitative analysis consisted of comparing the variables and their definitions. For the quantitative analysis, we focused our comparison on lead, the most measured agent in IMIS. Analyses were restricted to the period from 1985 (1 year after the start of the CEHD dataset) to 2009 (the last year in the online CEHD data). Analysis was also restricted to personal measurements.

RESULTS

We describe our findings under each of the main study objectives: (i) a review of previous uses of OSHA data with particular focus on potential biases and statistical approaches used to interpret the data, (ii) a description of the CEHD data, and (iii) the relationship between the CEHD and IMIS lead data.

Previous uses of OSHA data in research

The literature review identified 29 publications reporting the use of OSHA measurement data, of which 26 were scientific articles; two were NIOSH reports, and a Master thesis. Most publications (18) reported the analysis of IMIS data for a single agent. Among these, silica (8) and lead (3) were the most commonly analysed. The CEHD data were not used in any scientific publications to date.

Syntheses of IMIS data were reported as early as 1983 (Oudiz *et al*[., 1983\)](#page-19-17) and most recently in [2011](#page-19-13) ([Hamm and Burstyn, 2011\)](#page-19-18). Most publications (14) drew general portraits of exposure levels in IMIS for a pollutant or an industry/occupation. The next most frequent objective involved estimating proportions or numbers of workers exposed (4). For example, [Hamm and Burstyn \(2011\)](#page-19-18) estimated the probability of beryllium exposure as the probability that a measurement within an industry/occupation group was higher than specified thresholds to later enable constructing a job-exposure matrix. [Mendeloff \(1984](#page-19-19)), Linch *et al*[. \(1998\)](#page-19-3), and [Henneberger](#page-19-4) *et al*. (2004) estimated the proportion of exposed workers within an industry using the number of workers exposed to the level recorded and total number of employees at the site. Other objectives included evaluating the potential of under-reporting measured levels in IMIS (Jones *et al*[., 1986](#page-19-20)), ranking industries for exposure surveillance [\(Froines](#page-19-5) *et al*., 1986; [Valiante](#page-20-6) *et al*[., 1992\)](#page-20-6), describing historical OSHA inspections ([Froines, 1989](#page-19-21)), evaluating utility of IMIS in epidemiology [\(Stewart and Rice, 1990](#page-20-4)), assessing recording errors ([Clark, 1990\)](#page-19-22), identifying factors associated with exposure levels [\(Gómez, 1997](#page-19-23); [Melville and Lippmann, 2001\)](#page-19-24), studying the effect of OSHA sampling procedures on exposure variability [\(Tanner-Martinez, 1997](#page-20-7)), and comparing IMIS to a French occupational exposure database ([Lavoué](#page-19-13) *et al*., [2008](#page-19-13)).

Several approaches have been used to describe exposure data in IMIS: the earliest studies used descriptive univariate methods (Oudiz *et al*[., 1983\)](#page-19-17); the most recent ones used several multivariate statistical procedures [\(Table](#page-5-0) 2). These approaches can be separated into two main families: modelling a quantitative exposure level as a function of potential influential factors, or modelling the probability of an exposure level being higher than a pre-specified threshold [i.e. PEL or limit of detection (LOD)]. In the first family, linear models were generally used after logarithmic transformation of the exposure levels. In the second family, logistic or Poisson regression was used to estimate 'probability of exposure'. A common variation was to model correlation structures in the data, in particular within data measured during the same inspection [\(Gómez, 1997;](#page-19-23) [Lavoué](#page-19-13) *et al*[., 2011,](#page-19-13) [2008;](#page-19-14) Okun *et al*[., 2004](#page-19-16); [Teschke](#page-20-8) *et al*., [1999\)](#page-20-8). [Lavoué](#page-19-14) *et al*. (2008, [2011](#page-19-13)) and [Teschke](#page-20-8) *et al*. [\(1999\)](#page-20-8) reported within-inspection correlation coefficients ranging from 0.4 to 0.7, assuming a compound symmetry in the covariance matrix.

As early as 1984, [Mendeloff \(1984\)](#page-19-19) underlined the fact that IMIS has not been designed as an exposure surveillance tool and that the results stored within this databank could not be regarded, by default, as representative of the exposures experienced by typical workers in the USA. None of the various processes leading to the recording of an exposure level in IMIS could be considered random: industries targeted for sampling, facilities visited within an industry, occupations evaluated within a facility, workers selected within an occupation, period of time sampled, and finally recording of the measurement result into IMIS. These selection processes all potentially lead to a difference between the situations monitored by OSHA inspectors and workplace exposures experienced by the general population. [Table](#page-8-0) 3 summarizes the studies that reported results related to bias in IMIS data. Most studies evaluated the relationship between exposure levels and characteristics of the company visited or of the type of inspection conducted, which may reflect differential selection of companies within an industry group (e.g. selection of 'dirtier' companies by complaint-related inspections). Because no gold standard exists, no study directly addressed whether the IMIS data represented exposure levels in the general working population (i.e. the so-called 'worst case' or 'compliance' bias). One the most

Field	Data type	Description		
Variables common to IMIS and the CEHD dataset				
Inspection number	Category	Unique identifier tied to each inspection		
Establishment name	Text	Establishment name associated to inspection (names contained in the IMIS are not unique; <i>i.e.</i>) there may be more than one variation in the way a single establishment is spelled)		
City	Text	Identifies the site city where the inspection was performed		
State	Category	Identifies the site state where the inspection was performed		
Zip code	Category	Identifies the site zip code where the inspection was performed		
SIC code	Category	Indicates the four-digit Standard Industrial Classification Code from the 1987 or 1972 version (record prior to 1987 are coded according to the 1972 system)		
NAISC code	Category	North American Industrial Classification System Code (Starting in 1997)		
Sampling number	Category	Unique identifier tied to single exposure assessment (there may be multiple media tied to this number in the CEHD dataset, reflecting multiple samples used for the calculation of a time-weighted sample)		
Office id	Category	Unique number assigned to an OSHA Office		
Date sampled	Date	Date sample was taken		
IMIS substance code	Category	IMIS substance code number		
IMIS Substance name	Category	Substance chemical name		
Variables specific to IMIS				
State or federal	Category	Activity related to a state or federal OSHA plan		
Inspection type	Category	Type of inspection: Un-programmed (complaint, referral by a safety officer, accident, follow-up, related to another inspection) Programmed (planned, related to another inspection)		
Inspection coverage	Category	Comprehensive or partial survey of the establishment		
Establishment size	Continuous	Number of employees in the company monitored		
Employee covered	Continuous	Number of employees covered by the inspection		
Employees exposed	Continuous	Number of employees in the exposure group associ- ated with the record		
Union status	Category	Union is present or not in the company monitored		
Job title.	Text	Short description of occupation		
Frequency of exposure	Text	Short description of the frequency of exposure (e.g. 40 h per week)		
Sample type	Category	Type of sample: Area, personal, blood, screening, urine, wipe, bulk		
Exposure type	Category	Type of exposure: TWA, short-term exposure limit, ceiling, peak, non-detect, dose (noise), sound (noise level), not analysed, not valid		
Advance notice given	Yes/no	The company was warned that an inspection would take place		
Presence of employee representative	Yes/no	Employee representatives were present during the inspection		
Interview of employees	Yes/no	Employees were interviewed during the inspection		

Table 1. Variables available in the IMIS and Salt Lake City OSHA laboratory electronic database.

Table 2. Multivariate techniques used to analyse IMIS exposure data.

Publication	Agent and setting	Exposure metric	Variables studied	Analytical approach
Froines <i>et al.</i> 1991	silica	median severity by inspection	industry, number of employees, union status, inspection type	logistic regression, response is inspection specific median severity being greater than 1
Gomez 1997	three subsets: lead in battery manufacturing. perchloroethylene in dry cleaning, iron oxide in welders	concentration, company specific mean concentration. probability of being greater than a specified value	job description, number of employees, union status, year, scope of inspection, type of inspection	for each dataset: linear multiple regression of company specific log-transformed mean concentrations, linear multiple regression of individual log-transformed concentrations with within inspection correlation, logistic regression of the probability for a measurement being greater than the dataset specific 75th percentile of exposure levels
Linch et al. 1998	silica	proportion of workers associated with a fixed severity	year, number of employees	linear model with response the transformed site specific proportion of workers exposed as a function of industry, year and number of employees
Teschke <i>et al.</i> 1999 wood dust		concentration	year, job description, number of employees, inspection type	linear multiple regression of individual log- transformed concentrations with within-company correlation

Table 1. *Continued*

multimodel inference as the model selection framework

Table 2. *continued*

Publication	Agent and setting	Exposure metric	Variables studied	Analytical approach
Hamm and Burstyn beryllium 2011		evaluation leading to beryllium level greater that 0.1 μ g/m ³ or $0.5 \mu g/m^3$	industry, job description, measurement being TWA, year	Poisson multiple regression with random sample effect
Henn et al. 2011	lead	percent of samples over the PEL	industry, time period, region, number of employ- ees, federal/state plan, union status, inspection type, advance notice of inspection, presence of employee representative, employees interviewed dur- ing inspection	logistic regression, response is the probability of a measurement being greater than the PEL

interesting observations comes from the work by Okun *et al*[. \(2004\),](#page-19-16) who observed that the OSHA 'health consulting' data for lead had a consistently lower probability of being over the PEL compared to the 'enforcement data', albeit by a modest margin (between 1 and 5% across years) [\(Okun](#page-19-16) *et al*. 2004). The only comparison involving IMIS and another measurement database showed overall higher formaldehyde levels in the French database COLCHIC but similar contrasts between industries ([Lavoué](#page-19-13) *et al*[. 2011\)](#page-19-13). Froines *et al*[., \(1986\)](#page-19-5), and Valiente *et al*. (1992) compared how similarly industries were prioritized by IMIS, the National Occupational Exposure Survey (NOES) [\(Boiano and Hull, 2001](#page-19-29)), and a silicosis registry in New Jersey. They observed both similarities and discrepancies in the identified priority industries, noting that NOES was more useful as a hazard identification system, while IMIS was useful to identify overexposures for agents and industries covered by OSHA compliance activities.

Finally, some studies suggested under-reporting in IMIS. Such phenomenon implies a difference between the population of situations sampled by OSHA officers and the population of results recorded in IMIS. Jones *et al*[. \(1986\)](#page-19-20) reviewed paper files from 451 inspections (covering 12 agents) performed in two OSHA offices between 1980 and 1983 and found that only half of the collected samples were recorded in IMIS. However, no systematic differences in median severity were found between the original inspection files and IMIS data. These figures may not be representative of the current IMIS database because the process of recording became centralized at the Salt Lake City laboratory after 1984 (Jones *et al*[., 1986\)](#page-19-20). In addition, the differential recording of measurements in IMIS is probably not a uniform phenomenon across OSHA offices/inspectors. For example, [Mendeloff \(1984](#page-19-19)) quoted an earlier study (not possible to access directly) that found

that the proportion of measured exposures recorded in IMIS was higher when it corresponded with issuing a citation for overexposure.

Two particular challenges in using IMIS relate to data below the LOD. First, the status of a measurement coded as a non-detect is provided in the same variable that identifies a sample as TWA or short-term measurement ('exposure type' in Table 1). This precludes users from properly handling the non-detects because one does not know whether a non-detect was a full-shift TWA with lower LOD or a short-term sample with higher LOD. A simulation of different scenarios for the distribution of non-detects across the TWA and short-term categories for formaldehyde found non-negligible impacts on the predicted exposure levels ([Lavoué](#page-19-13) *et al*., 2008). However, this characteristic would not be problematic for agents with only one type of measurement. Second, most authors reported a high percentage of non-detects in IMIS. Paraphrasing [Melville and Lippman \(2001\),](#page-19-24) it is not possible to separate 'present but not detected' results, i.e. agent was present in the workplace but at a low level, from 'not present' results, i.e. agent was absent from the workplace. [Froines](#page-19-25) *et al*. (1990) excluded not detected lead levels because zero exposure would not be a valid measure in workplaces where lead is present, thus treating them as 'not present' results. As noted by [Henneberger](#page-19-4) *et al*. (2004), multiple agents are sometimes measured on the same sample media (Appendix 1). For these agents, several results may correspond to a 'not present' situation. At one extreme, if one assumes that measurements are made only when the agent was present, non-detects should be treated as censored values from an observed exposure distribution. At the other extreme, treating non-detects as 'not present' implies there is a certain prevalence of exposure across the measured industries, and that when exposure is present, the levels are those that were detectable. As a result, one

would estimate the probability of exposure being present by using all data and subsequently use only detected values to estimate average exposure levels for the 'exposed' setting. Most treatments of nondetects in IMIS corresponded to one of the two interpretations: exclusion of non-detects [\(Freeman and](#page-19-31) [Grossman, 1995](#page-19-31); [Froines](#page-19-25) *et al*., 1990; [Gómez, 1997](#page-19-23); [Lurie and Wolfe, 2002;](#page-19-27) [Melville and Lippmann,](#page-19-24) [2001\)](#page-19-24) or inclusion of all non-detects as 'present but not detected' by replacing non-detects with values between zero and LOD (Coble *et al*[., 2001;](#page-19-26) [Tanner-](#page-20-7)[Martinez, 1997](#page-20-7); [Teschke](#page-20-8) *et al*., 1999). Compromises are also possible. For example, Lavoué *et al*[. \(2011\)](#page-19-13) predicted formaldehyde concentrations by including only one-third of the initial number of non-detects in their TOBIT models. Finally, some authors modelled the probability of a measurement being greater than a specified value above the LOD ([Hamm and](#page-19-18) [Burstyn, 2011](#page-19-18); Henn *et al*[., 2011;](#page-19-30) [Henneberger](#page-19-4) *et al*., [2004;](#page-19-4) Linch *et al*[., 1998](#page-19-3); Okun *et al*[., 2004\)](#page-19-16).

The CEHD dataset

Prior to analysing the CEHD data, the following records were removed if they were (i) irrelevant for exposure assessment (e.g. blank samples), (ii) had uninterpretable misspellings, (iii) missing information (e.g. instrument type not provided), (iv) null values when a non-null result was expected (e.g. sampling time is 0), and (v) conflicting values (e.g. labelled a non-detect but sample results is >0). We examined all unique values of categorical variables in the dataset and assigned a standardized value when probable typing errors were identified. To facilitate the widespread use of these data, we provide a detailed description of the data cleaning process and a link to an application that recreates the cleaned data from the raw XML files on the Web in an online supplement.

The online dataset contained 1 908 373 records covering the period from 1984 to 2009; included variables are described in Table 1. To clean the data, we removed 'soil', 'gravimetric determination', and 'sample weight' measurements, which we judged not useful for exposure assessment (*n*=102792). Next, we eliminated blanks (*n*=315001) and records judged erroneous (*n*=39705). The remaining 1450836 records were predominantly personal samples (78.4%), with the balance consisting of 4.3%

Table 3. Studies of IMIS exposure data having reported results related to potential biases.

Publication	Main focus	Exposure metric	Variables studied	Bias
Oudiz et al. 1983	silica exposures in foundries	% of exposures above PEL, severity	work area, type of foundry, number of employees	fraction of overexposures increasing with number of employees
Jones 1986	under reporting in IMIS	% of samples in OSHA reports ending up in IMIS	N.A.	slightly fewer than 50% of compliance data reported in IMIS, 25% of plants with compliance data do not appear in IMIS, under- reporting does not seem related to level of exposure
Froines et al. 1986a	general portrait of silica exposure	severity	industry, union status, inspection type, job description	despite between-industry differences, general trend of higher probability of being >PEL for complaint inspections, especially in unionized companies. No consistent trend for mean severity.
Stewart et al. 1990	use of IMIS for occupational epidemiologic studies	concentration	industry, job description	SIC specific measurement arithmetic mean higher for complaint inspections (median ratio of 2.4, 3 out) of ten ratios less than 1)
Froines et al. 1990	general portrait of lead exposure	median severity by inspection	industry, number of employees, union status, inspection type	odds ratio of 3 for complaint inspections versus scheduled for the probability of a median severity within an inspection to be greater than 1

area, 7.5% wipe, 8.6% bulk, and 1.1% screening samples. [Fig.](#page-11-0) 1 presents a graph of the number of measurements of all agents per year.

Of the 1082 agents, 78 agents had over 1000 personal samples. Appendix 1 presents, for these 78 agents, the sample size, percentage of non-detects, and median sample duration for the measured concentrations and time-weighted-average values, as well as the proportion of time an agent was measured as part of a panel, and the median number of agents measured on the panel when applicable.

Comparison of IMIS and the CEHD dataset

The IMIS and CEHD databases are complementary (Table 1). Specifically, IMIS provides the circumstances of measurement in the workplace but minimal sampling and analytical details. In contrast, the CEHD data provides the analytical result and associated details of the measurement. The inspection and sampling number variables were present in both datasets and a unique 'inspection number'– 'sampling number' identifier was created to link the two data sets.

For lead, the extracted data from the 1985–2009 IMIS data contained 34 225 personal records, which were reduced to 33 714 records corresponding to 9905 inspections after elimination of coding errors and duplicates. The extracted CEHD lead measurements contained 73 144 analytical results, which were reduced to 48 045 time-weighted-averages corresponding to 13 916 inspections.

When the IMIS and CEHD data were merged, 23 033 (39.2%) records were in common to both IMIS and CEHD datasets ('both-IMIS-CEHD data'), 10 681 (18.2%) records were only in IMIS ('IMIS-only data'), and 25 012 (42.6%) records were only in the CEHD database ('CEHD-only data'). The distribution differed when we stratified by type of OSHA program (Table 4). Measurements collected under State OSHA plans were much less likely to be in the both-IMIS-CEHD data (13%) than measurements collected under federal OSHA (44%).

[Fig.](#page-12-0) 2 shows the empirical cumulative distribution functions of the lead concentrations in each data set, with all values shown in [Fig.](#page-12-0) 2a and only

Fig. 1. Number of samples per year in the Chemical Exposure Health Data.

Table 4. Distribution of the presence of data records in IMIS-only, the Salt Lake City dataset, or both, according to the presence of OSHA stet plan.

	Federal plan			State plan ^a		Partial state plan ^b	
	n	$\frac{0}{0}$	n	$\frac{0}{0}$	n	$\frac{0}{0}$	
IMIS only	3083	8	5948	67	1650	13	
CEHD only	17363	47	1691	19	5958	45	
Both IMIS and CEHD	16260	44	1214	14	5559	42	
Total	36706	100	8853	100	13167	100	

a States with OSHA state plans include: AK, AZ, CA, HI, IN, IA, KY, MD, MI, MN, NM, NC, OR, PR, SC, TN, UT, VT, VA, WA, WY.

a States with partial OSHA state plans include: CT, IL, NJ, NY, VI, NV.

detected values shown in [Fig.](#page-12-0) 2b. As shown on the left-hand side of [Fig.](#page-12-0) 2a, the percentage of nondetects differed substantially among the both-IMIS-CEHD, CEHD-only, and IMIS-only datasets (42%, 71%, and 46%, respectively), causing very different empirical cumulative distribution functions. On the other hand, [Fig.](#page-12-0) 2b shows very similar empirical cumulative distribution functions when data is restricted to detected samples. Hence, the 25th percentile, median, and 75th percentile were similar among the both-IMIS-CEHD, CEHD-only and IMIS-only datasets for detected samples (25th percentile: 0.015, 0.015, 0.010; median: 0.042, 0.043, 0.039; 75th percentile: 0.150, 0.141, 0.150). Values for the both-CEHD-IMIS subset in the previous calculation data were taken from the IMIS results data; there were negligible differences when the CEHD values were used.

To illustrate the potential implications of the 'not present' versus 'not detected' issue mentioned above, we considered the IMIS-only dataset for lead (46% of non-detects). If the non-detects were primarily collected in locations where lead exposure was not present, excluding the non-detects would yield a geometric mean (GM) of $0.042 \,\mathrm{mg/m^3}$ (geometric standard deviation, GSD=9.2). If the non-detects were 'present but not detected', including the non-detects using an imputation based on regression on order statistics [\(Helsel, 2005](#page-19-32)) would yield a GM of 0.007mg/ m3 (GSD=18.5), based on a LOD of 0.00284mg/ m³ (OSHA method ID125 and the median sampling time in the CEHD lead data, 222min.)

Fig. 2. (a) Empirical cumulative distribution functions of the lead concentrations in the IMIS-only, CEHD-only, and both-IMIS-CEHD datasets. (b) Empirical cumulative distribution functions of the detected lead concentrations in the IMIS-only, CEHD-only, and both-IMIS-CEHD datasets.

Discussion

[Stewart and Rice \(1990\)](#page-20-4) were among the first to describe the potential of IMIS as a source of exposure information for exposure assessment in epidemiology. Their recommendations reflected the small number of records in IMIS at that time. After more than two decades of sampling activities by OSHA, the now over 1 million personal measurements recorded, and the recent public release of a complementary CEHD data, the present work provides a timely update to Stewart and Rice's initial portrait.

The descriptive analysis of the CEHD data showed that the majority of results corresponded to measurements in the breathing zone of workers. Close to 80 agents were associated with >1000 personal samples over the period of 1984 to 2009. This dataset currently represents one of the largest public sources of retrospective multi-industry exposure information. The freely available software accompanying this manuscript, which automatically recreates the dataset summarized in Appendix 1, should facilitate its widespread access by the researchers.

The comparison of variables in the CEHD and IMIS databanks shows that it is important to link both datasets to take full advantage of the available ancillary information. The CEHD data supplements the IMIS data with the sampling duration, analytical method, and presence of other substances on the same sampling media. However, based on the example of lead, only 40% of the data is included in both datasets. The IMISonly data may be explained by measurements analysed at other laboratories. The CEHD-only data may reflect an under-reporting of samples into IMIS, supporting previous comments by [Mendeloff \(1984\)](#page-19-19) and [Jones](#page-19-20) *et al*[. \(1986\)](#page-19-20). Moreover, the proportion of non-detects in the CEHD lead data was significantly higher than in the both-IMIS-CEHD and IMIS-only datasets, supporting the hypothesis that the IMIS under-reporting is differential: non-detects seem less likely to be recorded in IMIS than other samples. Detected values, on the other hand, had similar empirical cumulative distribution functions in the IMIS-only, CEHD-only, and both-IMIS-CEHD datasets, suggesting that differential reporting only affects non-detects. Taken alone, the value of the CEHD data for exposure assessment may appear less than that of IMIS, because of the very limited ancillary information (only industry is provided). However, the CHED dataset offers a unique opportunity to explore biases in the OSHA measurement data. The comparisons between the two databanks presented here provides preliminary insights into the strengths and limitations of both data sets, but more comprehensive analyses are required.

Most commentators agree that the IMIS data cannot be regarded by default as providing representative portrait of workplace exposure in the USA. While it is straightforward to use IMIS and the CEHD data to identify instances of overexposure, estimating average exposure conditions from these sources is challenging given the number of potential biases (i.e. selection of industries, companies, workers, high, or low exposure situations). However, many authors reported temporal trends in exposures estimated from IMIS data that were compatible with other sources of data, implying that at least extrapolation of relative time trends from these data may be reliable. The critical issue, given the paucity of exposure data in general, is whether these data are useful despite the potential for bias. Bias in IMIS has mostly been studied internally by evaluating association between reported levels and information on the circumstances associated with an inspection, such as the reason for the inspection, interview of employees, or on the company itself, such as company size or the presence of a union. To date, no bias of appreciable magnitude has been consistently reported across studies and agents. Moreover, biases linked to these variables can be adjusted for in multivariate models. Regarding the differential selection of occupations within a company, the IMIS variable 'job description', if it was standardized, would assist in addressing this bias since one would know to what occupations the measured levels are relevant. Some authors have manually recoded this variable when their dataset was restricted to few industries ([Teschke](#page-20-8) *et al*., 1999; [Hamm and Burstyn, 2011](#page-19-18)). More recently, Slutsky *et al*. developed an algorithm to automatically create standard occupations across all industries in IMIS from the text description ([Slutsky](#page-19-33) *et al*., 2011). The analysis of variables internal to IMIS, while informative, cannot evaluate adequately the relationship between exposure levels in IMIS and those occurring in US workplaces. Although tests of external validity by [Okun](#page-19-16) *et al*. [\(2004\)](#page-19-16), and Lavoué *et al*[. \(2011\)](#page-19-13) are encouraging, more external validation efforts are needed. No study has directly addressed the issue of differential underreporting of non-detects in IMIS. This phenomenon could affect both analyses of average exposure levels and the probability of a measurement being higher than some threshold and might well have hampered the discovery of biases related to the variables mentioned above. The possibility of using IMIS data in non-US settings has only be assessed in only one study [\(Lavoué](#page-19-13) *et al*. 2011). Despite this encouraging insight, transportability of IMIS should not be assumed by default without further comparison

exercises. Finally, the inability to identify repeated measurements on workers in IMIS precludes its use for formal assessment of individual overexposure as defined by [Tornero-Velez](#page-20-8) *et al*. (1997).

The interpretation of non-detects in OSHA data as 'not present' or 'present but not detected' is important given the high percentages of recorded non-detects in both the CEHD and IMIS data. These high values data suggest that reality may well lie closer to the 'not present' interpretation. However, little empirical evidence is available, and this phenomenon may well prove to be context specific rather than general. Recent advances in mixture modelling, by allowing the simultaneous estimation of prevalence of exposure and average levels when exposure is present, represent a promising avenue to address this issue, which is of particular interest for studies aiming at estimating the numbers of workers exposed above certain level (Chu *et al*[., 2008;](#page-19-34) Taylor *et al*[., 2001\)](#page-20-10).

The potential under-reporting of non-detects measured by OSHA inspectors further complicates the interpretation of IMIS exposure results. We believe important advances can be made if we better understand the mechanisms by which (i) records with nondetectable values arise (e.g. studying cases where multiple agents are assessed on the same media) and (i) data is reported to IMIS (e.g. by identifying determinants of under-reporting based on CEHD/IMIS comparisons across agents, industries, and periods).

In conclusion, the combination of the IMIS data and the CHED data from the Salt Lake City OSHA laboratory probably forms the largest source of multiindustry exposure data in North America. While they contain complementary information, the two datasets only partially overlap. The lack of empirical information about biases and the interpretation and treatment of non-detects constitute the biggest challenges to the use of OSHA measurement data for assessing exposure in the general population, in particular because of potential differential underreporting of measurements into the IMIS databank. Hence, while IMIS can in principle be used for identifying high exposure situations and assessing relative time trends, further work is needed to evaluate more comprehensively its use for estimating average exposure levels and estimating numbers of workers exposed, as well as assessing its transportability to international settings. We believe these hurdles should not deter researchers from using the IMIS/ CEHD data, especially since most sources of exposure information are plagued with similar problems. Based on our own experience with the OSHA measurement data and the presented literature review, we offer the following recommendations to future users:

- Use both the CEHD and IMIS data because they complement each other.
- Use multivariable analysis tools to account for possible associations with ancillary information.
- Account for correlation within-inspection and within-company because it may affect estimates of variability and main effects.
- Create a standardized occupation code, as occupations have often shown to be better predictors of exposure than industry, and share with scientific community at large dictionaries/algorithms that translate free-text job descriptions to standard codes.
- The generally high proportion of non-detects indicates that simple imputation methods should be avoided and methodological research to address this challenge should be encouraged as none of the methods used in the past are entirely satisfactory.
- Perform sensitivity analyses to assess the potential impact of differential under-reporting in IMIS, including separate analyses of the IMISonly, CEHD-only, and common datasets.

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Appendix 1. *continued*

Appendix 1. continued

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