

Myeloprotection by Cytidine Deaminase Gene Transfer in Antileukemic Therapy¹

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Abstract

Gene transfer of drug resistance (*CTX-R*) genes can be used to protect the hematopoietic system from the toxicity of anticancer chemotherapy and this concept recently has been proven by overexpression of a mutant *O*⁶-methylguanine-methyltransferase in the hematopoietic system of glioblastoma patients treated with temozolomide. Given its protection capacity against such relevant drugs as cytosine arabinoside (ara-C), gemcitabine, decitabine, or azacytidine and the highly hematopoiesis-specific toxicity profile of several of these agents, cytidine deaminase (CDD) represents another interesting candidate *CTX-R* gene and our group recently has established the myeloprotective capacity of *CDD* gene transfer in a number of murine transplant studies. Clinically, CDD overexpression appears particularly suited to optimize treatment strategies for acute leukemias and myelodysplasias given the efficacy of ara-C (and to a lesser degree decitabine and azacytidine) in these disease entities. This article will review the current state of the art with regard to *CDD* gene transfer and point out potential scenarios for a clinical application of this strategy. In addition, risks and potential side effects associated with this approach as well as strategies to overcome these problems will be highlighted.

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Myeloprotective Gene Therapy by Overexpression of Drug Resistance Genes in Hematopoietic Stem Cells

Given their ability to self-renew, proliferate extensively, and differentiate into all the different mature cells of the lymphohematopoietic system, hematopoietic stem cells (HSCs) have been considered a particularly promising target population since the beginning of gene therapeutic activities in the mid-1980s. The attractiveness of HSCs for gene therapy approaches is further supported by their easy accessibility by bone marrow biopsy or granulocyte-colony stimulating factor (G-CSF) mobilization and leukapheresis, as well as clearly defined procedures for their storage and transplantation. First proof of principle for HSC gene transfer in the murine system was established as early as the mid-1980s [1–3]; however, it took until the beginning of the current millennium that HSC gene therapy was transferred successfully to the clinical setting. So far, HSC gene therapy has been used in particular for the treatment of congenital diseases with manifest

Abbreviations: AML, acute myeloid leukemia; Ara-C, cytosine arabinoside; BG, *O*⁶-benzylguanine; CDD, cytidine deaminase; *CTX-R* gene, drug resistance gene; dCK, deoxycytidine kinase; DHFR, dihydrofolate reductase; HSCs, hematopoietic stem cells; LSCs, leukemic stem cells; MDR1, multidrug resistance 1; MGMT, *O*⁶-methylguanine DNA-methyltransferase; SFFV, spleen focus-forming virus

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tation in the hematopoietic system such as severe X-linked combined immunodeficiency, adenosine deaminase deficiency, chronic granulomatous disease, or more recently Wiskott-Aldrich syndrome and X-linked adrenoleukodystrophy [4,5].

Another potentially attractive strategy to put HSC gene therapy to clinical use is the protection of the lymphohematopoietic system from the side effects of anticancer chemotherapeutic drugs by (over)expression of drug resistance (*CTX-R*) genes. A number of such *CTX-R* genes have been identified, which according to their subcellular localization can roughly be classified into three groups: 1) membrane-associated pump or pore proteins, 2) cytoplasmic proteins involved in drug or prodrug metabolism, and 3) nuclear proteins associated with DNA repair. While some of these *CTX-R* genes primarily have been investigated *in vitro*, for others the potential to protect the lymphohematopoietic system from the associated cytotoxic agents has been firmly established in murine as well as large animal models (see Table 1 and for review [6–8]). Myeloprotective properties in particular have been demonstrated for mutants of the gene coding for the DNA repair protein *O*⁶-methylguanine DNA-methyltransferase (mutMGMT^{P140K}), the multidrug resistance 1 (MDR1) gene coding for the cellular efflux pump *p*-glycoprotein, mutant forms of dihydrofolate reductase (mutDHFR), and cytidine deaminase (CDD). While the antileukemic properties of mutMGMT-associated drugs are limited, in particular MDR1 or CDD represents highly interesting *CTX-R* genes in the context of acute leukemias and myelodysplasias given their myeloprotective potential in the context of anthracyclines (and to a lesser extent etoposide) or cytidine

analog therapy. Overexpression of mutDHFR, aldehyde dehydrogenase, or multidrug resistance-related protein and hypoxanthine-guanine phosphoribosyl transferase knockdown protecting from methotrexate, cyclophosphamide, or anthracyclines, and thioguanine, respectively, represent further applications of *CTX-R* genes with potential relevance in leukemia therapy.

Currently, the clinically most advanced *CTX-R* gene transfer strategy for myeloprotection applies MGMT point mutants resistant to the specific wild-type MGMT inhibitor *O*⁶-benzylguanine (BG). MutMGMT gene transfer followed by combined BG/1,3-bis(2-chloroethyl)-1-nitrosourea (BCNU) or BG/temozolomide chemotherapy has proven highly efficacious for myeloprotection as well as *in vivo* selection in murine and several large animal models [8–10]. Furthermore, a recent clinical trial has demonstrated efficient myeloprotection and *in vivo* enrichment of genetically modified cells following mutMGMT gene therapy in a cohort of glioblastoma patients demonstrating progression-free survival for more than 2 years in individual patients [11]. However, the clinical indications for mutMGMT-associated drugs such as temozolomide- or chloroethylnitrosourea-type agents are rather limited, and apart from brain tumors, these drugs are only considered standard therapy in malignant melanomas [12]. In addition, both drugs suffer from substantial nonhematopoietic toxicities and a considerable mutagenic potential [13].

MutDHFR has been investigated for myeloprotective gene transfer strategies since the late 1980s and significant protection has been shown *in vitro* as well as in animal models, and even effective *in vivo* selection

Table 1. Drug Resistance Genes and Their Potential Use in Chemotherapy.

Gene and Localization	Resistance to	Mode of Action	Level of Evidence	References
Plasma membrane				
<i>MDR1</i> * (P-glycoprotein 1)	Anthracyclines, vinca alkaloids, taxoids, etoposide	Efflux of drugs	Human xenotransplant model (human clinical trial) [†]	[17,74]
<i>Multidrug resistance-related protein</i> [‡]	Anthracyclines, vinca alkaloids, taxoids, etoposide	Efflux of drugs	Murine <i>in vivo</i> model	[75]
hENT2 nucleoside transporter	Trimetrexate, tomudex (plus NBMPR [§])	Nucleoside transporter	Murine <i>in vivo</i> model	[76]
<i>ABCG2</i> [¶]	Anthracyclines, taxoids	Efflux of drugs (side population)	Human cell lines	[77]
Cytoplasmic				
DHFR	Methotrexate, trimetrexate	Mutant form is unaffected by the drug	Large animal model	[78]
CDD	ara-C, gemcitabine	Detoxification of prodrug	Murine <i>in vivo</i> model	[39]
Aldehyde dehydrogenase	Cyclophosphamide	Detoxification of prodrug	Murine <i>in vivo</i> model	[79]
Glutathione S-transferase	Cyclophosphamide, anthracyclines	Detoxification of prodrug	Murine <i>in vivo</i> model	[80]
Thymidylate synthase	5-Fluorouracil, tomudex	Mutant form is unaffected by the drug	Murine primary cells	[81]
Ribonucleotide reductase	Hydroxyurea	Mutant form is unaffected by the drug	Murine <i>in vivo</i> model	[82,83]
Nuclear				
<i>MGMT</i> [#]	Chloroethylnitrosoureas and decarbazine derivatives (plus BG)	Removal of <i>O</i> ⁶ adducts from the DNA	Human clinical trial	[11]
<i>APN1</i> (yeast)/ <i>APE</i> (human)**	Bleomycin and ionizing radiation	Repairs AP sites, oxidative damage, and alkylation damage in DNA	Human cell lines	[84,85]
8-Oxoguanine glycosylase/Fapy-DNA glycosylase	ThioTEPA	Removal of alkylated bases in DNA	Murine primary cells/murine <i>in vivo</i> model	[86]
Superoxide dismutase	Anthracyclines and paraquat	Protection against oxidative damage	Human cell lines	[75,87]
Topoisomerase I	Camptothecin	DNA repair function	Human cell lines	[78]
Topoisomerase II	Anthracyclines and etoposide	DNA repair function	Human cell lines	[79]
<i>HPRT</i> ^{††}	6-Thioguanine	Ribosylation of purine analogs	Murine <i>in vivo</i> model	[88]

*Multidrug resistance gene.

[†]Failed due to technical problems.

[‡]Multidrug resistance-related protein coding gene.

[§]Nitrobenzylmercaptopyrimidine riboside.

[¶]ATP-binding cassette subfamily G member 2 (also known as breast cancer resistance protein).

[#]*O*⁶-Methylguanine DNA-methyltransferase.

**Apurinic/aprimidinic endonuclease.

^{††}Hypoxanthine-guanine phosphoribosyl transferase.

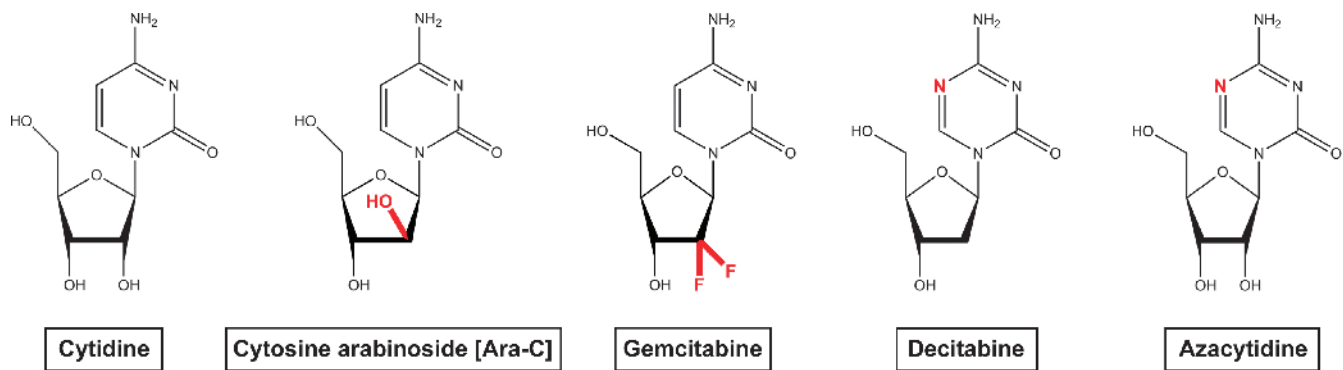


Figure 1. Cytidine analog-type cytotoxic drugs for the treatment of AML. Chemical structure of cytidine and its cytotoxic drug analogs ara-C, gemcitabine, decitabine, and azacytidine.

of mutDHFR-transduced cells has been achieved in the murine model [14]. However, mutDHFR-induced myeloprotection is restricted to the small group of antifolate cytotoxic drugs such as methotrexate or trimetrexate. Although these agents induce considerable lymphotoxicity and are routinely administered for immunosuppression in a large number of diseases, generalized myelotoxicity rarely is encountered as the dose-limiting toxicity of folate antagonists, thus questioning the clinical relevance of mutDHFR for myeloprotection at least when used on its own.

MDR1, however, confers resistance against a wide variety of clinically highly relevant chemotherapeutic agents such as anthracyclines, epipodophyllotoxins, taxoids, or vinca alkaloids, of which at least the first three groups are associated with profound and frequently dose-limiting myelosuppression. Significant protection from the toxicity of several of these agents upon MDR1 overexpression has been demonstrated for murine as well as human hematopoietic cells *in vitro* and in animal models [15,16], although effective transgenic MDR1 expression in clinical studies has been problematic [17,18]. These studies were performed more than a decade ago, however, and in the choice of γ -retroviral vectors as well as transduction protocols clearly do not represent the current state of the art of HSC gene transfer technology. Thus, at present the clinical potential of MDR1 in myeloprotective gene therapy strategies remains poorly defined.

CDD Gene Transfer and Protection from Myelosuppression Induced by Ara-C and Other Cytidine Analogs

CDD (EC 3.5.4.5) at the moment probably represents the most relevant *CTX-R* gene for myeloprotection in the context of acute leukemia or myelodysplasia therapy. CDD is an enzyme involved in the nucleotide salvage pathway and catalyzes the deamination of cytidine and deoxycytidine to uridine and deoxyuridine, respectively, and protects cells from the clinically highly relevant cytotoxic cytidine analogs cytosine arabinoside (1- β -D-arabinofuranosylcytosine, ara-C), gemcitabine (2',2'-difluorodeoxycytidine), decitabine (5-aza-2-deoxycytidine), and azacytidine (5-azacytidine; see Figure 1). These nucleoside analogs constitute prodrugs that after entry into the cell and phosphorylation to the triphosphate state by the nucleotide salvage pathway exert their specific cytotoxic activities during or after incorporation into the DNA where they interfere with DNA strand elongation, replication, or repair processes [19] (see Figure 2). In particular, ara-C has been used clinically for extended time periods and has established itself as the most

effective single agent in the treatment of acute myeloid leukemias (AMLs). Importantly, ara-C has a predominantly hematopoietic toxicity profile and, when given at low to intermediate doses, hematotoxicity represents the most frequent side effect described. This toxicity profile may be related to the low CDD expression levels observed in hematopoietic progenitor/stem cells [20], while mature myeloid cells and in particular granulocytes represent the cells with the highest endogenous CDD expression within the hematopoietic system [21]. The notion of CDD as a resistance factor to ara-C goes back to 1971, when Steuart and colleagues described high levels of CDD in the leukemic blasts of AML patients relapsing after ara-C treatment [22]. This correlation between CDD activity in AML blasts and clinical response to ara-C therapy has been confirmed by several groups, and in particular, pretreatment CDD activity has been described to predict therapeutic outcome [21]. These data meanwhile have triggered a whole series of studies aiming at CDD overexpression for myeloprotection in the context of cytidine analog application (overview given in Table 2).

Early Cell Line and Murine In Vitro Studies on CDD-Mediated Drug Resistance

The first studies to describe a protective effect of CDD (over)expression on cytidine analog-induced cytotoxicity used murine fibroblast cell lines transfected with the cDNA of human (h)CDD and were reported in 1996 [20,23]. While these studies varied considerably with regard to the degree of CDD overexpression (50- to 2.5-fold) and ara-C resistance (100- to 3.0-fold), they clearly established a gene dose-dependent cytoprotective effect of transgenic CDD overexpression without obvious transgene-related toxicity. These observations were followed by studies employing γ -retroviral gene transfer technology to express hCDD in 3T3 fibroblasts, hematopoietic CCRF-CEM cells, as well as primary murine bone marrow cells [24,25]. While constitutive overexpression of hCDD resulted in a 2- to 10-fold increased ara-C resistance in CCRF-CEM or 3T3 cells and CDD was confirmed as the functional basis for the observed ara-C resistance by complete reversibility of the effect by the CDD-specific, competitive inhibitor tetrahydrouridine, an approximately 1,000-fold increased ara-C resistance was described in bone marrow-derived primary murine clonogenic progenitor cells [24,25]. CDD-mediated ara-C resistance was further confirmed by a number of *in vitro* studies all applying γ -retroviral gene transfer technology to transduce fibroblasts, hematopoietic cell lines, and primary murine hematopoietic cells. These studies also extended CDD-mediated drug resistance to other cytidine analog-type cytotoxic

drugs, such as gemcitabine or azacytidine [26–29], and established *in vitro* selection of CDD-modified cells with up to 99% enrichment of transgene-positive cells by ara-C, gemcitabine, or azacytidine exposure [26,30]. However, protection conferred by CDD overexpression in these studies varied considerably, most likely due to substantial differences in endogenous CDD expression levels in different target tissues and/or suboptimal gene transfer rates.

CDD-Mediated Drug Resistance in Human In Vitro Models

For clinical application of CDD-mediated myeloprotection, stable CDD overexpression in human hematopoietic progenitor/stem cells clearly represents a crucial prerequisite. However, efficient gene transfer

into primitive hematopoietic cells traditionally has been much harder to achieve in the human compared to the murine system and it took some time until the hurdles responsible for this discrepancy such as insufficient quality and purity of starting populations or low transduction efficiency due to insufficient transduction protocols for human HSCs could be overcome by meticulous studies performed *in vitro* as well as in murine, canine, and nonhuman primate models. Consequently, optimized transduction strategies nowadays apply specific cytokine combinations, fibronectin (retronectin) coculture, or vector particles pseudotyped with suitable envelope proteins [31–35]. Thus, it took until 2005 to establish clear evidence for the protection of primary human hematopoietic cells from cytidine analog-induced toxicity by CDD gene transfer. Transduction of umbilical cord- or peripheral

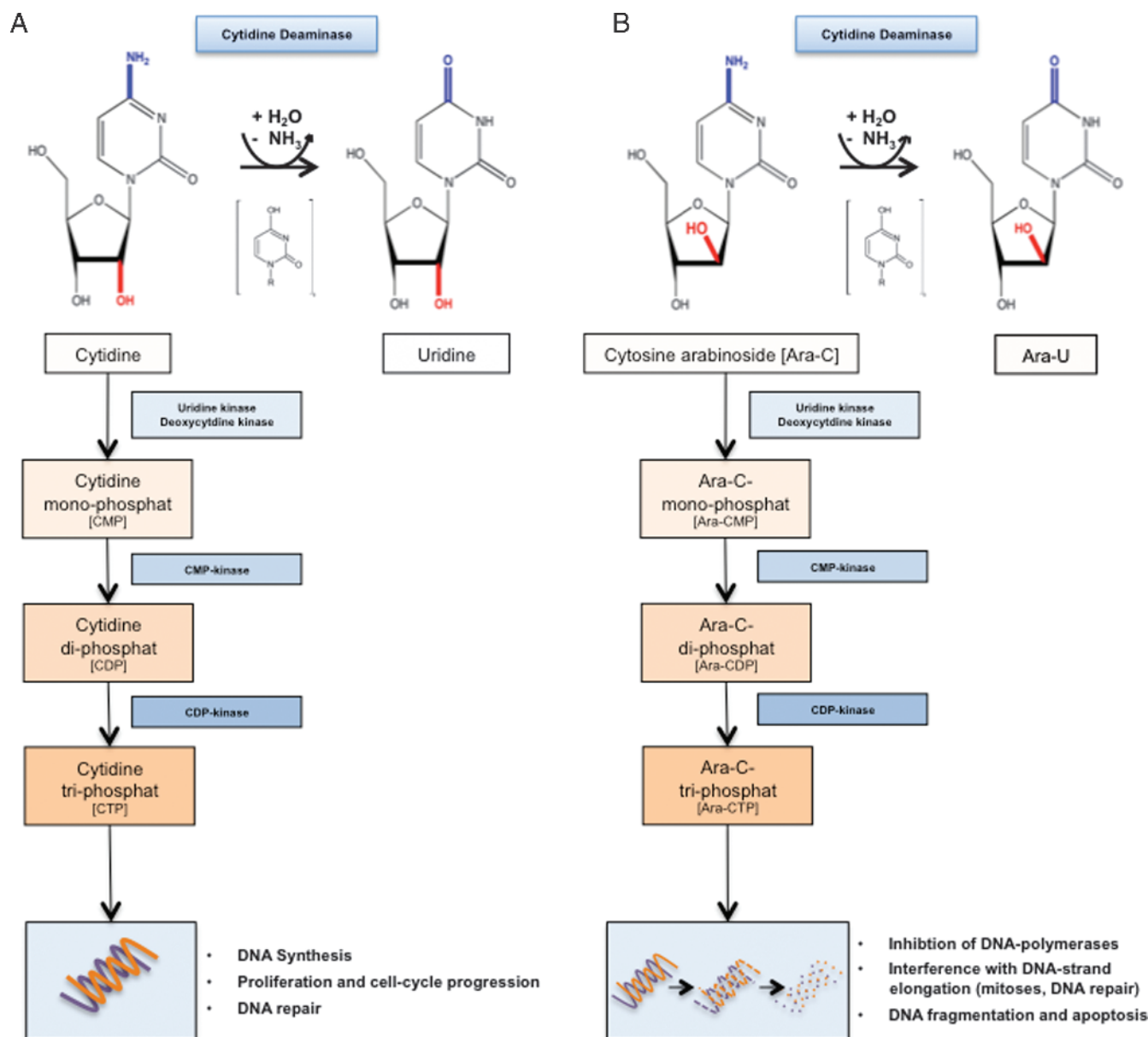


Figure 2. Metabolism of cytidine and cytidine analog-type agents. (A) Deamination of cytidine to uridine by CDD as an alternative to phosphorylation of the molecule by uridine kinase and, more importantly, deoxycytidine kinase to CMP as a first step in the nucleotide salvage pathway. Subsequently, the CMP and cytidine di-phosphate (CDP) kinases phosphorylate CMP to its active counterpart cytidine triphosphate to be incorporated into the DNA. (B) Similarly, cytidine analogs such as ara-C either get inactivated by the CDD to Ara-U or are phosphorylated to their active triphosphate form, which upon integration into the DNA exert their various cytotoxic functions.

Table 2. Overview of CDD in Myeloprotective Gene Therapy.

Model	Cell Type	Gene Expression Systems	Year	References		
<i>In vitro</i>	Cell lines	3T3 fibroblast	Retroviral	Constitutive	1996, 1998	[24,25,28]
		CCRF-CEM (hematopoietic)	Retroviral	Constitutive	1996	[24]
		Various	Plasmid (cDNA)	Constitutive	1996	[20]
		Fibroblasts	Plasmid (cDNA)	Constitutive	1996	[23]
		NIH 3T3 fibroblast ^{1,2}	Retroviral	Constitutive	1998 to 2000	[42,43,45]
		WEHI-3 (hematopoietic)	Retroviral	Constitutive	1999	[29]
		L1210 (hematopoietic)	Retroviral	Constitutive	2001	[26]
		Human lung carcinoma cells	Retroviral	Constitutive	2002	[30]
		32D (hematopoietic)	Lentiviral (third generation)	Inducible	2012	[39]
	Primary mouse	Bone marrow cells (BMCs)	Retroviral	Constitutive	1996 to 2001	[25,26,29]
		BMCs ¹	Retroviral	Constitutive	1998 to 1999	[42,43]
		Bone marrow stromal cells	Retroviral	Constitutive	2002	[30]
		HSCs	Lentiviral (third generation)	Inducible	2012	[39]
Primary human	Cord blood- and peripheral blood-derived progenitor cells	Retroviral	Constitutive	2005	[36]	
<i>In vivo</i>	Primary mouse	Hematopoietic BMCs	Retroviral	Constitutive	1998	[27]
		BMCs ¹	Retroviral	Constitutive	2004	[44]
		HSCs	Retroviral	Constitutive	2006, 2012	[37,38]
		HSCs	Lentiviral (third generation)	Inducible	2012	[39]

^{1,2}Combinations with other *CTX-R* genes: ¹mutDHFR, ²glutathione *S*-transferase A3.

blood-derived CD34⁺ stem/progenitor cells with a spleen focus-forming virus (SFFV)-based γ -retroviral vector harboring the hCDD-cDNA resulted in pronounced CDD overexpression and a significantly increased resistance of transduced progenitor cells to ara-C and gemcitabine as determined by the effect on the clonogenic growth of progenitor cells differentiated along the erythroid or myeloid lineage in methylcellulose-based assays. Furthermore, significant *in vitro* selection of CDD-transduced primary human clonogenic progenitor cells was observed upon culture of the cells in the presence of ara-C for 4 days [36].

In Vivo Efficacy of CDD Gene Transfer in Murine Bone Marrow Transplant Models

While experiments performed in the late 1980s only demonstrated moderately increased ara-C resistance in clonogenic progenitors harvested from the bone marrow of animals transplanted with CDD-transduced HSCs and no convincing evidence of *in vivo* myeloprotection was obtained [27], the technical shortcomings associated with these early studies meanwhile have been overcome. In a more recent study, not only stable and long-term expression of CDD in the hematopoietic system of recipients of CDD-transduced bone marrow cells has been achieved but constitutive overexpression of CDD in the murine lymphohematopoietic system was also demonstrated to confer significant myeloprotection in the context of ara-C therapy. Following short-term high-dose [500 mg/kg, days 1–4; intraperitoneal (i.p.)] ara-C application, granulocyte nadirs of $2.9 \pm 0.6/\text{nl}$ versus $0.7 \pm 0.1/\text{nl}$ and thrombocyte nadirs of $509 \pm 147/\text{nl}$ versus $80 \pm 9/\text{nl}$ for the CDD versus the control group were demonstrated [37], and similar differences were observed with prolonged low-dose (60 mg/kg, days 1–10; i.p.) treatment [38] (see Figure 3). Moreover, animals overexpressing CDD in their hematopoietic system were also protected from otherwise lethal gemcitabine doses and a 24- to 149-fold increased cytoplasmic CDD activity was observed in bone marrow and spleen cells of primary recipients [37]. While these data reflect the excellent expression levels achievable with SFFV/murine embryonic stem cell virus (MESV)-based vectors in hematopoietic cells, these very high levels may also have contributed to the transgene-related toxicities observed in these studies (see below). Significant *in vivo*

myeloprotection was also demonstrated for tet-regulated overexpression of CDD [39], an approach that may be suited to circumvent CDD-induced transgene toxicity (see below).

Interestingly, despite the profound myeloprotective effects observed and in clear contrast to the data obtained from *in vitro* systems, no long-term *in vivo* selection of CDD-overexpressing cells was observed in these studies [37,38]. This suggests that the myeloprotective effect exerted by CDD is achieved primarily on the level of progenitor or more mature cells rather than stem cells. Indeed, relatively moderate stem cell toxicity of ara-C has been described [40], an observation readily explained by the relative quiescence of repopulating HSCs and the S-phase-specific activity of ara-C. Such a “stem cell-sparing” activity of ara-C is also suggested by its clinical toxicity profile. Here, after high-dose ara-C application (3 g/m^2 , six to eight doses), a profound and long-lasting myelosuppression nearly inevitably is followed by a complete hematopoietic reconstitution. However, repetitive low to intermediate-dose ara-C application has been reported to increase HSC cycling and thus ara-C susceptibility of HSCs [41]. Transfer of this strategy to a murine CDD gene transfer/HSC transplant model resulted in significant *in vivo* selection with an up to six-fold increase of CDD-transduced cells in the peripheral blood, when 30 to 60 mg/kg ara-C was administered repetitively for 10 to 20 days i.p. However, this effect was only transient, suggesting selection on the level of early progenitor cells rather than true HSCs [38].

Studies on Combined Gene Transfer of CDD and Other *CTX-R* Genes

Given the fact that modern anticancer chemotherapeutic regimen nearly inevitably combine a number of cytotoxic drugs to reduce agent-specific toxicities and delay the emergence of therapy-resistant tumor cells, simultaneous (over)expression of *CTX-R* genes appears as a suitable strategy to respond to this situation. With respect to CDD in particular, combinations with mutDHFR have been investigated using moloney murine leukemia virus (MMLV)-based γ -retroviral backbones to express a mutDHFR/CDD fusion protein. In these studies, combined mutDHFR and CDD expression resulted in significant protection against methotrexate as well as ara-C toxicity [42,43] and successful treatment of human lymphoma cells has been reported in a

“humanized” murine xenotransplant model applying CDD/mutDHFR co-transduced murine bone marrow cells to protect the hematopoietic system from combined ara-C plus methotrexate chemotherapy [44]. CDD overexpression has also been combined with expression of glutathione *S*-transferase A3 to protect from combined nitrogen mustard/ara-C treatment, although this concept never exceeded the phase of *in vitro* cell line studies [45].

Predictions from Preclinical Studies for Clinical Application Scenarios

In general, *in vitro* studies employing cell lines or murine clonogenic progenitor growth have demonstrated CDD-mediated ara-C resistance at concentrations of 10 to 500 nM [29]. The only exception was an early study reporting resistance to ara-C doses of up to 50 μ M [25], but also these authors later describe resistance only in the aforementioned dose range [27]. CDD-mediated resistance to ara-C doses ranging from 60 to 500 nM was also observed for primary human clonogenic cells [36]. These similarities come to no surprise, as for both human and murine progenitor/stem cells low CDD activity has been demonstrated [20], and in both species, the hematopoietic system represents a critical target organ for ara-C and cytidine analog toxicity. These data strongly implies that in the clinical situation protection of hematopoietic cells from conventionally dosed ara-C should be possible. Ara-C conventionally is administered at doses of 100 to 200 mg/m² given consecutively for 3 to 7 days, and when delivered as continuous infusion, this result in steady-state plasma concentrations of 100 to 1200 nM. Currently, the most reliable parameter to predict ara-C cytotoxicity,

within certain limits, is the product of exposure time and drug concentration. This product was clearly exceeded in studies demonstrating myeloprotection in clonogenic assays applying ara-C doses of up to 500 nM (and in our recent studies with advanced lentiviral vectors even up to 600 nM; own unpublished data) for 10 to 14 days. Furthermore, the data from *in vivo* transplant studies [37] suggests that protection in the clinical situation should also be possible from high-dose ara-C application (3 g/m² i.v., six to eight doses at 12-hour intervals). For the standard patient (75 kg, 1.75-m² surface area), this schedule results in a total dose of approximately 0.6 g ara-C/kg body weight administered over a 4-day period, while in the murine transplant studies protection from up to 2 g/kg ara-C was demonstrated. These calculations should be addressed with some caution, however, as different application schedules (1 \times daily i.p. in the murine model *versus* 2 \times daily i.v. in the clinical situation) have to be taken into account.

Clinical Application of CDD Gene Therapy

Application of CDD Gene Transfer in the Treatment of Acute Leukemias and Myelodysplasias

Clearly, treatment of high-risk disease states in a post-autologous/allogeneic HSC transplantation setting represents the most suitable clinical scenario for the initial application of CDD gene therapy in these disease entities. In this situation, effective therapies to decrease post-HSC relapse are clearly needed [46]; however, dose-intensive consolidation or maintenance therapy with ara-C, azacitidine, or decitabine frequently is associated with severe myelosuppression leading to

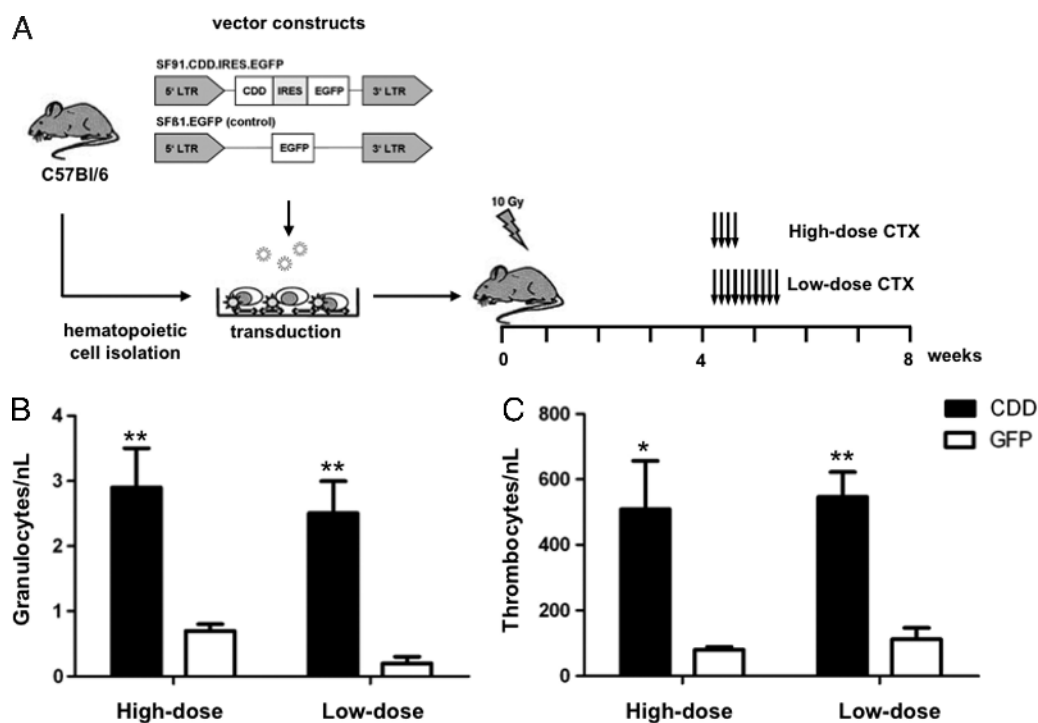


Figure 3. Myeloprotection by CDD gene transfer in an *in vivo* murine transplant model. (A) Schematic overview of the gene transfer model, vector constructs, and chemotherapy application schedule used. Nadir levels of (B) peripheral blood granulocyte and (C) thrombocyte counts (mean \pm SEM; $n = 5$) following high-dose (4 \times 500 mg/kg, i.p., days 1–4) or prolonged low-dose (10 \times 60 mg/kg, i.p., days 1–10) ara-C treatment are given. * $P < .05$ and ** $P < .01$ denote significant differences by Student's *t* test (data compiled from Rattmann et al. [37] and Brenning et al. [38]); CDD: cytidine deaminase; IRES: internal ribosomal entry site; LTR: long terminal repeat; EGFP: enhanced green fluorescent protein).

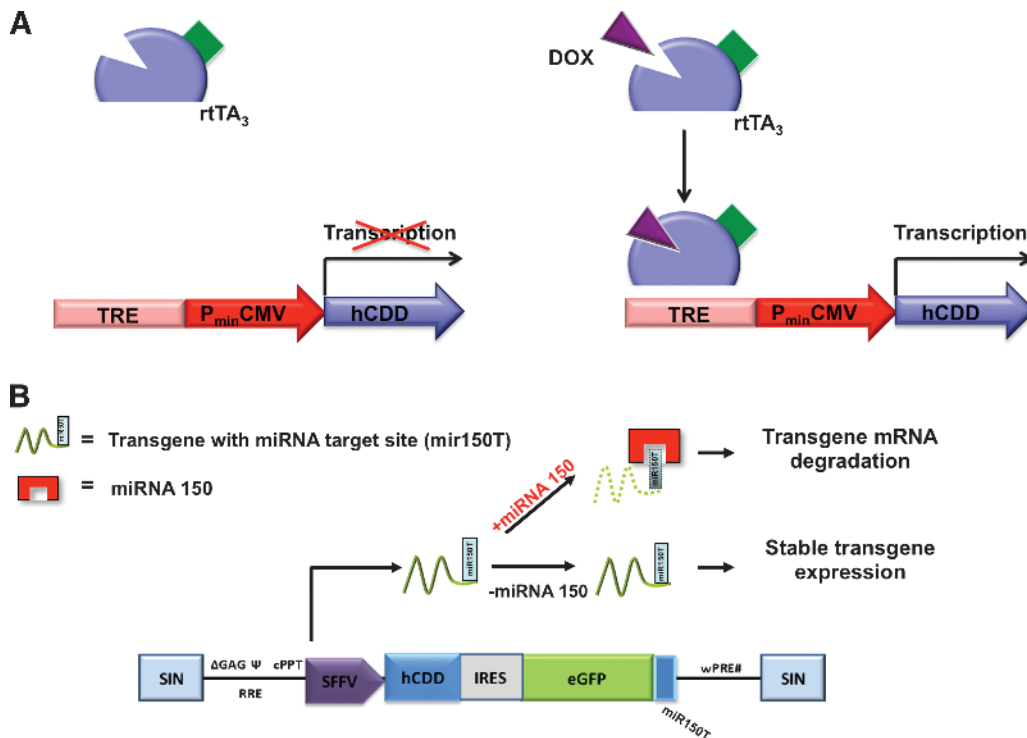


Figure 4. Regulation of transgene expression by doxycycline-induced (Tet-on) and miRNA-mediated expression systems. (A) The doxycycline (dox; also known as tetracycline, Tet)-controlled reverse transactivator protein (rTA) represents a Tet-repressor protein fused to three minimal VP16 activation domains of the herpes simplex virus. In the absence of dox, rTA binds to the Tet-responsive element (TRE) and activates transgene transcription from the cytomegalovirus (CMV) minimal promoter (P_{min}CMV). (B) Optimized self-inactivating (SIN) lentiviral vector backbone for cell type-specific transgene (*hCDD*) expression. The vector carries specific miRNA target sites (here for miRNA-150) fused to the transgenic cDNA. Transgene expression is suppressed in cells expressing the corresponding miRNA, as the miRNA will bind to the respective target site (here mir150T) and lead to transgene mRNA degradation. In cells not expressing the miRNA, stable transgene expression is maintained.

dose adjustments and consecutively inferior therapeutic results. This may be prevented by overexpression of CDD either alone or in combination with other *CTX-R* genes before cytidine analog therapy. As especially for patients with complex aberrant karyotypes, early allogeneic HSC appears to be beneficial [47]. *CTX-R* gene transfer could be incorporated into relatively early phases of treatment for these high-risk patients to decrease side effects and thereby potentially increase dose intensity and outcome of subsequent cytidine analog chemotherapy. In addition to ara-C, there currently is an increasing interest to evaluate also azacytidine in the post-allo HSC transplantation situation for relapsed disease. While azacytidine exerts considerable activity in this situation, again cytopenias giving rise to bleeding and infections represent the main side effects [47–49]. Furthermore, CDD gene transfer in the context of HSC transplantation following reduced intensity conditioning (RIC) may be an option for elderly or less fit patients to receive adequate chemotherapy doses. In this situation, the reduction of hematotoxic side effects may lead to increased tolerability and subsequently better treatment compliance, which otherwise is a significant problem in this patient population [50]. Refractory or relapsing disease in acute leukemias has been associated with the survival of leukemic stem cells (LSCs) [51,52] as defined by the xenotransplantation model [53,54]. However, the activity of ara-C in leukemia stem cells has been questioned [55]. As this may be related to the relative quiescent state of LSCs in the “LSC-niche” [56,57], cell cycle-promoting application schedules of ara-C such as prolonged low-dose treatment or combi-

nations with cycle-inducing cytokines such as G-CSF or interferons [58,59] may be preferred in this context.

CTX-R Gene Combinations

As stated above, modern *CTX* regimen usually combine cytotoxic agents to improve efficacy and delay therapy resistance. This may be counteracted by the combined use of *CTX-R* genes, an approach clearly feasible with current gene transfer vector technology [60]. Considering the dominant role of cytidine analogs in AML and high-risk myelodysplasia therapy and the fact that ara-C/anthracycline combinations such as the classical “3 + 7” combinations, TAD9, HAM, or Flac-Ida [61] still represent a cornerstone of first- and second-line anti-AML chemotherapies and are associated with a profound and long-lasting myelosuppression considerably contributing to therapy-related morbidity and occasionally even mortality, MDR1 appears as a natural combination partner for CDD gene therapy in these disease entities.

In addition, given the success in a recent phase I study in glioblastoma patients [11], mutMGMT represents another potential combination partner for CDD gene transfer. This will allow not only for myeloprotection from *O*⁶-alkylating agents but also for effective *in vivo* selection of drug-resistant HSCs. Though diseases responsive to ara-C as well as chloroethylnitrosoureas or triazene derivatives are quite rare, one context to exploit the CDD/MGMT combination (with a potential add-on of MDR1) may be salvage therapy of aggressive lymphomas based

on the dexamethasone, BCNU, etoposide, ara-C, melphalan regimen. Combinations with mutDHFR (see above) may be considered for the treatment of highly aggressive lymphoid malignancies such as B cell acute lymphocytic leukemias or B-lymphoblastic Non-Hodgkin lymphomas, which are routinely treated with combination regimen including high-dose methotrexate application.

Potential Side Effects of CDD Gene Therapy and Strategies to Overcome These

Insertional Mutagenesis

Insertional mutagenesis has been described in several HSC gene therapy studies and clearly represents a major concern for all approaches using integrating vector systems. This carries a particular relevance for CDD gene transfer applications as in this setting insertional mutagenesis may give rise to drug-resistant leukemic cells. In clinical studies, insertional mutagenesis has been observed specifically for γ -retroviral constructs expressing the therapeutic gene directly from the strong viral promoter/enhancer sequences situated in the U3 region of the long terminal repeat (LTR). In this context, insertion of the vector upstream of a cellular gene has been observed to lead to an up-regulation of cellular (onco)genes such as *LMO2* or *EVI1* mediated by the viral enhancer [62]. Significant reduction of the mutagenic risk can be achieved by the use of improved γ -retroviral or lentiviral vector design such as self-inactivating constructs harboring inactivating deletions in their 3'LTR U3 promoter/enhancer region and the use of internal promoters derived from housekeeping genes [63]. Our group currently investigates the potential of such safety-improved constructs in the context of CDD gene transfer. However, given the risk of generating CDD-overexpressing leukemic cells, incorporation of inducible suicide genes such as *herpes simplex virus thymidine kinase* [64] or a genetically engineered variant of *caspase 9* (i-caspase) [65] into the gene transfer vectors should be considered as a fail-safe mechanism.

Inadvertent Transduction of Leukemic Cells

As CDD-associated drug resistance primarily is observed for agents that exert their therapeutic activity in acute leukemias and other hematological malignancies, inadvertent transduction of leukemic cells present in the cell preparation used for the *ex vivo* genetic modification procedure constitutes another potential problem of CDD gene transfer. Thus, at present, it appears preferable to use this strategy in combination with an allogeneic bone marrow transplant approach, as it is state of the art for high-risk acute myeloid or lymphoid leukemias and myelodysplasias [66]. If CDD gene transfer is applied in an autologous setting, again suicide genes may be considered as a fail-safe mechanism.

Cell Type-Specific Toxicities with High CDD Expression Levels

Another problem encountered in some studies on CDD gene transfer [37] was a lymphotoxic effect associated with high constitutive CDD expression levels. A potential mechanism for this lymphotoxicity has been suggested by a recent analysis of mice devoid of deoxycytidine kinase (dCK) activity, which in the nucleotide salvage pathway represents the physiologic "counter-enzyme" of CDD, as both, increased CDD but also reduced dCK activity, directly result in decreased cytidine monophosphate (CMP) levels. Mice deficient in dCK have a block in intrathymic T as well as early B cell development, indicating a critical role of the nucleotide salvage pathway during early T and B cell development when T cell receptor or VDJ recombination is followed by

massive cellular proliferation and clonal expansion [67]. Furthermore, a mild to moderate myelotoxicity of CDD overexpression has been described as most probably related to the negative feedback loop instituted by the release of high levels of CDD by mature human granulocytes, which in turn inhibits the differentiation and proliferation of granulocyte-macrophage colony-forming cells and thereby regulates late steps of myeloid differentiation [21,37]. While cell type-specific toxicities may be ameliorated by CDD expression from suitable internal promoters such as the truncated human elongation factor 1a or the SFFV promoter (personal unpublished observation), advanced regulated gene expression systems allowing for inducible (doxycycline-regulated) or cell type-specific [microRNA (miRNA)-regulated] expression of the CDD transgene represent another approach to address this problem. Powerful transcriptionally regulated systems controlled by the application of doxycycline (also known as tetracycline, Dox) and allowing for the rapid induction and switch-off of transgene expression in a tightly controlled fashion have been developed over the last decade (Figure 4A) [68,69]. An area that appears particularly suited for the use of this system is the transfer of *CTX-R* genes for myeloprotection, as here transgene expression only is required for the relatively short periods of cytotoxic drug administration. Thus, toxic effects associated with prolonged constitutive transgene expression may be prevented and doxycycline-regulated expression systems already have been demonstrated to allow for significant CDD overexpression in the absence of lymphotoxic side effects [39]. In addition, endogenous miRNAs can be used to target transgenic mRNAs and achieve cell type-specific transgene expression [70,71]. With this approach, miRNA target sites corresponding to a specific miRNA are added to the transgene-cDNA rendering the respective mRNA susceptible to miRNA-mediated degradation selectively in cells that express this miRNA (Figure 4B). In the context of CDD-induced lymphotoxicity, miRNA-150, which is predominantly expressed during the late stages of T and B lymphoid development, may be targeted [72]. However, as the studies in dCK-deficient mice indicate relatively early stages of T and B lymphopoiesis as a major target of CDD toxicity, also alternative miRNAs, specifically expressed at these earlier differentiation stages such as miRNA-181, may be exploited [73].

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