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Brain Networks Underlying Episodic Memory Retrieval

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Abstract

The importance of the medial temporal lobe to episodic memory has been recognized for decades. Recent human fMRI findings have begun to delineate the functional roles of different MTL regions, most notably the hippocampus, in the retrieval of episodic memories. Importantly, these studies have also identified a network of cortical regions – each interconnected with the MTL – that are also consistently engaged during successful episodic retrieval. Along with the MTL these regions appear to constitute a content-independent network that acts in concert with cortical regions representing the contents of retrieval to support consciously accessible representations of prior experiences.

Introduction

Episodic memory – consciously accessible memory for unique events – allows us to represent past experiences and to flexibly employ these representations in service of current and future goals [1]. The present review focuses on recent human fMRI findings relevant to the functional neuroanatomy of successful episodic memory retrieval. The majority of the reviewed studies took as their starting point a ‘dual-process’ model of memory [2, 3]. These models posit that a retrieval cue (such as a recognition memory test item) can elicit two qualitatively distinct kinds of mnemonic information: a multi-dimensional *recollection signal* that provides information about qualitative aspects of a prior event, including its context, and a scalar *familiarity signal* that can support simple judgments of prior occurrence. From this perspective, identifying the neural bases of episodic retrieval requires experimental designs that permit recollection- and familiarity-driven memory to be dissociated (Box 1). Current evidence suggests that the distinction between recollection and familiarity holds both within the MTL and at the level of the cerebral cortex, where a network of regions that appears to be preferentially engaged during successful recollection can be identified.

Box 1

Dissociating the Neural Correlates of Recollection and Familiarity

To identify neural activity selectively associated with successful recollection it is necessary to employ memory tests that allow the activity to be distinguished from the neural correlates of other forms of memory, most notably, familiarity (see text). Two

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variants of recognition memory tests have frequently been employed in efforts to dissociate recollection and familiarity. In the ‘Remember/Know’ procedure subjects report whether recognition of a test item is accompanied (Remember) or unaccompanied (Know) by retrieval of one or more contextual details about the study presentation. It is assumed that items endorsed as Remembered were both recollected and familiar, whereas items endorsed Know were recognized on the basis of familiarity alone. Thus, by contrasting the fMRI activity elicited by these two classes of item the neural correlates of recollection can be identified. More complex versions of the procedure have required subjects to respond differentially depending on the number of details recollected [e.g., 57], or to rate unrecollected items on a confidence (definitely old’ to ‘definitely new) or familiarity scale (highly familiar to highly unfamiliar), allowing items to be segregated by the strength of the underlying familiarity signal [5, 55]. A second popular procedure for identifying recollected items requires an explicit judgment to be made about a specific contextual feature of the study episode (a ‘source memory’ judgment), for example, whether a test word was studied in a red or a green font. It is assumed that retrieval of source information signifies successful recollection. Failure to retrieve a source feature does not, however, necessarily mean that recollection failed, as it is difficult to discount the possibility that recollection occurred but did not include information diagnostic of the source judgment (‘non-criterial recollection’).

Memory signals within the MTL

The MTL - the hippocampus and surrounding perirhinal, entorhinal and parahippocampal cortices - has long been recognized as a key brain area supporting episodic memory. Reminiscent of electrophysiological findings in primates [4], fMRI studies have reported that perirhinal activity covaries inversely with the familiarity of recognition memory test items [e.g., 5]. These fMRI results are consistent with evidence from animal lesion studies [6] and a human single-case study [7] that suggest a pre-eminent role for perirhinal cortex in familiarity-based recognition.

Perirhinal cortex is not, however, the only MTL region to demonstrate activity reductions for familiar recognition memory items, with several studies reporting similar findings for the hippocampus, in some cases seemingly in the same hippocampal regions that also manifested recollection-related enhancement (see below) [8]. Hippocampal ‘novelty effects’ have usually been interpreted as reflecting a bias toward the encoding of novel information [9] rather than as a familiarity signal. In keeping with the idea that perirhinal cortex plays the more important role in familiarity-driven recognition, a recent study [10••] reported that, as indexed by both fMRI and local field potentials, perirhinal activity differentiated familiar and novel test items at an earlier latency than did hippocampal activity.

Relative to test items judged to be familiar, but for which recollection seemingly failed, successful recollection is associated with enhancement of fMRI activity in the hippocampus and parahippocampal cortex [11]. These findings converge with some [e.g., 12], but by no means all [e.g., 13], human lesion studies to suggest a selective role for the hippocampus in memory for qualitative information. It has been reported that fMRI hippocampal recollection effects are sensitive not to whether a test item elicits a subjective sense of recollection, but to the amount of contextual information retrieved about the study episode [14•; see Figure 1].

Recollection-related activity in parahippocampal cortex has been interpreted in light of proposals that it has a central role in the representation of contextual information [15, see below], retrieval of which is a defining feature of successful recollection. Whereas the information represented in parahippocampal cortex was initially conceived of as

predominantly spatial, it has been argued that the region may also represent non-spatial contextual information [16]. It has been proposed that the hippocampus acts in concert with the parahippocampal and perirhinal cortices to support recollection, the hippocampus ‘binding’ contextual information from the parahippocampal cortex with object information from the perirhinal cortex to form an integrated episodic representation [15, 17]. Consistent with this proposal, it was recently reported that hippocampal-perirhinal connectivity is greater during successful than unsuccessful source memory judgments [10••]. In another study, successful recall and recognition were accompanied by enhanced connectivity between the hippocampus and both perirhinal and parahippocampal cortices [18]. Interestingly, the connectivity analyses suggested that the directions of inter-regional influence differed between the two types of test, perirhinal cortex modulating the hippocampus during recognition, but being modulated by the hippocampus during recall.

The view that fMRI findings indicate a selective role for the hippocampus in recollection has been challenged [19]. According to this alternative proposal retrieval-related hippocampal activity covaries with memory ‘strength’ – indexed by the accuracy and confidence of simple recognition judgments – regardless of whether memory is based on recollection, familiarity, or a mixture of the two signals. Findings consistent with this proposal were reported in two recent studies [20, 21•]. The strategy in each case was to contrast the hippocampal activity elicited by recollected test items with activity elicited by items for which recollection failed but which were equated for memory strength. In both studies hippocampal activity elicited by the two classes of item was of comparable magnitude, and exceeded the activity elicited by studied items misidentified as new (misses). In one of these studies [20] recollection was operationalized by accurate source memory judgments, leaving open the possibility that items designated as unrecollected were associated with recollection of ‘non-criterial’ details of the study episode (Box 1). This criticism does not apply to the second study [21•], in which items matched for strength were contrasted according to whether they were given a ‘Remember’ or a ‘Know’ judgment (Box 1). Two other studies conducted along similar lines reported different findings, however, in that items endorsed as recollected elicited greater hippocampal (and parahippocampal) activity than items matched for memory strength but endorsed as ‘strongly familiar’ [17, 22]. In another study [23], recollection was indexed by the accurate discrimination of word pairs according to whether the constituent words had been studied on the same or on different study trials (an associative recognition test). Hippocampal activity was greater when elicited by recollected than by unrecollected pairs, even when the two classes of pairs were equated for memory strength. Together with other evidence [e.g., 14•], these findings [17, 22, 23] suggest that the construct of memory strength does not provide a full account of retrieval-related hippocampal activity.

Cortical recollection effects

In addition to enhancement of hippocampal and parahippocampal activity, successful recollection is characteristically associated with engagement of several cortical regions, including retrosplenial/posterior cingulate cortex (BA 23/29/30/31), ventral posterior parietal cortex centered on the angular gyrus (BA 39) and mPFC (BA 10/32) [11]. Because of the density of its connections with the hippocampus and the memory impairments that accompany lesions to the region, retrosplenial cortex has been proposed to be a component of an ‘extended hippocampal system’ [24]. Recent evidence suggests that, like parahippocampal cortex, both this region and the mPFC (which shares connections with the hippocampus and parahippocampal and retrosplenial cortices [24]) may play a role in the processing of contextual information [25].

Unlike retrosplenial cortex and mPFC, there is little evidence from animal studies to implicate the angular gyrus in episodic memory (although a putative homologous region in the macaque demonstrates a pattern of resting state connectivity similar to that shown in humans [26]). Evidence from human resting state connectivity and DTI tractography indicates that the region is interconnected with the hippocampus, parahippocampal cortex and retrosplenial/posterior cingulate cortices [27, 28•], suggesting that it might form part of a common functional network. This possibility is buttressed by the finding that recollection-based recognition is associated with enhanced connectivity between the angular gyrus and hippocampus [29].

Based largely on fMRI evidence, a number of proposals have been advanced regarding the functional significance of recollection-related activity in the angular gyrus. According to one idea [30], the sensitivity of the region to recollection reflects its role in ‘bottom-up’ attentional re-orienting. By this account, recollection is a salient internal event that triggers the re-direction of attention from a retrieval cue toward the contents of retrieval. A somewhat related account [31] - based on the finding that angular gyrus activity is sensitive to whether a recognition test item is congruent or incongruent with a cue that predicts its study status - proposes that recollection-related activity in this region is a consequence of ‘expectancy violation’. A third proposal [32] is that the angular gyrus contributes to the representation of recollected information, perhaps acting as a component of the ‘episodic buffer’ posited to act as an interface between episodic memory and executive processes [33]. Another proposal [34] is that the region acts as a convergence zone, binding the different features of an episode into an integrated representation. The dual findings that recollection-related activity in this region scales with the amount of information recollected [e.g., 35], and tracks the time over which recollected information is maintained [36•], arguably favor some form of representational account. Neither these nor the re-orienting or expectancy violation accounts are easily reconcilable with the results of lesion studies, however, which indicate that lateral parietal damage has little or no impact on the accuracy of either recognition or source memory [37, 38] judgments. Rather, deficits are observed with respect to self-initiated retrieval of autobiographical memory [39], and in the proportions of test items accorded Remember [40, 41] or highly confident source judgments [42]. Thus, parietal lesions seem to have more of an impact on subjective or metacognitive aspects of recollection than on objective indices of accuracy.

A general recollection network?

As was just reviewed, recollection-sensitive fMRI effects have consistently been identified in the hippocampus, parahippocampal, retrosplenial/posterior cingulate and lateral parietal cortices, and mPFC (Figure 2). The robustness of these effects in the face of wide variation in test materials and procedures for operationalizing recollection have led to the proposal that the regions constitute a content-independent network engaged whenever a retrieval cue elicits recollection [43, 44]. In keeping with this proposal, successful cued recall – held to depend on the same processes that support recollection-based recognition – is associated with enhanced activity in the same regions [e.g., 45]. In one recent study [43] the recall effects in parahippocampal cortex, retrosplenial/posterior cingulate cortices and the left angular gyrus were potentiated when recall was accompanied by an accurate rather than an inaccurate source memory judgment on the recalled item, suggesting that these regions were responding to the amount of information recollected rather than to its content.

The putative general recollection network partially overlaps with the heavily-investigated ‘default mode network’, originally identified by its tendency to demonstrate relatively greater activity during periods of ‘rest’ than during stimulus-directed tasks [46]. The recollection network also overlaps with regions that are characteristically active when

individuals mentally construct future-oriented, self-relevant scenarios ('episodic future thought' [e.g., 47]). While much remains to be understood about the reasons for these overlaps, they likely reflect the common engagement of processes that support the retrieval and representation of episodic information and its employment in self-directed cognition [48•].

Content-selective recollection effects

According to an influential class of models [e.g., 49, 50], a key role of the hippocampus is to store non-overlapping representations of the distributed patterns of cortical activity elicited when different events are encoded. When an effective retrieval cue is present, the appropriate hippocampal representation is reactivated, causing the reinstatement of the original pattern of activity in the cortex and the event to be 're-experienced'. Thus, successful recollection should be associated not only with the engagement of the content-independent general network discussed above, but also with patterns of activity that vary in their location according to the content of what is recollected. Furthermore, this content-dependent activity should overlap with the activity elicited when the recollected event was initially experienced. These predictions have been the subject of numerous studies [reviewed in 51], with findings that are largely supportive of what is often referred to as the 'cortical reinstatement hypothesis.' In recent studies [52, 53•] these predictions have been addressed using MVPA. This method allows measurement of the similarity between patterns of fMRI activity distributed across a population of voxels, even when effects at the single voxel level are not statistically significant or spatially contiguous. In one such study [52], subjects undertook one of three encoding tasks on a series of words. Consistent with the cortical reinstatement hypothesis, a multivariate classifier trained to distinguish the fMRI activity elicited by the three different classes of study word was able to reliably classify recollected test words according to the task in which they were encoded. The classifier was also able to discriminate highly familiar but unrecollected words, albeit less accurately and in fewer regions than was the case for recollected items. This finding might indicate that, like familiarity, recollection varies continuously, and can be weakly present even when a test item fails to elicit a 'Remember' judgment [2]. A second MVPA study [53•] also identified cortical reinstatement effects (differentiating cued recall of images of faces, scenes and objects). Interestingly, the strength with which a retrieval cue elicited reinstatement of the image it had originally been paired with was inversely related to the accuracy with which the cue elicited retrieval of a subsequently learned image.

In the studies reviewed above, reinstatement effects were investigated by contrasting activity common to a set of test items. A more recent experiment [54•] addressed the question of whether reinstatement effects could be detected at the single item level. Subjects studied trial-unique scenes, and then discriminated between studied and new scenes using a modified Remember/Know procedure [see 55]. The across-voxel similarity in the patterns of activity elicited by each scene during the study and test phases was computed. In several cortical regions of interest the similarity index was greater for scenes that were recollected or confidently endorsed old than it was for scenes misclassified as new. Furthermore, degree of study-test similarity correlated positively with hippocampal activity. These findings are consistent with the proposal that episodic retrieval involves the hippocampally-mediated reinstatement of encoding-related activity.

Summary and open questions

Recollection of a prior experience is associated with engagement of a general network, centered on the hippocampus, in concert with cortical regions that, collectively, represent the contents of recollection. Among the many questions raised by this framework, three stand

out. First, what are the specific functional roles of the different regions comprising this network? Second, how does the network interact with content-sensitive regions thought to represent the contents of recollection? Third, and relatedly, if recollected content is represented by distributed patterns of cortical activity, how does the information represented in these patterns become integrated or 'bound' into a coherent, consciously accessible representation of a prior experience?

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Highlights

Recollection depends on a content-insensitive network centered on the hippocampus.

The recollection network comprises both medial temporal and neocortical regions.

The network interacts with cortical regions that represent retrieved content.

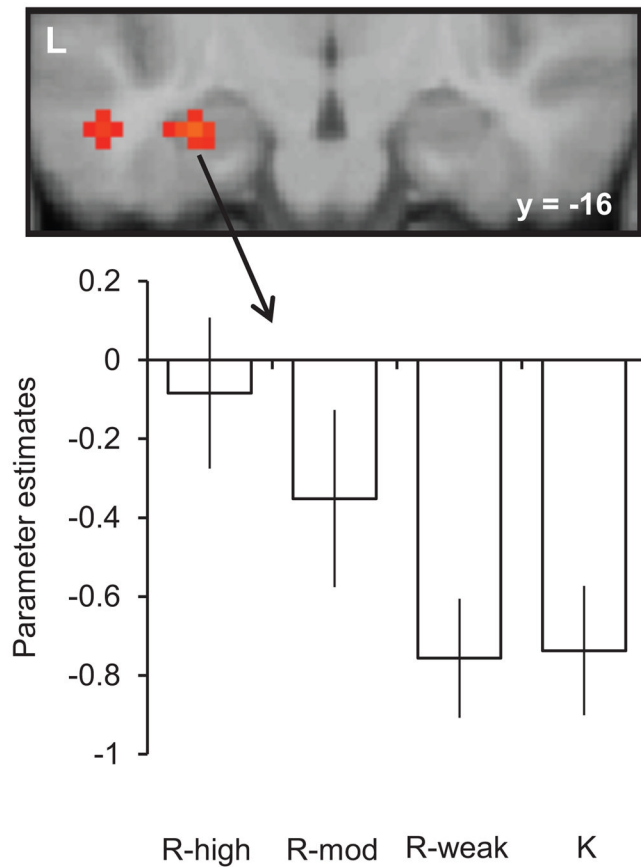


Figure 1. Retrieval-related hippocampal activity co-varies with amount of retrieved contextual information [56]. The data are shown for test items endorsed as familiar (K) or recollected (R), further segregated by the confidence and accuracy of a subsequent source memory judgment made on recollected items. R-high and R-mod refer to accurate source judgments made with high and moderate levels of confidence respectively. R-weak refers to source judgments made with low confidence or that were inaccurate.

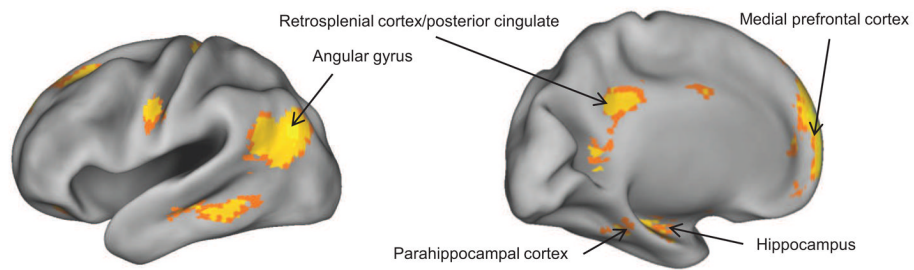


Figure 2.

The general recollection network. The figure illustrates the outcome of the contrast between accurately recognized test words endorsed as 'Remember' or 'Know' in an unpublished study (n=19) of Wang and Rugg. The words had been studied either as pictures or as words in the context of two different encoding tasks. Shown are regions where recollection was associated with enhanced activity at test regardless of the encoding condition.