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## **Prognostic PET 18F-FDG uptake imaging features are associated with major oncogenomic alterations in patients with resected non-small cell lung cancer**

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## **Abstract**

Although  $18F-2$ -fluoro-2-deoxyglucose (FDG) uptake during positron emission tomography (PET) predicts post-surgical outcome in patients with non-small-cell lung cancer (NSCLC), the biologic basis for this observation is not fully understood. Here we analyzed 25 tumors from NSCLC patients to identify tumor  ${}^{18}F$ -FDG PET uptake features associated with gene expression signatures and survival. Fourteen quantitative PET imaging features describing FDG uptake were correlated with gene expression for single genes and co-expressed gene clusters (metagenes). For each FDG uptake feature, an associated metagene signature was derived and a prognostic model was identified in an external and tested in a validation cohort of NSCLC patients. Four of 8 single genes associated with FDG uptake (LY6E, RNF149, MCM6, FAP) were also associated with survival. The most prognostic metagene signature was associated with a multivariate FDG uptake feature (SUV $_{max}$ , SUV $_{variance}$  and SUV $_{PCA2}$ ), each highly associated with survival in the external (HR 5.87, confidence interval [CI] 2.49-13.8) and validation (HR 6.12, CI 1.08-34.8) cohorts, respectively. Cell cycle, proliferation, death, and self-recognition pathways were altered in this radiogenomic profile. Together, our findings suggest that leveraging tumor genomics with an expanded collection of PET-FDG imaging features may enhance our understanding of FDG uptake as an imaging biomarker beyond its association with glycolysis.

## **Keywords**

Lung cancer; FDG; PET; genomics; prognosis

**Conflicts**: None to declare for all authors

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## **Introduction**

Non-small cell lung cancer (NSCLC) remains the number one cause of cancer related mortality for men and women in the U.S, and its prevalence continues to increase worldwide.(1) Despite potentially curative resection in early-stage NSCLC, survival remains sub-optimal and recurrence rates are high.(2)

 $18F-2$ -fluoro-2-deoxyglucose (FDG) positron emission tomography (PET) imaging is currently the standard of care for pre-operative staging of disease in NSCLC, and multiple investigations suggest that the intensity of FDG uptake in the tumor prior to surgery is a useful biomarker for tumor aggressiveness and patient outcome post-operatively.(3-5) This is an important finding since PET imaging is non-invasive, cost-effective, and routinely performed for patients pre-operatively.(6-9)

FDG-PET uptake is governed by GLUT transporter uptake and metabolized to an inert intracellular product by a key regulatory enzyme in glycolysis, Hexokinase-2 (HK2).(10) The biologic basis for the utility of FDG as a biomarker is not fully understood, but upregulated glycolysis that results in increased FDG uptake has been associated with tumor growth, metastasis and immune evasion. $(11-14)$  Recently, a key driver that seemingly promotes a less favorable cellular energy profile was discovered (Pyruvate kinase isoenzyme M2 [PKM2]),(15-17) and has been implicated with other major genes involved in oncogenesis that may help to explain a mechanistic switch to a glycolytic phenotype.(18)

To date, no studies have examined differential genome wide expression across varying FDG uptake levels in NSCLC. We explored this relationship in a cohort of patients with NSCLC to identify individual genes and gene expression signatures associated with prognostically relevant FDG uptake features.

## **Methods**

#### **Study design**

We employed a novel biocomputational approach to associate gene expression with prognostic FDG uptake features (Figure 1) using 3 cohorts (study, external and validation cohorts). Use of all three cohorts allowed us to: (i) associate FDG uptake features to gene expression, (ii) generate a model of image features in terms of their gene expression (study cohort), (iii) identify prognostic gene signatures from this model (external cohort), and finally (iv) examine whether image features associated with prognostic gene sets were predictive of clinical outcome (validation cohort). To build prognostic gene expression signatures associated with FDG uptake image features, we applied our previously described radiogenomics strategy.(19)

#### **Study, external and validation cohorts**

For the study cohort, a group of patients with surgically resected NSCLC between 2008 and 2010 from two medical centers were retrospectively identified. All patients had preoperative PET-CT scans analyzed for tumor FDG uptake features matched with excised tumor specimens subjected to global gene expression analysis. Patients receiving neoadjuvant therapy were excluded and follow-up data was not available for the study cohort since these cases were taken from recent operative specimens. For the external cohort we used data from a previous study that modeled gene expression and outcome in patients with NSCLC (GSE8894).(20) For the validation cohort we examined patients with resected, limited stage NSCLC from 2003 to 2010 who underwent treatment naïve, pre-operative PET imaging. Death was assessed using the National Death Index [\(www.cdc.gov/nchs/ndi\)](http://www.cdc.gov/nchs/ndi) and

patients not dead were assumed to be alive at the time of data extraction (June, 2011). All work was performed with the IRB authorization of both participating centers.

## **PET acquisition and FDG uptake feature extraction in study and validation cohorts**

PET/CT images were acquired using either a LS PET/CT (slice thickness 3 to 5 mm) at Stanford or GE Discovery VCT (slice thickness 3.75 mm) at the Veterans Administration Palo Alto Health Care System (VAPAHCS). At Stanford, patients fasted for a minimum of eight hours, a dose of 12-17 millicuries (mci) of FDG was administered, and patients were scanned from the skull base to mid-thigh using multiple bed positions every 5 minutes approximately 45 to 60 minutes after injection. Prior to injection of FDG, patients who had a blood glucose level of >180 mg/dL were excluded. At the VAPAHCS, patients fasting for 6 hours had FDG injected to a target of 15 mci at time of scan, which ranged from 60 to 120 minutes after injection, and those patients who had a blood glucose of level of >200 mg/dL were rescheduled. Patients were scanned from skull to mid-thigh using multiple bed positions every 2 to 3 minutes. CT attenuated data was reconstructed using ordered subset expectation maximization (OSEM) for both scanner sites.

FDG uptake was quantified using the maximum standard uptake value  $(SUV_{max})$  by a certified nuclear medicine physician (AQ). The region-of-interest (ROI) for each nodule was drawn using the trans-axial image that was thought to represent the most FDG avid portion around the entire lesion and the maximum SUV pixel within the ROI  $(SUV_{max})$  was recorded. Partial volume correction was not used for this interpretation. All DICOM images for both study and validation cohorts were then imported into an imaging feature extraction program, RT\_Image [\(http://rtimage.sourceforge.net](http://rtimage.sourceforge.net)). FDG uptake metrics were calculated after co-registration with CT images of the tumor and then by defining an automated ROI on the PET image using a region-growing algorithm bounded by a standard threshold uptake above background with a lower bound set at 50% of the maximum value within the ROI. (21)

Fourteen metrics of interest related to SUV were recorded: SUV minimum, maximum, mean, median and percentile (75 and 90) features were extracted to define the intensity of FDG uptake in tumor; SUV standard deviation, variance, skew and kurtosis features were extracted to measure the variation in FDG uptake across the tumor; and metabolic tumor volume (MTV), registration points (number of voxels used to define the MTV), area and total glycolytic volume (TGV) quantified the spatial extent of FDG uptake. TGV is represented in this study as the product of SUVmean and metabolic volume and represents the "integrated" metabolic uptake across the tumor.(22)

#### **Comparison of study and validation cohorts**

Basic descriptive clinical, pathologic and imaging characteristics were tabulated for study and validation cohorts. Continuous variables with median and interquartile range or categorical variables with percent were calculated. Differences between the study and validation cohorts were assessed using a student's t-test for continuous variables or a Chisquared or Fisher's exact test (for less than five data points in a level) for categorical variables.

## **Gene expression microarray data**

Tumors from the study cohort were processed from a 3–5 mm cross-section after removal of fibrotic or necrotic areas during surgical excision (CDH/JS). Tumor tissue was snap frozen within 30 minutes and extracted to RNA using standard commercial kits. Genome wide arrays were processed by the Stanford Functional Genomics Facility using Illumina™ Whole Genome Bead Chips (Human HT-12). Microarray data was filtered based on a

significant detection call in at least 60% of the samples and log transformed using quantile normalization to account for array variation. Microarray data was submitted to GEO under accession number GSE28827.

#### **Association of FDG uptake features and gene expression**

Basic correlations amongst FDG uptake features and for FDG uptake features with gene expression were performed using a Spearman-rank correlation test. Significance of microarrays (SAM) analysis was performed to define genes significantly associated with FDG uptake metrics. Because of the potential for false positive associations due to multiple comparisons we used the False Discovery Rate  $(q$ -value  $\leq 0.05$ ) to assess statistical significance.(23) In addition, because single gene associations with image features are more prone to noise, a clustering method to reduce the dimensionality of the microarray data was employed in addition to univariate SAM analysis.(19) We clustered the microarray data using an iterative k-means clustering algorithm with 200 iterations and a coherence of 0.75; these settings were determined such that the average cluster homogeneity in external data sets was maximal,(20, 24) where cluster homogeneity was defined as the average correlation between each member of the cluster within the cluster centroid. This clustering algorithm resulted in 102 clusters that were then filtered based on a homogeneity of at least 0.30 in one of two external data sets,(19, 24) to yield 56 high quality clusters, defined in this study as metagenes, for further analysis.<sup>12</sup>

#### **PCA analysis of FDG uptake features**

We examined the principal components defining FDG uptake for the 14 features extracted to determine which features accounted for most of the variability of FDG uptake. Each principal component was defined as a linear combination of the 14 original features and could be interpreted based on the weights associated with each of these features. We restricted our analysis to the first three principal components and incorporated these new features to the study cohort data set for further analyses.

#### **Predicted FDG uptake features and their association with overall survival**

Individual genes associated with FDG uptake in the study cohort were directly analyzed in the external cohort for their association to clinical outcomes. In addition, a multivariate model of FDG uptake features in the study cohort for each of the 14 features studied was built by a linear combination of metagenes to further examine the likely underlying biology of the features and their association with outcome in the external cohort. The accuracy of gene signatures to predict FDG uptake features was determined by examining the absolute difference in the predicted FDG uptake feature with the actual imaging feature value.<sup>12</sup> Only signatures with an accuracy of greater than 0.70 were carried forward for further analysis and analyzed with outcome in the external dataset. We refer to these features, now defined in terms of a gene signature, as "predicted FDG uptake features" and denote them with a prefix "p" (i.e.  $pSUV_{max}$  represents the prognostic gene signature associated with  $SUV_{max}$ ). Kaplan-Meier (KM) curves were dichotomized at the median gene expression value and unadjusted Cox-proportional hazards (CPH) testing was performed to assess the prognostic significance for predicted FDG uptake features and individual genes that were highly correlated to FDG features. We also performed Lasso CPH modeling(25) with 10 fold crossvalidation to identify a multivariate signature of multiple predicted FDG uptake features whose gene expression signature was associated with outcome, creating the "multivariate-SUV" model.

#### **Validation of prognostic FDG uptake features**

We studied FDG uptake features associated with prognostic gene signatures (i.e. pFDG features) from the external cohort in a validation cohort which consisted of 84 patients with PET imaging and survival data. We analyzed extracted FDG uptake features, computed their principal components, and derived the multivariate-SUV model by Lasso Cox-proportional hazards (CPH) analysis. To describe outcomes for these features, Kaplan-Meier (KM) curves were dichotomized at the median FDG uptake feature value and unadjusted CPH testing was performed. Lastly, we analyzed the prognostic significance of age, tumor size and stage for the external and validation cohorts separately, followed by multivariate analyses with univariately-derived prognostic imaging features, to determine whether these imaging features added statistically significant independent information to prognosis in both cohorts.

#### **Gene enrichment analysis of predicted FDG uptake features**

We used a hyper-geometric test with multiple testing correction and FDR(26) for metagene enrichment analysis using gene set collections from GeneSigDb (compbio.dfci.harvard.edu/ genesigdb), the NIH Database for Annotation, Visualization and Integrated Discovery (DAVID [http://david.abcc.ncifcrf.gov\)](http://david.abcc.ncifcrf.gov),(27) MSigDb ([www.broadinstitute.org/gsea/Msigdb\)](http://www.broadinstitute.org/gsea/Msigdb), (28, 29) and Reactome ([www.reactome.org\)](http://www.reactome.org).(30) We then framed the biologic context of these genes signatures by mapping them to known molecular pathways (IPA™). For this study, gene expression magnitude and direction for network visualization was derived using the z-score from univariate SAM analysis in the external cohort with survival as the dependent variable.

All analyses were performed using MATLAB™ (Mathworks Inc., Natick, MA), R (v. 2.11.1), SAS™ (v9.2, SAS, Cary, NC) and IPA™ (v9.0, Ingenuity, Redwood City, CA).

## **Results**

#### **Study, external and validation cohorts**

Twenty-five tumors from 25 patients with a median age of 71 years (range 50–86), who had predominantly early-stage adenocarcinoma with lobectomy performed were identified for the study cohort (Table 1). The median time to operation from PET acquisition was 27 days and median tumor diameter (in the largest measured dimension) was 2.3 cm. The external cohort consisted of 63 patients with adenocarcinoma and a median age of 60 years. For the external cohort, eighty-one percent of patients were stage I-II with a median tumor diameter of 3.5 cm, follow-up of 42 months and 24 (38%) deaths in follow-up (Supplement 1). The validation cohort consisted of 84 patients that had similar patient characteristics to the study cohort discounting gender (Table 1),  $\text{SUV}_{\text{median}}$  and  $\text{SUV}_{\text{min}}$ , for which significant differences existed among variables (Table 1). Median follow-up time in the validation cohort was 38 months, during which time 21 (25%) patients died.

#### **FDG uptake measurements**

Blood glucose (mg/dL), injected dose (millicuries) and time to scan (minutes) were similar between the study and validation cohorts except for time to scan, which was longer in the study cohort (Table 1). ROI segmentation and feature extraction was fully automated for all patients in the discovery cohort using RT\_Image, but nine of 84 patients (11%) in the validation group required manual override due to improper segmentation from the RT\_Image algorithm.

In the study cohort, median calculated  $\text{SUV}_\text{max}$  from RT\_Image was 3.2 (range 0.98–30), which agreed well with human observation by a certified nuclear medicine physician (AQ,

r=0.91). FDG uptake features between study and validation cohorts were reasonably similar in distribution but differed significantly for  $\text{SUV}_{\text{median}}$  and  $\text{SUV}_{\text{min}}$  (Table 1).  $\text{SUV}_{\text{max}}$  was highly correlated (r>0.8) with mean, median, standard deviation, variance, total glycolytic volume and percentile metrics, moderately correlated  $(r>0.6)$  with minimum, area, volume and points metrics, and modestly correlated with skew and kurtosis features (r=0.48 and 0.32 respectively).

#### **PCA Analysis of FDG uptake features**

The first three principal components explained 96% of the image feature variance for the study cohort and defined new FDG uptake features. The first principal component was dominated by traditional point estimates of FDG uptake features  $(SUV_{max}, SUV_{mean},$  $\text{SUV}_{\text{median}}$ ) and spatial metrics ( $\text{SUV}_{\text{points}}$ ,  $\text{SUV}_{\text{MTV}}$ ,  $\text{SUV}_{\text{area}}$ ). The second and third components were associated with  $\text{SUV}_{\text{kurtosis}}$  and  $\text{SUV}_{\text{skew}}$ , measures of the shape of FDG uptake distribution, as well as  $\text{SUV}_{\text{min}}$ . These three principal components were added into a subsequent analysis with the initial fourteen imaging features to examine their association with survival in the external cohort.

#### **Gene expression and FDG uptake feature associations in the study cohort**

In the study cohort, 37,798 assayed genes were filtered according to a 60% call rate (i.e. only those genes appearing in more than 60% of samples were carried forward) to yield 8,238 present genes. After applying a variance filter described in the methods section, approximately half of these genes were included for further analysis. Eight of these 4,261 single genes were strongly associated with seven different FDG uptake features by SAM analysis (Table 2).  $\text{SUV}_{\text{mean}}$  was significantly associated with five genes and  $\text{SUV}_{\text{mean}}$  and SUV<sub>skew</sub> were the only FDG uptake features uniquely associated with single genes.

Fifty-six high-quality gene clusters (representing 2300 individual genes, per Methods) were correlated to the FDG uptake features using SAM analysis. Eight of these meta-genes consisting of 240 individual genes were significantly associated with seven different FDG uptake features (FDR = 0), and  $\text{SUV}_{\text{max}}$  and  $\text{SUV}_{\text{mean}}$  features were enriched in extracellular matrix components in the study cohort (Table 2). Imaging features  $\text{SUV}_{\text{skew}}$ ,  $\text{SUV}_{\text{min}}$  and  $\text{SUV}_{\text{TGV}}$  were uniquely associated with meta-genes enriched in protein and nucleic acid catabolism, as well as tumor suppressor pathways.

#### **Predicted FDG uptake features and their association with survival**

Predicted FDG uptake imaging features with an acceptable accuracy were carried forward to subsequent survival analysis (Table 3). All features passed this quality control except the 3<sup>rd</sup> PCA (SUV<sub>PCA3</sub>). Predicted  $pSUV_{max}$ ,  $pSUV_{mean}$ ,  $pSUV_{min}$ ,  $pSUV_{variance}$  and  $pSUV_{PCA2}$ were significantly associated with survival in the external cohort (Table 4). A multivariate model of predicted FDG uptake features associated with survival identified  $pSUV_{max}$ ,  $pSUV_{variance}$  and  $pSUV_{PCA2}$  as the top three prognostic FDG uptake features for predicting poor outcomes, with associated weights of 0.260, -0.281 and 0.148 respectively; we refer to this model as the multivariate-SUV model. Compared to the univariate FDG uptake features, the multivariate-SUV model yielded greatest prognostic value in the external cohort (HR 5.87, CI 2.59–13.8). For single genes, four of eight genes associated with FDG uptake from the study cohort were significantly associated with survival in the external dataset (Table 4).

#### **Validation of the prognostic FDG uptake features**

We validated the significance of the predicted FDG uptake features that were associated with prognostic gene signatures on outcome in an additional 84 patient cohort with image data and survival data (Table 4). Both  $\text{SUV}_{\text{max}}$  (HR 1.05, CI 1.00–1.10) and the

multivariate-SUV model (HR 6.12, CI 1.08–34.8) were significantly associated with survival by univariate CPH analysis and Kaplan-Meier plot (Figure 2). The prognostic significance of  $\text{SUV}_{\text{mean}}$  (p-value 0.08),  $\text{SUV}_{\text{PCA2}}$  (p-value 0.08),  $\text{SUV}_{\text{variance}}$  (p-value 0.16) and  $\text{SUV}_{\text{min}}$  (p-value 0.62) were not confirmed in the validation cohort, although the first two features maintained a strong trend with outcome  $(p<0.10)$ .

#### **Incorporating clinical variables with prognostic FDG uptake features**

In a univariate analysis, tumor size (cm) and stage (I-IV) were prognostically significant in both the external and validation cohorts (Supplement 6). After adjusting for the clinical variables tumor size, stage and age,  $pSUV_{max}$  was found to be independently significant for predicting worse outcome in the external cohort (Supplement 6). Adjusted point estimates for the hazard ratios associated with  $\text{SUV}_{\text{max}}$  in the validation cohort, as well as the multivariate-SUV feature in the external and validation cohorts, were all greater than 1.0 but not statistically significant (Supplement 6).

#### **Gene network analysis**

We further utilized network analysis and gene enrichment databases to inform us of important functional relationships between imaging features and gene expression for  $\text{SUV}_{\text{max}}$  and the multivariate-SUV model.  $\text{SUV}_{\text{max}}$ , comprising 15 metagenes and 508 individual genes, was enriched in cell cycle (CDK2NA–p16) and acetylation (histone) pathways with network analysis showing a prominent NFΚB node (Supplement 7). Of the 1,367 genes comprising the multivariate-SUV model, 484 were duplicated between features, leaving 883 unique genes to map for network analysis. Multiple important oncogenic pathways including cell proliferation (STAT1, PKA, FGF), cell cycle (CCNB, CCND, CEPBA), apoptosis (BAX, Caspase, BIRC5), endocytosis (COP–Clathrin pathways), cell recognition (HLA-MHC) and oxidative phosphorylation (SLC25, Cytochrome C, COX) were distinct nodes in this network (Supplement 7).

## **Discussion**

Aberrant cell signaling, proliferation and immortality are well known hallmarks of cancer. (31) We show here that gene expression related to prognostic FDG uptake features was enriched in these canonical pathways. Emerging hallmarks of cancer–including cell bioenergetics, inflammation and immune evasion may also play an important role in defining FDG uptake as a global marker of poor prognosis in patients with resected NSCLC according to our analysis. Finally, increasing  $\text{SUV}_{\text{max}}$  is well established to be associated with poor patient outcome(3-5) and our analysis suggests that NFKB signaling is a key molecular correlate for this imaging biomarker. This is a provocative finding since NFΚB signaling is activated downstream by lactate production from glycolysis and–like FDG uptake–is increased in inflammatory and malignant diseases.(32)

By employing a computational design for this study, we examined multiple prognostic FDG uptake imaging features with genome wide expression from NSCLC tumors. After accounting for multiple comparisons with FDR analysis  $(q<0.05)$  we show that novel FDG uptake features were associated with distinct genes and gene signatures, less related (ie, correlated) features were associated with different genes and gene signatures, and in combination features provided a more prognostic model than any one feature alone.

One previous study has investigated gene expression across varying degrees of FDG uptake in breast cancer, as defined by  $\text{SUV}_{\text{max}}$ , and glycolytic genes were remarkably absent from the most highly significant associations–as was the case in our study (Supplement 8).(33) A follow-up to that study focusing on cell bio-energetics found that glycolytic pathways are

up-regulated in the context of other major tumor pathways but are not the most highly enriched pathways.(34) Interestingly, this follow-up study focused on another important driver of oncogenesis, c-MYC, and related it to FDG uptake. In contrast, our study's prognostic multivariate-SUV feature was associated with other major drivers of oncogenesis, although we also found MYC related pathways were enriched during ontology analysis (Supplements 3 and 4).

Models like these may deepen the utility, and our understanding, of FDG uptake as a biomarker and may provide additional insight at the genomic level for a tumor phenotype defined by heterogeneous FDG uptake at the imaging level. The Warburg Effect was initially described over 80 years ago and postulates that tumors undergo glycolysis preferentially despite adequate intracellular oxygen tension.(35, 36) Although Warburg believed this was a result of mitochondrial dysfunction, we now know that tumor glycolysis can proceed with functional cellular mitochondria and in fact may be an adaptive response for tumor survival.(10, 11, 37) Furthermore, studies have recently linked glycolysis in cancer to more widespread dysregulation of cell bioenergetics,(38-40) suggesting that FDG uptake may be a surrogate for more than glycolysis alone and perhaps a lens through which one can view global tumor bioenergetics.

This study has limitations. To identify the prognostic significance of FDG features and their associated gene signatures, the ideal cohort would typically consist of hundreds of patients with: (i) genomic profiling of their resected tumor, (ii) PET imaging of the tumor prior to resection and (iii) long term follow-up. Because such large cohorts are not yet commonly available, we present and implemented a novel technique that integrates the 3 data types (namely, gene expression, imaging and survival data) from 3 different cohorts.

We did not apply a correction of FDG-PET signal that may sometimes be required for tumors that approach the resolution of the PET scanner  $(-1.5-2.0 \text{ cm})$ –known as partial volume effect correction–to our data.(41, 42) While some studies have shown this can have a significant effect on traditionally employed metrics of uptake, such as  $\text{SUV}_{\text{max}}$  and  $\text{SUV}_{\text{mean}}(43)$  the effect of correcting for more novel features, such as  $\text{SUV}_{\text{MTV}}$  and  $\text{SUV}_{\text{skew}}$ , is unresolved to date.(44) In addition to the above intra-scan variation, inter-scan variation between PET scanners exists, can add to imprecision for feature quantification, and should be accounted for in future multi-center studies.(45)

Although our sample was predominantly adenocarcinoma, there was some heterogeneity in histology, which is well known to effect both FDG uptake and gene expression.(46, 47) We may not have exploited the full gamut of prognostic imaging features we derived using RT Image since we were interested in examining only those FDG uptake features that were associated with prognostic gene signatures. Lastly, the added significance of prognostic imaging features and their associated gene profiles compared to traditional clinical variables of prognosis was marginal, possibly due to the sample size and heterogeneity of the cohorts examined. Yet, our results indicate that larger studies are warranted to evaluate the prognostic significance of a broader characterization of FDG uptake features and to assess the relationship of FDG uptake to molecular processes beyond glycolysis.

## **Conclusion**

Utilizing gene leveraging techniques can harness the power of the public domain for expediting studies of radiogenomic biomarkers. A computational approach to understanding gene expression correlates of aggressive NSCLC as defined by prognostic 18F-FDG uptake features may offer new insights into tumor biology. Our methods require further study in

other human cancers and larger, homogeneous cohorts of NSCLC patients with standardized gene expression, imaging and clinical data.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Nair et al. Page 12



#### **Figure 1. Study design**

**A)** 25 patients with NSCLC and PET imaging prior to resection had genome wide expression performed on cryopreserved tissues (study cohort). FDG uptake features were extracted and predicted in terms of a gene signature. **B)** Predicted FDG uptake features (prefixed by "p" in this study) were examined in a second (external) cohort with NSCLC outcome data and gene expression. **C)** Validation of the predicted FDG uptake features that were identified as prognostic in the external cohort was performed in a third (validation) cohort with PET imaging and outcome data to determine if the true FDG uptake features remained significantly associated with overall survival.

Nair et al. Page 13





Survival analysis demonstrating that  $\text{SUV}_{\text{max}}$  and the multivariate-SUV model were prognostically significant in external and validation cohorts respectively. In the external cohort, the predicted image features (denoted by the prefix " $p$ ") were assessed. Worse vs. better survival is illustrated by the red vs. green curves. The y-axis represents percent alive and the x-axis is months to event. Note that the x-axis is different for the two cohorts since duration of follow-up time was unique for each cohort. Supplement 5 provides additional plots for prognostic single genes associated with FDG uptake.

l,  $\overline{a}$ 

## **Table 1**

Characteristics of study and validation cohorts $a$ 



**Spatial metrics**



MTV=metabolic tumor volume; TGV=total glycolytic volume.

 ${}^a$ Continuous variables are shown with median and interquartile range and categorical variables with number and percent.

 $b$ <br>Kurtosis represents "peakedness" of FDG uptake, skew the deviation from a normal distribution, and sigma and variance the breadth of uptake distribution

 $c$ Number of voxels used to generate MTV

 $d'$ Equivalent to the product of SUV $_{\rm{mean}}$  and SUV $_{\rm{MTV}}$ 

 $e$  p<0.05 between study and validation cohorts for these variables

 $f$  for 10 of 25 patients where data was available.

#### **Table 2**

Genes and metagenes associated with FDG uptake features in study cohort $a$ 





TGV=Total Glycolytic Volume; MTV=Metabolic Tumor Volume.

 $a<sub>F</sub>$ alse discovery rate=0 by SAM, per methods

 $b$ <br>See Supplement 2 for a full list of genes comprising each metagene

 $c$ See Supplements 3 and 4 for a full list of enrichment features associated with metagenes.

#### **Table 3**

Accuracy of gene signatures that predict FDG uptake features in study cohort $a$ 



<sup>a</sup>Predicted features are denoted with a prefix " $p$ " and are based on a linear combination of genes from the study cohort (see Methods)

 $^b$ Accuracy defined as 1- Σ<sup>25</sup> |pFDGfeature<sub>X</sub>-FDGfeature<sub>X</sub>|/Δrange.<sup>12</sup>

#### **Table 4**

Prognostic significance of genes, metagenes and associated FDG uptake features in external and validation  $\text{cohorts}^a$ 





HR=Hazard Ratio; CI=Confidence Interval

a For overall survival, external cohort n=63 and validation cohort n=84. See Supplement 6 for analyses with clinical variables and imaging features

 $b$ <br>See Supplement 2 for a full list of genes associated with metagenes

 $c$ See Supplements 3 and 4 for enrichment analysis of gene lists using DAVID and GSEA bioinformatics tools

 $d_{\alpha}$ " denotes predicted features defined by gene expression and examined in the external cohort

 $e$ Multivariate expression coefficients for SUV<sub>max</sub>, SUV<sub>variance</sub> and SUV<sub>PCA2</sub> were 0.260, -0.281 and 0.148 respectively

 $f_{\rm p=0.001}$