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Perturbation Training prior to ACL Reconstruction Improves Gait Asymmetries in Non-Copers

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Abstract

We investigated whether preoperative perturbation training would help anterior cruciate ligament (ACL) deficient individuals who complain of knee instability (“non-copers”) regain quadriceps strength and walk normally after ACL reconstruction. Nineteen non-copers with acute ACL injury were randomly assigned into a perturbation group (PERT) or a strengthening group (STR). The PERT group received specialized neuromuscular training and progressive quadriceps strength training, whereas the STR group received progressive quadriceps strength training only. We compared quadriceps strength indexes and knee excursions during the mid-stance phase of gait preoperatively to data collected 6 months after ACL reconstruction. Analyses of Variance with repeated measures (time/limb) were conducted to compare quadriceps strength index values over time (time \times group) and differences in knee excursions in limbs between groups over time (limb \times time \times group). If significance was found, post hoc analyses were performed using paired and independent *t*-tests. Quadriceps strength indexes before intervention (Pert: 87.2%; Str: 75.8%) improved 6 months after ACL reconstruction in both groups (Pert: 97.1%; Str: 94.4%). Non-copers who received perturbation training preoperatively had no differences in knee excursions between their limbs 6 months after ACL reconstruction ($p = 0.14$), whereas those who received just strength training continued to have smaller knee excursions during the mid-stance phase of gait ($p = 0.007$). Non-copers strength and knee excursions were more symmetrical 6 months postoperatively in the group that received perturbation training and progressive quadriceps strength training than the group who received strength training alone.

Keywords

anterior cruciate ligament; intervention; quadriceps strength; gait

Internal knee lesions account for 44.8% of athletic injuries, with the anterior cruciate ligament (ACL) being the most prevalent structure treated.¹ About 200,000 ACL injuries occur annually in the US.² Quadriceps strength deficits,^{3–7} functional decline,^{8–13} and altered gait patterns^{6,14,15} are ubiquitous after ACL rupture.¹⁶ Only one third of symptomatic ACL-deficient recreational athletes demonstrate the ability to stabilize the knee joint without the ACL.^{17,18} Nonsurgical interventions have been unsuccessful in restoring stability and strength to patients who complain of knee instability after ACL rupture⁷; thus athletes are generally counseled that reconstructive surgery is necessary for return to full pre-injury activities.¹⁹ Nearly one billion dollars are spent on ACL reconstructions alone,²⁰ yet postoperative quadriceps strength deficits^{4,5,7} and altered movement patterns^{21,22} persist, despite restoration of the passive restraint. In fact, in a long-term follow-up study, more knee

osteoarthritis (OA) was found after ACL reconstruction compared to those treated conservatively, with similar activity levels in both groups.²³

A screening examination and classification system was developed at the University of Delaware. The system can be used early after injury as an effective way to discriminate between those athletes who have good dynamic knee stability and the potential to compensate well after complete ACL rupture (potential copers) from those who have poor dynamic knee stability (non-copers).^{24,25} Non-copers present with knee instability and truncated involved side knee motion during gait¹⁵ to a greater extent when compared to potential copers.⁶ Non-copers' quadriceps strength influences the amount of knee flexion used during gait when tested preoperatively.¹¹ Furthermore, there is a significant effect of continued quadriceps weakness on diminished knee angles and knee moments during walking following ACL reconstruction.³

Quadriceps weakness not only contributes to gait and functional decline, but also may be a contributing factor to knee OA.²⁶ ACL rupture is associated with early degenerative changes, which continue after ACL reconstruction.^{27,28} A myriad of therapeutic interventions have been tested to ascertain the most effective way to treat patients after ACL injury and reconstruction.²⁹⁻³² The trauma of surgery exacerbates strength and gait abnormalities acutely. A paucity of research exists exploring how best to resolve the strength deficits and gait abnormalities that persist in non-copers despite reconstructive surgery.

A neuromuscular training program, called perturbation training, has improved movement patterns during gait and dynamic knee stabilization in potential copers after training.³³ Exposing non-copers, therefore, to perturbations of support surfaces prior to surgery may also be effective for improving gait deviations for this cohort after surgery. Furthermore, progressive quadriceps strength training may be required preoperatively and postoperatively to maximize functional recovery.

Our purpose was to investigate whether preoperative physical therapy that included perturbation training and a progressive quadriceps strengthening program would help non-copers regain more symmetrical quadriceps strength and knee excursions when measured 6 months after ACL reconstruction. We defined quadriceps strength symmetry as a quadriceps index value (involved limb/uninvolved limb) of 90% or greater. All preoperative data were compared to data collected 6 months after surgery. We hypothesized that subjects would demonstrate a difference in preoperative quadriceps strength indexes compared to postoperative values. We also tested whether knee excursions during the mid-stance phase of gait continued to be altered 6 months after ACL reconstruction. We hypothesized that non-copers who received preoperative perturbation training would exhibit symmetrical knee excursions during mid-stance when tested after surgery, whereas the group not receiving preoperative perturbation training would continue to exhibit gait asymmetries.

METHODS

Subjects

Nineteen subjects (13 males, 6 females between the ages of 17 and 50 years old) with complete, acute, isolated ACL rupture were recruited from the University of Delaware Physical Therapy clinic. One surgeon (M.J.A.) referred all subjects and diagnosed all ACL tears via clinical examination and MRI findings. Subjects were regular participants in Level I or II activities (activities involving jumping, cutting, and lateral motion) prior to injury.²⁷ Exclusion criteria were full thickness chondral defects >1 cm, repairable meniscal tears, or concomitant grade III ruptures to other knee ligaments. Our screening examination²⁴ was

administered, and only subjects who had been classified as non-copers¹⁵ were recruited. Subjects were randomly assigned into a perturbation group ($N=9$) or a strengthening group ($N=10$). The perturbation group consisted of 6 males and 3 females (28 ± 10.7 years), averaging 9.8 ± 9.5 weeks from the time of injury to the screen. The strength group included 7 males and 3 females (30 ± 9.4 years) and 12.6 ± 13.1 weeks from the time of injury to the screen. The study was approved by the University's Institutional Human Subjects Review Board; each subject gave informed consent.

Intervention

No subjects exercised their lower extremities outside of therapy while participating in the preoperative intervention phase. The strength group received 10 sessions of progressive quadriceps strength training only (average of 3.1 weeks to complete). The perturbation group received 10 sessions of physical therapy including specialized neuromuscular exercises involving systematic translation of support surfaces (Fig. 1) and progressive quadriceps strength training (average of 3.7 weeks to complete). We followed the University of Delaware guidelines for perturbation training,³⁴ the goal being to break up generalized muscle stiffness as subjects were tactilely and verbally cued to elicit selective lower extremity muscle contraction while balancing on a support surface that the physical therapist perturbed.

The goal of strength training was to maximize quadriceps force output using high-intensity and low repetitions. Preoperatively, we obtained subjects' one repetition maximum weight lifted on the injured side while on the leg press and leg extension machines. Subjects then completed three sets of six repetitions at 75% of their one repetition maximum weight. Subjects performed lateral and forward step downs starting at a 4-inch step and progressing in step height when they demonstrated proper technique (knee behind the toes, the mid-line of the patella in alignment with the second toe, the hips level, and touching the heel down softly to the floor). Lastly, subjects completed an isokinetic spectrum routine on a dynamometer (KIN-COM; Chattanooga Corp., Chattanooga, TN). Subjects were encouraged to exert full effort implementing both verbal and visual feedback as motivation (Table 1).

After the 10 preoperative sessions were completed, the surgeon (M.J.A.) performed ACL reconstruction using either semitendonosis-gracilis autograft or soft tissue allograft. Graft placement and fixation were similar for all subjects. After surgery, the University of Delaware postoperative ACL protocol was followed by all subjects regardless of group.³⁵ Quadriceps strength percentage, knee effusion grades, and soreness were used as guidelines to progress the subject through the clinical milestones.

Quadriceps Maximum Volitional Isometric Contraction (MVIC) Testing

Injured and uninjured limb quadriceps forces were assessed with MVIC testing using the burst superimposition technique to assure maximal muscle activation.³⁶ Subjects were tested on the KIN-COM dynamometer using the University of Delaware testing procedure,³⁷ with practice trials, verbal encouragement, and visual targeting used to facilitate maximal effort. Quadriceps strength testing was performed prior to the intervention and 6 months after ACL reconstruction.

Motion Analysis

Kinematic data were collected with a passive, eight camera 3-D motion analysis system (VICON; Oxford Metrics Ltd., London, UK). Retro-reflective markers were attached over bony prominences to define the hip, knee, and ankle joint centers with tracking shells affixed with cover rolls¹⁵ (Fabrifoam Products, Exton, PA). Kinetic data were collected

using a six-component force plate (Bertec Corp., Worthington, OH). Kinematic and kinetic data were collected, filtered, and processed as described previously.¹⁵ Motion capture began once speed over a 13-m walkway was consistent and the foot contacted the force plate without targeting or altering the step pattern. Subjects walked with the markers and tracking shells attached until they were familiar with the task and gait speed was recorded. Variation of only 5% was allowed from that speed. There was no difference in inter-subject gait speed between groups. The kinematic variable of interest was knee excursion during the mid-stance phase of gait.

Data Management and Analysis

The quadriceps strength index was calculated using the highest quadriceps MVIC force output from each limb. The quadriceps index is a ratio of the involved side/uninvolved side reported as a percentage for each subject. Knee motion was calculated using rigid body analysis with Euler angles (C-Motion, Inc., Rockville, MD). A customized LabVIEW software program was written to analyze the kinematic data. Five walking trials were averaged for each limb after the data were normalized to 100% of stance. The first minimum value after initial contact in the sagittal plane knee angle curve denoted peak knee flexion, and the maximum value indicated peak knee extension. The knee excursion during mid-stance was obtained by calculating peak knee extension minus peak knee flexion. Group means were calculated for quadriceps index and kinematics data. Mixed ANOVAs with repeated measures (limb \times group \times time) were conducted using a statistical software package (SPSS, Chicago, IL) to compare differences between groups over time. If significance was found, post hoc analysis was performed using paired and independent *t*-tests. Significance was set at $p < 0.05$.

RESULTS

Quadriceps strength indexes improved over time ($F = 16.5$, observed power = 0.961, $p = 0.002$). Quadriceps strength indexes before intervention (Pert: 87.2%; Str: 75.8%) improved 6 months after ACL reconstruction in both groups (Pert: 97.1%; Str: 94.4%) (Fig. 2).

Significant differences were also found in knee excursions between limbs ($F = 15.98$, observed power = 0.96, $p = 0.001$) and over time ($F = 7.52$, observed power = 0.73, $p = 0.014$). Knee excursions at mid-stance were smaller on the involved side prior to surgery in both groups. The involved limb moved through less flexion in the perturbation groups (Mean: 5.98; 95% CI: 10.2 to 1.5; $p = 0.026$) and strength (Mean: 5.68; 95% CI: 10.5 to 0.6; $p = 0.031$) during mid-stance. The perturbation group demonstrated an increase in knee excursion at midstance compared to the uninvolved side, resulting in no significant difference between limbs 6 months after surgery (Mean: 3.58; 95% CI: 8.3 to -1.4; $p = 0.14$). The mid-stance knee excursions continued to be significantly different between limbs in the strength group 6 months after surgery (Mean 7.08; 95% CI: 11.6 to 2.5; $p = 0.007$) (Fig. 3).

DISCUSSION

Quadriceps Strength

As hypothesized, all subjects demonstrated successful quadriceps strength gains 6 months after ACL reconstruction. We operationally defined success as achieving a quadriceps strength index of 90% or greater, a clinically meaningful change achieved by both groups. Our subjects' successful gains support earlier findings of improved quadriceps strength indexes 6 months after ACL reconstruction,⁵ though many investigators report deficits 6 months after ACL reconstruction.^{3-5,7,31}

These conflicting results are likely due to discrepancies in quadriceps strength testing and strengthening protocols. Some investigators reported isometric muscle force represented as a quadriceps symmetry index,^{31,38} while others reported isokinetic strength values of quadriceps symmetry.^{4,5,7} Our preoperative program focused on intense, progressive quadriceps strengthening on the involved side only with all subjects reporting muscle fatigue after each treatment followed by delayed onset quadriceps soreness. Keays and colleagues⁷ provided preoperative quadriceps strengthening, though this was predominately home-based and exercise intensity was not specified. Our postoperative protocol³⁵ focused on the importance of early strength gains using neuromuscular electrical stimulation that facilitates quadriceps strength gains more than volitional exercises alone.^{39,40}

Gait Kinematics

As hypothesized, subjects receiving perturbation training demonstrated no difference in knee excursions during mid-stance 6 months after ACL reconstruction. We previously reported preoperative findings of decreased knee excursions in this group of non-copers.¹⁵ There were no differences in knee effusion grades during preoperative testing or 6 months following ACL reconstruction between groups, and no subject complained of pain or soreness during gait analysis. Furthermore, all had symmetrical quadriceps strength and knee range of motion without clinically observed antalgic gait patterns, complaints of instability, or episodes of giving way when tested 6-months post-operatively. Yet the strength group continued to display less knee excursion during mid-stance on the involved side.

Interpreting Findings

The quadriceps plays an integral role in concentrically advancing the knee through extension during midstance. The perturbation group demonstrated enhanced ability to use the quadriceps strength gains by moving the involved limb similarly to the uninvolved limb. Perturbation training increases motion and decreases muscle co-contractions in the involved limb during the weight acceptance phase of gait in potential copers after acute ACL rupture.⁴¹ Thus, reduction in co-contraction values may be related with our findings of increased motion.

Loss of feedback from mechanoreceptors after ACL rupture and abnormalities in gamma loop information may explain quadriceps weakness.⁴² Subjects who received perturbation training were trained to focus on the somatosensory input from the weight distribution of the foot contact on the board and the afferent information coming from the lower extremity (joint proprioception and muscle response). The focused awareness of the body in space, along with tactile and verbal feed-forward and feed-back cues during training may have modified gamma loop function. Training may have improved gamma loop feedback and decreased antagonistic muscle activity, enhancing the quadriceps' ability to stabilize the knee dynamically during gait.

The strength gains demonstrated by both groups may decrease the risk of knee OA. Quadriceps weakness has been shown to be related to the development of knee OA in women.⁴³ However, perturbation training may enhance the sensorimotor characteristics of the muscle more than strengthening alone. Hurley²⁶ inferred that both motor and sensory dysfunction of a muscle are important factors in cartilage degradation. Furthermore, Hurley²⁶ surmised that rehabilitation exercises may reverse sensorimotor dysfunction, thereby delaying or preventing the onset of OA.

The improved inter-limb symmetry displayed during gait by the perturbation group may also have positive implications on joint health. Chaudhari et al.¹⁴ surmised that mechanical

changes may be the precipitating cause of knee OA as loads shift to areas of cartilage not typically loaded. They documented that cartilage appears to be conditioned to load history and that conditioned cartilage likely has different abilities to respond to loads.¹⁴ In vivo studies of non-copers indicate that ACL-deficient limbs shift the contact point between tibia and femur with the tibial point moving more anterior with knee extension.⁴⁴ Hence, altered knee kinematic changes observed in the sagittal plane may also lead to shift joint load to an infrequently loaded area. Breaking the typical low knee flexion excursion strategy while walking may be advantageous, as joint loads may be distributed over a larger contact area more in line with the conditioned cartilage regions adapted to handle the pre-injury loading pattern.

Despite symmetrical strength achieved by both of our groups, the strength group demonstrated differences in knee excursions between limbs during mid-stance 6 months after ACL reconstruction. This suggests that the neuromuscular system is not controlling the involved limb the same way as the uninvolved limb in both groups. Improved mid-stance excursion in the perturbation group is a promising first indication that neuromuscular training rehabilitation programs can improve movement patterns in the involved limb after ACL reconstruction in non-copers. Investigations that include electromyography are warranted to determine the neuromuscular changes responsible for the perturbation group's gait adaptations.

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Figure 1.
Pictures of a subject receiving perturbation training under three conditions (rockerboard, rollerboard, and rollerboard and platform).

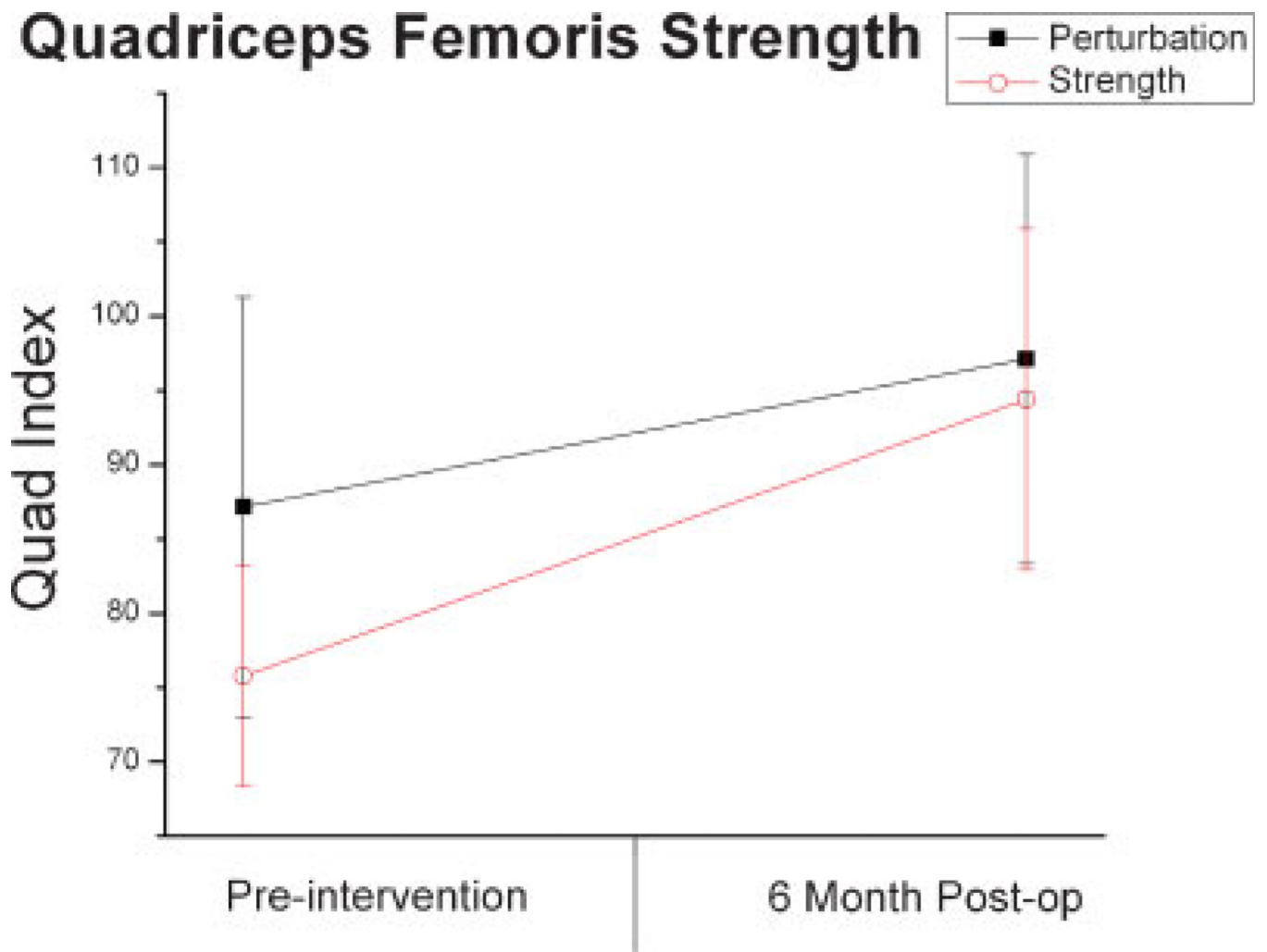


Figure 2. Quadriceps Strength Index (involved force/uninvolved force expressed as a percent) prior to intervention and 6 months after ACL reconstruction for each group. Error bars represent standard deviation.

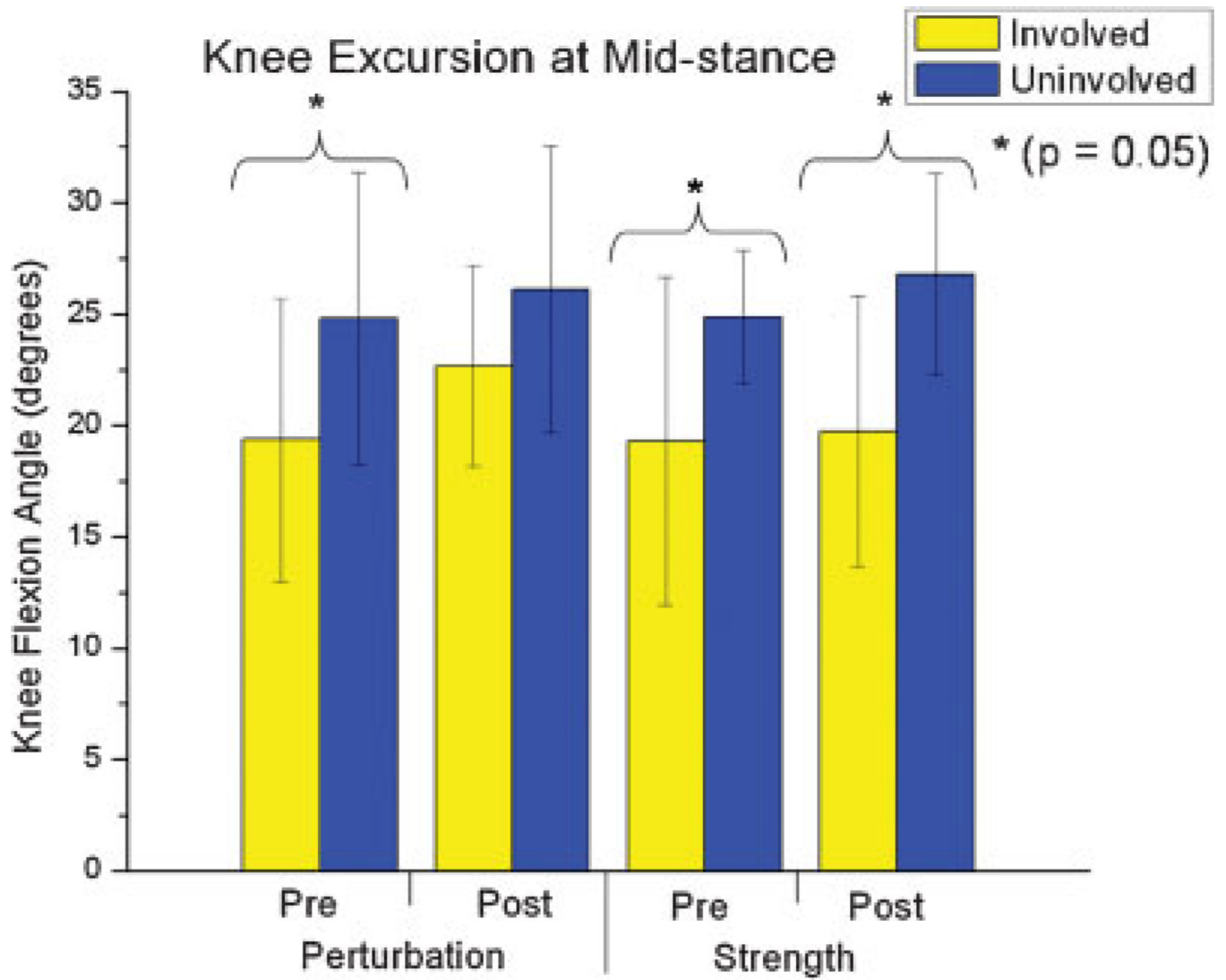


Figure 3. Involved knee excursions prior to intervention (Pre) and 6 months after ACL reconstruction (Post) for the involved and uninvolved limb for each group. Error bars represent standard deviation.

Table 1

Subjects Completed 10 Repetitions at the Speeds Shown while Performing Both Eccentric and Concentric Quadriceps Muscle Contractions through 10 to 100° of Knee Flexion

