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VEGF-C, VEGF-A and related angiogenesis factors as biomarkers of allograft vasculopathy in cardiac transplant recipients

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Abstract

BACKGROUND—Cardiac allograft vasculopathy (CAV), the major cause of late allograft loss after cardiac transplantation, results from donor-directed cellular and humoral alloimmune responses. Graft vascular endothelial cells (EC) are primary targets of these destructive responses, suggesting that factors associated with endothelial injury and repair could serve as biomarkers of CAV.

METHODS—Using a protein profiler array platform, we measured the levels of 55 angiogenesisrelated proteins in sera from 33 adult heart transplant recipients, including 17 with angiographically documented CAV and 16 age- and gender-matched controls without CAV. All patients were >2 years after heart transplant.

RESULTS—The study population was 75% male with a mean age of 62 ± 11 years. On average, patients were 12 ± 5 years after heart transplantation. We found that vascular endothelial growth factor (VEGF)-C, VEGF-A, angiopoietin-2, artemin, urokinase-type plasminogen activator and vasohibin were strongly associated with established CAV (all $p < 0.01$). Multivariable modeling

Disclosure statement

Supplementary data

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identified VEGF-C, VEGF-A and platelet factor-4 (PF-4) as significant independent biomarkers of CAV. Furthermore, receiver-operating characteristic curve analysis demonstrated that the combination of all 3 molecules provided outstanding performance for the diagnosis of CAV (area under the curve $[AUC] = 0.98$; $p < 0.001$).

CONCLUSIONS—Serum levels of VEGF-C, VEGF-A and PF-4 demonstrate strong associations with established CAV and, together with related angiogenesis factors, may serve as a reliable, non-invasive diagnostic test for CAV in cardiac transplant recipients.

Keywords

heart transplantation; coronary artery disease; angiogenic proteins; biologic markers

Cardiac transplantation remains an important life-saving therapy for patients with end-stage heart failure. Advances in the diagnosis, prevention and treatment of acute cardiac allograft rejection have significantly improved short-term graft survival rates.¹ However, these same strategies have failed to interrupt the development and progression of cardiac allograft vasculopathy (CAV), the leading cause of long-term graft failure after heart transplantation.^{1,2} Once CAV is detectable by coronary angiography or intravascular ultrasound (IVUS), newer therapies, such as mammalian target-of-rapamycin (mTOR) inhibitors, only attenuate the rate of disease progression.^{3,4} Thus, it is apparent that longterm success after transplantation will only become a reality with the design of therapeutic strategies that prevent the development and/or the progression of the disease process. This goal will require the development of clinically reliable biomarkers that identify CAV at its earliest stage of development, and can be used to individualize and monitor treatment.

CAV is a multi-factorial process that is characteristically associated with donor-directed alloimmune responses, resulting in interstitial inflammation, ongoing graft injury and cellular/intramyocardial apoptosis.5,6 Several studies have indicated that intragraft microvascular endothelial cell activation is an early finding in CAV development.7,8 Graft vascular endothelial cells (EC) respond to cytokines and growth factors produced by infiltrating mononuclear cells that are associated with early reperfusion injury, acute rejection or chronic rejection^{9–17}; it has been reported that both microvascular and largevessel EC respond similarly to such stimuli.7,18 The induced expression of adhesion molecules and chemokines by EC result in the recruitment of leukocytes and the expression of MHC Class I and II molecules on donor graft EC enables the presentation of alloantigen to infiltrating lymphocytes.^{14,19–21} In addition, it is increasingly appreciated that alloantibody-mediated injury to the graft primarily involves targeting of the EC, thus making EC injury and repair an important common pathway for analysis.^{11,15,17,22}

In this study, we aimed to test the hypothesis that blood levels of proteins involved in vascular injury and repair could serve as sensitive biomarkers of CAV. Therefore, we profiled serum levels of proteins involved in angiogenesis in cohorts of heart transplant recipients with and without established CAV in order to identify molecules that might serve as candidate biomarkers for further development into a clinical assay.

Methods

Patient population

Adult heart transplant recipients followed in the ambulatory clinic at the Brigham and Women's Hospital were prospectively enrolled in this study. All patients were at least 2 years removed from orthotopic heart transplantation. The cross-sectional design maximized our ability to enroll patients with CAV. Exclusion of patients in the early post-transplant

period minimized the chance of identifying confounders, such as biomarkers of acute cellular rejection. Patients who had undergone heart retransplantation or multi-organ transplantation were excluded. A total of 17 patients with CAV (cases) and 16 age- and gender-matched control patients without CAV (controls) were enrolled over a 12-month period. Serum was obtained from each patient and baseline demographic characteristics, indication for transplant, rejection history, current immunosuppression and cytomegalovirus (CMV) serologic status were collected. Serum was frozen at minus 80°C on the same day the samples were drawn and then stored until use. The protocol was approved by the Committee on Clinical Investigation at Boston Children's Hospital and written informed consent was obtained from all study subjects.

Clinical management

The majority of patients received triple-drug immunosuppression. In this older cohort, cyclosporine was the primary immunosuppressive medication used in the majority of patients (Table 1); goal trough levels were 200 to 250 µg/liter early post-transplant and 50 to 100 µg/liter late post-transplant. Coronary angiography was performed annually as part of a clinical protocol, starting 1 year post-transplant. Beyond 8 to 10 years post-transplant, the decision to perform coronary angiography was individualized based on patient-specific factors.

In our program, angiography is only performed prior to 1 year post-transplant for urgent clinical indications such as acute allograft dysfunction or arrhythmia. Any donor with significant coronary artery disease risk factors, a history of cardiac arrest or age >40 years is screened with coronary angiography prior to accepting the donor heart for transplant. Intravascular ultrasound (IVUS) is not performed as part of the clinical protocol, and therefore IVUS data were not available. Endomyocardial biopsy is performed as follows: weekly for 4 weeks; every other week for 8 weeks; monthly until prednisone has been tapered to 5 to 6 mg/day; and then every 6 to 12 months, depending upon rejection history. Biopsies are graded for acute cellular rejection using the prevailing ISHLT criteria.^{23,24}

Classification of CAV

The most recent coronary angiogram, hemodynamics and echocardiogram were interpreted by the clinicians caring for each patient at the Brigham and Women's Hospital and were used to identify patients for referral and enrollment. A single cardiologist investigator (K.P.D.) also reviewed cardiac testing results to confirm classification of study subjects. The angiograms were graded according to the published consensus guidelines from the International Society of Heart and Lung Transplantation.²⁵ The reviewer (K.P.D.) was blinded to the results of other clinical diagnostic tests performed on each patient.

Profiling levels of angiogenesis-related proteins

Concentrations of 55 angiogenesis-related proteins were determined using a human angiogenesis protein array kit (ARY007; R&D Systems, Minneapolis, MN). Serum samples were assayed using standard techniques by the R&D Biomarker Testing Service, blinded to patient data. Briefly, 0.5 ml of patient serum was added to a biotinylated detection antibody cocktail and was incubated with a nitrocellulose membrane spotted (in duplicate) with specific capture antibodies. The membranes were washed, incubated with streptavidin– horseradish peroxidase and, after an additional wash step, were developed by chemiluminescence. Each blot was scanned using a transmission mode scanner and expression of each molecule was determined by densitometry using automated image analysis software. Densitometric values of duplicate samples were averaged and subtracted from the average densitometric value for each negative control to compensate for background. Values are reported in densitometric units (DU). Molecules that were present at

levels below that of the negative control were assigned a value of 0 DU. This assay allows for quantitative comparisons and ranking of patients based on levels of individual molecules.

VEGF-A serum concentration was analyzed using a sandwich enzyme-linked immunoassay (ELISA) according to the protocol provided by the manufacturer (DVE00; R&D Systems, Minneapolis, MN). In addition, VEGF-A serum concentration was analyzed using a magnetic bead–based quantitative multiplex assay, according to the manufacturer's protocol (HAGP1MAG-12K; Millipore, Billerica, MA), using a Luminex 200 device. Data were analyzed using XPONENT software (version 3.1; Millipore).

Statistical methods

Patients' characteristics were compared between the cases and controls using Student's t-test for normally distributed continuous variables, Wilcoxon's rank-sum test for continuous variables with a skewed distribution and Fisher's exact test for proportions. Median levels of each serum biomarker were compared between cases and controls by the Mann–Whitney Utest and displayed using box- and-whisker plots. Candidate biomarkers with $p < 0.05$ in univariable analysis were entered into a backward stepwise multivariable logistic regression model to identify which candidate biomarkers were independently associated with CAV using the likelihood ratio test to assess significance. Receiver-operating characteristic (ROC) curve analysis was applied to determine diagnostic accuracy based on area under the curve (AUC) for each significant multivariate predictor and composite of predictive biomarkers together. Statistical analysis was performed using SPSS software (SPSS, Inc./IBM, Chicago, IL) and all reported p-values are 2-tailed.

Results

Patient characteristics

Within our cohort of 33 heart transplant recipients, 17 patients had angiographically documented CAV. Among the patients with CAV, 69% were male, 88% were Caucasian, the average age at transplant was 51 ± 11 years, and the average time from transplant to study enrollment was 11 ± 4 years (Table 1). All patients with CAV had established disease with an average time from diagnosis of CAV to study enrollment of 5.7 ± 3.5 years. All but 1 of the CAV patients were at least 1.5 years removed from the original diagnosis of CAV at the time of study enrollment. Forty-four percent of patients with CAV were transplanted for non-ischemic dilated cardiomyopathy. Coronary artery disease (25%) and congenital or valvular heart disease (19%) were the next most common indications. There were no significant differences between cases and controls with regard to gender, ethnicity, age at time of transplant, indication for transplant, time since transplant and CMV status (Table 1). Donor age was significantly greater in patients with CAV (41 \pm 12 years vs 31 \pm 12; *p* = 0.02), but notably most donors were <50 years of age, thus excluding the highest risk strata.²⁶ Graft ischemic time and the number of episodes of acute rejection in the first posttransplant year were not significantly different between groups, although the study was not powered for risk factor analysis. The majority of patients in both groups were on tripledrug immunosuppression, with prednisone, cyclosporine and azathioprine being the most frequently used combination. Among the patients with CAV, 9 (53%) had ISHLT Grade 1 disease, 3 (18%) had ISHLT Grade 2 disease, and 5 (29%) had ISHLT Grade 3 disease.

CAV and proteins involved in angiogenesis and endothelial proliferation

There were significant differences in the levels of 21 of the 55 angiogenesis-related factors between patients with and without CAV (Table 2). Of these 21 proteins, vascular endothelial growth factor (VEGF)-C, artemin, urokinase plasminogen activator, vasohibin, angiopoietin-2 and VEGF-A showed the greatest differences between cases and controls

(Figure 1). The 34 proteins that failed to show statistically significant differences are listed in Table S1 (supplementary data associated with this article can be found, in the online version, at www.jhltonline.org).

The difference in VEGF-A serum concentration, which has been reported to be associated with CAV risk,^{27,28} was further validated using a VEGF-A ELISA assay and VEGF-A multiplex assay. By ELISA, we found that VEGF-A serum concentrations were significantly higher in patients with established CAV (421 pg/ml [interquartile range 242] vs 195 pg/ml [IQR 187]; $p = 0.03$). The magnetic bead–based multiplex assay also revealed higher VEGF-A concentrations in patients with CAV (348 pg/ml [IQR 157] vs 158 pg/ml [IQR 127]; $p =$ 0.03). There were no significant differences in levels of VEGF-A, VEGF-C and platelet factor-4 (PF-4) among patients with CAV based on whether or not sirolimus was used as part of the immunosuppressive regimen.

VEGF-C, VEGF-A and PF4 as sensitive and specific biomarkers for established CAV

Multivariate logistic regression modeling identified VEGF-C, VEGF-A and PF-4 as the strongest independent biomarkers associated with established CAV. ROC analysis was used to determine the diagnostic test characteristics of these biomarkers, both alone and in combination. These biomarkers provide excellent diagnostic separation of patients with CAV from patients without CAV (Figure 2A and Table 3). In addition, we found that these biomarkers accurately separate the subset of patients with mild CAV (Grade 1) from patients without CAV (Figure 2B and Table 3).

When evaluating the entire CAV population (all grades), each individual biomarker had good test performance characteristics (range of AUC = 0.790 to 0.835; $p < 0.005$ for all). However, when VEGF-A and VEGF-C were modeled together, the performance characteristics improved substantially (AUC = 0.938; 95% CI 0.850 to 0.999; $p < 0.001$). When PF-4 was added to the model, the diagnostic performance characteristics were optimized for the identification of patients with CAV ($AUC = 0.982$; 95% CI 0.940 to 1.000; $p < 0.001$). By considering VEGF-A, VEGF-C and PF-4 in the model and selecting an optimal diagnostic cut-off, the current data suggest that these biomarkers are 100% sensitive and 94% specific for the diagnosis of CAV (all grades). When the subset of patients with Grade 1 CAV was compared with controls, the results were similar (Table 3). In this instance, the combination of VEGF-A and VEGF-C provided nearly perfect discrimination between mild CAV (Grade 1) and controls (AUC = 0.979; 95% CI 0.931 to 1.000; $p < 0.001$).

Discussion

In this study we have demonstrated that soluble proteins involved in vascular remodeling are associated with established CAV in patients with angiographically apparent disease. Specifically, we found that a combination of serum levels of VEGF-C, VEGF-A and PF-4 can identify patients with established CAV in a sensitive and specific manner. In addition, levels of these three proteins allowed for a sensitive and specific diagnosis of even mild CAV. Our findings support the hypothesis that serum levels of VEGF-C, VEGF-A and PF-4 may serve as the basis for the development of a clinical diagnostic test for CAV. A larger prospective study will allow for identification of optimal cut-off values so that patients can be risk-stratified in the future.

To date, no non-invasive blood test exists for use in CAV screening.29,30 Chronic rejection with the development of CAV continues to be the most prominent cause of late allograft loss in heart transplant recipients,² and its prevention and treatment is a priority for the development of novel therapeutics in the field. Unfortunately, a major impediment to

progress relates to a lack of tools to predict disease initiation. State-of-the-art approaches continue to rely heavily on invasive testing, such as coronary angiographic and IVUS.^{5,6,31} Although other less invasive imaging studies are available, including computed tomographic (CT) angiography, dobutamine stress echocardiography and nuclear imaging, they have limitations due to their lack of sensitivity and/or their limited ability to detect early and small-vessel disease.⁵ Thus, it is generally appreciated that sensitive and clinically useful biomarkers are needed to advance our ability to detect and treat this condition.

Our data suggest that multiple proteins involved in angiogenesis are associated with CAV in human heart transplant recipients. We believe that these findings lay the groundwork for the development of a quantitative blood-based assay for the diagnosis of CAV. Consistent with other reports, 27.28 we found high serum levels of VEGF-A in patients with angiographically apparent CAV. However, a new observation in our data set is that the combination of VEGF-A with VEGF-C and PF-4 has better diagnostic test performance characteristics than VEGF-A alone. In addition, related molecules, including angiopoietin-1, artemin, urokinasetype plasminogen activator and vasohibin, showed strong statistical associations with CAV and should be measured quantitatively in a larger prospective study, along with VEGF-A, VEGF-C and PF-4, in order to validate the findings of this pilot cross-sectional analysis. Although our findings support an association between these proteins and established CAV, the cross-sectional study design does not allow inference to be made regarding when serum levels of these biomarkers increase relative to the development of CAV. Nevertheless, the association between these biomarkers and mild CAV (Grade 1) supports the hypothesis that changes in these biomarkers will precede the development of clinically apparent disease. A prospective cohort study examining these biomarkers at multiple time-points relative to the appearance of CAV, assessed by both IVUS and angiography, is needed to test this hypothesis.

VEGF-A is an important pro-angiogenic molecule that is well established to promote the survival and proliferation of vascular endothelial cells. It also has potent proinflammatory effects, which include its ability to act as a chemoattractant for monocytes and lymphocytes, $32-35$ and its ability to elicit vascular permeability. 36 Consistent with our findings, VEGF-A has emerged as an important molecule in the rejection process, and its expression has been reported by our group as well as several others in association with both acute and chronic allograft rejection.^{33,34,37–39} Torry et al found that enhanced VEGF-A expression was confined to areas of the allograft myocardium in association with monocyte/ macrophage infiltrates and also that expression of VEGF-A was associated with fibrin deposition.38 In previous studies, we observed increases in VEGF-A expression within human cardiac allografts, and we found that the expression of VEGF-A was spatially associated with infiltrates. Furthermore, we noted that high levels of VEGF-A expression correlated with the development of both acute and chronic allograft rejection, and that persistent overexpression of intragraft VEGF-A identified risk for the development of CAV.40 Other investigators reported that genotypes associated with high VEGF production confer increased risk for development of chronic rejection.41–43 Finally, in experimental animal models, VEGF-A has been shown to be involved in the development of CAV. In these models, forced overexpression of VEGF-A within the myocardium of cardiac allografts results in monocyte recruitment, vascular disease and CAV development.³⁷ The current findings, taken together with previously published data, provide compelling evidence that VEGF-A is overexpressed in association with rejection and that VEGF-A may serve as a biomarker of alloimmune-mediated graft injury as well as CAV disease activity.

An intriguing finding in this study is that VEGF-C was also associated with CAV. VEGF-C binds VEGF receptor (R)-2 and VEGFR-3 to mediate its biologic effects, and it is wellestablished to be a dominant factor stimulating lymphangiogenesis. Consistent with our

findings, increased production of VEGF-C has been reported in experimental models of chronic allograft rejection⁴⁴ and recent studies have linked increased lymphangiogenesis to chronic allograft rejection after both kidney and lung transplantation in humans.45–48 In addition, Nykänen et al demonstrated that inhibition of VEGFR-3 leads to a decrease in lymphatic vessel activation, intragraft inflammation and graft vasculopathy in a rat and mouse model of chronic cardiac rejection.⁴⁴ Our study has provided the first clinical evidence that measurement of serum levels of VEGF-C may provide useful information after heart transplantation. Based on these data in both animals and humans, we speculate that VEGF-C/VEGFR-3 interactions represent an important area for mechanistic investigation.

PF-4 was one of the first chemokines shown to be an angiogenesis inhibitor.⁴⁹ At sites of vascular injury, circulating platelets adhere to the naked basement membrane, resulting in aggregation and release of their alpha granule contents, which include PF-4. In this manner, high levels of PF-4 have been reported to be released within minutes of endothelial injury.⁴⁹ Once bound to its receptor CXCL4, PF-4 functions in diverse biologic processes through its effects on multiple cell types, including immune cells and endothelial cells. Its effects on endothelial cells inhibit angiogenesis and vascular repair, whereas its chemoattractant effects on leukocytes promote inflammation, notably monocyte-dependent inflammation. Although PF-4 has not yet been reported to function in CAV development, it is well established to promote atherosclerosis.50,51 The presence of pro-thrombotic factors, including tissue plasminogen activator, fibrin and anti-thrombin, in early post-transplant endomyocardial biopsy specimens has been associated with later development of CAV .^{52,53} Thus, it is possible that the ongoing pro-thrombotic response within cardiac allografts in patients with CAV leads to elevated PF-4 concentrations. Collectively, these findings provide further rationale to support PF-4 as a biomarker of vascular injury and CAV development.⁴⁹

The current study has several limitations that require consideration. The arrays used did not allow for generation of a standard curve and therefore do not permit well-defined cut-offs expressed as a concentration of each molecule in serum. This methodologic limitation is partially obviated by the fact that all assays were run by the same laboratory using a standard protocol. However, it is likely that a multiplex bead Luminex or ELISA-based assay would have allowed for more quantitative results and standardization of our findings. Although bead-based assays for the molecules reported in this study are being developed, we performed a multiplex Luminex assay using a commercially available kit to assess levels of VEGF-A in a subset of our cohort. We also performed a VEGF-A ELISA on these serum samples. Using both techniques, we found a significant increase in VEGF-A concentrations in patients with CAV compared with controls. It should also be noted that VEGF-A and other sequestered proteins are released from platelets during the process of clotting, and therefore measurements using serum samples may not be as reliable as plasma measurements.54 Thus, it is possible that biomarker testing in plasma may reveal more significant changes than those reported in this study.

Although our study involved a small number of patients with CAV, the findings suggest that testing for VEGF-A, VEGF-C and PF-4 in combination may be a highly sensitive approach to screening for established CAV. Our sample size is consistent with earlier pilot studies in transplantation that have laid the groundwork for larger observational cohort studies.^{55,56} Thus, we believe this pilot study provides valuable data supporting the hypothesis that monitoring blood concentrations of VEGF-A, VEGF-C and PF-4 can be used to noninvasively diagnose established CAV. An alternative hypothesis, which must be considered, is that elevated levels of VEGF-A, VEGF-C and PF-4 in the CAV cohort may be the result of atherosclerotic vascular disease affecting the peripheral vasculature or coronary arteries

themselves. There is a clear overlap between risk factors for coronary artery disease and CAV.

In conclusion, in this pilot discovery biomarker study, we have identified distinct patterns of soluble proteins associated with EC injury, repair and proliferation in stable adult heart transplant recipients with angiographically apparent CAV. The data provide a basis for future studies in which these candidate biomarkers can be validated using high-throughput quantitative assays with a rapid turnaround time.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Proteins involved in vascular injury and repair responses are associated with cardiac allograft vasculopathy (CAV). (A) Proteins were quantitated using chemiluminescence after capture by membrane-bound antibody. These six proteins were selected as they had the strongest association with CAV in univariable analysis ($p < 0.01$). Data are displayed using box-and-whisker plots with medians displayed on a logarithmic scale. Measurements below the limit of detection were assigned a value of 1 densitometric unit for the purpose of display. (B) Box-and-whisker plots showing the concentration of VEGF-A (pg/ml) as determined by ELISA. (C) Box-and-whisker plots showing the concentration of VEGF-A (pg/ml), as determined by quantitative multiplex assay.

Figure 2.

Receiver-operating characteristic (ROC) curve analysis for vascular endothelial growth factor (VEGF)-A, VEGF-C and platelet factor (PF)-4. These biomarkers were identified as significant independent predictors in the multivariable logistic regression model. (A) Test performance characteristics for those patients with CAV (all grades) vs controls without CAV. (B) Test performance characteristics for separating patients with mild CAV (Grade 1) from controls without CAV.

Table 1

Patient, Donor, and Graft Characteristics

CAD, coronary artery disease; CAV, cardiac allograft vasculopathy; CMV, cytomegalovirus; ISHLT, International Society for Heart and Lung Transplantation.

Table 2

Levels of Angiogenesis-related Proteins Showing Statistically Significant Differences in Univariable Analysis

The densitometric value for each molecule is presented as the median [25th percentile, 75th percentile]. Comparisons were made using the Mann– Whitney U-test. CAV, cardiac allograft vasculopathy; FGF1, fibroblast growth factor 1; FGF2, fibroblast growth factor 2; HBEGF, heparinbinding EGF-like growth factor; TGF-β1, transforming growth factor-beta1; CCL3, chemokine (C-C motif) ligand 3; TYMP, thymidine phosphorylase; serpinB5, serpin peptidase inhibitor, clade B (ovalbumin), member 5; PF-4, platelet factor-4; serpinF1, serpin peptidase inhibitor, clade F (alpha-2 anti-plasmin, pigment epithelium-derived factor), member 1; uPA, plasminogen activator, urokinase; VEGF-A, vascular endothelial growth factor-A; VEGF-C, vascular endothelial growth factor-C.

Table 3

Receiver-Operating Characteristic (ROC) Curve Analysis for Biomarkers with the Strongest Independent Predictive Value in the Multivariable Logistic Model

AUC, area under the curve; CI, confidence interval; PF-4, platelet factor-4; VEGF-A, vascular endothelial growth factor-A; VEGF-C, vascular endothelial growth factor-C.

 a Based on multivariable logistic regression analysis (combined AUC value is equivalent to the c-index).