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Associations between salivary testosterone and cortisol levels and neonatal health and growth outcomes

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Abstract

Objectives—Male vulnerability in health and growth outcomes has often been reported in very low birth weight (VLBW) preterm neonates. On the basis of gender-difference theories, possible associations were explored between the levels of postnatal salivary testosterone/cortisol and the outcomes of neonatal health/growth.

Methods—This study used an exploratory and comparative research design. One-hundred-one mother–VLBW preterm neonate pairs were recruited from the neonatal intensive care unit (NICU) of a tertiary medical center in the Southeastern, US. Demographic information, health and growth variables of neonates, and pregnancy and labor variables of mothers were obtained from the medical record reviews and interviews of mothers. Saliva samples from each pair were collected between 9 and 60 days of age. The levels of testosterone and cortisol were determined by using an enzyme immunoassay methodology.

Results—Linear regression analysis showed that neonatal health problems were positively associated with the levels of postnatal salivary testosterone and cortisol, while growth delays were positively associated with the levels of postnatal salivary testosterone after adjusting for the characteristics of neonates and mothers and day of saliva sampling. The salivary levels of testosterone and cortisol were higher in neonates than in mothers. A positive correlation between the levels of testosterone and cortisol was found in neonates and in mothers.

Conclusions—The level of postnatal salivary testosterone is a more reliable marker in assessing neonatal health and growth outcomes compared to salivary cortisol. Further research on both testosterone and cortisol measurements at various stages during the neonatal period may elucidate further these associations.

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Conflict of interest

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Keywords

Salivary testosterone; Salivary cortisol; Neonatal health and growth

1. Introduction

Very low birth weight (VLBW) preterm male neonates experience more health and growth problems than female VLBW preterm neonates do [1]. It is unclear which factors explain the vulnerability of male VLBW preterm neonates to these problems and whether these factors are physiological in origin. Although causal relationships have not been established, well accepted theories that gender impacts health outcomes in neonates support the possibility that elevated levels of testosterone function as a biological risk factor [2-5].

According to gender-difference theories [2,6], elevated levels of testosterone diminish the size of the developing thymus gland [2], delay pulmonary maturation, and inhibit synthesis of lung surfactant [7]; hence, preterm infants with high levels of testosterone may experience health problems related to respiratory function. Testosterone increases susceptibility to neurological insults and decreases recovery from brain damage [8,9]. Whether testosterone levels contribute to the significantly higher rates of Grades III and IV intraventricular hemorrhage, neurodevelopmental impairment, and death in VLBW preterm males remains unknown. Elevated levels of testosterone are also associated with intrauterine growth retardation [10] and with slower catch-up growth in humans and other mammals [11].

The role of testosterone in neonatal health and growth has been implicated in findings from many studies on cortisol. Exposure to prenatal stressors, such as maternal stress and anxiety, stimulates fetal cortisol secretion [12]. Furthermore, fetal stress, determined by an elevated level of cortisol in human fetal blood and amniotic fluid, is further increased by an elevated level of testosterone. A positive association between the levels of cortisol and testosterone has been found in amniotic fluid, fetal blood, and cord blood [13-15]. Interestingly, these findings are opposite that for adult men, for whom a negative association between cortisol and testosterone concentrations has been reported [16].

The role of testosterone in various cognitive and behavioral outcomes has been extensively investigated [17-20], however, little is known about its role in health and growth outcomes of VLBW preterm neonates. This study attempts to explore possible associations, rather than causal relationships, between the neonatal outcomes and the levels of postnatal salivary testosterone and cortisol because causal effects have not been firmly established.

We addressed two research questions in this study: (a) are the levels of postnatal salivary testosterone and cortisol associated with neonatal health after adjusting for the characteristics of neonate and mother and days of saliva sampling? (b) Are the levels of postnatal salivary testosterone and cortisol associated with neonatal physical growth after adjusting for the characteristics of neonate and mother and days of saliva sampling? On the basis of previous observations, we hypothesized that elevated levels of postnatal salivary testosterone and cortisol are positively associated with health problems and delayed physical growth and that the levels of testosterone and cortisol in VLBW preterm neonates are positively correlated.

2. Method

We used an exploratory, comparative research design to answer the research questions.

2.1. Participants

We recruited 101 VLBW preterm neonate–mother pairs, including three neonates from three twins, from the NICU of a major academic medical center in the southeast region of the United States. Neonates were recruited if they were (a) $6-10$ days old, (b) less than 34 weeks of gestational age (GA) , and (c) less than 1500 g at birth. Neonates were excluded if they (a) were going to be discharged from the hospital before the 1st week or (b) had history or symptoms of substance exposure. Neonates were also excluded if their mother was (a) less than 15 years (b) did not speak English, (c) dependent on narcotics or drugs, (d) HIV positive, or (e) had a documented serious medical or psychological problem such as cancer or postpartum psychosis.

2.2. Measures

The data were obtained through medical record reviews, interviews of mothers, and biochemical measurements. The medical record for the neonate contained demographic information, neonatal history, and concurrent health problems during the sampling period. For the mother, data collected included demographic information, pregnancy history, delivery record, and concurrent health problems during the sampling period.

2.2.1. Neonatal health—As shown in Table 1, variables on neonatal health included GA, 1- and 5-minute Apgar scores, cardiopulmonary resuscitation (CPR) at birth (0–6), presence of medical complications $(0-16)$, days of hospitalization, technology dependence at discharge (0–6), and neurobiologic risk score (NBRS; [21]). The summed and un-weighted $(0 = \text{absence}, 1 = \text{presence})$ variables were created based on the studies conducted by one of the co-authors. The variable of CPR consisted of six items: use of oxygen, bagging and mask, continuous positive airway pressure (CPAP), intubation, chest compression, and epinephrine. The total CPR score was the sum of the scores for all six items and ranged from 0 to 6, with higher scores indicating more advanced resuscitation at birth. The variable of medical complications was related to 16 binary ($0 =$ no, $1 =$ yes) questions asking whether the neonate was treated with any of the five means (surfactant, antenatal steroid, indomethacin, ventilation/CPAP, and surgery) and whether the neonate had been diagnosed as having any of the 11 conditions (patent ductus arteriosus, seizure, IVH, PVL, brain cyst, sepsis, meningitis, parenteral hyperalimentation, necrotizing enterocolitis, gastrointestinal perforation, and retinopathy of prematurity). The total complication score reported here is the sum of the scores for all 16 categories and ranged from 0 to 16, with a higher score indicating more medical/health problems. The variable of technology dependence at discharge from the hospital consisted of six items: use of oxygen, G-tube and/or tube feeding, ventilator/CPAP, tracheostomy, apnea monitor, and medications. The total technology dependence score, the sum of the scores for all six categories, ranged from 0 to 6, with a higher score reflecting the prevalence of medical/health problems at discharge.

The total NBRS is the sum of seven possible neurological insults and ranges from 0 to 28, with a higher score indicating a higher prevalence of insults. This measure has good psychometric properties and has correlations of −.37 and −.65 with the Bayley Mental and Psychomotor Indexes at 6 and 24 months' corrected age, respectively [21]. Internal consistency of the NBRS was reported as 0.72 for premature infants [22].

2.2.2. Neonatal growth—Data on physical measurement (weight, length, and head circumference) at birth and the 1st week were obtained through the medical record. The neonate was weighed on a battery-operated, electronic scale with a capacity of 20 kg and accuracy within 10 g. Length was measured on a collapsible board with increments to the nearest 0.25 cm. Head circumference was determined with a disposable tape that measured

to the nearest 0.25 cm. All growth parameters were measured by NICU nurses between 4 and 6 am.

2.2.3. Covariates—Characteristics of neonates (gender) and mothers (age, race, body mass index [BMI], education, marital status, pregnancy complications, and labor complications); as well as, day of saliva sampling (9 to 60 days) were modeled as potential confounders or effect modifiers when we assessed the association between neonatal health and growth outcomes and the level of testosterone and cortisol. As shown in Table 1, the variable of pregnancy complications consisted of six items (0–6) and the variable of labor complications consisted of four items (0–9), with higher scores indicating more medical problems. These variables were selected from the NICU mother's data form that was designed to assess neonates' birth conditions that could affect neonatal health and growth outcomes.

2.2.4. Testosterone—Perinatal salivary testosterone levels were determined by enzyme immunoassay (EIA; Salimetrics, LLC, State College, PA). The intra- and inter-assay coefficients of variation were 2.5% and 5.6%, respectively. Saliva samples from neonates were collected by a research nurse by using a low-pressure suction with a 1-cc plastic syringe. All samples were collected between 9 and 60 days of age because relatively high and stable testosterone levels are found from the 1st week through 3 months [23,24]. Sampling a week after birth minimizes the effects of maternal antenatal steroid, analgesia, and length of labor on the testosterone level of neonates. In teenage and adult males, testosterone levels are higher in the morning than in the evening [16]; however, whether this fluctuation occurs in neonates remains uncertain. To control for any potential circadian rhythm of testosterone, we obtained the samples between 8 am and noon. Date and time of the mother's sampling were matched with those of the neonate's sampling.

2.2.5. Cortisol—The salivary samples used for testosterone measurement were also used for cortisol determination. Salivary cortisol levels were determined by EIA (Salimetrics, LLC, State College, PA). The intra- and inter-assay coefficients of variation were 3.3% and 3.7%, respectively. Cortisol levels in adults vary diurnally and are higher in the morning than in the evening. This variation might or might not exist in neonates and has not been manipulated for the study purpose.

2.3. Procedure

The study was approved by the Institutional Review Boards for Human Use. Potential neonate participants were identified on a daily basis through the NICU admission log. A research nurse in the NICU discussed the study with the mothers, and the informed-consent form was available for their review.

Saliva from the neonates was obtained 1 h before or after feeding. Three samples (0.1 ml each) were collected within a 2-h period because of the episodic secreting pattern of steroid hormones [25]. Neonates' saliva was collected by using a low-pressure suction with a 1-cc plastic syringe with a blunt tip. Mothers' saliva was collected by having them use a straw to expectorate into a small tube. The samples were obtained 1 h before or after any oral intake. Three samples of maternal saliva (0.1 ml each) were collected within a 2-h period to minimize the influence of the episodic pattern of steroid hormones. All saliva samples were placed on ice and stored in a −80 °C freezer.

2.4. Data analysis

Two-sample t tests and chi-square tests for contingency tables were used to compare demographic and health characteristics of neonates and mothers by neonates' gender. The

correlation between the level of postnatal salivary testosterone and that of cortisol was computed separately for neonates and mothers. Linear regression was the main analytic tool for assessing the association between neonatal health and growth outcomes and the levels of postnatal salivary testosterone and cortisol after adjusting for characteristics of neonates and of mothers and day of saliva sampling.

3. Results

3.1. Demographic and health characteristics of mothers and neonates by gender of neonates

The levels of mothers' salivary testosterone and cortisol did not differ by gender of neonates (Table 2). In comparison with the levels of testosterone and cortisol in mothers, those levels in neonates were approximately 5.4 times (281 vs. 53 pg/ml) and 1.5 times (0.3 vs. 0.2 μ g/ dl) higher, respectively (Tables 2 and 3). t Tests and chi-square tests showed that none of maternal characteristics differed by neonates' gender, except that mothers of female neonates had a greater number of labor complications than mothers of male neonates.

As shown in Table 3, the level of postnatal salivary testosterone did not differ by gender of neonates whereas that of postnatal salivary cortisol was significantly higher in female neonates than male neonates. The variables of neonatal health did not differ by neonates' gender. As for neonatal growth female neonates had smaller head circumference at birth and weighed less at the 1st week than male neonates.

3.2. Correlations between testosterone and cortisol

The levels of postnatal salivary testosterone and cortisol in neonates were positively related, $r=0.43$, $p=0.0001$ (Fig. 1). This correlation remained positive after removing one outlier on the upper rightmost corner of the plot, $r=.38$, $p=<.0001$. Similarly, the levels of testosterone and cortisol in mothers were also positively related, $r=0.23$, $p=0.02$. No significant correlation was found between the levels of neonates' and mothers' salivary testosterone or between salivary cortisol in the mothers and neonates.

3.3. Association between testosterone and neonatal health and physical growth

A higher level of postnatal salivary testosterone was positively associated with a number of neonatal health and growth outcomes (Table 4). In comparison with neonates with a lower postnatal salivary testosterone level, neonates with a higher testosterone level had a shorter GA, a higher rate of receiving CPR at birth, more medical complications, a longer hospitalization, and more technology-dependence at discharge. Neonates with a higher testosterone level weighed less, were shorter, and had smaller head circumference at birth; as well as, weighed less and were shorter at the 1st week of age.

A higher level of postnatal salivary cortisol was also positively associated with neonatal health problems and growth delays. In comparison with neonates with a lower postnatal salivary cortisol level, neonates with a higher cortisol level had a shorter GA, more medical complications, a longer hospitalization, and more technology-dependence at discharge. Neonates with a higher cortisol level weighed less, were shorter, and had smaller head circumference at birth; likewise, weighed less and were shorter at the 1st week of age.

3.4. Association between testosterone and neonatal health and physical growth after adjusting for characteristics of neonates and mothers and day of saliva sampling

With covariate adjustment, a higher level of postnatal salivary testosterone was positively associated with neonatal health problems and delays in physical growth after adjustment was done for characteristics of neonates (gender) and of mothers (age, race, BMI, education,

marital status, pregnancy complications, and labor complications); as well as, day of saliva sampling (Table 4). In comparison with neonates with a lower postnatal salivary testosterone level, neonates with a higher testosterone level had a shorter GA, a higher rate of receiving CPR at birth, and a longer hospitalization. Neonates with higher testosterone levels weighed less and were shorter at the 1st week of age.

A higher level of postnatal salivary cortisol was positively associated with neonatal health problems, but not with growth delays, after adjustment was made for characteristics of neonates and mothers and day of saliva sampling. In comparison with neonates with a lower postnatal salivary cortisol level, neonates with a higher cortisol level had more technologydependence at discharge.

4. Discussion

We examined the potential associations between the levels of postnatal salivary testosterone and cortisol and neonatal health and growth outcomes among VLBW preterm neonates. Because free sex steroids and glucocorticoids, unbound to proteins, are more physiologically relevant, we measured saliva hormones instead of serum or plasma for both neonates and mothers. The relevance of salivary testosterone and cortisol measurement has been well recognized in research and in clinical practice. These measurements are convenient, noninvasive, and safely handled [26,27].

Gender differences were found in the levels of postnatal salivary cortisol but not testosterone in VLBW preterm neonates. This finding is possibly explained by prematurity of the enrolled neonates [23]. Testosterone levels overlap for males and females at birth, after which the levels increase in males through 3 months of age while remaining low in females throughout life [24,28]. All participating neonates were younger than 36 weeks of GA at the period of the saliva sample collection; consequently, their overlapping testosterone levels are not unexpected.

We assumed that outcomes of neonatal health and physical growth might be affected by the characteristics of neonates and of mothers; as well as, day of saliva sampling. Thus, we adjusted for these variables as covariates. The statistical associations were attenuated after the covariates were included. Regardless, elevated levels of postnatal salivary testosterone were associated with neonatal health problems (shorter GA, higher rate of receiving CPR at birth, and longer hospitalization) and delays in physical growth (smaller weight and shorter length at the 1st week of age) while elevated levels of postnatal salivary cortisol were only associated with one neonatal health problem (more technology-dependence at discharge).

It is important to note that, in comparison with postnatal salivary cortisol levels, postnatal salivary testosterone levels were more closely associated with health (GA) and growth (BW) outcomes in VLBW preterm neonates (Fig. 2), especially after adjusting for the covariates and removing the outlier (Table 5). High levels of salivary cortisol have been reported to be inversely related to health and growth outcomes [29]. In the present study high levels of salivary cortisol were negatively associated with health but not with growth outcomes after we adjusted for the covariates. Testosterone levels ought to be higher in males in post-term period, and thus, multiple determinations of testosterone and cortisol levels at various stages in the neonatal period are necessary for a more comprehensive approach to assessing male vulnerability in neonatal health and growth outcomes.

Similar to findings from previous studies [14,15,30,31], a positive correlation was found between the levels of testosterone and cortisol in neonates and in mothers. Circulating testosterone and cortisol are both synthesized in adrenal tissue in neonates and women, and thus, this finding appeared to be reasonable. In comparison with mothers, neonates had a

higher testosterone level and a higher cortisol level. This finding corroborates those of previous studies [14,15] in amniotic fluid, fetal blood, and cord blood that the neonatal period is one of testosterone surges, which are also observed in the second trimester and puberty [18].

This study has strengths. First, we determined the levels of salivary testosterone and cortisol concurrently in neonates and mothers given that measurement of testosterone in children and women is reportedly technically challenging because of the low concentrations [25]. Second, we collected saliva samples from each participant without using any cotton, commercial collection devices, or oral stimulants because they may introduce assay artifacts [32]. This study also has limitations. First, it is a preliminary study in nature. As such, the sample size has not been powered with a preset effect size. Second, we used only postnatal maternal and neonatal saliva to reduce major prenatal confounders such as antenatal steroid use; however, had we used both cord blood and postnatal saliva that it may have been more informative.

In conclusion, the level of postnatal salivary testosterone is a more reliable marker in assessing neonatal health and growth outcomes compared to salivary cortisol. Further research on both testosterone and cortisol measurements at various stages during the neonatal period may elucidate further these associations.

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Cho et al. Page 8

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Fig. 1.

Relationship between testosterone and cortisol in neonates (a and a' — left columns) and inmothers (b and b' — right columns) with (a and b — upper rows) orwithout (a' and b' lower rows) one outlier. Note that the scale on the X axes changes from one to another figures $(a-a')$.

Fig. 2.

Association between the levels of postnatal salivary testosterone/cortisol and gestational age (a and b, a′ and b′; upper two rows) or birth weight (c and d, c′ and d′; lower two rows) with (a–d, left two columns) or without $(a'-d'$, right two columns) one outlier. Note that in all cases the Y-axis for testosterone data covers the same range while that for cortisol covers different ranges with and without the outlier (b and d vs. b' and d').

Summary of measures used in the study.

Note: CPR = cardiopulmonary resuscitation, CPAP = continuous positive airway pressure, PDA = patent ductus arteriosus; IVH = intraventricular hemorrhage, PVL = periventricular leucomalacia, NEC = necrotizing enterocolitis, GI = gastrointestinal, ROP = retinopathy of prematurity, NBRS = neurobiologic risk score, HBP = high blood pressure.

Demographic characteristics of mothers.

Note: Pregnancy = number of complications during pregnancy, Labor = number of complications at labor, C/S = caesarian section.

 a^a Education: 1, <12th grade; 2, high school degree; 3, partial college; 4, college degree; and 5, graduate degree.

b Values are expressed as mean (SD) unless otherwise indicated.

 cP values are for the *t*-test except those for marital status, race, and delivery that are for the chi-square test.

 \mathcal{P}_{P} values are for the chi-square test.

Demographic characteristics of neonates.

Note: $CPR = \text{cardiopulmonary resuscitation, NBRS = \text{neurobiologic risk score.}$

 a Values are expressed as mean (SD) unless otherwise indicated.

 b *P* values are for the *t*-test unless otherwise indicated.

 c Values are expressed as inmedian (interquartile range; IQR) unless otherwise indicated.

Associations between postnatal salivary testosterone/cortisol and neonatal health/growth outcomes with and without adjustment for characteristics of Associations between postnatal salivary testosterone/cortisol and neonatal health/growth outcomes with and without adjustment for characteristics of neonates and of mothers and day of saliva sampling. neonates and of mothers and day of saliva sampling.

Early Hum Dev. Author manuscript; available in PMC 2013 October 01.

Note: β = estimated parameter, GA = gestational age, Apgar 1 = 1-min Apgar score, Apgar score, CPR = cardiopulmonary resuscitation, complication = medical complications, NBRS = neurobiolgic risk score, TD = technolog Note: β = estimated parameter, GA = gestational age, Apgar 1 = 1-min Apgar score, Apgar 5 = 5-min Apgar score, CPR = cardiopulmonary resuscitation, complication = medical complications, NBRS = neurobiolgic risk score, TD = technology dependence at discharge, Wt. = weight, Lt. = length, HC = head circumference.

Associations between postnatal salivary testosterone/cortisol and neonatal health/growth outcomes with and without adjustment for characteristics of Associations between postnatal salivary testosterone/cortisol and neonatal health/growth outcomes with and without adjustment for characteristics of neonates and of mothers and day of saliva sampling after removing an outlier. neonates and of mothers and day of saliva sampling after removing an outlier.

Early Hum Dev. Author manuscript; available in PMC 2013 October 01.

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