

## Review

# Aquaporin-4: orthogonal array assembly, CNS functions, and role in neuromyelitis optica

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Aquaporin-4 (AQP4) is a water-selective transporter expressed in astrocytes throughout the central nervous system, as well as in kidney, lung, stomach and skeletal muscle. The two AQP4 isoforms produced by alternative splicing, M1 and M23 AQP4, form heterotetramers that assemble in cell plasma membranes in supramolecular structures called orthogonal arrays of particles (OAPs). Phenotype analysis of AQP4-null mice indicates the involvement of AQP4 in brain and spinal cord water balance, astrocyte migration, neural signal transduction and neuroinflammation. AQP4-null mice manifest reduced brain swelling in cytotoxic cerebral edema, but increased brain swelling in vasogenic edema and hydrocephalus. AQP4 deficiency also increases seizure duration, impairs glial scarring, and reduces the severity of autoimmune neuroinflammation. Each of these phenotypes is likely explicable on the basis of reduced astrocyte water permeability in AQP4 deficiency. AQP4 is also involved in the neuroinflammatory demyelinating disease neuromyelitis optica (NMO), where autoantibodies (NMO-IgG) targeting AQP4 produce astrocyte damage and inflammation. Mice administered NMO-IgG and human complement by intracerebral injection develop characteristic NMO lesions with neuroinflammation, demyelination, perivascular complement deposition and loss of glial fibrillary acidic protein and AQP4 immunoreactivity. Our findings suggest the potential utility of AQP4-based therapeutics, including small-molecule modulators of AQP4 water transport function for therapy of brain swelling, injury and epilepsy, as well as small-molecule or monoclonal antibody blockers of NMO-IgG binding to AQP4 for therapy of NMO.

**Keywords:** AQP4; water transport; transgenic mice; brain edema; astrocyte migration; neuroexcitation; neuroinflammation; epilepsy; neuromyelitis optica

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## Aquaporin-4 identification, distribution, structure and function

Aquaporin-4 (AQP4) was originally cloned by our lab in 1994 from rat lung<sup>[1]</sup> and subsequently from different species and tissues<sup>[2]</sup>. AQP4 is most strongly expressed in the central nervous system (CNS), but is found as well in kidney collecting duct, gastric parietal cells, skeletal muscle, airway epithelium and various glandular epithelia<sup>[3, 4]</sup>. In the CNS, AQP4 is expressed in astrocytes, and is particularly concentrated at pial and ependymal surfaces in contact with the cerebrospinal fluid (CSF) in the subarachnoid space and the ventricles<sup>[5]</sup>. At the cell level, AQP4 expression is polarized in astrocytic foot processes in contact with blood vessels.

AQP4 is present in two major isoforms produced by alternative splicing: a relatively long (M1) isoform with translation initiation at Met-1, and a shorter (M23) isoform with transla-

tion initiation at Met-23 (Figure 1A)<sup>[2, 6, 7]</sup>. In rat, but not human or mouse, a longer isoform (Mz) is also found, but at very low levels<sup>[8, 9]</sup>. The M1 and M23 isoforms of AQP4 associate in membranes as heterotetramers<sup>[10, 11]</sup>. AQP4 functions as a water-selective transporter with a relatively high single channel water permeability compared to other aquaporins<sup>[12, 13]</sup>. A high-resolution X-ray crystal structure along with molecular dynamics simulations suggest a structural basis of AQP4 water selectivity involving steric and electrostatic factors<sup>[14]</sup>. Like other aquaporins<sup>[15, 16]</sup>, AQP4 monomers, each of about 30 kdalton molecular size, contain 6 membrane-spanning helical domains and two short helical segments surrounding cytoplasmic and extracellular vestibules connected by a narrow aqueous pore.

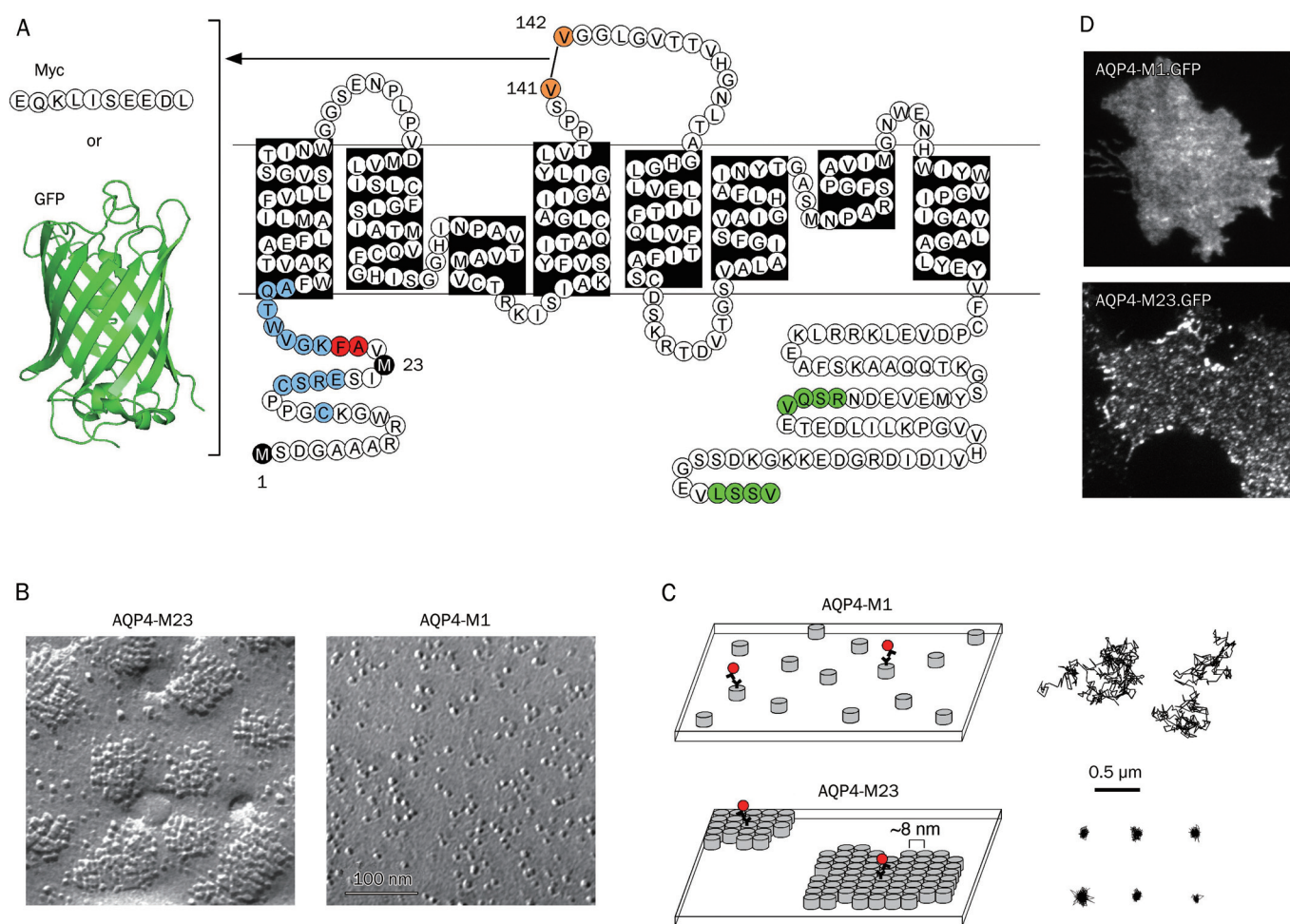
## AQP4 assembly in orthogonal arrays of particles

AQP4 is a structural component of orthogonal arrays of particles (OAPs), which are square arrays of intramembrane particles seen in cell membranes by freeze-fracture electron microscopy (FFEM)<sup>[17, 18]</sup>. Based on the finding that AQP4 is

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**Figure 1.** Visualization of AQP4 in OAPs in live cells. (A) AQP4 sequence and topology showing site of Myc or GFP insertion in the second extracellular loop for fluorescence labeling. Black: Met1 and Met23 translation initiation sites; blue: residues where single mutations do not affect OAP formation or disruption; red: residues where single mutations strongly disrupt OAPs; green: C-terminal PDZ-binding domains. (B) Freeze-fracture electron micrographs of COS-7 cells expressing Myc-tagged AQP4-M23 (left) and AQP4-M1 (right). (C) Schematic showing the organization of AQP4 tetramers (left) and representative single particle trajectories (right) of quantum dot-labeled AQP4 molecules in cells expressing AQP4-M1 (top) or AQP4-M23 (bottom). Each grey cylinder represents one AQP4 tetramer. A subset of AQP4 molecules is labeled with quantum dots (red) for single particle tracking. (D) Visualization of AQP4 OAPs by total internal reflection fluorescence microscopy, showing GFP tagged M1 (top) and M23 (bottom) AQP4.

expressed in the same cells in which OAPs were identified, we originally proposed that AQP4 was the OAP protein. Experimental support for this hypothesis came from FFEM on AQP4-transfected CHO cells showing characteristic OAPs<sup>[19]</sup>, and from the absence of OAPs in brain and other tissues from AQP4 null mice<sup>[20]</sup>. Immunogold labeling of AQP4 in OAPs in tissues confirmed the conclusion that AQP4 was the key structural component of OAPs<sup>[21]</sup>. The biological significance of OAP formation by AQP4 remains unknown, though it has been proposed that OAPs might facilitate AQP4 water transport, polarization to astrocyte foot processes, and cell-cell adhesion<sup>[12, 22, 23]</sup>. As discussed further below, AQP4 OAPs have also been proposed to be the target of neuromyelitis optica (NMO) autoantibodies (NMO-IgG)<sup>[24]</sup>.

FFEM in cells transfected with the M1 and M23 isoforms of AQP4 show that M23 assembles into large OAPs, whereas

M1 tetramers are largely dispersed (Figure 1B)<sup>[25]</sup>. In primary astrocytes, and in cells co-transfected with M1 and M23, OAPs are considerably smaller on average than OAPs in cells expressing only M23<sup>[23, 25]</sup>, suggesting that M1 interacts with the array-forming M23 in the plasma membrane, limiting the size to which OAPs assemble *in vivo*. Prior to 2008, the only method for identifying OAPs was FFEM. However, various technical challenges limit the usefulness of FFEM when examining questions regarding the mechanisms involved in OAP formation and regulation. Limitations include fixation artifacts, difficulty in obtaining statistically rigorous information about numbers of AQP4 tetramers in OAPs, and difficulty in identifying OAPs in cells expressing low levels of AQP4.

We developed a series of optical methods to study AQP4 OAP assembly in live cells. One method used in our studies has been single particle tracking (SPT), a technique that

is technically and conceptually simple. A c-myc epitope is engineered into the second extracellular loop of the AQP4 molecule (Figure 1A), cells are transiently transfected, and a subset of AQP4 molecules at the plasma membrane in live cells are labeled, via antibody coupling, to fluorescent quantum dots (Figure 1C, left). The movement of individual quantum dots, due to AQP4 diffusion, is followed using a fluorescence microscope, and trajectories of individual quantum dots are reconstructed and analyzed. We initially applied SPT to study AQP1 diffusion, finding long-range free diffusion over a wide variety of conditions, indicating that AQP1 exists in the plasma membrane largely free of specific interactions<sup>[26]</sup>. In applying SPT to AQP4, we reasoned that individual AQP4 tetramers should be mobile, whereas AQP4 in large OAPs should be relatively immobile. As expected, in a variety of cell lines and primary astrocytes, we found remarkable immobility of AQP4-M23 and rapid diffusion of AQP4-M1 (Figure 1C, right)<sup>[27]</sup>.

We exploited AQP4 diffusion as a 'read-out' of OAP assembly in live cells to investigate a series of questions regarding the biophysics and determinants of OAP formation. From measurements on AQP4 mutants and chimeras, we concluded that OAP formation by M23 involved hydrophobic intermolecular interactions of N-terminal AQP4 residues just downstream of Met-23, and that lack of OAP formation by M1 results from non-specific blocking of N-terminal interactions by residues just upstream of Met-23<sup>[28]</sup>. We also demonstrated rapid and reversible temperature-dependent assembly into OAPs of certain weakly associating AQP4 mutants<sup>[29]</sup>, and found that the M1 and M23 isoforms of AQP4 co-mingled in OAPs<sup>[10]</sup>. OAPs in live cells were visualized directly by total internal reflection microscopy of GFP-AQP4 chimeras (Figure 1D)<sup>[30]</sup>. Single-molecule step-photobleaching and intensity analysis of GFP-labeled M1-AQP4 in the presence of excess unlabeled AQP4 isoforms/mutants indicated heterotetrameric AQP4 association. Time-lapse total internal reflection fluorescence imaging of AQP4-M23 in live cells indicated that OAPs diffused slowly and rearranged over tens of minutes. Together, our measurements in live cells revealed extensive AQP4 monomer-monomer and tetramer-tetramer interactions as well as regulated AQP4 assembly in OAPs. Current work is focused on mathematical modeling of AQP4 assembly in OAPs and super-resolution imaging of individual OAPs.

### Functions of AQP4 in the CNS

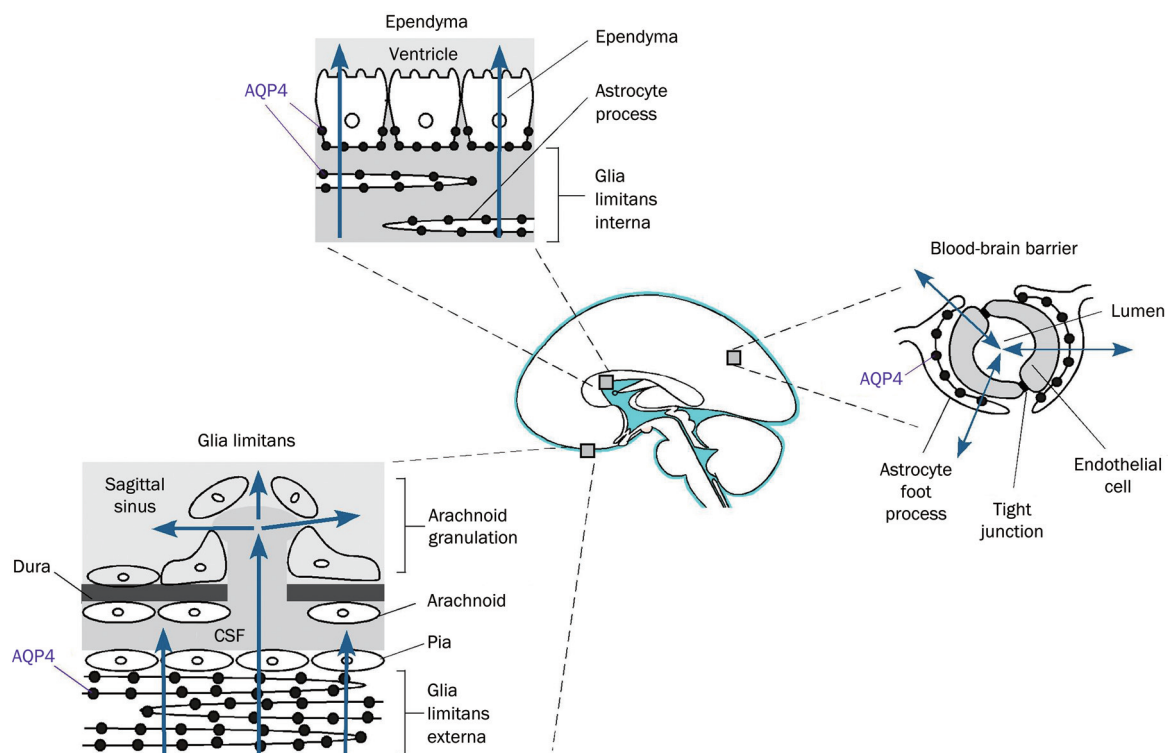
We have systematically investigated the roles of AQP4 in the CNS utilizing AQP4 knockout mice created in 1997 by targeted gene disruption<sup>[31]</sup>. As described below, we confirmed the anticipated involvement of AQP4 in brain water balance, and discovered unexpected roles of AQP4 in astrocyte migration, neuroexcitatory phenomena and neuroinflammation. For each of these phenotypes there is a direct or at least plausible link between AQP4 molecular function as a water channel with the CNS phenotype. AQP4 knockout mice have normal growth and survival, as well as CNS anatomy and histology, vascularity, baseline intracranial pressure and blood-

brain barrier integrity<sup>[32-34]</sup>. More recently, Hu and coworkers independently generated AQP4 knockout mice, though they reported significant baseline abnormalities in their mice including impaired blood-brain barrier integrity<sup>[35]</sup>. The mice of Hu *et al* manifest a variety of neurochemical and other abnormalities<sup>[36-38]</sup>, which are difficult to interpret because of their baseline abnormalities and the difficulty in reconciling the various brain phenotypes with the water transporting role of AQP4. There are also confusing data in the literature from Frigeri and coworkers who reported marked abnormalities in cell structure and proliferation in astrocyte cell cultures after AQP4 knockdown<sup>[39]</sup>. The original and follow-on data by that group appear to be incorrect, as AQP4 knockdown or knockout in astrocyte cultures does not affect cell growth or morphology<sup>[40-42]</sup>.

### AQP4 and brain edema

The pattern of AQP4 expression in the brain (at interfaces between brain parenchyma and major fluid compartments) as well as regulation studies (correlating AQP4 expression and brain edema) provide indirect evidence for involvement of AQP4 in brain water balance. We thus postulated the involvement of AQP4 in water movement into and out of brain. There are several types of brain edema that can occur independently or together. In cytotoxic (cellular) brain edema, water moves into the brain through an intact blood-brain barrier in response to osmotic driving forces (Figure 2). The archetypal example of cytotoxic edema is water intoxication in which acute serum hyponatremia causes brain swelling by a simple osmotic mechanism. Mice lacking AQP4 show improved clinical outcome and reduced brain water accumulation compared to wildtype mice in water intoxication as well as in other models of primarily cytotoxic brain edema, including ischemic stroke and bacterial meningitis<sup>[32, 43]</sup>. Increased AQP4 protein expression in a transgenic AQP4-overexpressing mouse worsens brain swelling in water intoxication<sup>[44]</sup>. Recently, an additional mechanism of AQP4-dependent brain swelling has been proposed involving altered cell volume regulation and loss of AQP4-TRPV4 interaction in AQP4 deficiency<sup>[45]</sup>, though further work is needed to prove the relevance of this mechanism *in vivo*.

In vasogenic (leaky-vessel) brain edema, water moves into the brain by a bulk fluid flow mechanism through a leaky blood-brain barrier, and exits the brain through the AQP4-rich glia limitans lining brain ventricles and the brain surface (Figure 2). When these water exit routes are impaired in obstructive hydrocephalus, water movement out of the brain through microvessels at the blood-brain barrier becomes more significant. The archetypal example of vasogenic edema is brain tumor-associated edema. AQP4 knockout mice manifest worse clinical outcome and greater brain water accumulation in brain tumor edema as well as in other models of vasogenic edema including intraparenchymal fluid infusion, cortical-freeze injury, brain abscess and subarachnoid hemorrhage<sup>[33, 46, 47]</sup>. AQP4 null mice also manifest an accelerated course of brain swelling in obstructive hydrocephalus<sup>[48]</sup>,



**Figure 2.** Routes of AQP4-facilitated water entry and exit from the brain, showing blood-brain barrier, ependyma and pial surface.

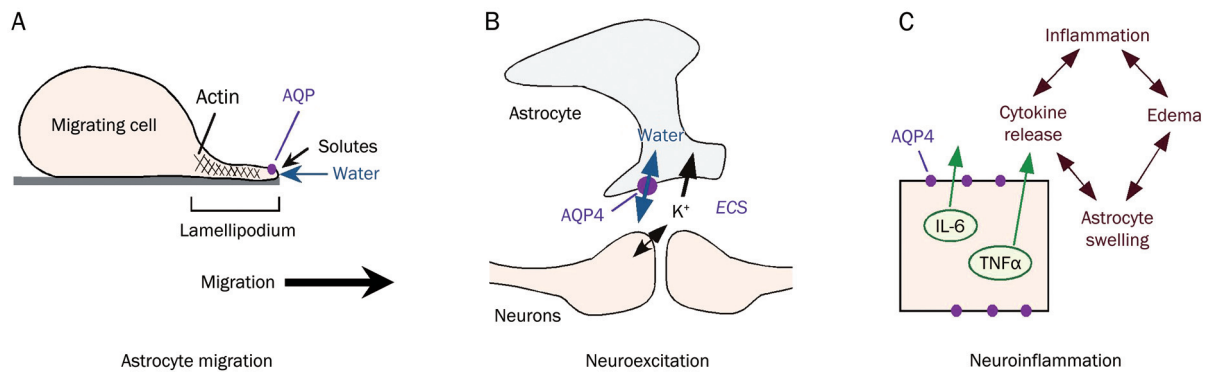
which is generally classified as a cause of interstitial edema. As a bidirectional water channel, AQP4 thus facilitates brain water accumulation in cytotoxic edema and clearance of excess brain water in vasogenic and interstitial edema. AQP4 appears to play a similar role in spinal cord, with reduced swelling and improved clinical outcome in AQP4 deficiency in spinal cord compression injury<sup>[49]</sup>, which is primarily associated with cytotoxic edema, while worse swelling and clinical outcome in spinal cord contusion injury<sup>[50]</sup>, which is primarily vasogenic in nature.

#### AQP4 and astrocyte migration

Work done by our lab in tumor angiogenesis led to the discovery of AQP4 involvement in astrocyte migration. Motivated by the strong expression of AQP1 in tumor microvessels, we found impaired angiogenesis and tumor growth in AQP1 null mice after subcutaneous or intracranial tumor cell implantation<sup>[51]</sup>. Studies in primary aortic endothelial cell cultures from wildtype and AQP1 null mice revealed similar adhesion and proliferation, though impaired cell migration. Supporting a general role of aquaporins in cell migration were the findings that transfection of non-endothelial cells with various AQPs accelerated their migration, and that migrating AQP1-expressing cells had prominent membrane ruffles at their leading edge with polarization of AQP1 protein to lamellipodia. Similar observations were made in brain, where astrocyte migration is important in glial scar formation. Glial scar formation can both be beneficial, by sequestering an acute lesion such as in brain injury, and deleterious, by inhibiting

neuronal regeneration and axonal sprouting<sup>[52]</sup>. We found that astrocyte cultures from brains of wildtype and AQP4 knockout mice had similar morphology, proliferation and adhesiveness, but showed markedly impaired migration in the AQP4-deficient cultures in wound healing and transwell Boyden chamber assays<sup>[41]</sup>. AQP4 was polarized to the leading edge of migrating cells, with more lamellipodia formed in wildtype *vs* AQP4 null astrocyte cultures. Further, glial scarring was impaired in AQP4 null mice following a stab injury. In a follow-on study, we showed remarkably impaired migration of AQP4-null astrocytes in intact brain in a model of stab injury involving injection of fluorescently labeled astrocytes<sup>[53]</sup>. Of relevance to brain, the tumor grade of astrocytomas has been correlated in a number of studies with AQP4 expression<sup>[54]</sup>. We found that aquaporin expression in tumor cells increased their extravasation from blood vessels and local invasiveness<sup>[55]</sup>, providing a potential explanation for the expression of aquaporins in many high-grade tumors.

We propose that aquaporin-facilitated cell migration involves enhanced water movement at the plasma membrane in lamellipodial protrusions<sup>[56]</sup>. The importance of water fluxes across the plasma membrane in causing localized swelling of lamellipodia has been considered in the early literature on cell migration<sup>[57]</sup>. As diagrammed in Figure 3A, we propose that actin de-polymerization and ion influx at the leading edge of a migrating cell increase cytoplasmic osmolality locally, driving water influx across the cell plasma membrane. Supporting the idea of water flow into and out of migrating cells is evidence that migration can be modulated by changes in



**Figure 3.** Roles of AQP4 in brain. (A) Proposed mechanism of aquaporin-facilitated cell migration. Aquaporin-facilitated water influx across lamellipodia at the leading edge of a migrating cell promotes membrane protrusion. (B) Proposed pseudo-solvent drag mechanism of AQP4-facilitated neuroexcitation. K<sup>+</sup> released into the extracellular space (ECS) following neuroexcitation is mainly taken up by astrocytes. K<sup>+</sup> reuptake results in osmotic water influx into astrocytes and consequent ECS shrinkage, maintaining the electrochemical driving force for K<sup>+</sup> reuptake. (C) Proposed mechanism for AQP4 involvement in neuroinflammation. AQP4 facilitates cytokine secretion, as well as astrocyte swelling and local cytotoxic edema.

extracellular osmolality and transcellular osmotic gradients<sup>[41]</sup>. The resultant water transport and expansion of the adjacent plasma membrane caused by increased hydrostatic pressure is followed by actin re-polymerization to stabilize the cell membrane protrusion. In support of this idea is the observation that regional hydrostatic pressure changes within cells do not equilibrate throughout the cytoplasm on scales of ten microns and ten seconds<sup>[58]</sup>, and could thus contribute to the formation of localized cell membrane protrusions. Further studies are required to validate our ideas relating aquaporin water permeability to cell migration.

#### AQP4 and neuroexcitation

The brain extracellular space (ECS) comprises ~20% of brain tissue volume, consisting of a jelly-like matrix in which neurons, glial cells and blood vessels are embedded. The ECS contains ions, neurotransmitters, metabolites, peptides, and extracellular matrix molecules, forming the microenvironment for neurons, astrocytes and other brain cells. During neuronal activity, depolarization of neurons and adjacent glial cells increases extracellular glutamate and K<sup>+</sup>. Excess K<sup>+</sup> in the ECS is taken up and 'siphoned' largely by astrocytes. AQP4 is expressed in electrically excitable tissues in supportive cells adjacent to excitable cells, including glia but not neurons in brain, Müller but not bipolar cells in retina, supportive but not hair cells in the inner ear, and supportive cells but not olfactory receptor neurons in olfactory epithelium. Postulating from its expression pattern the involvement of AQP4 in neuroexcitatory phenomena, we characterized various neurosensory and neuroexcitatory phenotypes in the AQP4 knockout mice. Electrophysiological measurements showed impaired vision<sup>[59]</sup>, hearing<sup>[60]</sup> and olfaction<sup>[61]</sup> in AQP4 null mice, as demonstrated by increased auditory brainstem response thresholds, and reduced electroretinogram and electroolfactogram potentials. Also, seizure threshold is reduced and seizure duration is prolonged in AQP4 knockout mice<sup>[62]</sup>. Possible mechanisms for these phenomena supported by

experimental data include delayed K<sup>+</sup> reuptake by astrocytes in AQP4 deficiency following neuroexcitation<sup>[62, 63]</sup>, and mild ECS expansion<sup>[64–67]</sup>. Delayed K<sup>+</sup> reuptake was also found in  $\alpha$ -syn-trophin null mice in which astrocyte AQP4 is mislocalized<sup>[68]</sup>. Slowed K<sup>+</sup> reuptake in brain would prolong seizure duration, as found experimentally.

The precise link between K<sup>+</sup> reuptake by astrocytes and AQP4 water permeability remains speculative. It had been postulated that interaction between AQP4 and the inwardly rectifying K<sup>+</sup> channel, Kir4.1, was responsible<sup>[69]</sup>; however, patch-clamp analysis indicated that AQP4 deficiency did not affect Kir4.1 K<sup>+</sup> channel function in retinal Müller cells or brain astrocytes<sup>[70, 71]</sup>. As diagrammed in Figure 3B, we propose a simple mechanism in which AQP4-dependent water permeability enhances K<sup>+</sup> transport by pseudo-solvent drag. Excess K<sup>+</sup> released into the ECS by neurons during neuroexcitation is taken up largely by the AQP4-containing astrocytes. Reuptake of K<sup>+</sup> following neuroexcitation results in osmotic water influx into AQP4-expressing astrocytes and consequent ECS shrinkage, which maintains the electrochemical driving force for K<sup>+</sup> reuptake. Reduced astrocyte water permeability in AQP4 deficiency would reduce ECS contraction and hence slow K<sup>+</sup> reuptake. This hypothesis is attractive because it relates the neuroexcitation phenotypes directly to AQP4 water transport.

#### AQP4 and neuroinflammation

We recently discovered a novel role of AQP4 in neuroinflammation following studies of experimental autoimmune encephalomyelitis (EAE), an extensively used model of neuroinflammatory demyelinating diseases such as multiple sclerosis. EAE is mediated primarily by myelin-specific Th1 or Th17 cells. The motivation for this work is the central involvement of astrocytes in neuroinflammation and evidence, as discussed in the next section, that AQP4 is the target antigen in the neuroinflammatory autoimmune disease neuromyelitis optica (NMO). In an initial phenotype study, we found that compared with wild type mice, AQP4 knockout mice showed

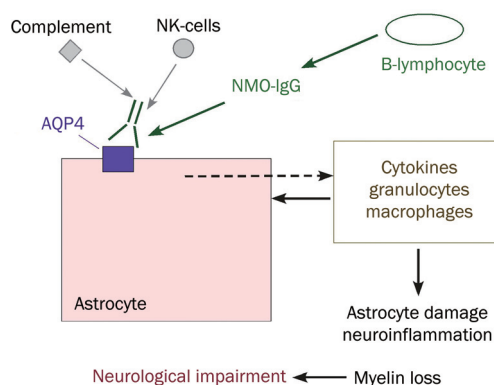
remarkably attenuated EAE following active immunization with myelin oligodendrocyte glycoprotein (MOG) peptide, with reduced motor dysfunction, brain inflammation and myelin loss<sup>[72]</sup>. In a follow-on study, potential mechanisms for the protective effect of AQP4 deficiency were investigated, including AQP4-dependent leukocyte and microglia cell function, immune cell entry in the CNS, intrinsic neuroinflammation, and humoral immune response<sup>[40]</sup>. As we found with active-immunization EAE, neuroinflammation was greatly reduced in AQP4 knockout mice in adoptive-transfer EAE, which involved injection of MOG-sensitized T-lymphocytes in naïve mice. A series of negative studies ruled out AQP4-dependent differences in immune cell function and CNS entry, microglial function and humoral immune responses: (a) AQP4 was absent in immune cells, including activated T-lymphocytes; (b) CNS migration of fluorescently labeled, MOG-sensitized T-lymphocytes was comparable in wildtype and AQP4 knockout mice; (c) microglia did not express AQP4; and (d) serum anti-AQP4 antibodies were absent in EAE. Remarkably, however, intracerebral injection of lipopolysaccharide produced much greater neuroinflammation in wildtype than in AQP4 knockout mice, indicating an intrinsic pro-neuroinflammatory role of AQP4. In analyzing possible cellular mechanisms, we found that the secretion of the major cytokines TNF- $\alpha$  and IL-6 was reduced in astrocyte cultures from AQP4 knockout mice. Further, adenovirus-mediated expression of AQP4, or of a different aquaporin, AQP1, increased cytokine secretion in astrocyte and non-astrocyte cell cultures, supporting the involvement of aquaporin water permeability in cytokine secretion.

These findings implicated a novel intrinsic pro-inflammatory role of AQP4, which we propose at the cellular level involves AQP4-dependent differences in astrocyte water permeability and consequent cell swelling and cytokine release (Figure 3C). AQP4-dependent neuroinflammation is likely further exaggerated by a positive-feedback cycle of secretion of pro-inflammatory cytokines and local cytotoxic brain swelling, which, as discussed above, is also AQP4-dependent.

### AQP4 and neuromyelitis optica

NMO is a neuroinflammatory demyelinating disease that, unlike multiple sclerosis, primarily affects optic nerve and spinal cord, leading to blindness, paralysis and death<sup>[73]</sup>. A defining feature of NMO is the presence of serum autoantibodies directed against extracellular epitopes on AQP4<sup>[74]</sup>. Recent data suggest that most, if not all NMO patients are seropositive for AQP4 autoantibodies (NMO-IgG), which recognize 3-dimensional epitopes on the extracellular surface of AQP4<sup>[75]</sup>. There is emerging evidence for a pathogenic role of NMO-IgG in NMO, as administration of human NMO-IgG to naïve mice or to rats with pre-existing neuroinflammation produces NMO-like pathology<sup>[76-79]</sup>. The most compelling evidence to date implicating a pathogenic role of NMO-IgG is the appearance of characteristic NMO lesions, with neuroinflammation, loss of glial fibrillary acidic protein (GFAP) and AQP4 immunoreactivity, demyelination and perivascular

complement deposition, following direct intracerebral injection of NMO-IgG in mice<sup>[79]</sup>. As diagrammed in Figure 4, it is thought that NMO-IgG binding to AQP4 in astrocytes initiates an inflammatory cascade involving recruitment of leukocytes (granulocytes, macrophages, NK cells, lymphocytes), cytokine release, and complement and NK cell-mediated astrocyte damage<sup>[80]</sup>. The consequent neuroinflammation and myelin loss produce neurological deficits. A glutamate excitotoxicity mechanism for NMO pathogenesis has also been proposed based on NMO-IgG-induced internalization of glutamate transporters in transfected cells<sup>[81]</sup>; however, the relevance of glutamate transporter internalization to astrocytes in the CNS remains unproven. The initiating events in NMO-IgG production and CNS penetration remain unknown, as do the reasons why NMO-IgG produces much greater pathology in spinal and optic nerve compared to brain, with no significant pathology in peripheral AQP4-expressing organs.



**Figure 4.** Proposed mechanism of NMO disease pathogenesis. NMO-IgG binding to AQP4 on astrocytes causes complement- and NK-mediated cell injury, resulting in leukocyte recruitment and cytokine release.

There has been recent interest in determining whether NMO-IgG targets the M1 *vs* M23 isoforms of AQP4, and OAP *vs* non-OAP associated AQP4. One report that analyzed NMO serum specimens concluded that OAPs were the exclusive target of NMO-IgG<sup>[24]</sup>. However, this conclusion cannot be correct because the clinical assay for serum anti-AQP4 autoantibody uses M1 AQP4<sup>[82]</sup>, and we<sup>[10]</sup> and others<sup>[76, 80]</sup> reported strong binding of some NMO autoantibodies to cells expressing only M1 AQP4. The paper of Nicchia<sup>[24]</sup> was also flawed in that they reported OAPs sized smaller than the diffraction limit of light, which was not possible. We recently examined the issue of NMO binding specificity utilizing a two-color fluorescence ratio imaging assay of AQP4-expressing cells stained with NMO patient serum or a recombinant monoclonal NMO autoantibody (NMO-rAb), together with a C-terminus anti-AQP4 antibody<sup>[83]</sup>. NMO-rAb titrations showed single site binding with dissociation constants down to 44 nmol/L. Different NMO-rAbs and NMO patient sera showed wide variation in NMO-IgG binding to M1 *vs* M23 AQP4. We found that differences in binding affinity rather than stoichiometry

accounted for M23>M1 binding specificity. Binding and OAP measurements in cells expressing M23 AQP4 mutants with OAP-disrupting mutations indicated that the differential binding of NMO-IgG to M1 vs M23 was due to OAP assembly rather than to differences in the M1 vs M23 N-termini. Measurements using purified Fab fragments derived from NMO-rAbs suggested that a structural change in the AQP4 epitope upon array assembly, and not bivalent NMO-IgG binding, accounts for the greater binding affinity to OAPs.

Current NMO therapies are directed toward reducing the inflammatory response (immunosuppression) and the NMO-IgG load (B-cell depletion and plasmapheresis). A complement targeted monoclonal antibody therapy is in clinical trials. Our laboratory has focused on the development of a novel therapeutic approach involving blocking of the binding of pathogenic NMO antibodies to AQP4, which is believed to be the initiating event in NMO pathogenesis. We are currently developing both a monoclonal antibody approach involving tight-binding, non-pathogenic, engineered recombinant NMO antibodies ('aquaporumabs'), as well as drug-like small-molecule blockers identified by high-throughput screening.

### Prospects for AQP4-based therapies

In addition to the possibility of NMO-IgG blocker therapy for NMO discussed just above, the involvement of AQP4 in brain water balance, astrocyte migration, neuroexcitation and neuroinflammation suggest the therapeutic potential of AQP4 modulators. Notwithstanding the challenges in drug delivery to the central nervous system and their multiplicity of actions, AQP4 inhibitors have potential utility in reducing cytotoxic brain swelling, seizure intensity, glial scar formation, and neuroinflammation; enhancers of AQP4 expression have potential utility in reducing vasogenic brain swelling. AQP4 modulators may thus offer new therapeutic options for stroke, tumor, infection, hydrocephalus, epilepsy, neuroinflammatory conditions and traumatic brain and spinal cord injury. However, the discovery of potent and selective small-molecule AQP4 inhibitors is a major challenge, as no confirmed small-molecule AQP4 inhibitors have been identified to date and identification of inhibitors of AQP4 by conventional screening methods has so far been unsuccessful. Further, AQP4 inhibitor therapy for brain swelling will require care because cytotoxic and vasogenic edema often coexist in varying proportions during the evolution of a CNS insult. For example, AQP4 inhibition may be beneficial early in the course of spinal cord trauma, but deleterious later on. Because of the involvement of AQP4 in neurosensory phenomena, transient visual, auditory and olfactory impairment are possible with AQP4 inhibition. In epilepsy therapy, while AQP4 inhibition reduces seizure duration and hence severity, it appears to increase seizure susceptibility. Of the various theoretical applications of AQP4-based therapies, the indications with greatest potential for success are likely NMO-IgG/AQP4 blocker therapy for NMO, and AQP4 inhibition therapy for acute cytotoxic cerebral edema in ischemic stroke.

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