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Towards implementation of Quorum Sensing Autoinducers as Biomarkers for Infectious Disease States

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Abstract

The opportunistic bacterial pathogen *Pseudomonas aeruginosa* causes chronic lung infections in cystic fibrosis (CF) patients. Importantly, virulence factor expression and biofilm formation in *P. aeruginosa* is coordinated by quorum sensing (QS) and one of the key QS signaling molecules is 3-oxo-C₁₂-HSL. Remarkably, a tetramic acid, (C₁₂-TA), with antibacterial properties is formed spontaneously from 3-oxo-C₁₂-HSL under physiological conditions. Seeking to better understand this relationship we sought to investigate if 3-oxo-C₁₂-HSL and C₁₂-TA may be contributing factors to the overall pathogenicity of *P. aeruginosa* in CF individuals and their detection and quantitation in sputum samples might be used as an indicator to assess disease states and monitor therapy success in CF patients. To this end, 3-oxo-C₁₂-HSL and C₁₂-TA concentrations were initially analyzed in *P. aeruginosa* flow cell biofilms using liquid chromatography coupled with mass spectrometry (LC-MS). A liquid chromatography tandem mass spectrometry (LC-MS-MS)-based method was then developed and validated for their detection and quantification in sputa of CF patients. We highlight that this is the first report to show the presence of both the quorum sensing molecule (3-oxo-C₁₂-HSL) and its rearranged product (C₁₂-TA) in human clinical samples such as sputum. A total of 47 sputum samples from 20 CF and 2 non-CF individuals were analyzed: 3-oxo-C₁₂-HSL was detected and quantified in 45 samples with concentrations ranging from 20 nM to >1000 nM; C₁₂-TA was found in 14 samples (13 – 900 nM). Based on our findings, quorum sensing autoinducers merit further investigation as biomarkers for infectious disease states.

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Supporting Information Synthetic procedures, characterization data of chemicals, LC-MS chromatogram of a *P. aeruginosa* biofilm extract and additional statistical analysis of data obtained with CF sputa. This material is available free of charge via the Internet at <http://pubs.acs.org>.

The authors declare no competing financial interest.

Keywords

cystic fibrosis; sputum; quorum sensing; Pseudomonas aeruginosa; 3-oxo-C₁₂-HSL; C₁₂-TA; mass spectrometry

The ubiquitous environmental Gram-negative bacterium *Pseudomonas aeruginosa* is an opportunistic pathogen because of its ability to take advantage of hosts with weakened immune systems.¹⁻³ For instance, *P. aeruginosa* causes bacteremia in severe burn victims, infections in injured cornea, and chronic lung disease in patients with cystic fibrosis (CF);^{1,2} the latter being an autosomal recessive disorder resulting from mutations in the gene encoding the cystic fibrosis transmembrane conductance regulator (CFTR) chloride channel^{1,4-6}. The clinical pathology of CF is characterized mainly by elevated sweat chloride concentrations, production of thick mucus, and loss of lung function, which is also the major cause of mortality and morbidity.⁴⁻⁶ Although *Haemophilus influenzae*, *Klebsiella pneumoniae*, *Staphylococcus aureus*, and *Streptococcus pneumoniae* colonize the lungs in young CF patients, *P. aeruginosa* eventually dominates the microbial population,^{4,7,8} leading to chronic airway inflammation and obstruction followed by respiratory failure^{4,9}.

P. aeruginosa utilizes cell-to-cell communication, also known as “quorum sensing” (QS),¹⁰ to coordinate production of a multitude of virulence factors^{11,12} as well as biofilm formation¹³ in a cell density-dependent manner. Of note is that over time *P. aeruginosa* acquires a mucoid phenotype and exists as a biofilm in CF lungs.⁹ There are two *N*-acyl homoserine lactones (AHLs) based QS systems in *P. aeruginosa* utilizing *N*-3-oxododecaonyl homoserine lactone (3-oxo-C₁₂-HSL; lasI/R) and *N*-butyryl homoserine lactone (C₄-HSL; rhlI/R).¹⁴ Besides its role in QS signaling, 3-oxo-C₁₂-HSL has been demonstrated to act directly as a virulence factor capable of modulating innate immune responses in host, thus further exploiting their already compromised immunity.^{12,15,16} Furthermore, we have previously reported the discovery of a non-enzymatically formed metabolic product of 3-oxo-C₁₂-HSL, specifically, a tetramic acid [3-(1-hydroxydecylidene)-5-(2-hydroxyethyl) pyrrolidine-2,4-dione; C₁₂-TA; Figure 1].^{17,18}

Tetramic acids (TAs) are a class of chemical compounds containing a 2,4-pyrrolidinedione ring system that represent a key structural motif of many natural products originating from various terrestrial and marine organisms, such as sponges, cyanobacteria, fungi and bacteria.^{19,20} These compounds are known to have an extraordinarily broad spectrum of biomedical activities ranging from antibacterial, antiviral, antifungal, antiulcerative, to anticancer activities. C₁₂-TA also possesses biological properties like antibacterial activity^{17,18} and metal ion chelation;^{17,21} features that may confer competitive advantages to *P. aeruginosa* in natural and host environments. Thus, *P. aeruginosa* may employ a specific set of chemical compounds not only to gauge its number of “kin” and to synchronize gene expression, but also to potentially overwhelm and modulate host defense, as well as to ward off microbial competitors.

P. aeruginosa colonizes the lungs of CF patients over long periods of time, hence, an accumulation of 3-oxo-C₁₂-HSL and C₁₂-TA in the airway biofilms could be envisioned. Although 3-oxo-C₁₂-HSL has been detected in various clinical samples from CF patients,^{9,22-25} the presence of C₁₂-TA and potential correlation between each is yet to be reported. Because 3-oxo-C₁₂-HSL is a prerequisite for successful initiation and establishment of infection, we conjectured that the presence of 3-oxo-C₁₂-HSL and/or C₁₂-TA in biological samples might lend itself as diagnostic or even predictive biomarker for *P. aeruginosa* colonization, pathogenicity and ultimately disease progression. Consequently, as a starting point to begin to test this hypothesis, we first set forth to detect the presence of 3-

oxo-C₁₂-HSL and C₁₂-TA in *P. aeruginosa* biofilms, formed *in vitro* in flow cells, using a liquid chromatography coupled with mass spectrometry (LC-MS)-based method. Success of this initial research laid the foundation for the detection and quantitation of 3-oxo-C₁₂-HSL and C₁₂-TA in human clinical samples specifically, sputum from CF patients. For the latter purpose, a liquid chromatography tandem mass spectrometry (LC-MS-MS)-based method was developed. Our findings imply that 3-oxo-C₁₂-HSL could be used to monitor *P. aeruginosa* pathogenesis.

EXPERIMENTAL SECTION

Reagents, chemicals and synthesis

All reagents and chemicals used were of LC-MS grade. Methylene chloride (Optima), methanol (Optima), and water (Optima) were purchased from Fisher Scientific (Pittsburgh, PA, USA).

The four standard compounds were synthesized in-house: 3-oxo-C₁₂-HSL, C₁₂-TA, ¹³C labeled 3-oxo-C₁₂-HSL, and ¹³C labeled C₁₂-TA. 3-oxo-C₁₂-HSL and C₁₂-TA were synthesized as described previously.¹⁷ The synthetic procedures and spectral data for ¹³C labeled 3-oxo-C₁₂-HSL and C₁₂-TA are provided in the supporting information (synthetic scheme is shown in Figure S-1).

Analysis of *P. aeruginosa* biofilms using LC-MS—*P. aeruginosa* biofilms were grown at 37 °C in a FC-81 model flow cell system (BioSurface Technologies Corp., Bozeman, Montana) as described earlier.¹⁸ The dimensions of the flow chamber are 50 × 13 × 2.35 mm and it used microscope cover slips (60 × 24 mm; no. 2) and microscope slides (25 × 75 × 1 mm) as viewing windows. All the components were autoclaved at 120 °C for 15 min before use. A peristaltic pump was employed to maintain a steady flow of medium through the chamber at 0.01 mL/min. The flow-system was equilibrated with M9 minimal medium containing 200 µg/ mL carbenicillin before inoculation.

An overnight culture of *P. aeruginosa* PAO1 was prepared in 3 mL of PTSB medium containing 200 µg/ mL carbenicillin at 37 °C and 250 rpm. The overnight culture was diluted in M9 minimal medium containing 200 µg/ mL carbenicillin to an OD₆₀₀ of 1.0. Using a sterile needle, a ~2 mL aliquot of the diluted culture was injected carefully into the flow chamber. Inoculated culture was kept static for 90 min or 24 h for initial attachment and then the peristaltic pump was turned on to maintain a steady and continuous flow of minimal medium through the chamber. After the desired length of days (3 or 6 days), the flow of culture medium was stopped and the culture medium in the flow chamber along with the biofilm cover slip was collected and then extracted. To enable complete extraction, the cover slip was first crushed carefully. The sample was extracted three times with at least an equal volume of acidified ethyl acetate (0.1% formic acid). The organic layer was separated, collected and dried using anhydrous magnesium sulfate and then removed under reduced pressure. The residue was reconstituted in 100 µL of 35% (v/v) methanol in water (0.1% formic acid) and analyzed with LC-MS.

An Agilent 1100 MSD LC/MS system, with electrospray ionization (ESI) as the ionization method, was used to analyze standards and biofilm extracts. The column used was Agilent Zorbax C8, 4.6 × 50 mm, 5 µm particles size with Phenomenex Security Guard cartridge (C8, double stacked, 4.0 × 3.0 mm). The solvent gradient was used with A: water (0.1 % formic acid) and B: methanol (0.1% formic acid) 0 min = 35% B, 2 min = 35% B, 15 min = 95% B, 17 min = 95% B) at a flow rate of 0.500 mL/min and 10 µL sample injection volume. Total run time was 17 min for each sample. The column was re-equilibrated for 4 min before another injection. The LC column was connected to an ESI chamber used in

positive ion mode. The mass spectrometer was set in a selected ion monitoring (SIM) mode to record the most abundant ions for both compounds: $[M + H]^+$, $[M + Na]^+$, $[M + H + H_2O]^+$.

Individual 10 mM solutions of 3-oxo-C₁₂-HSL and C₁₂-TA were prepared in acidified methanol (0.1% formic acid). The pure solutions were used to prepare a set of standard solutions which contained both 3-oxo-C₁₂-HSL and C₁₂-TA each at the following concentrations (μM): 10, 1, 0.1, 0.01, 0.001 and 0.0001. Using the mixed standard solutions, dose-response curves with six calibration points were acquired for 3-oxo-C₁₂-HSL and C₁₂-TA over the concentration range 0.0001–10 μM. The LC-MS calibration curves were generated using the total peak area for the three ions $\{[M + H]^+$, $[M + Na]^+$, $[M + H + H_2O]^+\}$ against a range of concentrations. Using unweighted linear regression, the equation for the line that fits the data was determined

Analysis of 3-oxo-C₁₂-HSL and C₁₂-TA in CF sputa using LC-MS-MS

Preparation of standard solutions: Individual 10 mM solutions of 3-oxo-C₁₂-HSL and C₁₂-TA were prepared in acidified methanol (0.1% formic acid). The pure solutions were used to prepare a set of solutions which contained both 3-oxo-C₁₂-HSL and C₁₂-TA each at the following concentrations (nM): 1100, 550, 275, 110, 55, 27.5, 11 and 5.5. ¹³C labeled 3-oxo-C₁₂-HSL and C₁₂-TA served as internal standards for all the standards mixtures and samples analyzed. Individual 10 mM solutions of the ¹³C labeled 3-oxo-C₁₂-HSL and C₁₂-TA were prepared in acidified methanol (0.1% formic acid). Using these pure solutions, an internal standards stock solution was prepared that contained both ¹³C labeled 3-oxo-C₁₂-HSL and C₁₂-TA each at 5.5 μM.

To 100 μL of solutions containing both 3-oxo-C₁₂-HSL and C₁₂-TA each at 1100, 550, 275, 110, 55, 27.5, 11 and 5.5 nM, a 10 μL of 5.5 μM internal standard stock solution was added. Thus, the eight concentration points in the calibration curve were (5–1000 nM): 5, 10, 25, 50, 100, 250, 500, 1000 nM. The concentration of both the internal standards in all the eight final calibration points was 500 nM. Similarly, 10 μL of 5.5 μM internal standards stock solution was added to 100 μL of acidified methanol to obtain 500 nM in the final solution, and the resulting solution served as a blank. All solutions were stored at –20 °C until analyzed.

LC-MS-MS: LC analysis was performed using an Agilent 1290 system. The column used was Agilent Extend-C18, 2.1 × 50 mm with 3.5 μm particle size. Both were purchased from Agilent (Santa Clara, CA, USA). The LC column was maintained at 50 °C by a column oven. Five microliters of blank, standards or samples in mobile phase acetonitrile-water (15:85, v/v) with 0.1% formic acid was injected in the column, at a flow rate of 0.35 mL/min. The elution method utilized for LC separation of 3-oxo-C₁₂-HSL and C₁₂-TA included an isocratic profile of acetonitrile in water (15:85, v/v) for 2 min, followed by a linear gradient from 15 to 98% acetonitrile in water over 13 min. A subsequent isocratic profile of 98% acetonitrile in water over 5 min. Total run time was 20 min for each sample. The column was re-equilibrated for 4 min before another injection.

The LC column was connected to an ESI chamber used in positive ion mode. Nitrogen was used as the drying gas with a flow rate of 10 L/min at 350 °C. The pressure for the nebulizing gas, nitrogen, and the temperature for the ESI housing were kept at 20 psi and 25 °C, respectively. The ESI chamber was interfaced to an Agilent 6460 triple quadrupole mass spectrometer. The $[M + H]^+$ ions were monitored for each compound.

The $[M + H]^+$ ions were subjected to collision-induced dissociation using nitrogen as the collision gas. The capillary voltage was maintained at 4000 V. The MS-MS analyses were

based on selected MRM transitions. Two mass transitions for 3-oxo-C₁₂-HSL and C₁₂-TA and one for each of the internal standards were monitored as shown in Table S-1.

Method Validation: For method validation, linearity of calibration curves, method reproducibility, limit of detection (LOD), lower limit of quantitation (LLOQ), intra- and inter-day precision and accuracy, extraction efficiencies in sputa, matrix effect, carryover effect and stability of 3-oxo-C₁₂-HSL and C₁₂-TA were analyzed. A calibration curve over the range of 5–1000 nM with eight concentration points (5, 10, 25, 50, 100, 250, 500, 1000 nM) was prepared. LOD was defined as the lowest concentration at which the signal-to-noise response ratio was at least three (S/N > 3). The lowest standard on the calibration curve was accepted as the LLOQ, when the analyte response at this concentration was at least ten times the blank response (S/N > 10).

Method accuracy was defined as the closeness of the measured mean value for a concentration, in a calibration curve, with its true concentration. It was determined at three different concentration levels, in the range of calibration curve, in a replicate analysis (n=3).

Method precision was measured by comparing the closeness of the measured values for a concentration, in a calibration curve, with each other. It was also determined at three different concentration levels, in the range of calibration curve, in a replicate analysis (n=3) and was expressed in percent relative standard deviation (%RSD).

Percent recoveries of both 3-oxo-C₁₂-HSL and C₁₂-TA in each sample were determined. Thus, a specific amount (500 nM) of their respective ¹³C labeled analogues (¹³C labeled 3-oxo-C₁₂-HSL and C₁₂-TA; internal standards) was added to each sputum sample followed by their extraction. Percent Recovery was the ratio of responses of internal standards in extracted sputum to un-extracted standards, times 100.

Matrix effects were determined by calculating the ratio of the responses for 3-oxo-C₁₂-HSL and C₁₂-TA both spiked at 250 nM in extracted sputum to the response of the same analyte in a pure standard solution without matrix, multiplied by 100. A value of >100% indicates ionization enhancement, and a value of <100% suppression.

Carryovers caused by residual analyte from a previously run high concentration standards or samples were also assessed. A wash step and a blank sample were run after the highest concentration calibration standard and between the real samples.

Stability of standard solutions of 3-oxo-C₁₂-HSL and C₁₂-TA after at least three freeze and thaw cycles was also determined. To assess, if there was any conversion of 3-oxo-C₁₂-HSL to C₁₂-TA due to sample handling and processing, a specific amount (500 nM) of 3-oxo-C₁₂-HSL with 500 nM of internal standards was extracted. Finally, Guidance for industry, bioanalytical method validations was followed wherever possible.²⁶

Sputa collection, preparation and analysis: A total of 47 sputa were obtained from 22 CF patients and 2 healthy volunteers. Approximately 1–5 mL of sputum was collected. These samples were provided by Prof. Michael G. Surette (Department of Microbiology and Infectious Diseases, University of Calgary), Prof. Douglas Conrad (Adult Cystic Fibrosis Program at the University of California, San Diego) and Prof. Joseph Zabner (Division of Pulmonary, Critical Care and Occupational Medicine at the University of Iowa). Patients gave informed consent and the protocols were approved by the respective Universities' Human Subjects Review Board.

There were 9 CF individuals with more than one sample; the total of these samples was 34. The patients' age ranged from 18 to 48 and samples represented different disease states of

patients. These disease states were grouped in “stable/control” and “hospitalized”. Samples obtained during or immediately prior to hospitalization were considered “hospitalized” samples while all the other samples including samples from 2 non-CF patients and a sample taken on the day of discharge were considered “stable/control”. Thus, the samples in the “stable/control” group were from patients who were either considered clinically stable or were control samples. Samples in the “hospitalized” group represented the samples obtained from CF patients who were considered clinically sick, i.e. were experiencing a pulmonary exacerbation of their lung disease and were either hospitalized or receiving parenteral antibiotic therapy at home. The sum of samples from “hospitalized” and “stable/control” groups were 23 and 24, respectively. Additionally, there were six CF patients for whom we had samples from both their stable and hospitalized health states.

Sputum samples were first diluted with ~15 mL PBS buffer (pH 7.4) followed by an addition of internal standards stock solution. Specifically, 100 μ L of 500 nM internal standards stock solution was added to each diluted sputum. Sputum samples were then homogenized using sonication; for a total of 1 min and 30 s with pulse “ON” duration of 30 s and 1 min interval between the pulses. The resulting homogenized sputum samples were extracted thrice with at least an equal volume of acidified dichloromethane (0.1% formic acid). The organic layer was separated, collected and dried using anhydrous magnesium sulfate and then removed under reduced pressure. The residue was reconstituted in 100 μ L of acidified methanol (0.1% formic acid).

The resuspended sputa extracts and standards for calibration were analyzed with LC-MS-MS. The concentrations of both compounds were first calculated using their respective calibration curves (peak area ratio against analyte concentration) obtained using their standard solutions with known concentrations. The actual amounts of 3-oxo-C₁₂-HSL and C₁₂-TA present in each sputum sample were then back-calculated using the percent recoveries measured with their respective ¹³C labeled analogues.

Statistical analysis: The distribution of 3-oxo-C₁₂-HSL levels was assessed and found to be skewed; thus non parametric tests were used to determine differences between “hospitalized” and “stable/control” samples. The analysis was carried out using all the samples and not controlling for multiple samples for certain individuals. Analysis involved comparison of 3-oxo-C₁₂-HSL levels in these groups using the Wilcoxon rank sum test. Additional statistical analysis is included in the supporting information.

RESULTS AND DISCUSSION

Given that both the parent molecule 3-oxo-C₁₂-HSL and its corresponding rearranged product C₁₂-TA are antibacterial agents; their production by *P. aeruginosa* might be a survival strategy in mixed microbial population environments as in the lung airways of CF individuals, ensuring successful establishment and prevention of infringement by competing bacteria. Indeed, 3-oxo-C₁₂-HSL has been detected in *P. aeruginosa in vitro* biofilms,²⁷ in various CF samples such as sputum,^{9,22,23} mucopurulent respiratory secretions,²⁴ and lung tissues,²⁵ and, we have detected C₁₂-TA in *P. aeruginosa* cultures¹⁷. Sputum analysis presents the advantage of enabling noninvasive collection of samples and is reflective of the dominant organisms that are present in CF lungs.²⁸ In the present study, we provide direct evidence that both 3-oxo-C₁₂-HSL and C₁₂-TA are produced in *P. aeruginosa* biofilms grown in a continuous flow system and for the first time in the lungs of CF patients.

Analysis of 3-oxo-C₁₂-HSL and C₁₂-TA in *P. aeruginosa* biofilms

For the analysis of *P. aeruginosa* biofilms grown in continuous flow systems in laboratory settings, a LC-MS-based method was developed. Retention times for standard mixtures of

chemically synthesized 3-oxo-C₁₂-HSL and C₁₂-TA injected in a C8 column were obtained. The compounds were well separated with retention times of ~6.98 and ~7.95 min for 3-oxo-C₁₂-HSL and C₁₂-TA, respectively. A calibration curve was developed for 3-oxo-C₁₂-HSL and it was found to be linear over 4 orders of magnitude (0.01–10 μM). For C₁₂-TA, the calibration curve was linear in the range of 0.1–10 μM. The correlation coefficient values for both compounds were greater than 0.99.

The developed LC-MS method was applied to the detection of 3-oxo-C₁₂-HSL and C₁₂-TA in *P. aeruginosa* biofilm extracts. Gratifyingly, the LC retention times and MS ions corresponding to the QS compounds in standard solutions were also detected in the biofilm extracts (Figure S-2). The measured concentrations of 3-oxo-C₁₂-HSL and C₁₂-TA in the biofilm extracts (n=4) were 0.95 ± 0.68 μM and 1.45 ± 0.93 μM respectively. The peak areas corresponding to [M + H]⁺, [M + H + H₂O]⁺ and [M + Na]⁺ were used toward the total peak area corresponding to 3-oxo-C₁₂-HSL. We also observed that the measured concentrations in a 6 days old biofilm extract for both 3-oxo-C₁₂-HSL (~0.68 μM) and C₁₂-TA (~0.93 μM) were similar to the levels detected in 3 days old biofilms.

While we were successful in detecting the presence of both 3-oxo-C₁₂-HSL and C₁₂-TA in *P. aeruginosa* biofilms, we had expected an accumulation of 3-oxo-C₁₂-HSL and C₁₂-TA over days due to high cell density and limited diffusion caused by the exopolysaccharide matrix in biofilms but surprisingly did not observe such a trend which may be due to their efflux in flow cell systems. Additionally, the duration (3–6 days) of biofilm development in flow cells may not have been sufficient for a greater accumulation. Although, concentrations of 3-oxo-C₁₂-HSL, up to ~600 μM, have been reported in 7–9 days old biofilms previously,²⁷ it is critical to note that the values reported herein did not take into consideration the loss of compound incurred during sample preparation, matrix effects or biofilm volume. Our main objective was to demonstrate how biofilms formed by *P. aeruginosa* in flow cells could produce in tandem both C₁₂-TA alongside with 3-oxo-C₁₂-HSL.

LC-MS-MS method development and validation for detection and quantitation of 3-oxo-C₁₂-HSL and C₁₂-TA in CF sputa

Once the presence of 3-oxo-C₁₂-HSL and C₁₂-TA *P. aeruginosa* biofilms was demonstrated, our studies were extended to *P. aeruginosa* biofilms present in the lungs of CF individuals. We note that in contrast to simple *in vitro* biofilms, CF sputum can contain large polymers such as DNA, filamentous actin, lipids, proteoglycans, biofilms and inflammatory cells.²⁹ Furthermore, we were concerned that the levels of 3-oxo-C₁₂-HSL and C₁₂-TA in CF sputa could be greatly diminished, which might affect the specificity and sensitivity of their detection using only LC-MS. Faced with these uncertainties, tandem mass spectrometry (MS-MS) was engaged as a means to monitor 3-oxo-C₁₂-HSL and C₁₂-TA. This method allows for monitoring of both precursor and fragmented product ions (selected reaction monitoring, SRM) characteristic of a given ionizable analyte or multiple analytes in parallel (multiple-reaction monitoring, MRM) in a sample, enabling identification with higher probability in multi-analyte matrices. Lastly, LC-MS-MS based approaches have been employed for the detection and quantitation of AHLs, however, these reports have focused simply upon bacterial cultures,^{30–34} or detection in human samples³⁵.

LC separation and MS-MS detection—Standard mixtures of 3-oxo-C₁₂-HSL and C₁₂-TA, with a specific amount of their respective internal standards, were injected in a reverse phase C18 column to establish the respective retention times. Specifically, 3-oxo-C₁₂-HSL and C₁₂-TA in a set of standard solutions with 500 nM of their corresponding respective internal standards were well separated on a C18 column at 8.1 and 8.8 min, respectively

(Table S-1). The retention times were highly reproducible over all subsequent runs, demonstrating precise conditions and reliability of the instrument.

The 3-oxo-C₁₂-HSL, its corresponding tetramic acid, and their ¹³C labeled analogues were readily ionized in positive electrospray mode, forming [M + H]⁺ ions. The precursor/pseudomolecular ions ([M + H]⁺ ions) were selected in the first mass analyzer. Although the m/z of the precursor [M + H]⁺ ions for both compounds was the same, they could be easily distinguished in HPLC-MS using their specific retention times.

In order to increase the selectivity of the method, two fragment ions (quantifier and qualifier ions) were monitored for both 3-oxo-C₁₂-HSL and C₁₂-TA in all standards and samples. For internal standards, only quantifier ion was monitored. In order to identify the quantifier and qualifier ions for 3-oxo-C₁₂-HSL and C₁₂-TA and the quantifier ion for the internal standards, a full scan MS-MS was obtained that allows for analysis of all the possible fragment ions derived from a precursor ion. Once the quantifier and qualifier ions were established, subsequent MS-MS analyses were based on the selected MRM transitions. Thus, precursor [M + H]⁺ ions and two of the most abundant MS-MS fragment ions for 3-oxo-C₁₂-HSL and C₁₂-TA and one for each of the internal standards were monitored. Mass spectrometric parameters such as MRM transitions and collision energy for 3-oxo-C₁₂-HSL, C₁₂-TA and their respective internal standards are listed in Table S-1. Consequently, the identification of 3-oxo-C₁₂-HSL and C₁₂-TA in a standard solution or a sample was based on their specific retention times and their respective precursor and fragment ions. A representative LC-MS-MS chromatogram and MRM based MS/MS spectra demonstrating the separation and detection of 3-oxo-C₁₂-HSL and C₁₂-TA are shown in Figure S-3.

Calibration and validation—Calibration curves were generated for 3-oxo-C₁₂-HSL and C₁₂-TA in mixed standard solutions over the concentration range 5–1000 nM using eight calibration points (5, 10, 25, 50, 100, 250, 500 and 1000 nM). All calibration and internal standard solutions were prepared in acidified methanol (0.1% formic acid). Stable-isotope forms of both analytes, specifically, ¹³C labeled analogues of 3-oxo-C₁₂-HSL and C₁₂-TA, were used as internal standards. Thus, ¹³C labeled 3-oxo-C₁₂-HSL and C₁₂-TA were used as internal standards and exhibited identical experimental conditions (such as extraction efficiency, retention time, ionization, MS and MS-MS fragmentation pattern) as for the unlabeled analogues and ensure method reliability and reproducibility. The concentrations of the internal standards were set in the middle of the curve at 500 nM; while, the ratios of LC-MS-MS peak areas of the analyte/internal standard (relative responses or response ratio) were calculated and the calibration curves were plotted as peak area ratio against analyte concentration using unweighted linear regression analysis. For both the compounds, the response was linear in the tested concentration range with correlation coefficient (r²) value greater than 0.99.

For the calibration curves of 3-oxo-C₁₂-HSL and C₁₂-TA, the deviations of the measured values from their true values for at least 6 out of 8 concentrations were observed to be within ±15% except at the LLOQ level, where the difference was higher than 15% but within 20%. The LOD was the lowest concentration in the calibration curve for both 3-oxo-C₁₂-HSL and C₁₂-TA with S/N > 3. The LLOQ concentration for 3-oxo-C₁₂-HSL and C₁₂-TA was 10 nM with S/N > 10, precision 20% and accuracy ±20%. The intra-day and inter-day accuracy and precision for 3-oxo-C₁₂-HSL and C₁₂-TA obtained at L, M, and H concentrations are listed in Table 2.

The recoveries for both 3-oxo-C₁₂-HSL and C₁₂-TA were determined, in each sputum sample, using the ¹³C labeled analogues. It was observed that the percent recoveries for C₁₂-TA (~ up to 25%) were in general much lower than those for 3-oxo-C₁₂-HSL (~ up to 60%)

across all samples. Clues to tetramic acids poor recovery have been noted in the literature and contributing factors include its tautomeric equilibrium³⁶ coupled with its metal-chelating ability^{17,21}. Such low recoveries of C₁₂-TA can further be expected in samples with complex biological matrices such as sputum, especially, with CF sputum since it contains excessive amounts of very thick mucus. Finally, even though the recoveries were lower for C₁₂-TA in sputum samples, the actual amount of the tetramic acid present in these samples could be accurately calculated owing to the use of ¹³C labeled C₁₂-TA.

The measured values of matrix effect for 3-oxo-C₁₂-HSL and C₁₂-TA were 126% and 104% respectively, indicating some matrix effect for 3-oxo-C₁₂-HSL but minimal for C₁₂-TA. To eliminate carryover effect, a “sawtooth” gradient wash step and a blank was run after the highest concentration standard (1000 nM) and after each sputum sample run. Carryover was considered insignificant if area in blank samples was < 20% of peak area of analyte in LLOQ samples and < 5% of mean peak area of internal standards in calibration curve standards.^{37,38} Both 3-oxo-C₁₂-HSL and C₁₂-TA, in standard pure and mixture solutions, were stable after repeated freeze and thaw cycles at least over a period of four months. It must also be pointed out that there was no observed conversion of 3-oxo-C₁₂-HSL to C₁₂-TA due to sample processing. Thus, the LC-MS-MS method was demonstrated to be sensitive, selective and accurate for the detection and quantification of 3-oxo-C₁₂-HSL and C₁₂-TA. Table 1 summarizes the validation results for this method.

Analysis of 3-oxo-C₁₂-HSL and C₁₂-TA in CF sputa—Having established that LC-MS-MS can successfully and reproducibly separate and identify 3-oxo-C₁₂-HSL and C₁₂-TA in standard solutions, we sought to probe the applicability of the method in the analysis of sputum samples.

Sputum samples were obtained (see methods), and the LC retention times, MS and MS-MS fragment ions corresponding to 3-oxo-C₁₂-HSL and C₁₂-TA in standard solutions were confirmed in sputum samples. Figure 2 shows LC-MS-MS chromatogram and MRM based MS/MS spectra demonstrating the separation and detection of 3-oxo-C₁₂-HSL and C₁₂-TA in a sputum extract. In 47 sputa analyzed, 3-oxo-C₁₂-HSL and C₁₂-TA were detected and quantified in 45 and 14 of the samples, respectively (Table 2). The concentrations of 3-oxo-C₁₂-HSL and C₁₂-TA present in the samples ranged from 20 to > 1000 nM and 13 – 900 nM respectively. In addition, there were five sputum samples with 3-oxo-C₁₂-HSL concentrations higher than 1000nM (up to ~6900 nM). Overall, 3-oxo-C₁₂-HSL was detected in 45/47 of the analyzed sputa in the range of 0.02 to 7μM. Unfortunately, C₁₂-TA was only detected in a smaller sample number, which might be due to the poor extraction efficiencies in sputum for this molecule.

The concentrations of 3-oxo-C₁₂-HSL and C₁₂-TA found in CF sputa were in the lower range of the previously reported concentrations found to be bactericidal toward certain bacteria.^{17,18} The previously reported EC₅₀ values for 3-oxo-C₁₂-HSL and C₁₂-TA antibacterial activities against various Gram-positive bacteria, which included a *Staphylococcus aureus* (co-colonizes with *P. aeruginosa* in CF lungs) strain were from 8–55 μM and 22 - >100 μM respectively.¹⁷ However, it can be envisioned that the effective local concentrations of 3-oxo-C₁₂-HSL and C₁₂-TA experienced by competing bacteria *in vivo* may be higher as the lung environment as well as the bacterial colonization density is unlikely to be homogeneous.

Furthermore, such levels *in vivo* may actually reflect a pertinent patho-physiological concentration. The reported concentration of 3-oxo-C₁₂-HSL required for half-maximal activation of QS-regulated *lasB* gene *in P. aeruginosa* is ~1 μM,³⁹ which is within the range of 3-oxo-C₁₂-HSL levels found in sputa in the present study. Moreover, 3-oxo-C₁₂-HSL in a

concentration range of 0.1–100 μM has been demonstrated to modulate innate immune response in host cells by inhibiting lymphocyte proliferation and production of both tumor necrosis factor alpha and interleukin-12 by lipopolysaccharide-stimulated macrophages; ultimately resulting in the reduction of bacterial clearance from the host and promoting their persistence.⁴⁰ It can be anticipated that the effective concentrations of 3-oxo-C₁₂-HSL encountered by host cells in vivo in the local vicinity of *P. aeruginosa* biofilms may be slightly higher in some scenarios. Therefore, it is plausible that these compounds may ward off microbial competitors, or confer a local competitive advantage to *P. aeruginosa* in vivo and thus contribute to *P. aeruginosa* eventual dominance in the lung microbiome.

While our clinical sample set was limited, statistical analysis of distribution of 3-oxo-C₁₂-HSL in “hospitalized” and “stable/control” groups of samples suggested that the concentrations of 3-oxo-C₁₂-HSL in the “hospitalized” group of samples were higher with relatively lower concentrations in the samples from “stable/control” group (Table 3). Specifically, the 3-oxo-C₁₂-HSL concentrations were elevated in samples obtained during hospitalization of the CF patients compared to the levels in samples obtained during their clinically stable periods. Furthermore, Figure 3 shows a profile of a patient with multiple samples collected during different clinical states. It was observed that the concentrations of 3-oxo-C₁₂-HSL in all the samples that were collected during pulmonary exacerbations/clinically sick or “hospitalized” states of the patient were higher when compared to the concentrations in samples from “stable” or remission periods. Of further note is the observed sudden drop in the concentration of 3-oxo-C₁₂-HSL from “Hospital admission” to “Day 2 hospitalization”. While many theories for this could be put forth, we believe such an effect could simply be due to the arsenal of therapeutic agents⁴¹ administered to the patient on the day of hospitalization. Indeed aggressive treatments of pulmonary exacerbations in CF patients have been shown to reduce sputum bacterial burden, airway markers of inflammation/infection and consecutively improve overall pulmonary function.^{41–44} Lastly, additional research will be needed to fully confirm the observed correlation between elevated 3-oxo-C₁₂-HSL concentrations and pulmonary exacerbations of “hospitalized” patients as the data were not normalized for *P. aeruginosa* counts in the sputum.

CONCLUSIONS

In summary, this study is the first to validate the presence of C₁₂-TA in sputum samples, while we have also been able to illustrate that 3-oxo-C₁₂-HSL could reach concentrations in the micromolar (μM) range in clinical samples of CF patients; this reinforces the implication of a broader role for 3-oxo-C₁₂-HSL. One of the obstacles in understanding roles of QS molecules in patient samples has been the inability to correlate sample concentrations with disease state. Noteworthy was the overall higher level of 3-oxo-C₁₂-HSL determined in samples from “hospitalized” patients versus those from a “stable/control” group. Consequently, we envision that the presence, and relative changes in amounts of 3-oxo-C₁₂-HSL in CF sputum could be developed as a biomarker of active *P. aeruginosa* pathogenesis, and in turn as an indicator of both exacerbation/disease state and therapy success in patients with CF.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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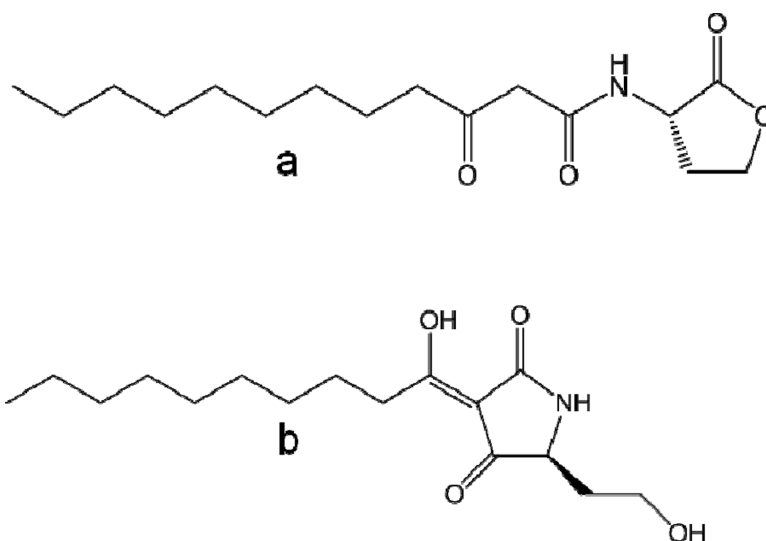


Figure 1. Chemical structures of 3-oxo-C₁₂-HSL (a) and the corresponding tetramic acid (C₁₂-TA, b).

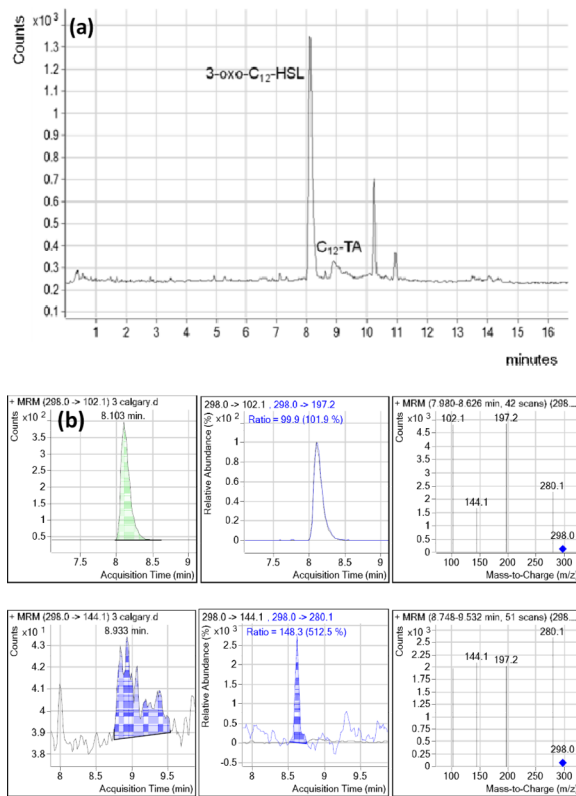


Figure 2.
 (a) LC-MS-MS chromatogram of a CF sputum extract. (b). MRM based MS-MS chromatograms and spectra of the sputum sample.

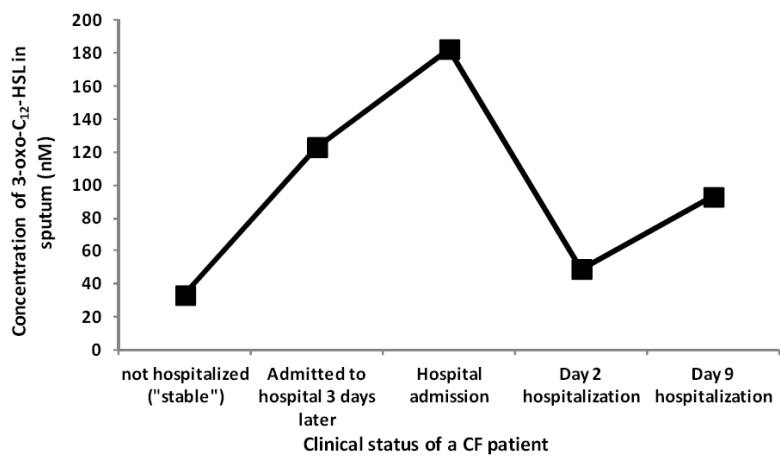


Figure 3. The concentration profile of 3-oxo-C₁₂-HSL detected in sputa of a CF patient obtained during different clinical states.

Table 1

Validation data: Calibration, intra- and inter-day precision and accuracy of method at three concentrations (L=50 nM, M=500 nM and H=1000 nM).

Method Validation	3-oxo-C ₁₂ -HSL	C ₁₂ -TA				
Calibration range (nM)	5–1000	5–1000				
Linearity (r ²)	0.9999	0.9937				
LLOQ (nM)	10	10				
Concentration (nM)	Low	Medium	High	Low	Medium	High
	50	500	1000	50	500	1000
Intra-day (n=3)						
Precision	5.15	0.98	0.96	20.33	4.57	6.82
Accuracy	85.27	101.12	98.91	104.29	101.86	100.08
Inter-day (n=3)						
Precision	3.28	3.11	0.7	3.12	12.47	4.09
Accuracy	102.33	99.23	100.28	103.4	91.37	101.94

Table 2Concentrations of 3-oxo-C₁₂-HSL and C₁₂-TA measured in CF sputa using LC-MS-MS.

Sample Number	Patient Number	Age	Disease State	Concentration (nM)	
				3-oxo-C ₁₂ -HSL	C ₁₂ -TA
1	12	20	S	n.q.	n.q.
2	5	22	S	n. q.	-
3	2	45	S	20.24	84.34
4	17	21	S	22.18	13.86
5	7	18	S	32.24	-
6	15	21	S	33.25	-
7	10	22	S	33.40	-
8	15	21	S	45.88	-
9	9	26	S	49.36	224.41
10	20	-	C	56.66	290.35
11	6	48	S	61.66	-
12	21	44	S	66.26	13.38
13	11	36	S	78.62	-
14	3	34	S	78.99	n.q.
15	1	32	S	79.15	-
16	16	31	S	81.93	-
17	3	34	S	83.51	-
18	22	26	C	86.46	365.04
19	4	27	S	104.97	-
20	10	23	S	123.28	-
21	4	27	S	679.40	-
22	1	32	S	803.34	-
23	3	34	S	981.42	79.63
24	1	32	S	6833.20	899.85
1	11	37	H	41.49	-
2	7	19	H	44.05	-
3	4	26	H	45.98	-
4	10	23	H	49.20	-
5	7	19	H	56.58	407.03
6	10	23	H	92.96	-
7	9	26	H	136.98	-
8	13	25	H	163.26	-
9	10	23	H	182.84	-
10	9	26	H	214.01	-
11	9	26	H	232.30	-
12	15	21	H	242.95	-
13	4	26	H	250.88	-
14	11	37	H	253.58	-

Sample Number	Patient Number	Age	Disease State	Concentration (nM)	
				3-oxo-C ₁₂ -HSL	C ₁₂ -TA
15	4	26	H	305.16	-
16	9	26	H	422.03	-
17	18	41	H	633.14	12.80
18	14	32	H	772.95	39.78
19	14	32	H	781.88	75.25
20	14	32	H	1386.89	-
21	9	26	H	2241.75	-
22	19	26	H	3303.38	209.69
23	8	23	H	3820.79	49.11

S: Stable, C: Control, H: hospitalized,

"n.q.": not quantifiable and "—": not detected

Table 3

3-oxo-C₁₂-HSL in “hospitalized” versus “stable/control” samples in an overall, unpaired non-parametric statistical analysis.

Stable/Control			Hospitalized			p [*]
n	Median (IQR) (nM)	Range (nM)	n	Median (IQR) (nM)	Range (nM)	
24	72 (33–96)	0–6833	23	243 (93–773)	41–3821	0.01

IQR; Interquartile Range

* Wilcoxon rank sum test (not controlling for multiple samples from individuals)