

Published in final edited form as:

Respir Physiol Neurobiol. 2008 December 10; 164(0): 96–104. doi:10.1016/j.resp.2008.06.007.

NEUROMODULATION AND THE ORCHESTRATION OF THE RESPIRATORY RHYTHM

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Abstract

The respiratory system is continuously modulated by numerous aminergic and peptidergic substances that act at all levels of integration: from the sensory level to the level of central networks and motor nuclei. The same neuronal networks receive inputs from multiple modulators released locally as well as from distal nuclei. All parameters of respiratory control are controlled by multiple neuromodulators. By partly converging onto similar G-proteins and second messenger systems, acetylcholine, norepinephrine, histamine, serotonin (5-HT), dopamine, ATP, substance P, cholecystinin (CCK) can increase frequency, regularity and amplitude of respiratory activity. Yet, the same modulator can also exert differential effects on respiratory activity by acting on different receptors partly in the same neurons. In the pre-Bötzinger complex (pre-BötC) modulators can differentially modulate frequency and amplitude in different types of pacemaker neurons. Similarly motoneurons located in different motor nuclei receive differential amplitude modulation from different modulators. Thus, modulators are capable of orchestrating and modulating different parameters of respiratory activity by differentially targeting different cellular targets. A disturbance in modulatory control may lead to Sudden Infant Death Syndrome (SIDS) and erratic breathing.

Keywords

Respiratory rhythm; pre-BötC; neuromodulator; 5-HT; norepinephrine; Substance P

Introduction

Neuromodulators have multiple functions in controlling respiratory rhythmic activity. They differentially regulate the amplitude and frequency of respiratory activity. They act at the level of motoneurons, sensory neurons and neurons located in various CNS nuclei. They are involved in the reconfiguration of the respiratory network during different forms of breathing. Thus, understanding how neuromodulators control the respiratory system will provide not only important insights into principles of neuromodulation, but also into how respiratory rhythmic activity is generated and regulated.

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There is increasing evidence that the respiratory rhythm is generated by neuronal networks located within the ventral respiratory column (VRC) and the parafacial respiratory group (pFRG) (Alheid et al., 2002; Feldman and Del Negro, 2006). Although, still uncertain how these regions interact to generate the breathing rhythm, it is well established that a particular area within the VRC, the so called pre-Boetzing complex (pre-BötC) is critical for generating inspiratory activity (Gray et al., 2001). Multiple studies have demonstrated that lesioning of the pre-BötC leads to the cessation of breathing (Wenninger et al., 2004; McKay et al., 2005). Isolated in medullary slices, the pre-BötC continues to generate three distinct types of activity patterns that appear to provide the basic rhythmic drive for the generation of three distinct forms of respiratory activity patterns: normal respiratory activity (sometimes referred to as eupnea), sighs and gasps (Lieske et al., 2000). The fact that the pre-BötC can be isolated in vitro has facilitated our understanding of the role of neuromodulators in regulating respiratory rhythm generation. This neuronal network exhibits an unprecedented degree of plasticity. Synaptic and intrinsic membrane properties are under the continuous control of neuromodulators that can differently regulate amplitude and frequency of respiratory activity, as well transitions between the different types of respiratory activities.

Although we are far from understanding all aspects of neuromodulation, it is obvious that neuromodulation is an integral part of the rhythm and pattern generating process itself. This review will highlight insights gained from experiments performed in transverse medullary slices. We will focus on the discussion of only a few modulators that best exemplify certain aspects of neuromodulatory control. Hence, it must be emphasized that neuromodulation is an even more complex process and that it will play a more versatile role in governing and orchestrating respiratory network activity in the intact animal. Indeed the locally modulated pre-BötC is connected with many other neuronal networks that are themselves under continuous modulatory control and in turn modulate the pre-BötC as will be discussed in the first paragraph.

Neuromodulators affect respiratory activity from numerous areas distributed throughout the nervous system

Respiratory rhythm generating areas, such as the pre-BötC receive multiple modulatory inputs from many areas outside (Fig.1A) and within the vicinity of the pre-BötC. The pre-BötC projects to various respiratory-related areas that contain neuromodulators and are in turn modulated by multiple other areas. Most areas contain multiple neuromodulators that are partly co-released from the same neurons. Thus, neuromodulation occurs at all levels of integration, and it can be assumed that all respiratory functions are controlled by multiple neuromodulators originating from multiple regions. This paragraph reviews the anatomical origin of some of the neuromodulators known to play key roles in the neuronal control of breathing (Fig. 1A). But, it is beyond the scope of this review to cover all known modulators. Moreover it is very likely that the list of known modulators that modulate respiratory activity is far from complete.

Regions that are well known for their important modulatory roles are raphe magnus and obscurus. These regions contain a variety of neurotransmitters and neuromodulators including GABA, serotonin (5-HT), Substance P and thyrotropin releasing hormone (TRH) (Lalley, 1986; Kachidian et al., 1991). The same neurons of raphe magnus contain 5-HT and substance P (Kachidian et al., 1991), but substance P is also found in neurons of the nucleus of solitary tract, the nucleus ambiguus, the dorsal motor nucleus of the vagus and the hypoglossal nucleus (Ribeiro-da-Silva and Hokfelt, 2000). All these areas either receive input from or project to the pre-BötC (Bianchi et al., 1995). Within the pre-BötC, Substance P is primarily colocalized with glutamate, but to lesser degree also with GABA (Liu et al., 2004). The pons (A5, (ventrolateral pons), A6 (locus ceruleus)) and medulla (A1, A2

regions) contain noradrenergic neurons. Some noradrenergic neurons located in the locus ceruleus possess also acetylcholine (VanderHorst and Ulfhake, 2006). Acetylcholine is present in the ventral periaqueductal gray (vPAG), the dorsal motor nucleus of vagus and others (VanderHorst and Ulfhake, 2006). The paraventricular nucleus of hypothalamus (PVN) is an important source of dopamine (Millan, 2002), while histamine is released from the tuberomammillary nucleus, a cluster of magnocellular cells in the posterior hypothalamus (Huston et al., 1997).

The mammalian forebrain and hypothalamic areas as well as the lower brainstem contain the cyclic polypeptide somatostatin (SST). In the brainstem, SST can be detected in somata and terminals of several nuclei related to the central respiratory groups, including the parabrachial nucleus and the nucleus of solitary tract (NTS). SST immunoreactive fibers were also seen in locus ceruleus, the NTS, and the nucleus ambiguus (Chigr et al., 1989). The NTS and the VRC contains also immunoreactive cholecystokinin (CCK) 8-like neurons (Ellenberger and Smith, 1999). The pre-BötC expresses also the trkB receptor which is activated by brain derived neurotrophic factor (BDNF).

The areas and neuromodulators depicted in Figure 1 and many other modulators not shown influence respiratory activity. Thus, a major challenge for future research is to explore the metabolic conditions and behavioral states under which different neuromodulator-containing nuclei are activated (see for example Table 1B), and the extent to which different activity levels lead to the differential release of different neuromodulators. It will also be important to explore how the respiratory network copes with the concurrent release of multiple neuromodulators, and to understand whether and why some modulators are released at different times. Currently unresolved is also the question to what extent substances are released directly into the synaptic cleft or into the extracellular space.

The finding that the respiratory network is multiply modulated is not surprising from a general network perspective. It is well established that even small neuronal networks in invertebrates receive multiple modulatory inputs (Marder and Buchner, 2007, Fig. 1B). Hence, it seems to be a general attribute of neuronal networks that there is no single substance and not even a few substances that are the major source of neuromodulatory input to a rhythm generating network, rather neuromodulation is a process that involves many neuromodulators acting at all levels of integration. In other words, like the situation in a classical orchestra, very different contributors are orchestrated to arrive at a common output.

Neuromodulators, receptors, subtypes and second messenger systems

The previous paragraph described that multiple neuromodulators originate from many different regions of the CNS. At the cellular level modulators act on a variety of receptor subtypes exerting inhibitory and excitatory effects on the respiratory network via different second messenger systems. In this paragraph we will discuss these cellular mechanisms for some of the key neuromodulators including acetylcholine, 5-HT, substance P and norepinephrine, but the reader is referred to Tables 1 and 2 for further details. Within the pre-BötC, acetylcholine acts on $\alpha 4\beta 2$ nicotinic (nACh), M2 and M3 muscarinic (mACh) receptors (Shao and Feldman, 2005; Shao et al., 2008). M3 mACh receptors are excitatory and positively coupled to $G_{q/11}$, phospholipase C (PLC), inositol 1,4,5-trisphosphate (IP_3) receptor, protein kinase C (PKC), while M2 receptors are inhibitory and suppress adenylyl cyclase (AC). Substance P binds to three main receptor types: NK1, NK2 and NK3 receptors (Quartara and Maggi, 1997). Immunostaining of the NK1 receptor is used as a maker of the of pre-BötC region (Gray et al., 1999), and its activation exerts excitatory effects. NK1 receptors are coupled to $G_{q/11}$ protein, IP_3 receptor and/or PKC (Quartara and Maggi, 1997), and modulate Na^+ , Ca^{2+} , K^+ channels (Quartara and Maggi, 1997), and possibly also an unknown cation channel (Pena and Ramirez, 2004).

5-HT exerts its respiratory effects via 5-HT_{1,2,3,4} and 5-HT₇ (Onimaru et al., 1998; Johnson et al., 2001; Pena and Ramirez, 2002; Manzke et al., 2003; Gunther et al., 2006). 5-HT₁ receptors are linked to G_{i/o}, and inhibit AC and increase K⁺ conductance. 5-HT₂ receptors are coupled to G_{q/11}, PLC, IP₃ receptor, facilitate the production of PKC, mobilize intracellular Ca²⁺ and/or block voltage dependent K⁺ channel (Fink and Gothert, 2007). The 5-HT₃ receptors are ionotropic and conduct cations. The 5-HT₄ and 5-HT₇ receptors are associated with G_s, increase AC, stimulate protein kinase A (PKA), and inactivate K⁺ channel or seem to activate I_h currents (Millan, 2002). 5-HT₁ inhibit, while 5-HT₂ and 5-HT₄ receptors facilitate respiratory rhythmic activity. In addition to these traditional signaling pathways 5-HT receptor activation seems to result also in the activation of BDNF, extracellular-regulated kinase (ERK), protein kinase B (Akt), mitogen-activated protein (MAP), insulin-like growth factor-1 (IGF-1), small GTPase (Ras, Raf) phosphatidylinositol 3-kinase (PI3K) and phosphoinositide-dependent protein kinases (PDK) (Cowen, 2007). Under some circumstances the activation of 5-HT_{1A} receptors can lead to increases in cAMP which results in increased IGF-1 levels (Cowen, 2007).

Figure 2 illustrates that many different modulators originating from different sources must converge at the receptor and second-messenger level. But, it remains unknown whether these complex modulatory influences are differentially regulated. If modulatory influences are regulated at the cellular and subcellular level it will be critical to obtain insights into the underlying rules. Specifically, the functional consequences of concurrent activation of multiple second messenger systems within the same neurons and in different areas of the respiratory system remain unknown. The insights gained in the mammalian respiratory network resemble those obtained in small neuronal networks of invertebrates (Marder and Bucher, 2007). Indeed many more cellular insights have already been obtained in these smaller networks. This clearly indicates that we are far away from a complete understanding of how respiration is modulated. But, even in absence of a complete understanding it is possible to conclude that the same neuromodulators act on different receptors, that neuromodulators affect several different voltage-gated channels within the same neuron, and that a number of different neuromodulators will converge onto the same second messenger system (Fig. 2), and that every neuron is subject to modulation by multiple substances originating from multiple areas of the respiratory system.

Inhibitory and excitatory control of respiratory frequency

Endogenously released neuromodulators provide continuous, excitatory and inhibitory drive onto the respiratory network (Fig. 2). Some of the known modulators exert either excitatory or inhibitory effects, while others have inhibitory as well as excitatory effects on respiratory functions. Substance P is an example for a modulator with primarily excitatory effects. This peptide exerts its excitatory effects by acting on NK1 receptors within the pre-BötC, but studies obtained in the brainstem-spinal cord preparation suggests that both NK1 and NK3 receptor agonist induce a concentration-dependent increase in the respiratory frequency (Monteau et al., 1996). Another primarily excitatory neuromodulator is CCK. This peptide acts on CCK₁ receptors within one or more medullary or pontine respiratory groups (Ellenberger and Smith, 1999). TRH, an important excitatory neuromodulators is known to induce bursting properties in NTS neurons, which may have important implications for respiratory control (Dekin et al., 1985). Exogenously applied histamine (H)1 and H3 receptors agonists increase the frequency of phrenic nerve activity, but only H1 receptor antagonists reduce respiratory frequency, suggesting that endogenously released histamine exerts its excitatory effects primarily by acting on H1 receptors (Dutschmann et al., 2003).

Opioids and SST (Llona and Eugenin, 2005) are primarily inhibitory modulators. Pre-BötC neurons that contain SST receptors are likely targets for the SST effect, but how SST inhibits respiratory frequency remains unknown. Much more is known about the opioid

effect. By acting on different receptors and neurons they exert differential inhibitory effects on the frequency of respiratory activity. The frequency effects seem to be mediated in part by neurons within the pre-BötC since μ -opioid receptor agonists inhibit respiratory activity when injected directly into the pre-BötC (Gray et al., 1999). Here they inhibit the activity of inspiratory pre-BötC neurons. Interestingly, opioids have no effect on neurons in the parafacial nucleus. This differential opioid modulation has provided important clues into the differential control of inspiration and expiration within the respiratory network (Mellen et al., 2003). Although, delta receptor activation affects respiratory activity, these receptors seem to have no frequency effects (Loneragan et al., 2003). Kappa (κ)- and mu (μ)-opioid receptor activation depresses the frequency of respiratory activity when activated by themselves. However, the activation of κ receptors seems to oppose the μ -opioid receptor-mediated respiratory depression when activated together (Haji and Takeda, 2001). This is an important example that shows (a) that the release of different modulators must be highly regulated and coordinated by the respiratory system, and (b) that different neuromodulators with apparently similar effects may have complex modulatory consequences that are difficult to predict when concurrently released.

Dopamine, serotonin and norepinephrine are neuromodulators that exert inhibitory as well as excitatory effects. Dopamine (D) increases the frequency of respiratory activity when bath-applied (Johnson et al., 1998), while specific activation of the D_4 receptors seems to depress respiratory rhythmic activity of pre-inspiratory neurons (Fujii et al., 2004). Bath-applied 5-HT increases and subsequently decreases bursting frequency in pre-inspiratory and inspiratory neurons. This biphasic modulation of the respiratory rhythm seems to be best explained by the activation of 5-HT_{2A} (excitatory), 5-HT_{2C} (excitatory) and 5-HT_{1A} (inhibitory) receptors (Onimaru et al., 1998). But, it remains largely unknown under what natural conditions these receptors are differentially activated. Indeed, a major task of future research will be to unravel the differential activation of different receptor systems in more physiological context. This must include understanding the activation pattern of the different neuromodulatory neurons and their projections. These studies may arrive at conclusions that are unexpected from in vitro experiments. This applies not only to serotonin, but to all known neuromodulators. Norepinephrine is clearly another good example for this issue. Like serotonin, norepinephrine exerts also excitatory and inhibitory by acting on different receptors. The A5 region in the pons seems to have inhibitory effects on respiratory activity, while locus coeruleus neurons from the pons activate the medullary respiratory network presumably via alpha-1 adrenergic receptors. Indeed exogenously applied alpha-1 agonists cause frequency increases in isolated transverse slices (Viemari and Ramirez, 2006b). However, antagonists of alpha-1 receptors do not alter spontaneous rhythmic activity in medullary slices, suggesting that alpha-1 receptors are endogenously activated only by noradrenergic neurons located outside the medulla. For further details on the role of excitatory as well as inhibitory noradrenergic descending drive onto the respiratory network the reader is referred to the accompanying review by JC Viemari.

Medullary control of respiratory frequency

Insights gained into locally released neuromodulators were gained by manipulating endogenous, excitatory drive in spontaneously active medullary slices that contain the pre-BötC. Unilateral microinjection of the acetylcholinesterase inhibitor physostigmine into the pre-BötC increases the frequency of respiratory rhythmic activity, an effect that is partially blocked by either M3 mACh receptor antagonists or $\alpha_4\beta_2$ nACh receptor antagonists (Shao and Feldman, 2005). The frequency of respiratory activity is also increased by applying 5-HT uptake blockers in rhythmic medullary slices. This frequency effect is mediated by 5-HT_{2A} receptors, since blockade of endogenously activated 5-HT_{2A} receptors with three different antagonists decreases the frequency of respiratory population activity (Pena and

Ramirez, 2002). The frequency of respiratory rhythmic activity is also reduced following pre-treatment with spantide, a NK1 receptor antagonist, suggesting that endogenously released substance P provides also local excitatory drive within the transverse slice preparation (Telgkamp et al., 2002).

Taken together, these findings indicate that the respiratory network is continuously and simultaneously driven by endogenously and locally released Ach, 5-HT and substance P acting on muscarinic M3, nicotinic $\alpha 4\beta 2$ receptors, 5-HT_{2A} and NK1 receptors. It appears that these neuromodulators have similar effects on respiratory frequency because they partly converge onto the same second messenger pathways. But, only very few studies have really investigated the functional significance of simultaneously activating the same second messenger pathways by different neuromodulators. The observation that the blockade of each receptor type (5-HT_{2A}, NK1, mACh and nACh receptors) results in a frequency decline, suggests that the simultaneous receptor activation will have cumulative consequences, and that a single neuromodulator is not sufficient to maximally activate a given second messenger system. However, at this point this is speculation and further experiments will be necessary to specifically address the role of converging modulatory pathways (Figure 2).

Indeed many questions associated with the convergence of neuromodulators remain unresolved: In particular, why is the frequency controlled by so many endogenously and locally released neuromodulators? Is the frequency control by multiple neuromodulators just a matter of redundancy? Is there an advantage of having multiple neuromodulators concurrently providing excitatory drive? Or alternatively is the multiple frequency control just an unavoidable consequence of the convergence of different modulators at the level of second messenger pathways? For example, if two “excitatory neuromodulators” are released within the pre-BötC for different reasons, they both will also increase the frequency if both of them activate PKC. Unfortunately, at this point we do not even know whether individual neurons receive excitatory inputs from all these neuromodulators or whether different subsets of respiratory neurons are modulated by subsets of different neuromodulators. Another important question is whether different neuromodulators can compensate for each other? Specifically, is the existence of multiple “frequency” modulators an important safety mechanism? Could this explain for example why genetic lesions of excitatory neuromodulators have surprisingly small effects on frequency regulation? Mice in which substance P production (Telgkamp et al., 2002) was genetically blocked show baseline respiratory frequencies that are on average not different from control mice. The same is true for NK1 receptor knock-out mice (Hilaire et al., 2003). These reports suggest mechanisms compensating for the loss of substance P production and NK1 receptors. However, such potential mechanisms may not always be sufficient to compensate for the loss of neuromodulators and their receptors. An interesting example is the hypercapnic ventilatory response of Lmx1b knock-out mice, which in the absence of central 5-HT neurons was decreased by 50% compared to wild-type mice (Hodges et al., 2008). This result underlines the important role of 5-HT receptor in CO₂ sensitivity, and the limited ability of the nervous system to fully compensate for the loss of serotonergic modulation.

Future studies need to address the combinatory effects of different neuromodulators. For example by simultaneously blocking muscarinic, nicotinic, 5-HT₂ and NK1 receptors and comparing the magnitude of the combined blockade versus the blockade of individual neuromodulators it should be possible to learn whether the contribution of each neuromodulator is cumulative and whether the drive of all neuromodulators is necessary for maintaining baseline frequency. If multiple modulators regulate frequency, what are the constraints that limit the frequency modulation to a given frequency range that is physiologically meaningful? What are the mechanisms that maintain respiratory stability

despite the multiplicity of modulatory control? All these questions are critical for understanding the role of neuromodulators in respiratory control.

Neuromodulatory control of regularity of rhythmic activity

From existing experiments there seems to be a tight correlation between modulatory control of frequency and regularity of respiratory rhythmic activity. Blockade of endogenous, excitatory drive decreases, while increasing endogenous excitatory drive increases respiratory frequency as well as regularity of the rhythm. The regularity of respiratory activity in transverse rhythmic slices is for example increased by 5-HT uptake blockers that mimic the “regularizing” effect of exogenously applied 5-HT_{2A} agonists (Pena and Ramirez, 2002). Conversely, blockade of endogenous excitatory drive with 5-HT_{2A} receptors antagonist results in irregular respiratory rhythmic activity. Similarly, pre-treatment of spantide, a NK1 receptor antagonist, increases the irregularity score, suggesting that the endogenous substance P and 5-HT release regulate regularity of respiratory activity (Telgkamp et al., 2002).

The tight correlation between modulatory control of frequency and regularity of respiratory rhythmic activity may indicate that both of these parameters depend on the same or at least highly intertwined cellular/network mechanism. Recordings from neurons that give rise to the generation of respiratory rhythmic activity could yield important insights into the relationship between regularity and frequency of respiratory activity (Ramirez et al., 2004).

Cadmium-insensitive pacemakers and the control of frequency and regulatory of rhythmic activity

Inspiratory neurons located within the pre-BötC exhibit two types of pacemaker properties (Thoby-Brisson and Ramirez, 2001). Pacemaker bursting in one population of inspiratory neurons seems to depend on the calcium-activated non-selective cation (CAN) current (Pena et al., 2004). These neurons are referred to as “cadmium-sensitive” pacemaker neurons, because bursting in these neurons is abolished following blockade of calcium influx with extracellularly applied cadmium. Pacemaker bursting in the other population of inspiratory neurons seems to depend on the persistent sodium current (Pena et al., 2004). These neurons are referred to as “cadmium-insensitive” pacemaker neurons, because bursting in these neurons continues even following blockade of calcium currents with extracellularly applied cadmium. Neuromodulators that cause a frequency and regularity increase in respiratory network activity evoke a frequency increase specifically in the endogenous bursting of isolated cadmium-insensitive pacemakers. Indeed substance P, norepinephrine and 5-HT increase the frequency of intrinsic bursting of these pacemakers upon pharmacological isolation (Pena and Ramirez, 2002, 2004; Viemari and Ramirez, 2006a). Although an intriguing correlation, the similarity in the modulatory frequency effect at the level of single pacemaker neurons and the respiratory network does not necessarily imply a causal relationship. However, this correlation between single cell and network response is consistent with a hypothesis proposed by Ramirez et al. 2004. According to this hypothesis the population of cadmium-insensitive pacemaker neurons may be involved in kindling inspiratory network activity (Ramirez et al., 2004). Specifically, it was hypothesized that closely timed bursts in several pacemaker neurons might trigger or “kindle” an inspiratory population burst that is associated with the synaptic recruitment of other pacemaker and non-pacemaker neurons, including not only excitatory but also inhibitory neurons. In the respiratory network, the intrinsic inactivation properties of pacemaker currents will reduce the probability of bursting directly after an inspiration, thereby preventing a premature kindling of the next inspiration. Conversely, an increased burst frequency of these neurons could result in an increased probability of kindling an inspiratory network burst resulting in an increased frequency in network activity. An increased probability of kindling a network

burst could in turn also result in an increased regularity of bursting. For further details regarding this “kindling hypothesis”, the reader is referred to the review by Ramirez et al. 2004.

Neuromodulation and the neuronal control of the amplitude of inspiratory activity

Exogenously applied agonists for alpha-1 adrenergic receptors, 5-HT_{2A} receptors and NK1 receptors as well as 5-HT uptake blockers increase not only the frequency, but also the amplitude of respiratory activity at the level of the pre-BötC (Pena and Ramirez, 2002, 2004; Viemari and Ramirez, 2006a). Interestingly, these excitatory neuromodulators caused predominantly an increase in the amplitude of the depolarizing drive potential in cadmium-sensitive pacemaker neurons. An increased depolarizing drive potential gives rise to an increased frequency of action potentials that when integrated will result in an increased amplitude in the integrated inspiratory population burst. Blockade of the CAN current with flufenamic acid abolishes bursting in cadmium-sensitive pacemaker neurons and also the amplitude modulation at the network level. This finding is consistent with the hypothesis that the CAN current plays a critical role in amplitude modulation as proposed by Viemari and Ramirez, 2006a. The hypothesis that intrinsic bursting properties can cause an amplification in the network amplitude by amplifying excitatory synaptic drive potentials has first been demonstrating by injecting hyperpolarizing current pulses that caused linear drops in the burst amplitude (Ramirez and Richter, 1996). The role of intrinsic membrane currents in amplifying network activity has more recently also been described by Del Negro et al. (Del Negro et al., 2005).

It must be emphasized that the amplitude modulation of both population activity and single cell burst at the level of the pre-BötC does not necessarily result in a corresponding amplitude modulation at the level of the motor output. This is the case because motor neurons have their own intrinsic membrane properties, and synaptic inputs that are under modulatory control and that can be regulated independently from the modulatory effects on the rhythm generating network. An example for the independent amplitude control of rhythm generating network and motor output is seen in the response to hypoxia. The respiratory network in the pre-BötC shows primarily a frequency modulation. By contrast hypoglossal motor neurons exhibit a massive depolarization and amplitude modulation that is not reflected in a corresponding amplitude modulation of the pre-BötC (Telgkamp and Ramirez, 1999). This independent amplitude control presumably allows the respiratory system to differentially regulate different motor outputs. This seems critical since the requirements for diaphragmatic control may be strikingly different from those of upper airway or thoracic muscles. For an in depth review of the modulatory control of motoneurons the reader is referred to a publication by Feldman et al (Feldman et al., 2003).

The modulatory control of gasps and sighs, and the association with Sudden Infant Death Syndrome

In vitro preparations containing the pre-BötC show a distinct activity pattern during severe hypoxia. This activity pattern has been referred to as “fictive gasping” (Lieske et al., 2000). Like in vivo gasping this activity pattern is characterized by a faster rise time, shorter burst duration and lower frequency under hypoxic conditions (Ramirez and Garcia, 2007). The transition from normal respiratory activity into gasping activity is associated with a reconfiguration in network activity. Under normal conditions two types of pacemaker neurons (cadmium-sensitive and cadmium-insensitive) are active, and excitatory and inhibitory synaptic mechanisms are critical for rhythm generation. Under hypoxic conditions, the activity of cadmium-sensitive pacemakers and the majority of non-pacemaker neurons ceases, while cadmium-insensitive pacemakers continue to burst. Blockade of the persistent sodium current with riluzole abolished selectively bursting in

cadmium-insensitive pacemaker neurons and also gasping (Pena et al., 2004). Although these pharmacological experiments are associated with significant caveats as also discussed by Pena et al. 2004, the data obtained are consistent with the hypothesis that cadmium-insensitive pacemakers become the main drivers of gasping under hypoxic conditions (Pena et al., 2004). The hypothesis proposed by Pena et al. 2004, i.e. that (a) respiratory rhythm generation during gasping depends on persistent sodium current and bursting in cadmium-insensitive pacemakers and (b) that the respiratory network reconfigures during hypoxia was later confirmed by (Paton et al., 2006). Additional support for the hypothesis by Pena et al. 2004, came from in vivo experiments. The administration of persistent sodium channel blocker, riluzole, in cisterna cerebellomedullaris drastically reduced gasping generation (Pena and Aguilera, 2007). Experiments in the in situ perfused preparation also suggest that both 5-HT₂ and alpha-1 adrenergic receptors are important to sustain gasping after hypoxia-induced depression (St-John et al., 2007). A recent publication by Tryba et al. 2008 suggests that cadmium-insensitive pacemakers are also important for the generation of sighs (Tryba et al., 2008), and the study by Pena et al. 2004 suggested that riluzole blocks not only fictive gasping but also sighs.

The hypotheses put forward by Pena et al. 2004, may have important implications for Sudden Infant Death Syndrome (SIDS). Increasing evidence suggests that SIDS is associated with disturbed sighs, gasps and arousal mechanisms (Hunt, 1992; Poets et al., 1999; Harper et al., 2000; Poets, 2004; Fewell, 2005; Thach, 2005). As described by Lijowska et al (1997) the infants' arousal response consists of four highly stereotyped behaviors: sighs, startles, thrashing limb movements, and full arousal (eyes open, cry). According to Lijowska et al (1997) these behaviors occurred always in the same sequence: first a sigh coupled with a startle, then thrashing, then full arousal (Lijowska et al., 1997). Orem and Trotter described that sighs usually occurred just before, or coincided with, awakening and other sleep state transitions in adult cats (Orem and Trotter, 1993). These authors also suggested that the neural mechanisms regulating both sighs and arousals are closely linked. A similar association between sighs and arousals has been described by Phillipson et al. for dogs (Phillipson et al., 1978; Lijowska et al., 1997; McNamara et al., 1998; Gerard et al., 2002; McNamara et al., 2002). In SIDS the number of sighs and the number of gasps are decreased (Kahn et al., 1988; Poets et al., 1999), and often gasps are not associated with autoresuscitation or appropriate heart rate responses (Poets, 2004). Together with the observation that riluzole, blocks not only selectively bursting in cadmium-insensitive pacemaker neurons but also gasps and sighs makes this an intriguing finding (Pena et al., 2004).

The hypothesis that cadmium-insensitive pacemakers are not only the critical drivers of gasping, but are also dependent on serotonin is also interesting in this context (Pena et al., 2004; Tryba et al., 2006). An increased risk of SIDS has been associated with mutations in the promoter of the 5-HT transporter protein gene (Weese-Mayer et al., 2003), and abnormalities in 5-HT receptor expression within the medulla (Kinney, 2005; Paterson et al., 2006; Sahni et al., 2007). Interesting, is also the association between 5-HT, thermoregulation and CO₂ sensitivity, a disturbance of these mechanisms could also contribute to SIDS (Hodges et al., 2008). SIDS has not only been associated with serotonin, but also with a disturbance in noradrenergic systems (Hilaire, 2006). Through their widespread projections, the locus coeruleus and the other noradrenergic nuclei supply norepinephrine throughout the CNS, which functions as the brain's major arousal and attentional system (Coull et al., 2001; Berridge and Waterhouse, 2003). Interestingly, a recent report suggests a relationship between sudden unexpected fetal death and an altered NK1 immunopositivity and developmental alterations of pre-BötC neurons (Lavezzi and Matturri, 2008).

Acetylcholine is another modulator that is associated with the etiology of SIDS. Exposure to tobacco has been identified as a key risk factor, and polymorphisms in both the glutathione S-transferase theta 1 (GSTT1) and cytochrome P450 1A1 (CYP1A1) genes have been reported to impact the metabolic detoxification process for cigarette smoke and have been associated with low birth weight (Rand et al., 2006). Acetylcholine has also been associated with the neuronal control of sighs (Tryba et al., 2008). Muscarinic agonists promote the generation of sighs and large amplitude bursts in a subpopulation of cadmium-insensitive pacemaker neurons. The muscarinic facilitation of sighs is blocked by pretreatment with M3 mACh antagonists (Tryba et al., 2008). This is interesting, since M3 mACh activates the same second messenger system as the other $G_{q/11}$ coupling receptors: 5-HT_{2A}, alpha-1adrenergic and NK1 receptors.

Neuromodulation and Respiratory Disorders

Because of the important role of neuromodulators in regulating frequency, regularity and amplitude of respiratory activity it shouldn't surprise that a disturbance in any aspect of modulatory control may also lead to breathing disorders. A neurological disorder that has been linked to a disturbance in modulatory control is Rett syndrome. These patients have X-linked mutations in the methyl-CpG binding protein 2 gene (*Mecp2*), and typically suffer from severe breathing abnormalities that include hyperventilation, breath-holding and respiratory dysrhythmia (Elian and Rudolf, 1991; Kerr, 1992; Woodyatt and Murdoch, 1996; Morton et al., 1997; Cooper et al., 1998; Kerr and Julu, 1999; Weese-Mayer et al., 2007). These breathing disturbances are associated with deficiencies in SP (Matsuishi et al., 1997; Deguchi et al., 2000), serotonin, noradrenaline and dopamine (Lekman et al., 1989; Zoghbi et al., 1989; Segawa, 1997). Adult mice deficient for the *Mecp2* gene have erratic breathing reminiscent of those of human patients (Viemari et al., 2005). In this animal model highly variable respiratory rhythm and frequent apneas are associated with reduced norepinephrine and serotonin content in the medulla, and drastic reductions in medullary tyrosine-hydroxylase expressing neurons in the A2/C2 group (Viemari et al., 2005). The severe respiratory disturbances are also evident in the pre-BötC isolated from the medulla of *Mecp2* deficient mice (Viemari et al., 2005). The finding that disturbed respiratory network activity in vitro can be stabilized with norepinephrine has led to pharmacological experiments in whole animals. Interestingly, noradrenaline and serotonin-uptake inhibitors were capable of not only stabilizing breathing, but possibly also extending the life span in this mouse model (Roux et al., 2007), an intriguing finding with important clinical implications.

Another disorder associated with a disturbance in aminergic neuromodulation is the Prader-Willi syndrome. These patients have mutations in the *necdin* gene which is associated with severe breathing irregularities and disturbances in the 5-HT metabolism (Zanella et al., 2008a). Given the complexity and multiplicity of modulatory control it is likely that also other modulators are altered in these patients. Indeed, opiates used as analgesics have exaggerated depressive effects on breathing in Prader-Willi Syndrome patients (Zanella et al., 2008b).

Concluding remarks

The examples discussed in this review illustrate that neuromodulators play critical roles in the neuronal control of breathing regularity, frequency and amplitude. Disturbances in the modulatory milieu seem to have severe clinical consequences that are possibly amenable to therapeutic treatments aimed at reestablishing normal levels of modulatory control. However, given the complexity of modulatory control as described in the first part of this review, it is probably difficult to predict how a given neuromodulator acting at many different levels of the respiratory system will affect breathing. This prediction will be

particularly difficult, because changes in one modulator could potentially lead to various different compensatory responses. Indeed, given that so many modulators could potentially compensate for the defect in one modulatory system a disturbance in one or a few modulators should have only limited functional consequences. Hence, understanding how neuromodulators can compensate for each other will be an important task that is probably relevant to all neuronal networks, i.e. not only to the respiratory network. Importantly this is an issue that needs to be resolved if we want to enter the next phase in which basic scientific insights are potentially translated into the clinic.

Acknowledgments

This manuscript was supported by NIH 68860.

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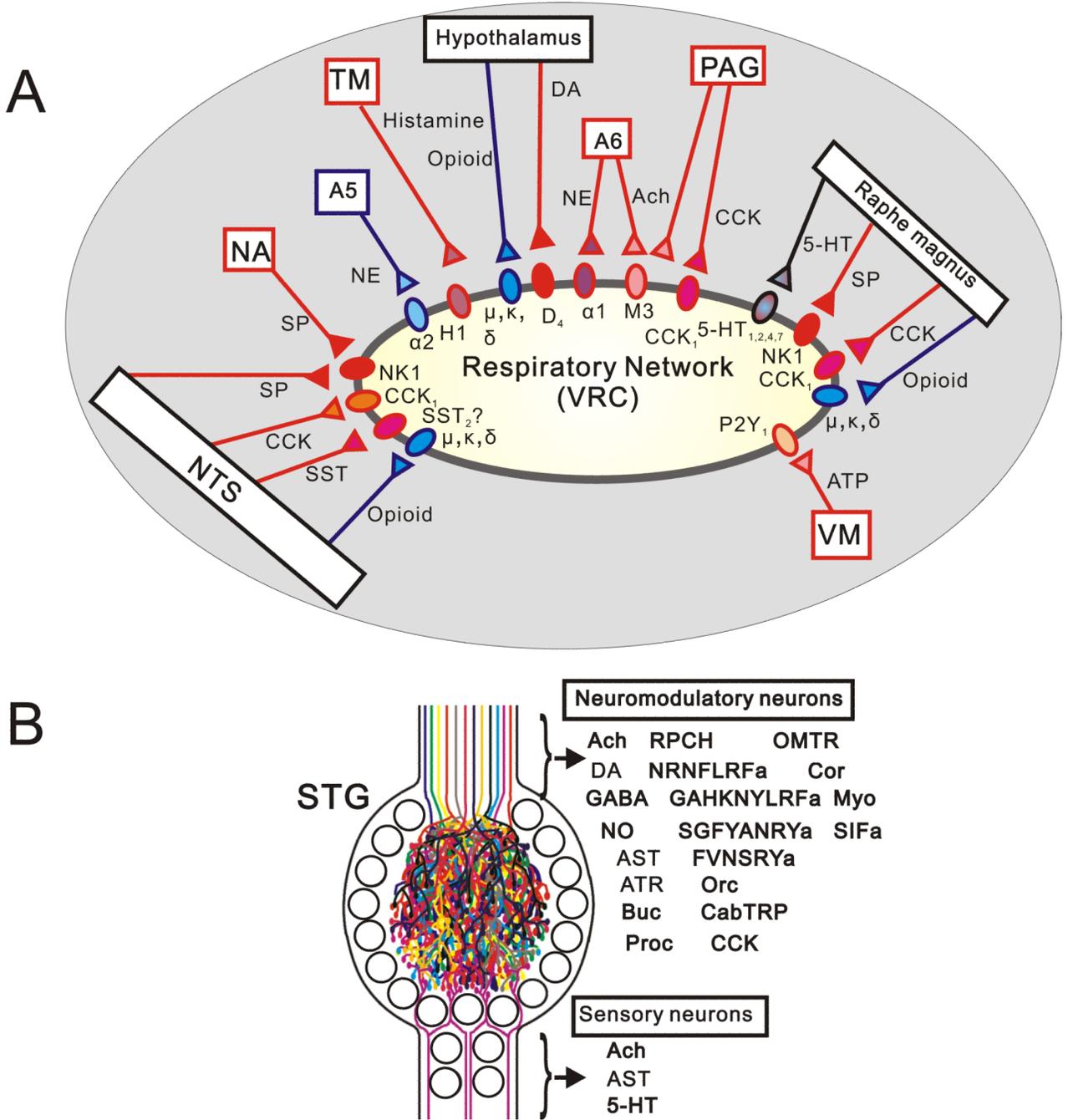


Figure 1. Neuromodulation of network activity

(A) The respiratory network receives excitatory and inhibitory input from multiple sources that are integrated to generate breathing behavior. Abbreviations, see below. NA; nucleus of ambiguus, A5; ventrolateral pons, TM; tuberomammillary nucleus, A6; locus ceruleus, SP; substance P, NE; norepinephrine. (B) Summary of the neuroactive mediators in the somatogastric ganglion (STG). The situation in the respiratory network is not too different from the stomatogastric ganglion in crustaceans in which modulatory inputs from multiple sources converge onto a rhythm generating network (Modified from Marder and Buchner, 2007). The stomatogastric ganglion illustrates that it shouldn't be surprising if there are many more peptides in the respiratory system that are still waiting to be discovered. For

abbreviations see below. AST; allatostatin, ATR; allatotropin, Buc; buccalin, Proc; proctolin, RPCH; red pigment-concentrating hormone, FRNRNFLRFa and GAHKNYLRFa; RF amide family, SGFYANRYa and FVNSRYa; RY amide family, Orc; orcomyotropin, CabTRP; cancer borealis tachykinin-related peptide, CCK; cholecystokinin, OMTR; N/A, Cor; corazolin, Myo; myomodulin, SIFa; SIF amide.

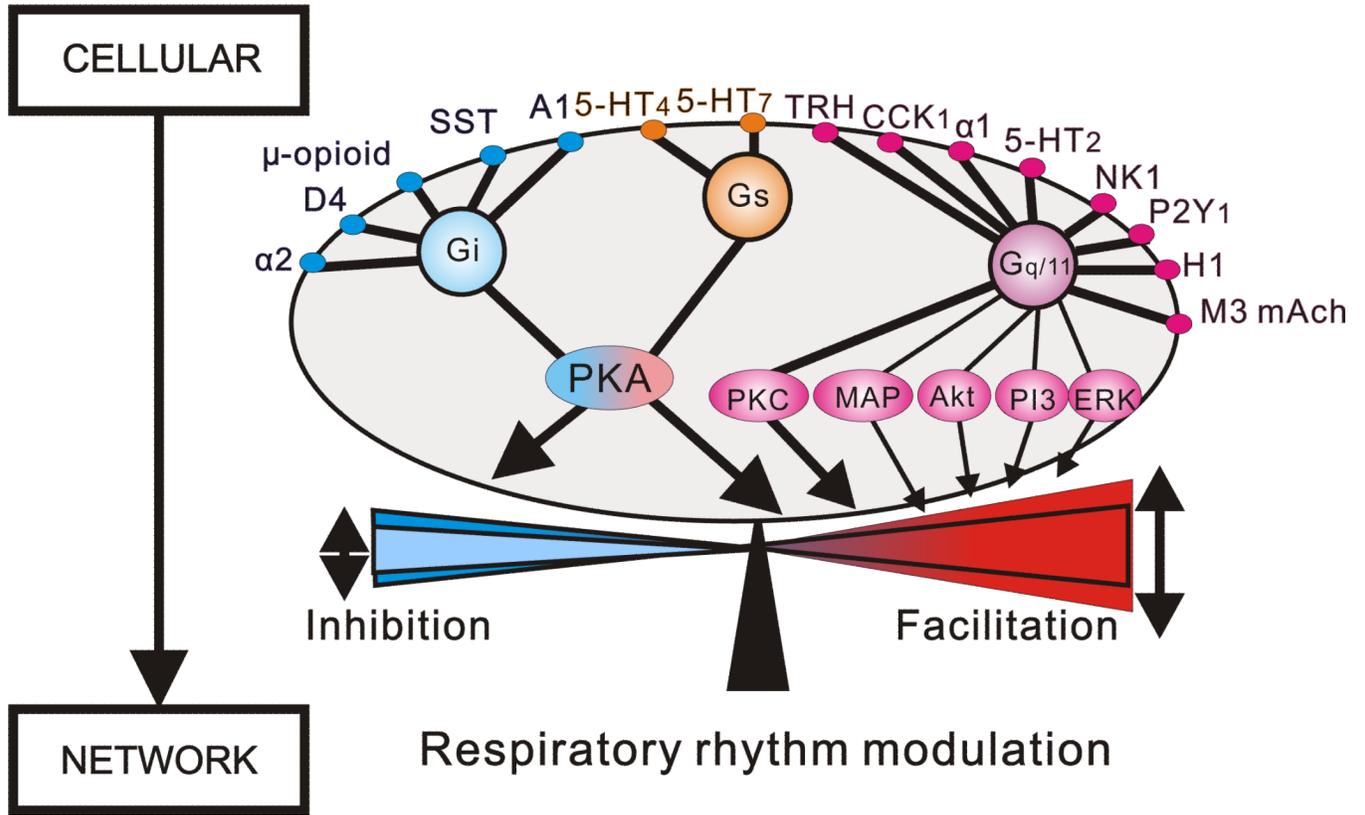


Figure 2. Convergence of excitatory and inhibitory neuromodulators that regulate the frequency of respiratory rhythmic activity

Multiple neuromodulators including biogenic amines and neuropeptide converge onto respiratory neurons. Note: the activation of excitatory metabotropic receptors couple mainly to G_{q/11} proteins. The G_{q/11} protein links traditionally to PLC, PIP₂, IP₃, PKC. Additionally, it has been recently reported that G_{q/11} coupled receptors, affect to mitogen-activated protein (MAP), protein kinase B (Akt), phosphatidylinositol 3-kinase (PI3) and extracellular-regulated kinase (ERK). The convergence and divergence of modulatory effects contribute to the regulation of respiratory rhythmic activity at the network level. One of the main challenges is to identify the mechanisms that constrain these complex modulatory effects to a physiological frequency range.

Table 1

Excitatory modulatory effects on the respiratory rhythm

(A): The excitatory effects of neuromodulators under standard conditions. Ach; Acetylcholine receptors, NE; adrenoceptors, 5-HT; serotonin receptors, DA; dopamine receptors, NK; neurokinin receptors, CCK; cholecystokinin receptors, M3; muscarinic3 Ach receptors, $\alpha 1$; $\alpha 1$ -adrenoceptor, 2A, 2B; 5-HT_{2A,2B} receptors, 3; 5-HT₃ receptors, 4; 5-HT₄ receptors, X₂; P2X₂ receptors, Y₁; P2Y₁ receptors, 1; NK1 receptors and CCK₁ receptors, PAG; periaqueductal gray, X; the dorsal motor nucleus of vagus, LC; locus ceruleus, RM; raphe magnus, PVN; paraventricular nucleus of hypothalamus, VM; ventral medulla (The origin of the ATP may come from glia which is located at superficial layer of the ventral medulla), NTS; nucleus of tractus solitarius, ambiguus; nucleus of ambiguus, q/11; G_{q/11} protein, s; G_s protein, PLC; phospholipase C, PIP2; Phosphatidylinositol bisphosphate, IP3, inositol 1,4,5-trisphosphate, PKC; protein kinase C, AC; adenylyl cyclase, cAMP, adenosine monophosphate, Amp.; amplitude, Freq.; frequency, Gener.; respiratory generator, Motor; respiratory related activity at the level of the motor nucleus or nerve, (↑); facilitatory effect on the respiratory rhythm, (↓); inhibitory effect on the respiratory rhythm, (+); Respiratory study was performed, (-); The respiratory study was not performed yet.

(B): Excitatory effects of neuromodulators on the respiratory rhythm under pathological conditions in vitro and in situ preparation. *i/o*; G_{i/o} protein, $\beta\gamma$; G $\beta\gamma$ protein, "Pentobarbital administration" means the specific condition under which pentobarbital sodium was applied to the slice preparation) or injected into the in situ preparation. "Activation of μ -opioid receptors" means the specific condition during which the agonist of the μ -opioid receptors was applied or injected.

Receptor type	Receptor subgroup	Source of endogenous ligand	G protein	Second messengers	Excitatory modulation for respiratory rhythm in vitro			References	
					Modulation		Location		
					Amp.	Freq.	Motor		
Ach	M3	PAG?;LC?, X?	q/11	PLC, PIP2, IP3, PKC	↑	↑	+	+	Shao XM et al. 2005
NE	$\alpha 1$	LC	q/11	PLC, PIP2, IP3, PKC	↑	↑	+	+	Viemari JC et al. 2006
Histamine	H1	Tuberom ammillary	q/11	PLC, PIP2, IP3, PKC	No effect	↑	-	+	Dutschm ann M et al. 2003
	2A,2B	RM	q/11	PLC, PIP2, IP3, PKC	↑	↑	+	+	Pena et al. 2002, Gunther et al. 2006
5-HT	3	RM	-	-	No effect	↑	-	+	Johnson SM et al. 2001
	4	RM	s	AC, cAMP, PKA	?	↑	-	+	Manzke T et al. 2003
DA	?	PVN	s?	AC, cAMP, PKA	↓	↑	-	+	Johnson R et al. 1998
ATP	X ₂	VM (glia?)	-	-	↑	↑	-	+	Lorier et al. 2007

A	Receptor type	Receptor subgroup	Source of endogenous ligand	G protein	Second messengers	Excitatory modulation for respiratory rhythm <i>in vitro</i>					
						Modulation			References		
						Amp.	Freq.	Motor	Gener.	Motor	Gener.
(P2)	Y ₁	VM (glia?)	q/11	PLC, PIP2, IP3, PKC	↘	↗	+	+	+	Lorier et al. 2003	
NK	I	RM?, NTS, Ambiguous	q/11	PLC, PIP2, IP3, PKC	↗	↗	+	+	+	Pena et al. 2004	
CCK	I	PAG, NTS, RM	q/11, s	PLC, PIP2, IP3, PKC	No effect	↗	-	-	+	Ellenberger HH et al. 1999	
TRH	1?, 2?	RM	q/11	PLC, PIP2, IP3, PKC	?	↗	+	-	-	Dekin et al. 1985	

B	Receptor type	Receptor subgroup	Source of endogenous ligand	G protein	Condition	Excitatory modulation for respiratory rhythm <i>in vitro</i>					
						Modulation			References		
						Amp.	Freq.	Motor	Gener.	Motor	Gener.
	I	RM	i/o, βγ	Pentobarbital administration	?	↗	+	+	+	Lalley PM et al. 1994	
	2A	RM	q/11	Hypoxia (Gaspung) condition	No effect	↗	+	+	-	Tryba A et al. 2006	
5-HT	4	RM	s	Activation of μ-opioid receptor	?	↗	-	-	+	Manzke T et al. 2003	
	7	RM	s	Activation of μ-opioid receptor	?	↗	-	-	+	Richter DW et al. 2003	
	X ₂	VM (glia?)	-	Hypoxia condition	↗	↗	-	-	+	Gourine AV et al. 2005	
ATP (P2)	Y ₁	VM (glia?)	q/11	Hypoxia condition	↘	↗	+	+	+	Lorier et al. 2003	

Table 2

Inhibitory modulatory effects on the respiratory rhythm

Adenosine; adenosine receptors, Opioid; opioid receptors, SST; somatostatin receptors, α_2 ; α_2 -adrenoceptor, D4; dopamine 4 receptors, A1; adenosine 1 receptors, μ , δ , κ ; μ -, δ - and κ -receptors, 2?; SST 2 receptors?, Metabolism of ATP; Adenosine may result from metabolism of the ATP. PBN; parabrachial nucleus, Irregu.; irregularity of the rhythm

Receptor type	Receptor subgroup	Source of endogenous ligand	G protein	Second messengers	Inhibitory modulation for respiratory rhythm in vitro						
					Modulation			Location			References
					Amp.	Freq.	Irregu.	Gener.	Motor		
NE	α_2	Ventrolateral pons	i/o	AC, cAMP, PKA	➔	➔	➔	-	+	Hilaire et al. 1989	
DA	D4	PVN	i/o	AC, cAMP, PKA	No effect	➔	-	+	-	Fujii M et al. 2006	
Adenosine	A1	Metabolism of ATP	i/o	AC, cAMP, PKA	-	➔	➔	+	+	Herlenius E et al. 1999	
Opioid	μ , δ , κ	NTS, PBN, PVN, RM	i/o	AC, cAMP, PKA	➔	➔	?	+	+	Mellen NM et al. 2003	
SST	2?	NTS	i/o	AC, cAMP, PKA	?	➔	-	-	+	Llona I et al. 2004	