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## Identification of an ABCB1 (P-glycoprotein)-positive carfilzomib-resistant myeloma subpopulation by the pluripotent stem cell fluorescent dye CDy1

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### Abstract

Multiple myeloma (MM) is characterized by the malignant expansion of differentiated plasma cells. Although many chemotherapeutic agents display cytotoxic activity toward MM cells, patients inevitably succumb to their disease because the tumor cells become resistant to the anticancer drugs. The cancer stem cell hypothesis postulates that a small subpopulation of chemotherapy-resistant cancer cells is responsible for propagation of the tumor. Herein we report that efflux of the pluripotent stem cell dye CDy1 identifies a subpopulation in MM cell lines characterized by increased expression of P-glycoprotein, a member of the ABC (ATP-binding cassette) superfamily of transporters encoded by *ABCB1*. We also demonstrate that *ABCB1*-overexpressing MM cells are resistant to the second-generation proteasome inhibitor carfilzomib that recently received accelerated approval for the treatment of therapy-refractive MM by the U.S. Food and Drug Administration. Moreover, increased resistance to carfilzomib in sensitive MM cells following drug selection was associated with upregulation of ABCB1 cell-surface expression which correlated with increased transporter activity as measured by CDy1 efflux. We further show that chemosensitization of MM cells to carfilzomib could be achieved in vitro by cotreatment with vismodegib, a hedgehog pathway antagonist which is currently in MM clinical trials. CDy1 efflux may therefore be a useful assay to determine whether high expression of *ABCB1* is predictive of poor clinical responses in MM patients treated with carfilzomib. Our data also suggest that inclusion of vismodegib might be a potential strategy to reverse *ABCB1*-mediated drug resistance should it occur.

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## Keywords

CDy1; ABCB1; multiple myeloma; carfilzomib; vismodegib; ASPM; KIF14; TMPO

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## Introduction

Multiple myeloma (MM), an incurable clonal plasma cell disorder, is the second most common hematologic malignancy in the United States with over 20,000 new cases and 10,000 deaths each year. Although patients initially respond to therapy, they eventually relapse because the MM cells acquire drug resistance [1]. The cancer stem cell (CSC) hypothesis predicts that a small subpopulation of cancer cells is responsible for tumor propagation [2]. Demonstration of a low percentage of clonogenic cells in the bulk tumor first prompted a search for CSCs in MM over 30 years ago [3]. By analogy to normal stem cells, CSCs are predicted to be drug-resistant due to expression of enzymes that detoxify chemotherapeutic agents (such as aldehyde dehydrogenase (ALDH) which neutralizes cyclophosphamide) or members of the ATP-binding cassette (ABC) family of transporters that efflux them out of the cells [4–7]. Despite these similarities, the relationship between drug-resistant MM cells at relapse and putative MM CSCs remains unclear, and the clinical relevance of CSCs in MM remains a matter of much debate [8]. For example, efflux of the vital dye Hoechst 33342 by the ABC family members ABCB1 or ABCG2 identifies a subpopulation of cells—termed ‘side population’ (SP)—that exhibits stem cell-like properties in a variety of normal and malignant tissues [9,10]. However, variable results have been obtained regarding the CSC-like SP phenotype in human MM cell lines and patient samples. Using this assay, Matsui’s group identified a clonogenic CD138-negative subpopulation that was resistant to lenalidomide whereas Jakubikova et al. characterized a clonogenic SP subpopulation that primarily expressed CD138 and was sensitive to lenalidomide [11,12].

Chang and colleagues recently reported the synthesis of a vital dye CDy1 that distinguishes embryonic stem cells and induced pluripotent stem cells [13,14]. Because a MYC-centered transcriptional network has been associated with an embryonic stem cell gene expression signature in other cancers [15,16], and activation of this proto-oncogene is a recurring event in MM pathogenesis [17], we set out to investigate the existence and properties of a CDy1-positive subpopulation in MM.

## Materials and Methods

### Cell lines and reagents

The NCI-H929 and RPMI-8226 cell lines were obtained from the American Type Culture Collection (Manassas, VA). KMS-5 cells were a kind gift from Dr. Michio Kawano (Yamaguchi University, Yamaguchi, Japan) [18,19]. The doxorubicin-resistant RPMI-8226/Dox40 cell line was kindly provided by Dr. William Dalton (Moffitt Cancer Center, Tampa, FL) [20]. KMS-34 cells were a kind gift from Dr. P. Leif Bergsagel (Mayo Clinic, Scottsdale, AZ) [21]. Cells were cultured in RPMI 1640 (Mediatech, Manassas, VA) supplemented with 10% fetal bovine serum (Cambrex BioScience, Walkersville, MD), 100 U/mL penicillin, 100 µg/mL streptomycin and 2 mM L-glutamine. Carfilzomib was obtained from Active Biochem (Maplewood, NJ), vismodegib was from Selleck Chemicals (Houston, TX) and reversin 121 was from Santa Cruz Biotechnology (Santa Cruz, CA). Rhodamine 123 and SYTOX Red were purchased from Life Technologies (Grand Island, NY), the Aldefluor reagent was purchased from StemCell Technologies (Vancouver, BC) and other chemicals were purchased from Sigma-Aldrich (St. Louis, MO).

### Fluorescence-activated cell sorting and analysis

MM cells were stained with CDy1 as previously described [13,14]. Briefly, cells were incubated in growth medium containing 500 nM CDy1 at  $2 \times 10^5$  cells/ml. After 1 hour at 37°C, cells were centrifuged and washed twice in growth medium. Stained cells were allowed to efflux in growth medium for 3 hours at 37°C unless otherwise indicated. Fluorescence-activated cell sorting (FACS) was performed on a FACS Aria instrument equipped with FACSDiva software (BD Biosciences; San Jose, CA) [10,22]. Experiments that did not require cell sorting were performed on an upgraded digital 3-laser, 8-parameter FACSCalibur DxP8 instrument equipped with FlowJo Collector's Edition software (Cytek Development; Fremont, CA). Data were analyzed with FlowJo Mac v10.0.2 (Tree Star; Ashland, OR). CDy1 is efficiently excited with 488 nm (blue laser excitation), minimally excited with 405 nm (violet laser excitation) and not excited with 633 nm (red laser excitation). For the data presented, CDy1 was excited with 488 nm and detected with a 585/42 nm bandpass filter. Dead cells were identified by SYTOX Red staining (excited with 633 nm) and excluded from analysis. To aid in visualization, selected data were presented on a bivariate plot of 585/42 (blue laser excitation) versus violet emission (violet laser excitation). ALDH activity was detected by FACS using the Aldefluor reagent [22,23] and staining for cell surface expression of ABCB1 with anti-human CD243-APC (eBioscience; San Diego, CA) was carried out as previously described [22].

### RNA-seq gene expression analysis

Total RNA was extracted with TRIzol Reagent (Life Technologies) and then purified on RNeasy spin columns (Qiagen; Valencia, CA) as per the manufacturer's instructions. The RNA integrity and quantity was determined on an Agilent 2100 Bioanalyzer (Agilent; Palo Alto, CA). Total RNA without an mRNA enrichment step was processed for cDNA synthesis using the Ovation RNA-Seq system (NuGEN Technologies; San Carlos, CA) according to manufacturer's protocol. Fragmented libraries were constructed from the double-stranded cDNA (0.5–1 µg amplified from a total RNA input of 100 ng) using the Illumina TruSeq RNA sample preparation kit.

The cDNA libraries were subjected to sequencing on a Genome Analyzer IIx (Illumina, Inc) high-throughput system as previously described [24]. Bowtie software [25] was used to map RNA-seq reads to the GRCh37/hg19 reference genome (<http://genome.ucsc.edu>), allowing for two mismatches. Only uniquely mapping reads were retained for downstream analysis. Gene transcript levels were quantified by mapping RNA-seq reads to RefSeq using NEUMA (Normalization by Expected Uniquely Mappable Area) v1.1.2 [26]. Four datasets were included in each analysis: two biological replicates for each CDy1-hi and CDy1-lo sample. Differentially expressed transcripts were identified using edgeR [27]. Fold changes were calculated using ratios of the arithmetic mean of the normalized read counts for each pair of replicates.

### Quantitative real-time RT-PCR validation and analysis of gene expression

Real-time qRT-PCR was performed using the Power SYBR Green reagent (Life Technologies) on an ABI Prism 7000 Sequence Detection System (Life Technologies) as previously described [28]. Primers used included: ABCB1, forward, ACCAGATAAAAGAGAGGTGCAACGG, reverse, TCCCGCCCCGGATTGACTGA; ADM, forward, CCGGGCTCGCTGACGTGAAG, reverse, CCGGACTGCTGTCTTCGGGG; ASPM, forward, TGACCTTCCCGTCACCTTGGC, reverse, GGTTTCGCACAAGGCGCACTC; CTHRC1, forward, TCGAGCGCCTCTGAGATCCCC, reverse, ACAGGTCCACCACCTCCCTCT; EPAS1, forward, AGCCCACAAGGTGTCAGGCATGG, reverse, AGCACGGGCACGTTACCTC; FAM72B, forward,

CTCCTACCAACGCAGTGGACTTCA, reverse, TGTTGCAGGAAGGAAGACAGGAACT; HBEGF, forward, TGGCTGCAGTTCTCTCGGCAC, reverse, GCCGCCTCCTAGGGGTAGCA; KIF14, forward, TGGTGATGACCCAGACCAAGACAG, reverse, GCGCTCACTGCCTGCCAGAT; NUCB2, forward, CAGGTTTGTGCGCTGGACGC, reverse, CGTAACACGTTCTGGCCGGGT; SS18, forward, AGCAGCAGGGCTACGGTCCT, reverse, TGGCTGTGGTGGTCCAGGCT; TMPO, forward, ACCCAGAAGAGCACCAAAGAAACCA, reverse, TGGTCTGCGGCAACTAGCACTAA; and VAPA, forward, CACAGACCTCAAATTCAAAGGCCCC, reverse, GGCCTCACACAGTACCGGCG.

Gene expression data were obtained for biological replicates and presented as the mean  $\pm$  S.D. qRT-PCR controls, which included ACTB, GAPDH, PGK1, RPL13A and TBP, were confirmed not to show any changes in expression under the experimental conditions studied. Primers: ACTB, forward, GGACTTCGAGCAAGAGATGG, reverse, AGCACTGTGTTGGCGTACAG; GAPDH, forward, GAGTCAACGGATTTGGTCGT, reverse, TTGATTTTGGAGGGATCTCG; PGK1, forward, CTGTGGGGGTATTTGAATGG, reverse, CTTCCAGGAGCTCCAAACTG; RPL13A, forward, CCTGGAGGAGAAGAGGAAAGAGA, reverse, TTGAGGACCTCTGTGTATTTGTCAA; and TBP, forward, TATAATCCCAAGCGGTTTGC, reverse, GCTGGAAAACCCAACCTTCTG. The data were normalized to GAPDH expression levels.

### Viability assays

Cell growth was measured using the alamarBlue cell viability and proliferation reagent (Life Technologies) as previously described [29]. Where indicated, cells were treated with carfilzomib, CoCl<sub>2</sub>, 2-methoxyestradiol, reversin 121, verapamil or vismodegib at the indicated concentrations. Mock-treated cultures contained 0.05% dimethylsulfoxide as solvent vehicle control.

### Statistical analysis

Statistical significance of differential gene expression in RNA-seq data was determined using edgeR and included *P* value and false discovery rate (FDR) calculations [27]. Fold changes  $\geq 2$  ( $\log_2FC \geq 1$ ) with an FDR  $\leq 0.1$  were considered significant. Otherwise, the Student's *t* test was used to compare differences between indicated groups. A *P* value  $< 0.05$  was considered significant.

## Results

### CDy1 staining intensity as an assay of ABCB1 transporter efflux activity

Previously it was reported that the NCI-H929 MM cell line was phenotypically heterogeneous and that rare CSC-like subpopulations could be identified based on differential staining with Hoechst 33342 and the fluorescently-labeled ALDH substrate Aldefluor [11]. During the characterization of KMS-5 cells, we found that they are highly positive for ALDH (Figs. S1 and S2). Both NCI-H929 and KMS-5 exhibited heterogeneous patterns of staining with CDy1 (Fig. 1A). These patterns were reminiscent of that observed for mixed populations of CDy1-positive embryonic stem cells and weakly-staining fibroblast feeder cells [13,14]. To investigate the molecular mechanisms associated with CDy1 staining heterogeneity, we used fluorescence-activated cell sorting (FACS) to isolate CDy1-hi and CDy1-lo subpopulations, and subjected them to global gene expression analysis by high-throughput RNA sequencing (RNA-seq). To our surprise, the top-ranked differentially expressed gene in each case was *ABCB1*, and its expression was negatively

correlated with CDy1 staining intensity: in the case of NCI-H929, the  $\log_2$  fold change ( $\log_2FC$ ) for CDy1-hi versus CDy1-lo subpopulations was  $-4.81$  ( $P = 2.15 \times 10^{-14}$ ;  $FDR = 6.29 \times 10^{-10}$ ) and for KMS-5 it was  $-4.30$  ( $P = 6.96 \times 10^{-11}$ ;  $FDR = 1.12 \times 10^{-06}$ ), with higher *ABCB1* mRNA levels detected in KMS-5 cells (Fig. 1B; Table S1).

These results implied that CDy1 is a substrate of the *ABCB1*-encoded P-glycoprotein multidrug resistance efflux pump [30], with the heterogeneity in CDy1 staining patterns due to subpopulations within the cultures expressing different levels of *ABCB1*; i.e., low staining intensity of CDy1 is a consequence of low intracellular dye accumulation because of *ABCB1*-mediated efflux. To obtain evidence in support of this hypothesis, we stained NCI-H929 with CDy1 in the presence or absence of verapamil, a widely-used inhibitor of *ABCB1* transporter activity [31]. As predicted, the percentage of cells within the CDy1-lo subpopulation (Fig. 1C, left panel) was reduced by verapamil treatment (Fig. 1C, right panel). To extend these findings, we examined the doxorubicin-resistant RPMI-8226/Dox40 MM cell line which expresses very high levels of *ABCB1* (see below) [12,20,32]. Significant staining of RPMI-8226/Dox40 cells with CDy1 was only observed following treatment with verapamil or with reversin 121 [33], a highly specific inhibitor of *ABCB1* transporter function (Fig. 1D, right panels versus left panels). Inspection of gene expression profiling (GEP) data for a series of 45 MM cell lines indicated that NCI-H929 cells expressed among the lowest levels of *ABCB1* transcripts (Table S2) [21]. Considered together with the RNA-seq results, these observations plus additional data comparing CDy1 with rhodamine 123 (Fig. S3) substantiated the notion that CDy1 efflux is a sensitive assay of *ABCB1* transporter activity in MM cells.

### The *ABCB1* signature is enriched in genes that are poor prognostic indicators in MM

We next examined the genes that were differentially expressed in the CDy1-lo (*ABCB1*-hi) versus CDy1-hi (*ABCB1*-lo) subpopulations. We focused on NCI-H929 for these analyses since it is a well-characterized cell culture model of MM containing the t(4;14) chromosomal translocation associated with poor prognosis [34,35]. We created a gene set of *ABCB1* neighbors having a  $\log_2FC \geq 1$  ( $FDR \leq 0.1$ ) for replicate RNA-seq samples of *ABCB1*-hi versus *ABCB1*-lo subpopulations (Table 1; see Table S3A for details) and a second set of genes whose expression negatively correlated with *ABCB1* expression ( $\log_2FC \leq -1$ ;  $FDR \leq 0.1$ ) (Table S3B). Differential expression of selected genes was validated by qRT-PCR (Table 1). Among the 38 *ABCB1* neighbors were numerous genes implicated in MM pathobiology. These included *ASPM*, *KIF14* and *TMPO*, which were previously identified in several GEP-based prognostic signatures for MM [36–39]. Specifically, Shaughnessy and coworkers reported a 17-gene set (UAMS-17) that was sufficient to predict high-risk MM: all 3 genes are included in the UAMS-17 signature [36]. *ASPM* and *KIF4* are also associated with the high-risk proliferation subgroup of Zhan et al. [37], while *ASPM* is present in the high-risk gene proliferation index of Hose and colleagues [38]. Moreover, *TMPO* is one of 4 genes which comprise the critical-gene prognostic model of Agnelli et al. that reportedly provides comparable predictive power to the UAMS-17 signature despite the fact that the two signatures have only *TMPO* in common [36,39].

In addition, pathway analysis and extensive literature review revealed that *ABCB1* and many of its neighbors (18/38) were ‘hypoxia/angiogenesis-associated’ (Table S4); these included *ADM*, encoding adrenomedullin, a proangiogenic factor produced by MM cells [40]; *EPAS1*, encoding hypoxia-inducible factor (HIF)-2 $\alpha$  (HIF2 $\alpha$ ), a regulator of *CXCL12/SDF1* expression in MM cells and a contributor to MM-induced angiogenesis within the hypoxic bone marrow microenvironment [40,41]; and *HBEGF*, encoding heparin-binding EGF-like growth factor, a proangiogenic molecule with MM growth stimulatory activity [40,42–44]. Treatment with the hypoxia mimetic CoCl<sub>2</sub> [45] resulted in an increased percentage of cells within the CDy1-lo (*ABCB1*-hi) subpopulation (Fig. 1E), supporting the



involvement of hypoxia signaling pathways and crosstalk with ABCB1 regulatory mechanisms in NCI-H929 cells [46,47].

We next analyzed GEP data for 6 MM patients who had higher *ABCB1* transcripts at relapse [48]. The sample set consisted of 2 patients with t(4;14) MM plus 4 other MM patients—3 patients with t(11;14) MM and 1 patient with t(6;14) MM—who had received a variety of treatment regimens. A corresponding increase in expression of *ASPM*, *KIF14* and *TMPO*, and many other ABCB1 neighbors was observed in this small sample set (e.g., *ADM* and *HBEGF*) (Fig. S4). To further investigate the generality of the ABCB1 signature across a broader range of MM samples and subgroups, we stratified 304 MM patient samples from the Multiple Myeloma Research Consortium (MMRC) reference collection dataset on the basis of *ABCB1* expression and performed gene set enrichment analysis [49] of ‘ABCB1-hi’ versus ‘ABCB1-lo’ samples (Fig. 2A; Table S5). Leading edge analysis of the core-enriched genes in the top 3 ranked gene sets (Fig. 2B) identified 51 genes in common. *ASPM*, *KIF14* and *TMPO* were among this common leading edge gene set (Fig. 2C). There was also considerable overlap of these leading edge genes with those in the high-risk MM proliferation subgroup of Zhan et al. (20/51 genes) [37].

### Upregulation of *ABCB1* expression confers resistance to carfilzomib

In clinical studies conducted in the 1980’s and early 1990’s, *ABCB1*-associated drug resistance was observed in ~75% of MM patients who had received combination chemotherapies containing high doses of doxorubicin and vincristine, both of which are ABCB1 substrates [32,50–54]. In view of the recent appreciation that lenalidomide is an ABCB1 substrate [55,56], we investigated whether the second-generation proteasome inhibitor carfilzomib might be an ABCB1 substrate as well. As shown in Fig. 3A, RPMI-8226/Dox40 cells were highly resistant to carfilzomib and treatment with reversin 121 restored carfilzomib sensitivity to levels approaching parental RPMI-8226 cells.

RPMI-8226/Dox40 cells were obtained by selecting RPMI-8226 cells in increasing concentrations of doxorubicin over a 2-year period [20]. As a consequence, RPMI-8226/Dox40 is cross-resistant to ~125 nM carfilzomib (data not shown). While increased *ABCB1* expression was previously detected in clinical MM samples from patients who had received chemotherapy containing doxorubicin, in no instance were the levels as high as in RPMI-8226/Dox40 (Fig. 4) [32,50,57]. Therefore, in an effort to develop a more appropriate MM cell culture model to study potential clinical relevance of *ABCB1* expression in MM patients treated with carfilzomib, KMS-34 cells, which express relatively high baseline levels of *ABCB1* transcripts (Table S2), were selected in 6 nM of the drug. As demonstrated in Fig. 3B, KMS-34 cells exposed to carfilzomib for 4 weeks (denoted KMS-34/Cfx) showed decreased sensitivity compared to parental KMS-34 cells; reversin 121 treatment resensitized the cells to parental KMS-34 levels. Moreover, increased resistance of KMS-34/Cfx cells to carfilzomib was associated with increased cell-surface expression of ABCB1 which correlated with increased CDy1 efflux (Fig. 4).

Sensitization of tumor cells to anticancer drugs with ABCB1 efflux inhibitors has met with little success in the clinical setting [50,53,58]. As proof of concept, we sought to identify potential alternatives that enhanced the efficacy of carfilzomib on *ABCB1*-overexpressing RPMI-8226/Dox40 cells. In view of emerging data for a role of hypoxia-inducible transcriptional networks in MM biology [40,41,45,47, this work] (for review, see ref. [59]), we screened already approved drugs and those undergoing clinical testing that interfere with hypoxia signaling pathways. Among the compounds tested, which included inhibitors of HIF1 $\alpha$ , IGF1R, EGFR and hedgehog signaling [60–65], we found that treatment with vismodegib (GDC-0449/HhAntag691), a hedgehog pathway antagonist [66], sensitized RPMI-8226/Dox40 to carfilzomib almost as effectively as reversin 121 (Fig. 3C). In

addition, 2-methoxyestradiol, a microtubule-targeting drug that inhibits HIF1 $\alpha$  [61], also displayed potent cytotoxicity against RPMI-8226/Dox40 cells (Fig. 3D).

## Discussion

In this study, we report that efflux of the pluripotent stem cell fluorescent dye CDy1 [13,14] detects an ABCB1-positive subpopulation in MM cell culture models. In addition, we demonstrate that upregulated *ABCB1* expression in MM cell lines confers resistance to the second-generation proteasome inhibitor carfilzomib which recently received accelerated approval by the FDA for the treatment of refractory/relapsed MM patients.

Disease recurrence in MM indicates that the cells responsible for tumor initiation and maintenance are resistant to the chemotherapy [1]. Early studies suggested that overexpression of *ABCB1* was a contributing factor to the drug resistance that developed in MM patients treated with doxorubicin and vincristine [32,50–54]. Our results indicate that ABCB1-mediated efflux is a major contributor to the increased resistance of RPMI-8226/Dox40 and KMS-34/Cfx to carfilzomib compared to their respective parental cell lines. Of relevance in this regard, carfilzomib is a derivative of epoxomicin and it was previously shown that acquisition of epoxomicin resistance in a MM cell culture model was due to upregulated *ABCB1* expression [67]. Our findings are in agreement with a recent publication by Ao et al. who reported that ABCB1-mediated efflux plays a major role in the development of carfilzomib resistance in lung and colon adenocarcinoma cell lines [68]. They are also supported by a recent investigation carried out by Verbrugge et al. who used a panel of cell lines overexpressing *ABCB1*, *ABCG2*, *ABCC1* or several other ABC transporter genes and showed that only *ABCB1* had the ability to confer resistance to carfilzomib [69].

These in vitro results notwithstanding, it remains to be demonstrated whether an ABCB1-mediated mechanism is relevant to resistance that develops to carfilzomib in the clinic. That this might be the case in MM is suggested by GEP data acquired during disease progression in a t(4;14) MM patient [48], where increased *ABCB1* expression was found in the drug-resistant cells that emerged at relapse following carfilzomib therapy (Fig. S4B). In this context, functional activity of ABCB1 in clinical samples is routinely evaluated by measurement of rhodamine 123 efflux [58]. Comparative analysis of CDy1 and rhodamine 123 staining of NCI-H929 and KMS-34 cells showed that the CDy1 efflux assay is more sensitive (Fig. S3), suggesting that it might be of utility in determining whether high level *ABCB1* expression correlates with a worse response to carfilzomib treatment in the clinical setting.

As attempts to reverse clinical multidrug resistance by inhibiting ABCB1 transporter activity have generally failed to improve outcomes [50,53,58], we employed a hypothesis-driven approach based on ABCB1 neighbor analysis and screened drugs targeting hypoxia signaling pathways for enhanced carfilzomib-induced cytotoxicity on RPMI-8226/Dox40 cells [46,59–65,70]. In agreement with previous reports that the parental RPMI-8226 cell line is relatively resistant to hedgehog pathway inhibitors [71,72], we observed that treatment of *ABCB1*-overexpressing RPMI-8226/Dox40 cells with vismodegib alone had modest effects. On the other hand, vismodegib sensitized RPMI-8226/Dox40 to carfilzomib. Vismodegib is currently in clinical trials for MM patients with relapsed/refractory disease (ClinicalTrials.gov identifier: NCT01330173) [73]. Thus, further studies evaluating the anti-MM effects of vismodegib in combination with carfilzomib may be warranted. Interestingly, the mechanism behind the chemosensitivity is presumably related to the observation that vismodegib is also an inhibitor of ABCB1 [74]. As with other ABCB1 inhibitors, one concern is whether it would be possible to achieve a therapeutic range without significant

normal tissue toxicity [50,53,55,56,58]. By comparison, we found that 2-methoxyestradiol exhibited significant cytotoxic activity on carfilzomib-resistant RPMI-8226/Dox40 cells as a single agent. Similar to the ABCB1 substrate doxorubicin and the first-in-class proteasome inhibitor bortezomib which are used to treat MM [70], 2-methoxyestradiol is an inhibitor of HIF1 $\alpha$  [61]. Indeed, 2-methoxyestradiol, was previously reported to overcome drug resistance in other MM cell culture models (such as dexamethasone-resistant MM1.S cells, where *ADM* was one of the genes downregulated in response to treatment) [75]. However, the drug demonstrated poor bioavailability in clinical trials [76]. Collectively, the findings suggest that further consideration be given to the development of new 2-methoxyestradiol derivatives with improved metabolic stability for evaluation in relapsed/refractory MM [77].

A number of GEP-based prognostic signatures for overall survival of MM patients have been published [36–39]. Notably, overlap between the signatures is limited. With the exception of those based solely on proliferation, only 1 to 2 genes are present in any two pairwise comparisons (see Fig. S3 of ref. [78] for a list of the overlapping genes present in 8 GEP signatures). It is noteworthy therefore that 3 genes identified as ABCB1 neighbors in NCI-H929—*ASPM*, *KIF14* and *TMPO*—are present in several prognostic signatures for high-risk MM [36–39]. In particular, as noted above, all 3 genes are included in the UAMS-17 gene-risk model [36]. Using this prognostic signature, Shaughnessy et al. were able to separate out those t(4;14) MM patients with poor prognosis into a ‘higher-risk’ subgroup [36]. It will be of interest to determine whether combining a minimal ABCB1 neighbor model comprising *ASPM*, *KIF14* and *TMPO* with the CDy1 efflux assay can be used to identify high-risk MM patients who might otherwise be characterized as having low-risk disease (Fig. S4).

As mentioned in the Introduction, contradictory results have been obtained regarding the phenotype of the proposed CSC-like tumor-propagating cells in MM [8]. In view of the documented selectivity of CDy1 as a pluripotent stem cell fluorescent probe [13,14], it was notable that a large percentage of the genes differentially expressed in the CDy1-hi/ABCB1-lo subpopulation of NCI-H929 cells (30/47) are direct targets of transcription factors expressed in embryonic stem cells (Table S3B). In particular, increased expression of *TCF7L1* (also known as *TCF3*), one of the core transcription factors that maintains the pluripotent state of embryonic stem cells [15,16], was found in this gene set. Additionally, we detected an ~1.5-fold increase in expression of two other core pluripotency-associated genes, *POU5F1(OCT3/4)* and *NANOG*, in the CDy1-hi/ABCB1-lo subpopulation by qRT-PCR (data not shown). Two other groups have reported that *POU5F1(OCT3/4)* and *NANOG* are more highly expressed in putative MM CSCs identified by the SP assay or by ALDH activity [79,80]. Therefore, a potential relationship between CDy1-hi and CSC-like cells in MM, which was the initial motivation for this study, merits further investigation.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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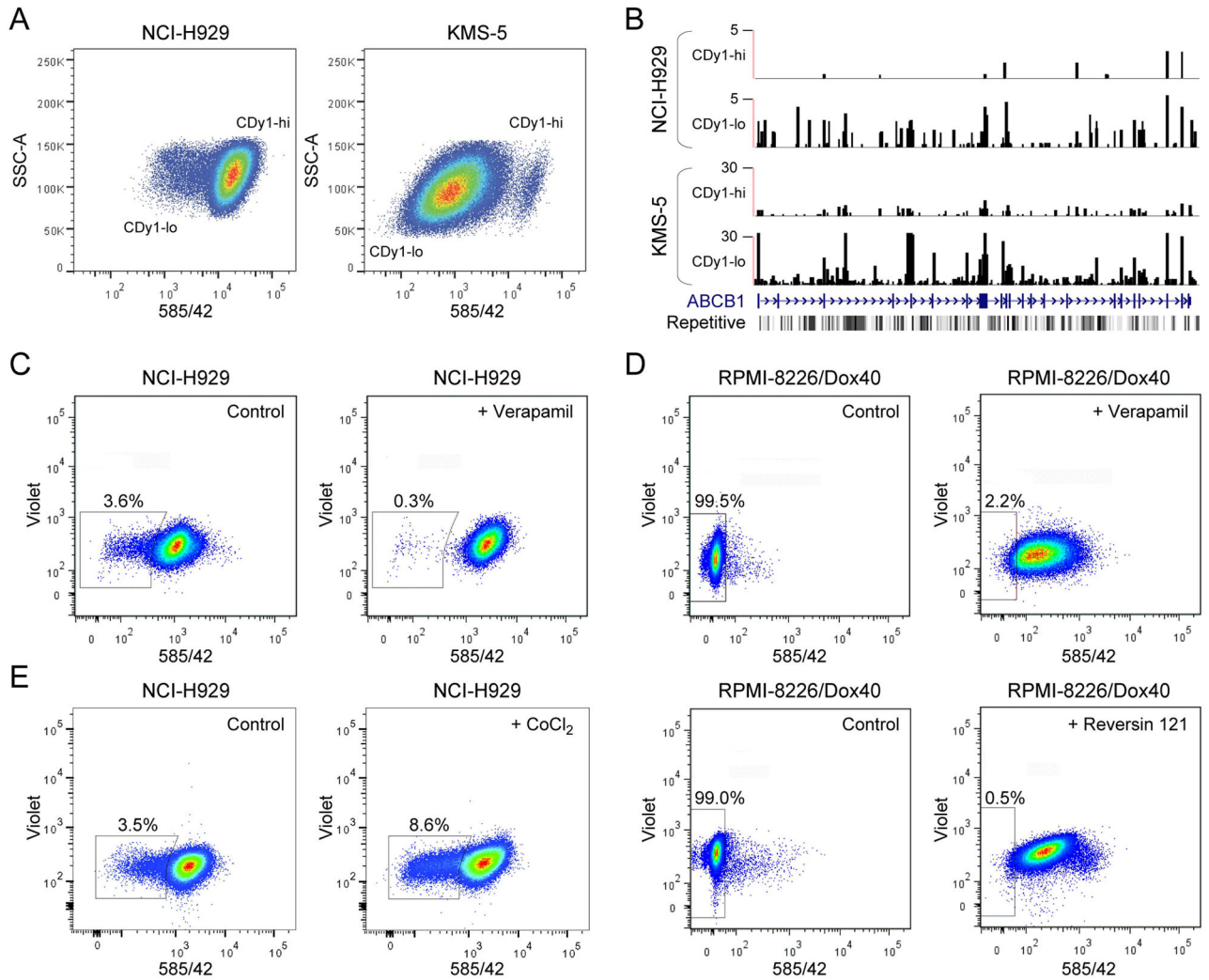
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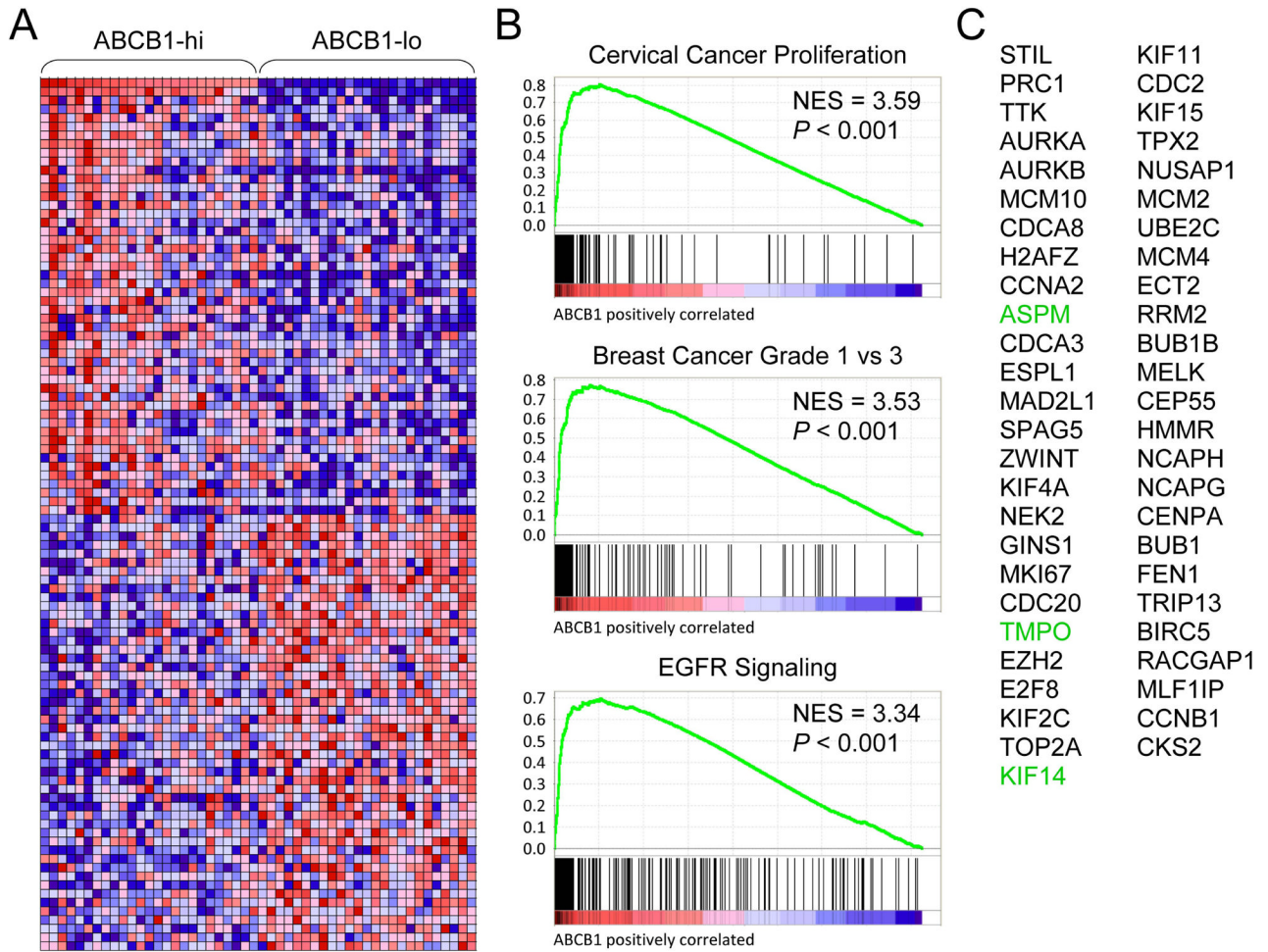
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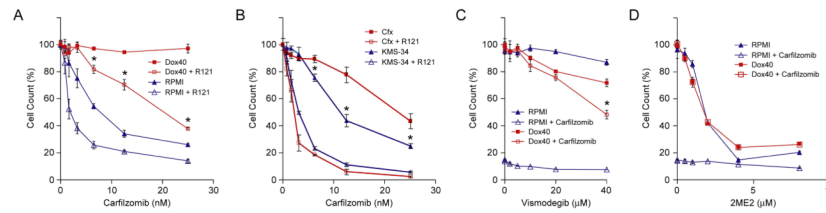
**Figure 1.**

CDy1 efflux identifies a subpopulation of MM cells characterized by increased *ABCB1* expression. A: NCI-H929 and KMS-5 cells were incubated with CDy1, and CDy1-bright (CDy1-hi) and CDy1-dim (CDy1-lo) subpopulations were isolated by FACS for RNA-seq. B: Graphic representation of the RNA-seq results for CDy1-hi and CDy1-lo subpopulations of NCI-H929 and KMS-5 cells as .wig format files in the UCSC web browser at the *ABCB1* locus. See Table S1 for details. C: CDy1 staining of NCI-H929 in the absence (left panel) or presence (right panel) of 50  $\mu$ M verapamil. D: CDy1 staining of RPMI-8226/Dox40 in the absence (left panels) or presence of 100  $\mu$ M verapamil (top right panel) or 37.5  $\mu$ M reversin 121 (bottom right panel). E: CDy1 staining of NCI-H929 in the absence (left panel) or after incubation with 100  $\mu$ M CoCl<sub>2</sub> for 12 hours (right panel). Percentages of CDy1-lo cells are indicated.



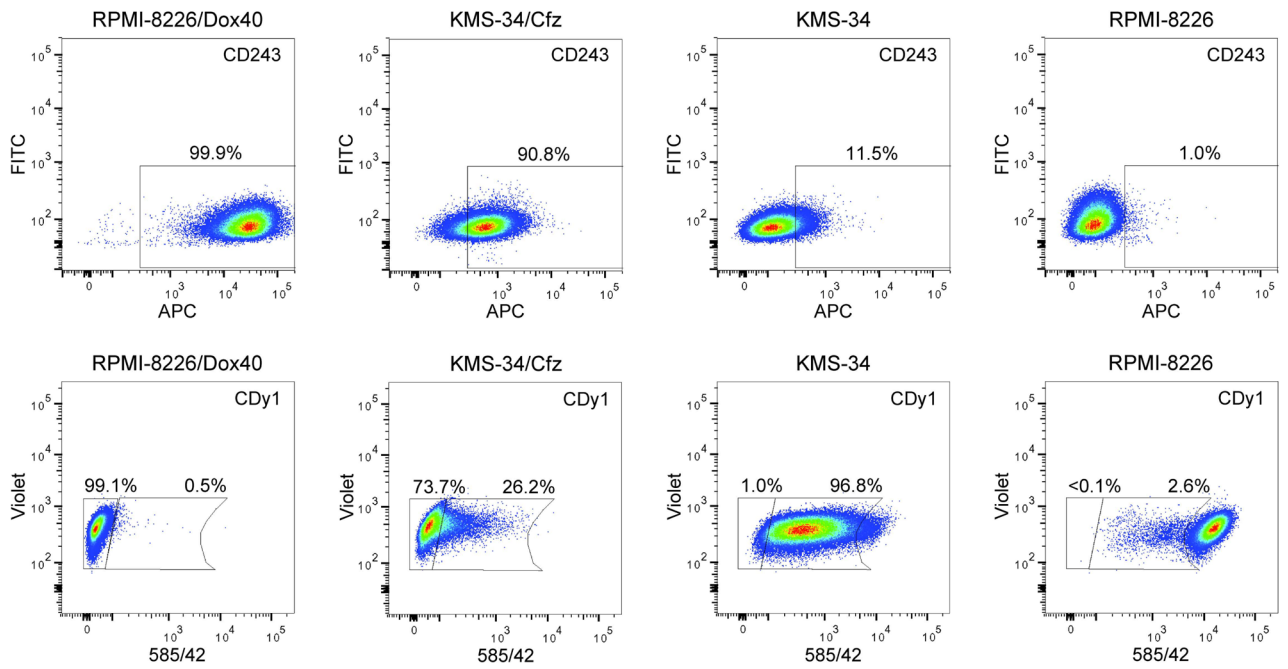


**Figure 2.** NCI-H929-associated ABCB1 neighbors *ASPM*, *KIF14* and *TMPO* are coordinately upregulated with *ABCB1* in primary MM samples. A: Heat map of ABCB1 neighbors in MM patient samples from the Multiple Myeloma Research Consortium (MMRC) reference collection dataset ([www.broadinstitute.org/mmcp](http://www.broadinstitute.org/mmcp)) stratified on the basis of *ABCB1* expression into ‘ABCB1-hi’ and ‘ABCB1-lo’ sample sets (see Table S5 for details). B: Gene set enrichment analysis (GSEA; [www.broadinstitute.org/gsea](http://www.broadinstitute.org/gsea)) enrichment plots of the top 3 ranked gene sets containing genes whose expression is highly correlated with *ABCB1* expression in the MMRC MM samples. NES, normalized enrichment score. C: Common genes in the leading-edge subsets of the 3 top-ranked gene sets in B.



**Figure 3.**

Effects of carfilzomib, vismodegib and 2-methoxyestradiol on MM cell lines. A: RPMI-8226/Dox40 (Dox40) and parental RPMI-8226 (RPMI) cells were treated with the indicated concentrations of carfilzomib for 48 hours in the presence or absence of 37.5  $\mu$ M reversin 121 (R121). Cell viability was determined by alamarBlue assay. The experiment was performed in triplicate for two biological replicates. The data are presented as the mean  $\pm$  S.D. \* $P$  < 0.001. B: KMS-34/Cfx (Cfx) and parental KMS-34 cells were treated with the indicated concentrations of carfilzomib for 48 hours in the presence or absence of 37.5  $\mu$ M reversin 121 (R121). Cell viability was determined by alamarBlue assay. The experiment was performed in triplicate for two biological replicates. The data are presented as the mean  $\pm$  S.D. \* $P$  < 0.001. C: RPMI-8226/Dox40 and parental RPMI-8226 cells were treated with the indicated concentrations of vismodegib for 48 hours in the presence or absence of 25 nM carfilzomib. Cell viability was determined by alamarBlue assay. The experiment was performed in triplicate for two biological replicates. The data are presented as the mean  $\pm$  S.D. \* $P$  < 0.01. D: RPMI-8226/Dox40 and parental RPMI-8226 cells were treated with the indicated concentrations of 2-methoxyestradiol (2ME2) for 48 hours in the presence or absence of 25 nM carfilzomib. Cell viability was determined by alamarBlue assay. The experiment was performed in triplicate for two biological replicates. The data are presented as the mean  $\pm$  S.D.



**Figure 4.** Upregulated expression of *ABCB1* correlates with increased carfilzomib resistance in KMS-34/Cfz cells. CD243(*ABCB1*) cell surface expression (top panels) and CDy1 efflux (bottom panels) are shown for KMS-34/Cfz and parental KMS-34 cells in comparison to RPMI-8226/Dox40 and parental RPMI-8226 cells. Percentages of CD243(*ABCB1*)-positive and CDy1-lo cells are indicated. For the CDy1 efflux assays, the positions of the far left gates were determined by autofluorescence of unstained cells.

ABC B1 neighbors: 38 genes whose expression positively correlates with *ABC B1* expression in t(4;14)-positive NCI-H929 cells

Table 1

Symbol	Name	Gene ID	RNA-Seq*			qRT-PCR		
			Log <sub>2</sub> FC	P-value	FDR	FC	SD	
<b>ADM</b>	Adrenomedullin	133	30.97	2.75E-07	1.34E-03	16.33	2.27	
FAM72B	Family with sequence similarity 72, member B	653820	30.68	3.51E-06	9.35E-03	1.73	0.43	
PUM1	Pumilio homolog 1 (Drosophila)	9698	30.32	5.20E-05	4.86E-02	-	-	
RTKN2	Rhotekin 2	219790	30.24	9.09E-05	5.61E-02	-	-	
C2orf48	-	348738	30.06	2.83E-04	9.24E-02	-	-	
TDRKH	Tudor and KH domain containing	11022	29.97	2.83E-04	9.24E-02	-	-	
<b>ABC B1</b>	ATP-binding cassette, sub-family B (MDR/TAP), member 1	5243	4.82	2.15E-14	6.29E-10	3.31	0.91	
NDUFB6	NADH dehydrogenase (ubiquinone) 1 beta subcomplex, 6, 17kDa	4712	4.04	9.38E-05	5.61E-02	-	-	
SS18	Synovial sarcoma translocation, chromosome 18	6760	3.89	2.57E-04	9.24E-02	1.43	0.18	
NBPF14	Neuroblastoma breakpoint family, member 14	25832	2.81	7.90E-05	5.61E-02	-	-	
SLC40A1	Solute carrier family 40 (iron-regulated transporter), member 1	30061	2.77	1.14E-04	6.32E-02	-	-	
EPAS1	Endothelial PAS domain protein 1	2034	2.49	2.13E-04	8.42E-02	1.39	0.20	
ARID4B	AT rich interactive domain 4B (RBP1-like)	51742	2.49	2.13E-04	8.42E-02	-	-	
LDLRAD3	Low density lipoprotein receptor class A domain containing 3	143458	2.49	2.13E-04	8.42E-02	-	-	
VAPA	VAMP (vesicle-associated membrane protein)-associated protein A	9218	2.40	4.59E-05	4.48E-02	1.30	0.13	
DHRX	Dehydrogenase/ reductase (SDR family) X-linked	207063	2.35	1.87E-04	8.33E-02	-	-	
HBEGF	Heparin-binding EGF-like growth factor	1839	2.33	8.64E-05	5.61E-02	2.16	0.57	
PSMG1	Proteasome (prosome, macropain) assembly chaperone 1	8624	2.30	2.61E-04	9.24E-02	-	-	
ZNF718	Zinc finger protein 718	255403	2.29	1.19E-04	6.32E-02	-	-	
DHX9	DEAH (Asp-Glu-Ala-His) box polypeptide 9	1660	1.96	2.29E-06	7.62E-03	-	-	
GSTA4	Glutathione S-transferase alpha 4	2941	1.91	8.21E-05	5.61E-02	-	-	
TMEM2	Transmembrane protein 2	23670	1.71	2.21E-05	3.33E-02	-	-	
CARD16	Caspaserecruitment domain family, member 16	114769	1.61	2.75E-04	9.24E-02	-	-	
ELMOD2	ELMO/CED-12 domain containing 2	255520	1.50	2.60E-04	9.24E-02	-	-	
DNAJC21	DnaJ (Hsp40) homolog, subfamily C, member 21	134218	1.48	2.79E-05	3.40E-02	-	-	
CCDC15	Coiled-coil domain containing 15	80071	1.45	2.47E-04	9.19E-02	-	-	
CTHRC1	Collagen triple helix repeat containing 1	115908	1.44	2.19E-05	3.33E-02	1.77	0.27	

Symbol	Name	Gene ID	RNA-Seq*			qRT-PCR		
			Log <sub>2</sub> FC	P-value	FDR	FC	SD	
TMPO	Thymopoietin	7112	1.38	1.79E-05	3.09E-02	1.42	0.11	
PPAPDC1B	Phosphatidic acid phosphatase type 2 domain containing 1B	84513	1.35	1.07E-05	2.09E-02	-	-	
KIF14	Kinesin family member 14	9928	1.31	2.97E-05	3.48E-02	1.51	0.23	
CCDC132	Coiled-coil domain containing 132	55610	1.26	1.94E-04	8.33E-02	-	-	
ASPM	Asp (abnormal spindle) homolog, microcephaly associated	259266	1.21	7.03E-05	5.57E-02	1.40	0.34	
C14orf135	-	64430	1.18	2.48E-04	9.19E-02	-	-	
CCDC18	Coiled-coil domain containing 18	343099	1.16	2.31E-04	8.80E-02	-	-	
UTRN	Utrophin	7402	1.15	3.06E-04	9.35E-02	-	-	
NUCB2	Nucleobindin 2	4925	1.14	1.72E-04	8.13E-02	1.44	0.10	
CNOT7	CCR4-NOT transcription complex, subunit 7	29883	1.13	2.70E-04	9.24E-02	-	-	
RHOBTB3	Rho-related BTB domain containing 3	22836	1.13	3.06E-04	9.35E-02	-	-	

\* log<sub>2</sub>FC 1; FDR 0.1

FC, fold change; SD, standard deviation; -, not done